# Hazelcast IMDG 4.0.3 Reference Manual

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## Hazelcast IMDG Reference Manual

Version 4.0.3

# Preface

Welcome to the Hazelcast IMDG (In-Memory Data Grid) Reference Manual. This manual includes concepts, instructions and examples to guide you on how to use Hazelcast and build Hazelcast IMDG applications.

This reference manual mostly talks about the Hazelcast member and clients in Java language. Although, the core of Hazelcast IMDG is based on the Java programming language, it has the following clients and programming language APIs.

- Java
- .NET
- C++
- Node.js
- Python
- Go
- Scala

We recommend you to learn the basics of Hazelcast IMDG using this manual first. Then, you can always get the client related resources/links in the <u>Clients chapter</u>.

### **Editions**

This Reference Manual covers all editions of Hazelcast IMDG. Throughout this manual:

- **Hazelcast** or **Hazelcast IMDG** refers to the open source edition of Hazelcast in-memory data grid middleware. **Hazelcast** is also the name of the company (Hazelcast, Inc.) providing the Hazelcast product.
- **Hazelcast IMDG Enterprise** is a commercially licensed edition of Hazelcast IMDG which provides high-value enterprise features in addition to Hazelcast IMDG.
- **Hazelcast IMDG Enterprise HD** is a commercially licensed edition of Hazelcast IMDG which provides High-Density (HD) Memory Store and Hot Restart Persistence features in addition to Hazelcast IMDG Enterprise.

## Plugins

You can extend Hazelcast IMDG's functionality by using its plugins. These plugins have their own lifecycles. See the Plugins page to learn about Hazelcast plugins you can use. Hazelcast plugins are marked with Plugin < label throughout this manual. See also the Hazelcast Plugins chapter for more information.

## Licensing

Hazelcast IMDG and Hazelcast Reference Manual are free and provided under the Apache License, Version 2.0. Hazelcast IMDG Enterprise and Hazelcast IMDG Enterprise HD is commercially licensed by Hazelcast, Inc.

For more detailed information on licensing, see the License Questions appendix.

## Trademarks

Hazelcast is a registered trademark of Hazelcast, Inc. All other trademarks in this manual are held by their respective owners.

### **Customer Support**

Support for Hazelcast is provided via GitHub\, Mail Group and StackOverflow.

For information on the commercial support for Hazelcast IMDG and Hazelcast IMDG Enterprise, see hazelcast.com.

## **Release Notes**

See the Release Notes document for the new features, enhancements and fixes performed for each Hazelcast IMDG release.

## Contributing

You can contribute to the Hazelcast IMDG code, report a bug, or request an enhancement. See the following resources.

- Developing with Git: Document that explains the branch mechanism of Hazelcast and how to request changes.
- Hazelcast Contributor Agreement form: Form that each contributing developer needs to fill and send back to Hazelcast.
- Hazelcast on GitHub: Hazelcast repository where the code is developed, issues and pull requests are managed.

## Partners

Hazelcast partners with leading hardware and software technologies, system integrators, resellers and OEMs including Amazon Web Services, Vert.x, Azul Systems, C2B2. See the Partners page for the full list of and information on our partners.

# **1. Document Revision History**

This chapter lists the changes made to this document from the previous release.



See the Release Notes for the new features, enhancements and fixes performed for each Hazelcast release.

Table 1. Revision History

Chapter	Description
Overview	The whole chapter content has been reviewed and enhanced along with outline improvements.
Distributed Data Structures	Added Managing the Lifecycle of a MapLoader as a new section.
Hazelcast Jet	The whole chapter content has been reviewed and enhanced along with outline improvements.
Management	Added Limiting Source Addresses as a new section to explain how to restrict the source IP addresses for Management Center.
Security	Added Kerberos Authentication Type and Security Realms on the Client Side as new sections.
WAN Replication	The whole chapter content has been reviewed and enhanced along with outline improvements.
System Properties	Added the descriptions for the following new system properties:

# 2. Getting Started

This chapter explains how to install Hazelcast and start a Hazelcast member and client. It describes the executable files in the download package and also provides the fundamentals for configuring Hazelcast and its deployment options.

## 2.1. Installation

The following sections explain the installation of Hazelcast IMDG and Hazelcast IMDG Enterprise. It also includes notes and changes to consider when upgrading Hazelcast.

### 2.1.1. Installing Hazelcast IMDG

You can find Hazelcast in standard Maven repositories. If your project uses Maven, you do not need to add additional repositories to your pom.xml or add hazelcast-4.0.3.jar file into your classpath (Maven does that for you). Just add the following lines to your pom.xml:

```
<dependencies>
<dependency>
<groupId>com.hazelcast</groupId>
<artifactId>hazelcast</artifactId>
<version>4.0.3</version>
</dependency>
</dependencies>
```

Above dependency (hazelcast) includes both member and Java client libraries of Hazelcast IMDG.

As an alternative, you can download and install Hazelcast IMDG yourself. You only need to:

- download the package hazelcast-4.0.3.zip or hazelcast-4.0.3.tar.gz from hazelcast.org
- extract the downloaded hazelcast-4.0.3.zip or hazelcast-4.0.3.tar.gz
- and add the file hazelcast-4.0.3. jar to your classpath.



The above steps let you to use both IMDG members and Java clients. A separate Java client module does not exist. See here for the details.

### 2.1.2. Installing Hazelcast IMDG Enterprise

There are two Maven repositories defined for Hazelcast IMDG Enterprise:

```
<repository>
<id>Hazelcast Private Snapshot Repository</id>
<url>https://repository.hazelcast.com/snapshot/</url>
</repository>
<id>Hazelcast Private Release Repository</id>
<url>https://repository.hazelcast.com/release/</url>
</repository>
```

Hazelcast IMDG Enterprise customers may also define dependencies. See the following example:

```
<dependency>
    <groupId>com.hazelcast</groupId>
    <artifactId>hazelcast-enterprise</artifactId>
    <version>4.0.3</version>
</dependency>
<dependency>
    <groupId>com.hazelcast</groupId>
    <artifactId>hazelcast-enterprise-all</artifactId>
    <version>4.0.3</version>
</dependency>
<dependency>
    <groupId>com.hazelcast</groupId>
    <artifactId>hazelcast-enterprise-all</artifactId>
    <version>4.0.3</version>
    <classifier>javadoc</classifier>
</dependency>
```

The above repositories include both IMDG members and Java clients. A separate Java client module and dependency do not exist. See here for the details.

### 2.1.3. Setting the License Key

Hazelcast IMDG Enterprise offers you two types of licenses: **Enterprise** and **Enterprise HD**. The supported features differ in your Hazelcast setup according to the license type you own.

- **Enterprise license**: In addition to the open source edition of Hazelcast, Enterprise features are the following:
  - Security
  - WAN Replication
  - Clustered REST
  - Clustered JMX
  - Striim Hot Cache
  - Rolling Upgrades
- **Enterprise HD license**: In addition to the Enterprise features, Enterprise HD features are the following:
  - High-Density Memory Store
  - Hot Restart Persistence

To use Hazelcast IMDG Enterprise, you need to set the provided license key using one of the configuration methods shown below.



Hazelcast IMDG Enterprise license keys are required only for members. You do not need to set a license key for your Java clients for which you want to use IMDG Enterprise features.

#### **Declarative Configuration:**

Add the below line to any place you like in the file hazelcast.xml. This XML file offers you a declarative way to configure your Hazelcast. It is included in the Hazelcast download package. When you extract the downloaded package, you will see the file hazelcast.xml under the /bin directory.

```
<hazelcast>
...
clicense-key>Your Enterprise License Key</license-key>
...
</hazelcast>
```

#### **Programmatic Configuration:**

Alternatively, you can set your license key programmatically as shown below.

```
Config config = new Config();
config.setLicenseKey( "Your Enterprise License Key" );
```

#### Spring XML Configuration:

If you are using Spring with Hazelcast, then you can set the license key using the Spring XML schema, as shown below.

```
<hz:config>
...
<hz:license-key>Your Enterprise License Key</hz:license-key>
...
</hz:config>
```

#### JVM System Property:

As another option, you can set your license key using the below command (the "-D" command line option).

-Dhazelcast.enterprise.license.key=Your Enterprise License Key

#### **License Key Format**

License keys have the following format:

```
<Name of the Hazelcast edition>#<Count of the Members>#<License key>
```

The strings before the <License key> is the human readable part. You can use your license key with

or without this human readable part. So, both the following example license keys are valid:

HazelcastEnterpriseHD#2Nodes#1q2w3e4r5t

1q2w3e4r5t

## 2.1.4. License Information

License information is available through the following Hazelcast APIs.

#### JMX

The MBean HazelcastInstance.LicenseInfo holds all the relative license details and can be accessed through Hazelcast's JMX port (if enabled). The following parameters represent these details:

- maxNodeCountAllowed: Maximum members allowed to form a cluster under the current license.
- expiryDate: Expiration date of the current license.
- typeCode: Type code of the current license.
- type: Type of the current license.
- ownerEmail: Email of the current license's owner.
- companyName: Company name on the current license.

Following is the list of license types and typeCodes:

```
MANAGEMENT_CENTER(1, "Management Center"),
ENTERPRISE(0, "Enterprise"),
ENTERPRISE_SECURITY_ONLY(2, "Enterprise only with security"),
ENTERPRISE_HD(3, "Enterprise HD"),
CUSTOM(4, "Custom");
```

#### REST

You can access the license details by issuing a GET request through the REST API (if enabled; see the Using the REST Endpoint Groups section) on the /license resource, as shown below.

curl -v http://localhost:5701/hazelcast/rest/license

Its output is similar to the following:

```
* Trying 127.0.0.1...
* TCP_NODELAY set
* Connected to localhost (127.0.0.1) port 5701 (#0)
> GET /hazelcast/rest/license HTTP/1.1
> Host: localhost:5701
> User-Agent: curl/7.58.0
> Accept: */*
>
< HTTP/1.1 200 OK
< Content-Type: application/json
< Content-Length: 165
<
{
{"licenseInfo":{"expiryDate":4090168799999,"maxNodeCount":99,"type":3,"companyName":nu
ll,"ownerEmail":null,"keyHash":"OsLh406vqDuKEq8IOANQuuAaRnmDfJfRPrFSEhA7T3Y="}}
```

To update the license of a running cluster, you can issue a POST request through the REST API (if enabled; see the Using the REST Endpoint Groups section) on the /license as shown below:

```
curl --data "${CLUSTERNAME}&${PASSWORD}&${LICENSE}" http
://localhost:5001/hazelcast/rest/license
```



The request parameters must be properly URL-encoded as described in the REST Client section.

The above command updates the license on all running Hazelcast members of the cluster. If successful, the response looks as follows:

```
*
   Trying 127.0.0.1...
* TCP NODELAY set
* Connected to 127.0.0.1 (127.0.0.1) port 5001 (#0)
> POST /hazelcast/rest/license HTTP/1.1
> Host: 127.0.0.1:5001
> User-Agent: curl/7.54.0
> Accept: */*
> Content-Length: 164
> Content-Type: application/x-www-form-urlencoded
>
* upload completely sent off: 164 out of 164 bytes
< HTTP/1.1 200 OK
< Content-Type: application/javascript
< Content-Length: 364
<
* Connection #0 to host 127.0.0.1 left intact
{"status":"success","licenseInfo":{"expiryDate":1560380399161,"maxNodeCount":10,
"type":-1,"companyName":"ExampleCompany","ownerEmail":"info@example.com",
"keyHash":"ml/u6waTNQ+T4EWxnDRykJpwBmaV9uj+skZzv0SzDhs="},
"message":"License updated at run time - please make sure to update the license
in the persistent configuration to avoid losing the changes on restart."}
```

As the message in the above example indicates, the license is updated only at runtime. The persistent configuration of each member needs to be updated manually to ensure that the license change is not lost on restart. The same message is logged as a warning in each member's log.

It is only possible to update to a license that expires at the same time or after the current license. The new license must allow the exact same list of features and the same number of members.

If, for any reason, updating the license fails on some members (member does not respond, license is not compatible, etc.), the whole operation fails, leaving the cluster in a potentially inconsistent state (some members have been switched to the new license while some have not). It is up to you to resolve this situation manually.

### Logs

Besides the above approaches (JMX and REST) to access the license details, Hazelcast also starts to log a license information banner into the log files when the license expiration is approaching.

During the last two months prior to the expiration, this license information banner is logged daily, as a reminder to renew your license to avoid any interruptions. Once the expiration is due to a month, the frequency of logging this banner becomes hourly (instead of daily). Lastly, when the expiration is due in a week, this banner is printed every 30 minutes.



Similar alerts are also present on the Hazelcast Management Center.

The banner has the following format:



Please pay attention to the license warnings to prevent any possible interruptions in the operation of your Hazelcast applications.

# 2.2. Supported Java Virtual Machines

Following table summarizes the version compatibility between Hazelcast IMDG and various vendors' Java Virtual Machines (JVMs).

Table 2. Supported JVMs

Hazelcast IMDG Version	JDK Version	Oracle JDK	IBM SDK, Java Technology Edition	Azul Zing JDK	OpenJD K
Up to 3.11 (JDK 6 support is dropped with the release of Hazelcast IMDG 3.12)	6	~	×	✓	~
Up to 3.11 (JDK 7 support is dropped with the release of Hazelcast IMDG 3.12)	7	~	✓	~	~
Up to current	8	~	~	~	✓
<ul> <li>3.11 and newer: Fully supported.</li> <li>3.10 and older: Partially supported.</li> </ul>		~	¥ (JDK not available yet)	¥ (JDK not available yet)	~
<ul> <li>3.11 and newer: Fully supported.</li> <li>3.10 and older: Partially supported.</li> </ul>		~	¥ (JDK not available yet)	¥ (JDK not available yet)	~

Hazelcast IMDG Version	JDK Version	Oracle JDK	IBM SDK, Java Technology Edition	Azul Zing JDK	OpenJD K
<ul> <li>3.11 and newer: Fully supported.</li> <li>3.10 and older: Partially supported.</li> </ul>		¥ (JDK not available yet)	¥ (JDK not available yet)	¥ (JDK not available yet)	*



Hazelcast IMDG 3.10 and older releases are not fully tested on JDK 9 and newer, so there may be some features that are not working properly.

See the following sections for the details of Hazelcast IMDG supporting JDK 9 and newer:

- 0
- Running in Modular Java: Talks about the new module system present in Java 9 and newer and how you can run a Hazelcast application on it.
- TLS/SSL for Hazelcast Members: Lists TLSv1.3, which comes with Java 11, as a supported TLS version.

## 2.3. Running in Modular Java

Java project Jigsaw brought a new Module System into Java 9 and newer. Hazelcast supports running in the modular environment. If you want to run your application with Hazelcast libraries on the modulepath, use the following module name:

• com.hazelcast.core for hazelcast-4.0.3.jar and hazelcast-enterprise-4.0.3.jar

Don't use hazelcast-all-4.0.3.jar or hazelcast-enterprise-all-4.0.3.jar on the modulepath as it could lead to problems in module dependencies for your application. You can still use them on the classpath.

The Java Module System comes with stricter visibility rules. It affects Hazelcast which uses internal Java API to reach the best performance results.

Hazelcast needs the java.se module and access to the following Java packages for a proper work:

- . java.base/jdk.internal.ref
- java.base/java.nio (reflective access)
- java.base/sun.nio.ch (reflective access)
- java.base/java.lang (reflective access)
- jdk.management/com.ibm.lang.management.internal (reflective access)
- jdk.management/com.sun.management.internal (reflective access)
- java.management/sun.management (reflective access)

You can provide the access to the above mentioned packages by using --add-exports and --add -opens (for the reflective access) Java arguments.

#### Example: Running a member on the classpath

```
java --add-modules java.se \
    --add-exports java.base/jdk.internal.ref=ALL-UNNAMED \
    --add-opens java.base/java.lang=ALL-UNNAMED \
    --add-opens java.base/java.nio=ALL-UNNAMED \
    --add-opens java.base/sun.nio.ch=ALL-UNNAMED \
    --add-opens java.management/sun.management=ALL-UNNAMED \
    --add-opens jdk.management/com.ibm.lang.management.internal=ALL-UNNAMED \
    --add-opens jdk.management/com.sun.management.internal=ALL-UNNAMED \
    --add-opens jdk.management/com.sun.management.internal
```

#### Example: Running a member on the modulepath

```
java --add-modules java.se \
    --add-exports java.base/jdk.internal.ref=com.hazelcast.core \
    --add-opens java.base/java.lang=com.hazelcast.core \
    --add-opens java.base/java.nio=com.hazelcast.core \
    --add-opens java.base/sun.nio.ch=com.hazelcast.core \
    --add-opens java.management/sun.management=com.hazelcast.core \
    --add-opens jdk.management/com.ibm.lang.management.internal=com.hazelcast.core \
    --add-opens jdk.management/com.sun.management.internal=com.hazelcast.core \
    --module-path lib \
    --module com.hazelcast.core/com.hazelcast.core.server.HazelcastMemberStarter
```

*This example expects* hazelcast-4.0.3.jar *placed in the* lib *directory*.

## 2.4. Starting the Member and Client

Having installed Hazelcast, you can get started.

In this short tutorial, you perform the following activities:

- 1. Create a simple Java application using the Hazelcast distributed map and queue.
- 2. Run our application twice to have a cluster with two members (JVMs).
- 3. Connect to our cluster from another Java application by using the Hazelcast Native Java Client API.

Let's begin.

• The following code starts the first Hazelcast member and creates and uses the customers map and queue.

```
Config cfg = new Config();
HazelcastInstance instance = Hazelcast.newHazelcastInstance(cfg);
Map<Integer, String> mapCustomers = instance.getMap("customers");
mapCustomers.put(1, "Joe");
mapCustomers.put(2, "Ali");
mapCustomers.put(3, "Avi");
System.out.println("Customer with key 1: "+ mapCustomers.get(1));
System.out.println("Map Size:" + mapCustomers.size());
Queue<String> queueCustomers = instance.getQueue("customers");
queueCustomers.offer("Tom");
queueCustomers.offer("Mary");
queueCustomers.offer("Jane");
System.out.println("First customer: " + queueCustomers.peek());
System.out.println("Queue size: " + queueCustomers.size());
```

• Run this GettingStarted class a second time to get the second member started. The members form a cluster and the output is similar to the following.

```
Members {size:2, ver:2} [
    Member [127.0.0.1]:5701 - e40081de-056a-4ae5-8ffe-632caf8a6cf1 this
    Member [127.0.0.1]:5702 - 93e82109-16bf-4b16-9c87-f4a6d0873080
]
```

Here, you can see the size of your cluster (size) and member list version (ver). The member list version is incremented when changes happen to the cluster, e.g., a member leaving from or joining to the cluster.

- Now, add the hazelcast-client-4.0.3.jar library to your classpath. This is required to use a Hazelcast client.
- The following code starts a Hazelcast Client, connects to our cluster, and prints the size of the customers map.

```
public class GettingStartedClient {
    public static void main( String[] args ) {
        ClientConfig clientConfig = new ClientConfig();
        HazelcastInstance client = HazelcastClient.newHazelcastClient( clientConfig
);
        IMap map = client.getMap( "customers" );
        System.out.println( "Map Size:" + map.size() );
    }
}
```

• When you run it, you see the client properly connecting to the cluster and printing the map size

as 3.

Hazelcast also offers a tool, **Management Center**, that enables you to monitor your cluster. It is included in your Hazelcast IMDG download package and can also be downloaded from the Hazelcast website's download page. You can use this tool to monitor your cluster, cluster members, clients, data structures and WAN replications. See the documentation for details on Hazelcast Management Center.

By default, Hazelcast uses multicast to discover other members that can form a cluster. If you are working with other Hazelcast developers on the same network, you may find yourself joining their clusters under the default settings. Hazelcast provides a way to segregate clusters within the same network when using multicast. See the Creating Clusters section for more information. Alternatively, if you do not wish to use the default multicast mechanism, you can provide a fixed list of IP addresses that are allowed to join. See the Join configuration section for more information.



Multicast mechanism is not recommended for production since UDP is often blocked in production environments and other discovery mechanisms are more definite. See the Discovery Mechanisms section.



You can also check the video tutorials here.

## 2.5. Using the Scripts In The Package

When you download and extract the Hazelcast ZIP or TAR.GZ package, you will see the following scripts under the /bin folder that provide basic functionalities for member and cluster management.

The following are the names and descriptions of each script:

- start.sh / start.bat: Starts a Hazelcast member with default configuration in the working directory.
- stop.sh / stop.bat: Stops the Hazelcast member that was started in the current working directory.



start.sh / start.bat scripts lets you start one Hazelcast instance per folder. To start a new instance, please unzip Hazelcast ZIP or TAR.GZ package in a new folder.



You can also use the start scripts to deploy your own library to a Hazelcast member. See the Adding User Library to CLASSPATH section.

- cluster.sh: Provides basic functionalities for cluster management, such as getting and changing the cluster state, shutting down the cluster or forcing the cluster to clean its persisted data and make a fresh start. See the Using the Script cluster.sh section to learn the usage of this script.
- cp-subsystem.sh: Provides access to the CP subsystem management APIs using the REST interface. See the CP Subsystem Management APIs section.

• healthcheck.sh: Provides basic information about your clusters such as the state and size. See the Using the healthcheck.sh Script section.

# 2.6. Deploying using Hazelcast Cloud

A simple option for deploying Hazelcast is Hazelcast Cloud. It delivers enterprise-grade Hazelcast software in the cloud. You can deploy, scale and update your Hazelcast easily using Hazelcast Cloud; it maintains the clusters for you. You can use Hazelcast Cloud as a low-latency high-performance caching or data layer for your microservices, and it is also a nice solution for state management of serverless functions (AWS Lambda).

Hazelcast Cloud uses Docker and Kubernetes, and is powered by Hazelcast IMDG Enterprise HD. It is initially available on Amazon Web Services (AWS), to be followed by Microsoft Azure and Google Cloud Platform (GCP). Since it is based on Hazelcast IMDG Enterprise HD, it features advanced functionality such as TLS, multi-region, persistence, and high availability.

## 2.7. Deploying On Amazon EC2

You can easily deploy your Hazelcast projects on Amazon EC2 instances. For this, you can use Hazelcast's AWS cloud discovery module. This module helps the cluster members discover each other and form a cluster on EC2. It has easy-to-apply features including tagging, IAM roles, and connections to the cluster from clients outside the cloud.

See the Hazelcast AWS cloud discovery module's documentation to learn more about this module.

## 2.8. Deploying On Microsoft Azure

You can deploy your Hazelcast cluster onto a Microsoft Azure environment. For this, your cluster should make use of Hazelcast Discovery Plugin for Microsoft Azure. You can find information about this plugin on its GitHub repository at Hazelcast Azure.

For information on how to automatically deploy your cluster onto Azure, see the Deployment section of the Hazelcast Azure plugin repository.

## 2.9. Deploying On Pivotal Cloud Foundry

You can deploy your Hazelcast cluster onto Pivotal Cloud Foundry. It is available as a Pivotal Cloud Foundry Tile which you can download at here. You can find the installation and usage instructions and the release notes documents here.

## 2.10. Deploying using Docker

You can deploy your Hazelcast projects using the Docker containers. Hazelcast has the following images on Docker:

- Hazelcast IMDG
- Hazelcast IMDG Enterprise

- Hazelcast Management Center
- Hazelcast OpenShift

After you pull an image from the Docker registry, you can run your image to start the Management Center or a Hazelcast instance with Hazelcast's default configuration. All repositories provide the latest stable releases but you can pull a specific release, too. You can also specify environment variables when running the image.

If you want to start a customized Hazelcast instance, you can extend the Hazelcast image by providing your own configuration file.

This feature is provided as a Hazelcast plugin. See its own GitHub repo at Hazelcast Docker for details on configurations and usages.

# 3. Overview

This chapter describes what Hazelcast IMDG (In-Memory Data Grid) is along with its use cases, topology and architecture.

# 3.1. What is Hazelcast IMDG?

Hazelcast IMDG is an open-source distributed in-memory object store supporting a wide variety of data structures.

You can use Hazelcast IMDG to store your data in RAM, spread and replicate it across your cluster of machines, and perform computations on it. Replication gives you the resilience to failures of cluster members.

Hazelcast IMDG is highly scalable and available. Distributed applications can use it for distributed caching, synchronization, clustering, processing, pub/sub messaging, etc.

It is implemented in Java language and has clients for Java, C++, .NET, REST, Python, Go and Node.js. Hazelcast IMDG also speaks Memcached and REST protocols. It plugs into Hibernate and can easily be used with any existing database system.

Hazelcast IMDG makes distributed computing simple by offering distributed implementations of many developer-friendly interfaces. For example, the Map interface provides an In-Memory Key Value store which confers many of the advantages of NoSQL in terms of developer friendliness and developer productivity.

Your cloud-native applications can easily use Hazelcast IMDG. It is flexible enough to use as a data and computing platform out-of-the-box or as a framework for your own cloud-native applications and microservices.

Hazelcast IMDG is designed to be lightweight and easy to use. Since it is delivered as a compact library (JAR) and has no external dependencies other than Java, it easily plugs into your software solution and provides distributed data structures and computing utilities.

It is designed to scale up to hundreds and thousands of members. When you add new members.

they automatically discover the cluster and linearly increase both the memory and processing capacity. The members maintain a TCP connection between each other and all communication is performed through this layer. Each cluster member is configured to be the same in terms of functionality. The oldest member (the first member created in the cluster) automatically performs the data assignment to cluster members. If the oldest member dies, the second oldest member takes over.



You can come across with the term "master" or "master member" in some sections of this manual. They are used for contextual clarification purposes; please remember that they refer to the "oldest member" which is explained in the above paragraph.

Hazelcast IMDG offers simple scalability, partitioning (sharding), and re-balancing out-of-the-box. It does not require any extra coordination processes. NoSQL and traditional databases are difficult to scale out and manage. They require additional processes for coordination and high availability. With Hazelcast IMDG, when you start another process to add more capacity, data and backups are automatically and evenly balanced.

## Hazelcast's Distinctive Strengths

- It is open source.
- It is only a JAR file. You do not need to install software other than Java.
- Hazelcast IMDG stores everything in-memory (RAM). It is designed to perform fast reads and updates.
- Hazelcast IMDG is peer-to-peer; there is no single point of failure in a Hazelcast IMDG cluster; each member in the cluster is configured to be functionally the same. They all store equal amounts of data and do equal amounts of processing. You can embed Hazelcast IMDG in your existing application or use it in client and server mode where your application is a client to Hazelcast members.
- When the size of your memory and compute requirements increase, new members can be dynamically joined to the Hazelcast IMDG cluster to scale elastically.
- Data is resilient to member failure. Data backups are distributed across the cluster. This is a big benefit when a member in the cluster crashes as data is not lost. Hazelcast keeps the backup of each data entry on multiple members. On a member failure, the data is restored from the backup and the cluster continues to operate without downtime.
- Members are always aware of each other unlike in traditional key-value caching solutions.
- You can build your own custom-distributed data structures using the Service Programming Interface (SPI) if you are not happy with the data structures provided.
- Hazelcast provides out-of-the-box distributed data structures.

Finally, Hazelcast has a vibrant open source community enabling it to be continuously developed.

Hazelcast is a fit when you need:

• analytic applications requiring big data processing by partitioning the data

- to retain frequently accessed data in the grid
- a cache, particularly an open source JCache provider with elastic distributed scalability
- a primary data store for applications with utmost performance, scalability and low-latency requirements
- an In-Memory NoSQL Key Value Store
- publish/subscribe communication at highest speed and scalability between applications
- applications that need to scale elastically in distributed and cloud environments
- a highly available distributed cache for applications
- an alternative to Coherence and Terracotta.

## 3.2. Use Cases

Hazelcast can be used:

- to share server configuration/information to see how a cluster performs
- to cluster highly changing data with event notifications, e.g., user based events, and to queue and distribute background tasks
- as a simple Memcache with Near Cache
- as a cloud-wide scheduler of certain processes that need to be performed on some members
- to share information (user information, queues, maps, etc.) on the fly with multiple members in different installations under OSGI environments
- to share thousands of keys in a cluster where there is a web service interface on an application server and some validation
- as a distributed topic (publish/subscribe server) to build scalable chat servers for smartphones
- as a front layer for a Cassandra back-end
- to distribute user object states across the cluster, to pass messages between objects and to share system data structures (static initialization state, mirrored objects, object identity generators)
- as a multi-tenancy cache where each tenant has its own map
- to share datasets, e.g., table-like data structure, to be used by applications
- to distribute the load and collect status from Amazon EC2 servers where the front-end is developed using, for example, Spring framework
- as a real-time streamer for performance detection
- as storage for session data in web applications (enables horizontal scalability of the web application).

# 3.3. Architecture

You can see the features for all Hazelcast IMDG editions in the following architecture diagram.

/					Java S Near Cac		C++ C#/.N	ET Python No Near Cache	de.js Go	Operations			
Clients	REST	Mem	ncached	d Clojure Open Client Network Protocol (Backward & Forward Compatibility, Binary Protocol)									
1		(!	Serializable	, Externalizable		<b>rializati</b> able, Iden		lizable, Portable, Custor	n)	Management Center (JMX/REST)			
	java.util.	ava.util.concurrent Web Sessions Hibernate JCache JCache Semaphore						FencedLock/ Semaphore					
APIs	Map MultiMap				List		ReliableTopic	AtomicLong	Security Suite (Connection, Encryption, Authentication Authorization, JAAS LoginModule,				
APIS	Торіс	Ringb	uffer	Continuous Query	HyperLog		ake ID Gen.	CRDT PN Counter	CountDownLatch	SocketInterceptor, TLS, ÖpenSSL, Mutual Auth, FIPS140-2 Mode)			
	SQL Que	ry	Predi Partition	cate & Predicate <mark>⊮</mark>	Entry Pro	cessor	Executor Services		AtomicReference	WAN Replication (Socket, Solace Systems, One-way,			
	AP Subsystem CP Subsystem							CP Subsystem	Multiway, Init New Data Center, DR Data Center Recovery, Discovery SPI, Delta Synchronization				
	Node Engine (Threads, Instances, Eventing, Wait/Notify, Invocation)								Rolling Upgrades (Rolling Client Upgrades, Rolling Mem				
Engine	Partition Management (Members, Lite Members, Master Partition, Replicas, Migrations, Partition Groups, Partition Aware)							vare)	Upgrades, No Downtime, Compatib Test Suite)				
	Cluster Management with Cloud Discovery SPI (AWS, Azure, Consul, Eureka, etcd, Heroku, IP List, Apache jclouds, Kubernetes, Multicast, Zookeeper)						keeper)	Blue/Green Deployments					
	Networking (IPv4, IPv6)								Automatic Disaster Recovery Fai				
	On-Heap Store High-Density Memory Store (Intel, Sparc)						Store	Over					
Storage	Hot Restart Store						Н	Enterprise PaaS Deployment Environments					
	JVM (JDK: 8, 9, 10, 11 Vendors: Oracle JDK, OpenJDK, IBM JDK, Azul Zing & Zulu)							нр	(Pivotal Cloud Foundry, Red Hat OpenShift Container Platform IBM Cloud Private)				
Dperating Env		Operating System (Linux, Oracle Solaris, Windows, AIX, Unix)								Hazelcast Striim Hot Cache			
	On Pi	remise		AWS	Azure	ĸ	ubernetes	Docker	VMware	(Sync Updates from Oracle DB, MS SQL Server, MySQL and NonStop DB)			
	On Pr		nterprise E	AWS		K	ubernetes			(Sync Updates from Or			

0

You can see small "HD" boxes for some features in the above diagram. Those features can use High-Density (HD) Memory Store when it is available. It means if you have Hazelcast IMDG Enterprise HD, you can use those features with HD Memory Store.

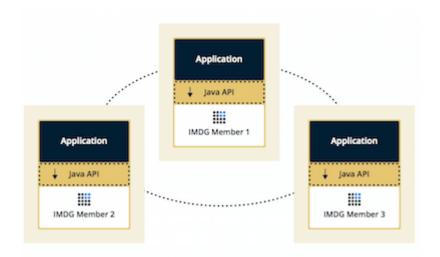
For more information on Hazelcast IMDG's Architecture, see the white paper An Architect's View of Hazelcast.

# 3.4. Topology

You can deploy a Hazelcast cluster in two ways: Embedded or Client/Server.

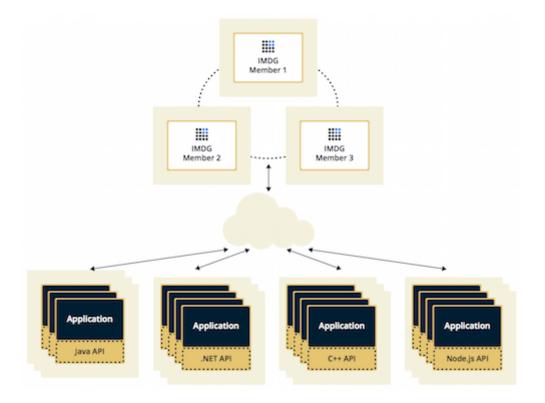
If you have an application whose main focal point is asynchronous or high performance computing and lots of task executions, then Embedded deployment is the preferred way. In Embedded deployment, members include both the application and Hazelcast data and services. The advantage of the Embedded deployment is having a low-latency data access.

See the below illustration.



In the Client/Server deployment, Hazelcast data and services are centralized in one or more server members and they are accessed by the application through clients. You can have a cluster of server members that can be independently created and scaled. Your clients communicate with these members to reach to Hazelcast data and services on them.

See the below illustration.



Hazelcast provides native clients (Java, .NET and C++), Memcache and REST clients, Scala, Python and Node.js client implementations.

Client/Server deployment has advantages including more predictable and reliable Hazelcast performance, easier identification of problem causes and, most importantly, better scalability. When you need to scale in this deployment type, just add more Hazelcast server members. You can address client and server scalability concerns separately.

Note that Hazelcast **member** libraries are available only in Java. Therefore, embedding a member to a business service, it is only possible with Java. Applications written in other languages (.NET,

C++, Node.js, etc.) can use Hazelcast client libraries to access the cluster. See the Hazelcast Clients chapter for information on the clients and other language implementations.

If you want low-latency data access, as in the Embedded deployment, and you also want the scalability advantages of the Client/Server deployment, you can consider defining Near Caches for your clients. This enables the frequently used data to be kept in the client's local memory. See the Configuring Client Near Cache section.

## 3.5. Sharding

Hazelcast shards are called **partitions**. By default, Hazelcast has 271 partitions. Given a key, we serialize, hash and mod it with the number of partitions to find the partition which the key belongs to. The partitions themselves are distributed equally among the members of the cluster. Hazelcast also creates the backups of partitions and distributes them among members for redundancy.



See the Data Partitioning section for more information on how Hazelcast partitions your data.

## 3.6. Data Partitioning

As you read in the Sharding in Hazelcast section, Hazelcast shards are called Partitions. Partitions are memory segments that can contain hundreds or thousands of data entries each, depending on the memory capacity of your system. Each Hazelcast partition can have multiple replicas, which are distributed among the cluster members. One of the replicas becomes the primary and other replicas are called backups. Cluster member which owns primary replica of a partition is called partition owner. When you read or write a particular data entry, you transparently talk to the owner of the partition that contains the data entry.

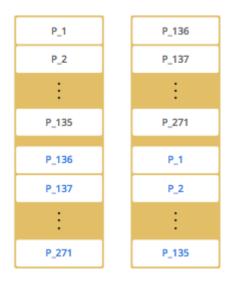
By default, Hazelcast offers 271 partitions. When you start a cluster with a single member, it owns all of 271 partitions (i.e., it keeps primary replicas for 271 partitions). The following illustration shows the partitions in a Hazelcast cluster with single member.

P_1
P_2
P_3
÷
P_269
P_270
P_271
Node

When you start a second member on that cluster (creating a Hazelcast cluster with two members), the partition replicas are distributed as shown in the illustration here.

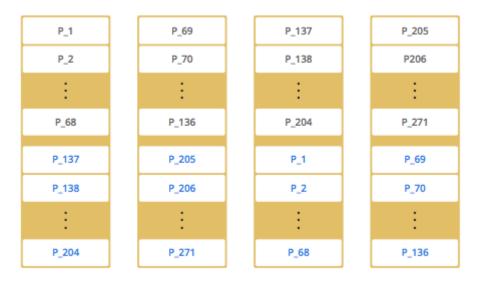


Partition distributions in the below illustrations are shown for the sake of simplicity and for descriptive purposes. Normally, the partitions are not distributed in any order, as they are shown in these illustrations, but are distributed randomly (they do not have to be sequentially distributed to each member). The important point here is that Hazelcast equally distributes the partition primaries and their backup replicas among the members.



In the illustration, the partition replicas with black text are primaries and the partition replicas with blue text are backups. The first member has primary replicas of 135 partitions (black) and each of these partitions are backed up in the second member (i.e., the second member owns the backup replicas) (blue). At the same time, the first member also has the backup replicas of the second member's primary partition replicas.

As you add more members, Hazelcast moves some of the primary and backup partition replicas to the new members one by one, making all members equal and redundant. Thanks to the consistent hashing algorithm, only the minimum amount of partitions are moved to scale out Hazelcast. The following is an illustration of the partition replica distributions in a Hazelcast cluster with four members.



Hazelcast distributes partitions' primary and backup replicas equally among the members of the cluster. Backup replicas of the partitions are maintained for redundancy.



Your data can have multiple copies on partition primaries and backups, depending on your backup count. See the Backing Up Maps section.

Hazelcast also offers lite members. These members do not own any partition. Lite members are intended for use in computationally-heavy task executions and listener registrations. Although they do not own any partitions, they can access partitions that are owned by other members in the cluster.



See the Enabling Lite Members section.

## 3.6.1. How the Data is Partitioned

Hazelcast distributes data entries into the partitions using a hashing algorithm. Given an object key (for example, for a map) or an object name (for example, for a topic or list):

- the key or name is serialized (converted into a byte array)
- this byte array is hashed
- the result of the hash is mod by the number of partitions.

The result of this modulo - **MOD(hash result, partition count)** - is the partition in which the data will be stored, that is the **partition ID**. For ALL members you have in your cluster, the partition ID for a given key is always the same.

## 3.6.2. Partition Table

When you start a member, a partition table is created within it. This table stores the partition IDs and the cluster members to which they belong. The purpose of this table is to make all members (including lite members) in the cluster aware of this information, making sure that each member knows where the data is.

The oldest member in the cluster (the one that started first) periodically sends the partition table to all members. In this way each member in the cluster is informed about any changes to partition ownership. The ownerships may be changed when, for example, a new member joins the cluster, or when a member leaves the cluster.



If the oldest member of the cluster goes down, the next oldest member sends the partition table information to the other ones.

You can configure the frequency (how often) that the member sends the partition table the information by using the hazelcast.partition.table.send.interval system property. The property is set to every 15 seconds by default.

## 3.6.3. Repartitioning

Repartitioning is the process of redistribution of partition ownerships. Hazelcast performs the repartitioning when a member joins or leaves the cluster.

In these cases, the partition table in the oldest member is updated with the new partition

ownerships. Note that if a lite member joins or leaves a cluster, repartitioning is not triggered since lite members do not own any partitions.

## 3.7. Resources

- Hazelcast source code can be found at GitHub/Hazelcast. This is also where you can contribute and report issues.
- Hazelcast API can be found at Hazelcast.org/docs/Javadoc.
- Code samples can be downloaded from Hazelcast.org/download.
- More use cases and resources can be found at Hazelcast.com.
- Questions and discussions can be posted at the Hazelcast mail group.

# 4. Understanding Configuration

This chapter describes the options to configure your Hazelcast applications and explains the utilities which you can make use of while configuring. You can configure Hazelcast using one or mix of the following options:

- Declarative way
- Programmatic way
- Using Hazelcast system properties
- Within the Spring context
- Dynamically adding configuration on a running cluster

# 4.1. Configuring Declaratively

This is the configuration option where you use an XML or a YAML configuration file. When you download and unzip hazelcast-4.0.3 .zip, you see the following files present in the /bin folder, which are standard configuration files:

- hazelcast.xml: Default declarative XML configuration file for Hazelcast. The configuration for the distributed data structures in this XML file should be fine for most of the Hazelcast users. If not, you can tailor this XML file according to your needs by adding/removing/modifying properties. Also see the Setting Up Clusters chapter for the network related configurations.
- hazelcast.yaml: Default YAML configuration file identical to hazelcast.xml in content.
- hazelcast-full-example.xml: Configuration file which includes all Hazelcast configuration elements and attributes with their descriptions. It is the "superset" of hazelcast.xml. You can use hazelcast-full-example.xml as a reference document to learn about any element or attribute, or you can change its name to hazelcast.xml and start to use it as your Hazelcast configuration file.
- hazelcast-full-example.yaml: YAML configuration file identical to hazelcast-full-example.xml in content.
- hazelcast-client-full-example.xml: Complete Hazelcast Java client example configuration file

which includes all configuration elements and attributes with their descriptions. Read more about Java client configuration here.

- hazelcast-client-full-example.yaml: YAML configuration file identical to hazelcast-client-fullexample.xml in content.
- hazelcast-client-failover-full-example.xml: Complete Hazelcast client failover example configuration file which includes all Hazelcast client failover configuration elements and attributes with their descriptions. Read about Blue-Green Deployment and Disaster Recovery here.
- hazelcast-client-failover-full-example.yaml: YAML configuration file identical to hazelcastclient-failover-full-example.xml in content.

A part of hazelcast.xml is shown as an example below.

```
<hazelcast>
    . . .
    <cluster-name>dev</cluster-name>
    <management-center scripting-enabled="false" />
    <network>
        <port auto-increment="true" port-count="100">5701</port>
        <outbound-ports>
        <!--
       Allowed port range when connecting to other members.
        0 or * means the port provided by the system.
        -->
            <ports>0</ports>
        </outbound-ports>
        <ioin>
            <multicast enabled="true">
                <multicast-group>224.2.3</multicast-group>
                <multicast-port>54327</multicast-port>
        </multicast>
        <tcp-ip enabled="false">
            <interface>127.0.0.1</interface>
                <member-list>
                    <member>127.0.0.1</member>
                </member-list>
            </tcp-ip>
        </join>
    </network>
    <map name="default">
        <time-to-live-seconds>0</time-to-live-seconds>
    </map>
    . . .
</hazelcast>
```

The identical part of the configuration extracted from hazelcast.yaml is shown as below.

```
hazelcast:
  . . .
 cluster-name: dev
 management-center:
    scripting-enabled: false
 network:
   port:
      auto-increment: true
      port-count: 100
      port: 5701
    outbound-ports:
      # Allowed port range when connecting to other nodes.
      # 0 or * means use system provided port.
      - 0
   join:
      multicast:
        enabled: true
        multicast-group: 224.2.2.3
        multicast-port: 54327
      tcp-ip:
        enabled: false
        interface: 127.0.0.1
        member-list:
          - 127.0.0.1
 map:
    default:
      time-to-live-seconds: 0
    . . .
```

## 4.1.1. Composing Declarative Configuration

You can compose the declarative configuration of your Hazelcast member or Hazelcast client from multiple declarative configuration snippets. In order to compose a declarative configuration, you can import different declarative configuration files. Composing configuration files is supported both in XML and YAML configurations with the limitation that only configuration files written in the same language can be composed.

Let's say you want to compose the declarative configuration for Hazelcast out of two XML configurations: development-cluster-config.xml and development-network-config.xml. These two configurations are shown below.

development-cluster-config.xml:

```
<hazelcast>
<cluster-name>dev</cluster-name>
</hazelcast>
```

development-network-config.xml:

```
<hazelcast>
<network>
<port auto-increment="true" port-count="100">5701</port>
<join>
<multicast enabled="true">
<multicast-group>224.2.2.3</multicast-group>
<multicast-port>54327</multicast-port>
</multicast>
</join>
</network>
</hazelcast>
```

To get your example Hazelcast declarative configuration out of the above two, use the <import/> element as shown below.

```
<hazelcast>
    <import resource="development-group-config.xml"/>
    <import resource="development-network-config.xml"/>
    </hazelcast>
```

The above example using the YAML configuration files looks like the following:

```
development-cluster-config.yaml:
```

```
hazelcast:
    cluster-name: dev
```

development-network-config.yaml:

```
hazelcast:
    network:
    port:
       auto-increment: true
    port-count: 100
    port: 5701
    join:
       multicast:
       enabled: true
       multicast-group: 224.2.2.3
       multicast-port: 54327
```

Composing the above two YAML configuration files needs them to be imported as shown below.

```
hazelcast:
    import:
        - development-group-config.yaml
        - development-network-config.yaml
```

This feature also applies to the declarative configuration of Hazelcast client. See the following examples.

client-cluster-config.xml:

```
<hazelcast-client>
<cluster-name>dev</cluster-name>
</hazelcast-client>
```

client-network-config.xml:

```
<hazelcast-client>
<network>
<cluster-members>
<address>127.0.0.1:7000</address>
</cluster-members>
</network>
</hazelcast-client>
```

To get a Hazelcast client declarative configuration from the above two examples, use the <import/> element as shown below.

```
<hazelcast-client>
  <import resource="client-cluster-config.xml"/>
  <import resource="client-network-config.xml"/>
  </hazelcast-client>
```

The same client configuration using the YAML language is shown below.

client-cluster-config.yaml:

hazelcast-client: cluster-name: dev

client-network-config.yaml:

```
hazelcast-client:
    network:
        cluster-members:
        - 127.0.0.1:7000
```

Composing a Hazelcast client declarative configuration by importing the above two examples is shown below.



- client-network-config.yaml



H

Use <import/> element on top level of the XML hierarchy.

Use the import mapping on top level of the YAML hierarchy.

Resources from the classpath and file system may also be used to compose a declarative configuration:

```
<hazelcast>
	<import resource="file:///etc/hazelcast/development-cluster-config.xml"/> <!--
loaded from filesystem -->
	<import resource="classpath:development-network-config.xml"/> <!-- loaded from
classpath -->
</hazelcast>
```

```
hazelcast:
    import:
        # loaded from filesystem
        - file:///etc/hazelcast/development-cluster-config.yaml
        # loaded from classpath
```

- classpath:development-network-config.yaml

Importing resources with variables in their names is also supported. See the following example snippets:

```
hazelcast:
import:
    - ${environment}-cluster-config.yaml
    - ${environment}-network-config.yaml
```



See the Using Variables section to learn how you can set the configuration elements with variables.

## 4.1.2. Configuring Declaratively with YAML

You can configure the Hazelcast members and Java clients declaratively with YAML configuration files in installations of Hazelcast running on Java runtime version 8 or above.

The structure of the YAML configuration follows the structure of the XML configuration. Therefore, you can rewrite the existing XML configurations in YAML easily. There are some differences between the XML and YAML languages that make the two declarative configurations to slightly derive as the the following examples show.

In the YAML declarative configuration, mappings are used in which the name of the mapping node needs to be unique within its enclosing mapping. See the following example with configuring two maps in the same configuration file.

In the XML configuration files, we have two <map> elements as shown below.

```
<hazelcast>
....
<map name="map1">
<!-- map1 configuration -->
</map>
<map name="map2">
<!-- map2 configuration -->
</map>
....
</hazelcast>
```

In the YAML configuration, the map can be configured under a mapping map as shown in the following example.

```
hazelcast:
...
map:
map1:
    # map1 configuration
map2:
    # map2 configuration
...
```

The XML and YAML configurations above define the same maps map1 and map2. Please note that in the YAML configuration file there is no name node, instead, the name of the map is used as the name of the mapping for configuring the given map.

There are other configuration entries that have no unique names and are listed in the same enclosing entry. Examples to this kind of configurations are listing the member addresses, interfaces in the networking configurations and defining listeners. The following example configures listeners to illustrate this.

```
<hazelcast>
....
tisteners>
tistener>com.hazelcast.examples.MembershipListener</listener>
tistener>com.hazelcast.examples.MigrationListener</listener>
tistener>com.hazelcast.examples.PartitionLostListener</listener>
</listeners>
....
</hazelcast>
```

In the YAML configuration, the listeners are defined as a sequence.

Another notable difference between XML and YAML is the lack of the attributes in the case of YAML. Everything that can be configured with an attribute in the XML configuration is a scalar node in the YAML configuration with the same name. See the following example.

In the identical YAML configuration, the enabled attribute of the XML configuration is a scalar node on the same level with the other items of the multicast configuration.

```
hazelcast:
...
network:
join:
    multicast:
    enabled: true
    multicast-group: 1.2.3.4
    # other multicast configuration options
...
```

You can refer to the full example YAML configuration files placed in the /bin folder of the downloadable hazelcast-4.0.3.zip after unzipping it. Please see the complete list of the full example YAML configurations here.

## 4.2. Configuring Programmatically

Besides declarative configuration, you can configure your cluster programmatically. For this you can create a Config object, set/change its properties and attributes and use this Config object to create a new Hazelcast member. Following is an example code which configures some network and Hazelcast Map properties.

```
Config config = new Config();
config.getNetworkConfig().setPort( 5900 )
        .setPortAutoIncrement( false );
MapConfig mapConfig = new MapConfig();
mapConfig.setName( "testMap" )
        .setBackupCount( 2 )
        .setTimeToLiveSeconds( 300 );
```

To create a Hazelcast member with the above example configuration, pass the configuration object as shown below:

HazelcastInstance hazelcast = Hazelcast.newHazelcastInstance( config );



The Config must not be modified after the Hazelcast instance is started. In other words, all configuration must be completed before creating the HazelcastInstance. Certain additional configuration elements can be added at runtime as described in the Dynamically Adding Data Structure Configuration on a Cluster section.

You can also create a named Hazelcast member. In this case, you should set instanceName of Config object as shown below:

```
Config config = new Config();
config.setInstanceName( "my-instance" );
Hazelcast.newHazelcastInstance( config );
```

To retrieve an existing Hazelcast member by its name, use the following:

```
Hazelcast.getHazelcastInstanceByName( "my-instance" );
```

To retrieve all existing Hazelcast members, use the following:

```
Hazelcast.getAllHazelcastInstances();
```



Hazelcast performs schema validation through the file hazelcast-config-4.0.3.xsd which comes with your Hazelcast libraries. Hazelcast throws a meaningful exception if there is an error in the declarative or programmatic configuration.

If you want to specify your own configuration file to create Config, Hazelcast supports several ways including filesystem, classpath, InputStream and URL.

Building Config from the XML declarative configuration:

- Config cfg = new XmlConfigBuilder(xmlFileName).build();
- Config cfg = new XmlConfigBuilder(inputStream).build();
- Config cfg = new ClasspathXmlConfig(xmlFileName);
- Config cfg = new FileSystemXmlConfig(configFilename);
- Config cfg = new UrlXmlConfig(url);
- Config cfg = new InMemoryXmlConfig(xml);

Building Config from the YAML declarative configuration:

- Config cfg = new YamlConfigBuilder(yamlFileName).build();
- Config cfg = new YamlConfigBuilder(inputStream).build();
- Config cfg = new ClasspathYamlConfig(yamlFileName);
- Config cfg = new FileSystemYamlConfig(configFilename);
- Config cfg = new UrlYamlConfig(url);
- Config cfg = new InMemoryYamlConfig(yaml);

## 4.3. Configuring with System Properties

You can use system properties to configure some aspects of Hazelcast. You set these properties as name and value pairs through declarative configuration, programmatic configuration or JVM system property. Following are examples for each option.

### **Declarative Configuration:**

```
<hazelcast>
...
<properties>
<property name="hazelcast.property.foo">value</property>
</properties>
...
</hazelcast>
```

# hazelcast:

```
properties:
    hazelcast.property.foo: value
...
```

#### **Programmatic Configuration:**

```
Config config = new Config() ;
config.setProperty( "hazelcast.property.foo", "value" );
```

#### Using JVM's System class or -D argument:

```
System.setProperty( "hazelcast.property.foo", "value" );
```

or

#### java -Dhazelcast.property.foo=value

You will see Hazelcast system properties mentioned throughout this Reference Manual as required in some of the chapters and sections. All Hazelcast system properties are listed in the System Properties appendix with their descriptions, default values and property types as a reference for you.

## 4.4. Configuring within Spring Context

If you use Hazelcast with Spring you can declare beans using the namespace hazelcast. When you add the namespace declaration to the element beans in the Spring context file, you can start to use the namespace shortcut hz to be used as a bean declaration. Following is an example Hazelcast configuration when integrated with Spring:

See the Integration with Spring section for more information on Hazelcast-Spring integration.

# 4.5. Dynamically Adding Data Structure Configuration on a Cluster

As described above, Hazelcast can be configured in a declarative or programmatic way; configuration must be completed before starting a Hazelcast member and this configuration cannot be altered at runtime, thus we refer to this as *static* configuration.

It is possible to dynamically add configuration for certain data structures at runtime; these can be added by invoking one of the Config.add\*Config methods on the Config object obtained from a running member's HazelcastInstance.getConfig() method. For example:

```
Config config = new Config();
MapConfig mapConfig = new MapConfig("sessions");
config.addMapConfig(mapConfig);
HazelcastInstance instance = Hazelcast.newHazelcastInstance(config);
MapConfig noBackupsMap = new MapConfig("dont-backup").setBackupCount(0);
instance.getConfig().addMapConfig(noBackupsMap);
```

Dynamic configuration elements must be fully configured before the invocation of add\*Config method: at that point, the configuration object is delivered to every member of the cluster and added to each member's dynamic configuration, so mutating the configuration object after the add\*Config invocation does not have an effect.

As dynamically added data structure configuration is propagated across all cluster members, failures may occur due to conditions such as timeout and network partition. The configuration propagation mechanism internally retries adding the configuration whenever a membership change is detected. However if an exception is thrown from add\*Config method, the configuration may have been partially propagated to some cluster members and adding the configuration should be retried by the user.

Adding a new dynamic configuration is supported for all add\*Config methods except the following:

- JobTracker: It has been deprecated since Hazelcast 3.8.
- SplitBrainProtectionConfig: A new split-brain protection configuration cannot be dynamically added but other configuration can reference split-brain protections configured in the existing static configuration.
- WanReplicationConfig: A new WAN replication configuration cannot be dynamically added, however existing static ones can be referenced from other configurations, e.g., a new dynamic MapConfig may include a WanReplicationRef to a statically configured WAN replication.
- ListenerConfig: Listeners can be instead added at runtime via other API such as HazelcastInstance.getCluster().addMembershipListener and HazelcastInstance.getPartitionService().addMigrationListener.

Keep in mind that this feature also works for Hazelcast Java clients. See the following example:

```
HazelcastInstance client = HazelcastClient.newHazelcastClient();
MapConfig mCfg = new MapConfig("test");
mCfg.setTimeToLiveSeconds(15);
client.getConfig().addMapConfig(mCfg);
HazelcastClient.shutdownAll();
```



If your cluster has data structures with configurations added during runtime, those configurations are lost when a cluster restart occurs due to any reason since they are not persisted. This will be improved in the future Hazelcast IMDG releases.

## 4.5.1. Handling Configuration Conflicts

Attempting to add a dynamic configuration, when a static configuration for the same element already exists, throws InvalidConfigurationException. For example, assuming we start a member with the following fragment in hazelcast.xml configuration:

```
<hazelcast>
...
<map name="sessions">
...
</map>
...
</hazelcast>
```

Then adding a dynamic configuration for a map with the name sessions throws a InvalidConfigurationException:

HazelcastInstance instance = Hazelcast.newHazelcastInstance();

```
MapConfig sessionsMapConfig = new MapConfig("sessions");
```

```
// this will throw ConfigurationException:
instance.getConfig().addMapConfig(sessionsMapConfig);
```

When attempting to add dynamic configuration for an element for which dynamic configuration has already been added, then if a configuration conflict is detected a InvalidConfigurationException is thrown. For example:

```
HazelcastInstance instance = Hazelcast.newHazelcastInstance();
MapConfig sessionsMapConfig = new MapConfig("sessions").setBackupCount(0);
instance.getConfig().addMapConfig(sessionsMapConfig);
MapConfig sessionsWithBackup = new MapConfig("sessions").setBackupCount(1);
// throws ConfigurationException because the new MapConfig conflicts with existing one
instance.getConfig().addMapConfig(sessionsWithBackup);
MapConfig sessionsWithoutBackup = new MapConfig("sessions").setBackupCount(0);
// does not throw exception: new dynamic config is equal to existing dynamic config of
same name
instance.getConfig().addMapConfig(sessionsWithoutBackup);
```

## 4.5.2. Dynamic Data Structure Configuration and User Customizations

Dynamically added data structure configuration may reference user customizations, such as a userprovided MapLoader implementation referenced by a MapConfig. User customizations can be usually configured using either of the following:

- by specifying a class or factory class name, e.g., MapStoreConfig.setClassName, and letting the Hazelcast members instantiate the object
- by providing an existing instance, e.g., MapStoreConfig.setImplementation.

When dynamically adding new a data structure configuration with user customizations, take the following considerations into account:

- For the user customizations submitted as a class name or factory class name, the referenced classes are resolved lazily. Therefore, they should be either already on each member's local classpath or resolvable via user code deployment.
- When the user customizations are submitted as instances (or similarly factory instances), the instances themselves have to be serializable. This is because the entire configuration needs to be sent over the network to all cluster members, and their classes have to be available on each member's local classpath.

# 4.6. Checking Configuration

When you start a Hazelcast member without passing a Config object, as explained in the Configuring Programmatically section, Hazelcast checks the member's configuration as follows:

• First, it looks for the hazelcast.config system property. If it is set, its value is used as the path. This is useful if you want to be able to change your Hazelcast configuration; you can do this because it is not embedded within the application. You can set the config option with the following command:

-Dhazelcast.config=`\*`<path to the hazelcast.xml or hazelcast.yaml>



The suffix of the filename is used to determine the language of the configuration. If the suffix is .xml the configuration file is parsed as an XML configuration file. If it is .yaml, the configuration file is parsed as a YAML configuration file.

The path can be a regular one or a classpath reference with the prefix classpath:.

- If the above system property is not set, Hazelcast then checks whether there is a hazelcast.xml file in the working directory.
- If not, it then checks whether hazelcast.xml exists on the classpath.
- If not, it then checks whether hazelcast.yaml (or .yml) exists in the working directory.
- If not, it then checks whether hazelcast.yaml (or .yml) exists on the classpath.
- If none of the above works, Hazelcast loads the default configuration (hazelcast.xml) that comes with your Hazelcast package.

Before configuring Hazelcast, please try to work with the default configuration to see if it works for you. This default configuration should be fine for most of the users. If not, you can consider to modify the configuration to be more suitable for your environment.

## 4.7. Configuration Pattern Matcher

You can give a custom strategy to match an item name to a configuration pattern. By default Hazelcast uses a simplified wildcard matching. See Using Wildcards section for this. A custom configuration pattern matcher can be given by using either member or client config objects, as shown below:

```
// Setting a custom config pattern matcher via member config object
Config config = new Config();
config.setConfigPatternMatcher(new ExampleConfigPatternMatcher());
```

And the following is an example pattern matcher:

```
class ExampleConfigPatternMatcher extends MatchingPointConfigPatternMatcher {
    @Override
    public String matches(Iterable<String> configPatterns, String itemName) throws
InvalidConfigurationException {
        String matches = super.matches(configPatterns, itemName);
        if (matches == null) throw new InvalidConfigurationException("No config found
for " + itemName);
        return matches;
    }
}
```

## 4.8. Using Wildcards

Hazelcast supports wildcard configuration for all distributed data structures that can be configured using Config, that is, for all except IAtomicLong, IAtomicReference. Using an asterisk (\*) character in the name, different instances of maps, queues, topics, semaphores, etc. can be configured by a single configuration.

A single asterisk (\*) can be placed anywhere inside the configuration name.

For instance, a map named com.hazelcast.test.mymap can be configured using one of the following configurations:

```
<hazelcast>
    . . .
   <map name="com.hazelcast.test.*">
     . . .
   </map>
   <!-- OR -->
    <map name="com.hazel*">
      . . .
    </map>
   <!-- OR -->
   <map name="*.test.mymap">
       . . .
    </map>
   <!-- OR -->
    <map name="com.*test.mymap">
       . . .
   </map>
    . . .
</hazelcast>
```

A queue named com.hazelcast.test.myqueue can be configured using one of the following configurations:

```
<hazelcast>
....
<queue name="*hazelcast.test.myqueue">
....
</queue>
<!-- OR -->
<queue name="com.hazelcast.*.myqueue">
....
</queue>
....
</hazelcast>
```

- You can use only a single asterisk as a wildcard for each data structure configuration.
- If you have matching wildcard configurations for a data structure, the most specific (longest) one is used when configuring it. Let's say you have a map named mymap.customer.name and you have map configurations mymap.\* and mymap.customer.\*. Hazelcast uses mymap.customer.\* to configure this map.

As another example, assume that you have a map named mymap.customer.name, and map configurations mymap.\*.name and mymap.customer.\*. Hazelcast uses mymap.customer.\* to configure this map. As you see, the longest character length before the asterisk makes it the most specific, so it wins the configuration.

## 4.9. Using Variables

In your Hazelcast and/or Hazelcast Client declarative configuration, you can use variables to set the values of the elements. This is valid when you set a system property programmatically or you use the command line interface. You can use a variable in the declarative configuration to access the values of the system properties you set.

For example, see the following command that sets two system properties.

-Dcluster.name=dev

Let's get the values of these system properties in the declarative configuration of Hazelcast, as shown below.

In the XML configuration:

```
<hazelcast>
<cluster-name>${cluster.name}</cluster-name>
</hazelcast>
```

In the YAML configuration:

```
hazelcast:
    cluster-name: ${cluster.name}
```

This also applies to the declarative configuration of Hazelcast Java Client, as shown below.

```
<hazelcast-client>
<cluster-name>${cluster.name}</cluster-name>
</hazelcast-client>
```



```
hazelcast-client:
    cluster-name: ${cluster.name}
```

If you do not want to rely on the system properties, you can use the XmlConfigBuilder or YamlConfigBuilder and explicitly set a Properties instance, as shown below.

```
Properties properties = new Properties();
// fill the properties, e.g., from database/LDAP, etc.
XmlConfigBuilder builder = new XmlConfigBuilder();
builder.setProperties(properties);
Config config = builder.build();
HazelcastInstance hz = Hazelcast.newHazelcastInstance(config);
```

## 4.10. Variable Replacers

Variable replacers are used to replace custom strings during loading the configuration, e.g., they can be used to mask sensitive information such as usernames and passwords. Of course their usage is not limited to security related information.

Variable replacers implement the interface com.hazelcast.config.replacer.spi.ConfigReplacer and they are configured only declaratively: in the Hazelcast's declarative configuration files, i.e., hazelcast.xml, hazelcast.yaml and hazelcast-client .xml, hazelcast-client.yaml. See the ConfigReplacers Javadoc for basic information on how a replacer works.

Variable replacers are configured within the element <config-replacers> under <hazelcast>, as shown below.

In the XML configuration:

```
<hazelcast>
....
<config-replacers fail-if-value-missing="false">
....
<replacer class-name="com.acme.MyReplacer">
....
<properties>
....
</properties>
....
</replacer>
....
</replacer>
....
</hazelcast>
```

In the YAML configuration:

```
hazelcast:
....
config-replacers:
   fail-if-value-missing: false
   replacers:
        - class-name: com.acme.MyReplacer
        properties:
        propName: value
        ...
        - class-name: example.AnotherReplacer
   ...
```

As you can see, <config-replacers> is the parent element for your replacers, which are declared using the <replacer> sub-elements. You can define multiple replacers under the <config-replacers>. Here are the descriptions of elements and attributes used for the replacer configuration:

- fail-if-value-missing: Specifies whether the loading configuration process stops when a replacement value is missing. It is an optional attribute and its default value is true.
- class-name: Full class name of the replacer.
- <properties>: Contains names and values of the properties used to configure a replacer. Each property is defined using the <property> sub-element. All of the properties are explained in the upcoming sections.

The following replacer classes are provided by Hazelcast as example implementations of the ConfigReplacer interface. Note that you can also implement your own replacers.

- EncryptionReplacer
- PropertyReplacer



There is also a ExecReplacer which runs an external command and uses its standard output as the value for the variable. See its code sample.

Each example replacer is explained in the below sections.

## 4.10.1. EncryptionReplacer

This example EncryptionReplacer replaces encrypted variables by its plain form. The secret key for encryption/decryption is generated from a password which can be a value in a file and/or environment specific values, such as MAC address and actual user data.

Its full class name is com.hazelcast.config.replacer.EncryptionReplacer and the replacer prefix is ENC. The following are the properties used to configure this example replacer:

- cipherAlgorithm: Cipher algorithm used for the encryption/decryption. Its default value is AES.
- keyLengthBits: Length of the secret key to be generated in bits. Its default value is 128 bits.
- passwordFile: Path to a file whose content should be used as a part of the encryption password. When the property is not provided no file is used as a part of the password. Its default value is

null.

- passwordNetworkInterface: Name of network interface whose MAC address should be used as a part of the encryption password. When the property is not provided no network interface property is used as a part of the password. Its default value is null.
- passwordUserProperties: Specifies whether the current user properties (user.name and user.home) should be used as a part of the encryption password. Its default value is true.
- saltLengthBytes: Length of a random password salt in bytes. Its default value is 8 bytes.
- secretKeyAlgorithm: Name of the secret-key algorithm to be associated with the generated secret key. Its default value is AES.
- **secretKeyFactoryAlgorithm**: Algorithm used to generate a secret key from a password. Its default value is PBKDF2WithHmacSHA256.
- securityProvider: Name of a Java Security Provider to be used for retrieving the configured secret key factory and the cipher. Its default value is null.



Older Java versions may not support all the algorithms used as defaults. Please use the property values supported your Java version.

As a usage example, let's create a password file and generate the encrypted string out of this file as instructed below:

- 1. Create the password file: echo '/Za-uG3dDfpd,5.-' > /opt/master-password
- 2. Define the encrypted variables:

```
java -cp hazelcast-*.jar \
    -DpasswordFile=/opt/master-password \
    -DpasswordUserProperties=false \
    com.hazelcast.config.replacer.EncryptionReplacer \
    "aCluster"
$ENC{Gw45stIlan0=:531:yVN9/xQpJ/Ww3EYkAPvHdA==}
```

3. Configure the replacer and put the encrypted variables into the configuration:

```
<hazelcast>
<config-replacers>
<replacer class-name="com.hazelcast.config.replacer.EncryptionReplacer">
<properties>
<property name="passwordFile">/opt/master-password</property>
<property name="passwordUserProperties">false</property>
</properties>
</properties>
</replacer>
</config-replacers>
<cluster-name>$ENC{Gw45stIlan0=:531:yVN9/xQpJ/Ww3EYkAPvHdA==}</cluster-name>
</hazelcast>
```

4. Check if the decryption works:

```
java -jar hazelcast-*.jar
Apr 06, 2018 10:15:43 AM com.hazelcast.config.XmlConfigLocator
INFO: Loading 'hazelcast.xml' from working directory.
Apr 06, 2018 10:15:44 AM com.hazelcast.instance.AddressPicker
INFO: [LOCAL] [aCluster] [3.10-SNAPSHOT] Prefer IPv4 stack is true.
```

As you can see in the logs, the correctly decrypted cluster name value ("aCluster") is used.

## 4.10.2. PropertyReplacer

The PropertyReplacer replaces variables by properties with the given name. Usually the system properties are used, e.g., \${user.name}. There is no need to define it in the declarative configuration files.

Its full class name is com.hazelcast.config.replacer.PropertyReplacer and the replacer prefix is empty string ("").

## 4.10.3. Implementing Custom Replacers

You can also provide your own replacer implementations. All replacers have to implement the interface com.hazelcast.config.replacer.spi.ConfigReplacer. A simple snippet is shown below.

```
public interface ConfigReplacer {
    void init(Properties properties);
    String getPrefix();
    String getReplacement(String maskedValue);
}
```

# 5. Setting Up Clusters

This chapter describes Hazelcast clusters and the methods cluster members and native clients use to form a Hazelcast cluster.

# 5.1. Discovery Mechanisms

A Hazelcast cluster is a network of cluster members that run Hazelcast. Cluster members automatically join together to form a cluster. This automatic joining takes place with various discovery mechanisms that the cluster members use to find each other.

Please note that, after a cluster is formed, communication between cluster members is always via TCP/IP, regardless of the discovery mechanism used.

Hazelcast uses the following discovery mechanisms.



See the Hazelcast IMDG Deployment and Operations Guide for advices on the best discovery mechanism to use.

## 5.1.1. TCP

You can configure Hazelcast to be a full TCP/IP cluster. See the Discovering Members by TCP section for configuration details.

## 5.1.2. Multicast

Multicast mechanism is not recommended for production since UDP is often blocked in production environments and other discovery mechanisms are more definite.

With this mechanism, Hazelcast allows cluster members to find each other using multicast communication. See the Discovering Members by Multicast section.

## 5.1.3. AWS Cloud Discovery

Hazelcast supports EC2 auto-discovery. It is useful when you do not want to provide or you cannot provide the list of possible IP addresses. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

## 5.1.4. GCP Cloud Discovery

Hazelcast supports discovering members in the GCP Compute Engine environment. You can easily configure Hazelcast members discovery, WAN replication, and Hazelcast Client to work seamlessly on the native GCP VM Instances. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

## 5.1.5. Apache jclouds® Cloud Discovery

Hazelcast members and native clients support jclouds<sup>®</sup> for discovery. This mechanism allows applications to be deployed in various cloud infrastructure ecosystems in an infrastructure-agnostic way. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

## 5.1.6. Azure Cloud Discovery

Hazelcast offers a discovery strategy for your Hazelcast applications running on Azure. This strategy provides all of your Hazelcast instances by returning the virtual machines within your Azure resource group that are tagged with a specified value. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

## 5.1.7. Zookeeper Cloud Discovery

This discovery mechanism provides a service based discovery strategy by using Apache Curator to communicate with your Zookeeper server. You can use this plugin with Discovery SPI enabled applications. This is provided as a Hazelcast plugin. See its documentation for information on

configuring and using it.

## 5.1.8. Consul Cloud Discovery

Consul is a highly available and distributed service discovery and key-value store designed with support for the modern data center to make distributed systems and configuration easy. This mechanism provides a Consul based discovery strategy for Hazelcast enabled applications and enables Hazelcast members to dynamically discover one another via Consul. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

## 5.1.9. etcd Cloud Discovery

This mechanism provides an etcd based discovery strategy for Hazelcast enabled applications. This is an easy to configure plug-and-play Hazelcast discovery strategy that optionally registers each of your Hazelcast members with etcd and enables Hazelcast members to dynamically discover one another via etcd. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

## 5.1.10. Hazelcast for PCF

Using a clickable Hazelcast Tile for Pivotal Cloud Foundry (PCF), you can deploy your Hazelcast cluster on PCF. This feature is provided as a Hazelcast plugin. See its documentation on how to install, configure and use the plugin Hazelcast for PCF.

## 5.1.11. Hazelcast OpenShift Integration

Hazelcast can run inside OpenShift benefiting from its cluster management software Kubernetes for discovery of members. Using Hazelcast Docker images, templates and default configuration files, you can deploy Hazelcast IMDG, Hazelcast IMDG Enterprise and Management Center onto OpenShift. See the following related documentation:

- Hazelcast IMDG and Hazelcast IMDG Enterprise
- Management Center

See also the Hazelcast for OpenShift guide, which presents how to set up the local OpenShift environment, start a Hazelcast cluster, configure the Management Center and finally run a sample client application.

## 5.1.12. Eureka Cloud Discovery

Eureka is a REST based service that is primarily used in the AWS cloud for locating services for the purpose of load balancing and failover of middle-tier servers. Hazelcast supports Eureka V1 discovery; Hazelcast members within EC2 Virtual Private Cloud can discover each other using this mechanism. This discovery feature is provided as a Hazelcast plugin. See its documentation.

## 5.1.13. Heroku Cloud Discovery

Heroku is a platform as a service (PaaS) with which you can build, run and operate applications entirely in the cloud. It is a cloud platform based on a managed container system, with integrated data services and a powerful ecosystem. Hazelcast offers a discovery plugin that looks for IP addresses of other members by resolving service names against the Heroku DNS Discovery in Heroku Private Spaces. This discovery feature is provided as a Hazelcast plugin. See its documentation.

## 5.1.14. Kubernetes Cloud Discovery

Kubernetes is an open source system for automating deployment, scaling and management of containerized applications. Hazelcast provides Kubernetes discovery mechanism that looks for IP addresses of other members by resolving the requests against a Kubernetes Service Discovery system. It supports two different options of resolving against the discovery registry: (i) a request to the REST API, (ii) DNS Lookup against a given DNS service name. This discovery feature is provided as a Hazelcast plugin. See its documentation for information on configuring and using it.

# 5.2. Discovering Members by TCP

If multicast is not the preferred way of discovery for your environment, then you can configure Hazelcast to be a full TCP/IP cluster. When you configure Hazelcast to discover members by TCP/IP, you must list all or a subset of the members' host names and/or IP addresses as cluster members. You do not have to list all of these cluster members, but at least one of the listed members has to be active in the cluster when a new member joins.

To configure your Hazelcast to be a full TCP/IP cluster, set the following configuration elements. See the tcp-ip element section for the full descriptions of the TCP/IP discovery configuration elements.

- Set the enabled attribute of the multicast element to false.
- Set the enabled attribute of the aws element to false.
- Set the enabled attribute of the tcp-ip element to true.
- Provide your member elements within the tcp-ip element.

The following is an example declarative configuration.

```
<hazelcast>
    . . .
    <network>
       <join>
            <multicast enabled="false">
            </multicast>
            <tcp-ip enabled="true">
                <member>machine1</member>
                <member>machine2</member>
                <member>machine3:5799</member>
                <member>192.168.1.0-7</member>
                <member>192.168.1.21</member>
            </tcp-ip>
        </join>
    </network>
    . . .
</hazelcast>
```

As shown above, you can provide IP addresses or host names for member elements. You can also give a range of IP addresses, such as 192.168.1.0-7.

Instead of providing members line-by-line as shown above, you also have the option to use the members element and write comma-separated IP addresses, as shown below.

<members>192.168.1.0-7,192.168.1.21</members>

If you do not provide ports for the members, Hazelcast automatically tries the ports 5701, 5702 and so on.

By default, Hazelcast binds to all local network interfaces to accept incoming traffic. You can change this behavior using the system property hazelcast.socket.bind.any. If you set this property to false, Hazelcast uses the interfaces specified in the interfaces element (see the Interfaces Configuration section). If no interfaces are provided, then it tries to resolve one interface to bind from the member elements.

# 5.3. Discovering Members by Multicast

With the multicast auto-discovery mechanism, Hazelcast allows cluster members to find each other using multicast communication. The cluster members do not need to know the concrete addresses of the other members, as they just multicast to all the other members for listening. Whether multicast is possible or allowed depends on your environment.

To set your Hazelcast to multicast auto-discovery, set the following configuration elements. See the multicast element section for the full description of the multicast discovery configuration elements.

- Set the enabled attribute of the multicast element to "true".
- Set multicast-group, multicast-port, multicast-time-to-live, etc. to your multicast values.
- Set the enabled attribute of both tcp-ip and aws elements to "false".

The following is an example declarative configuration.

```
<hazelcast>
    . . .
    <network>
       <ioin>
            <multicast enabled="true">
                <multicast-group>224.2.2.3</multicast-group>
                <multicast-port>54327</multicast-port>
                <multicast-time-to-live>32</multicast-time-to-live>
                <multicast-timeout-seconds>2</multicast-timeout-seconds>
                <trusted-interfaces>
                    <interface>192.168.1.102</interface>
                </trusted-interfaces>
            </multicast>
            <tcp-ip enabled="false">
            </tcp-ip>
            <aws enabled="false">
            </aws>
        </join>
    </network>
    . . .
</hazelcast>
```

Pay attention to the multicast-timeout-seconds element. multicast-timeout-seconds specifies the time in seconds that a member should wait for a valid multicast response from another member running in the network before declaring itself the leader member (the first member joined to the cluster) and creating its own cluster. This only applies to the startup of members where no leader has been assigned yet. If you specify a high value to multicast-timeout-seconds, such as 60 seconds, it means that until a leader is selected, each member waits 60 seconds before moving on. Be careful when providing a high value. Also, be careful not to set the value too low, or the members might give up too early and create their own cluster.



Multicast auto-discovery is not supported for Hazelcast native clients yet. However, we offer Multicast Discovery Plugin for this purpose. See the Discovering Native Clients section.

# 5.4. Discovering Native Clients

Hazelcast members and native Java clients can find each other with multicast discovery plugin. This plugin is implemented using Hazelcast Discovery SPI. You should configure the plugin both at Hazelcast members and Java clients in order to use multicast discovery.

To configure your cluster to have the multicast discovery plugin, follow these steps:

- Disable the multicast and TCP/IP join mechanisms. To do this, set the enabled attributes of the multicast and tcp-ip elements to false in your hazelcast.xml configuration file
- Set the enabled attribute of the hazelcast.discovery.enabled property to true.

• Add multicast discovery strategy configuration to your XML file, i.e., <discovery-strategies> element.

The following is an example declarative configuration.

```
<hazelcast>
    . . .
    <properties>
        <property name="hazelcast.discovery.enabled">true</property></property>
    </properties>
    <network>
        <join>
             <multicast enabled="false">
             </multicast>
             <tcp-ip enabled="false">
             </tcp-ip>
             <discovery-strategies>
                 <discovery-strategy class=</pre>
"com.hazelcast.spi.discovery.multicast.MulticastDiscoveryStrategy" enabled="true">
                      <properties>
                          <property name="group">224.2.2.3</property></property>
                          <property name="port">54327</property></property>
                      </properties>
                 </discovery-strategy>
             </discovery-strategies>
        </join>
    </network>
    . . .
</hazelcast>
```

The following are the multicast discovery plugin configuration properties with their descriptions:

- group: String value that is used to set the multicast group, so that you can isolate your clusters.
- port: Integer value that is used to set the multicast port.

# 5.5. Creating Clusters

You can create clusters using the cluster-name configuration element.

You can separate and group your clusters in a simple way by specifying cluster names. Example groupings can be by **development**, **production**, **test**, **app**, etc. The following is an example declarative configuration.

```
<hazelcast>
<cluster-name>production</cluster-name>
</hazelcast>
```

You can also define the cluster configuration programmatically. A JVM can host multiple Hazelcast

instances. Each Hazelcast instance can only participate in one group. Each Hazelcast instance only joins to its own group and does not interact with other groups. The following code example creates three separate Hazelcast instances--h1 belongs to the production cluster, while h2 and h3 belong to the development cluster.

```
Config configProd = new Config();
configProd.setClusterName( "production" );
Config configDev = new Config();
configDev.setClusterName( "development" );
HazelcastInstance h1 = Hazelcast.newHazelcastInstance( configProd );
HazelcastInstance h2 = Hazelcast.newHazelcastInstance( configDev );
HazelcastInstance h3 = Hazelcast.newHazelcastInstance( configDev );
```

## 5.5.1. Cluster Groups before Hazelcast 3.8.2

If you have a Hazelcast release older than 3.8.2, you need to provide also a group password along with the group name. The following are the configuration examples with the password element:

```
<hazelcast>
<group>
<name>production</name>
<password>prod-pass</password>
</group>
</hazelcast>
```

```
Config configProd = new Config();
configProd.setClusterName( "production" );
Config configDev = new Config();
configDev.setClusterName( "development" );
HazelcastInstance h1 = Hazelcast.newHazelcastInstance( configProd );
HazelcastInstance h2 = Hazelcast.newHazelcastInstance( configDev );
HazelcastInstance h3 = Hazelcast.newHazelcastInstance( configDev );
```



Starting with 3.8.2, members no longer perform a password check during the cluster join process. Starting with 3.11, members no longer perform a password check when a client connects to the cluster.

# 5.6. Deploying User Code on the Member

Hazelcast can dynamically load your custom classes or domain classes from other members. A lite member can be designated as a *class repository*, but any member can *provide* classes to other

members. For this purpose Hazelcast offers a distributed dynamic class loader.

The following is a brief working mechanism of the User Code Deployment feature:

- 1. A new dynamic class loader is created to handle each operation.
- 2. It first checks locally available classes, i.e. the member's classpath. If the class is found, it is used.
- 3. Then it checks the *cache* of classes loaded from remote members or clients (if caching is enabled on your local member, see the Configuring User Code Deployment section). If your class is found there, it is used.
- 4. Finally, the dynamic class loader checks configured remote members, one by one. If some member returns the class, it will be used. It can also put this class into the local class cache as mentioned in the previous step.
- 5. If the class is not found, ClassNotFoundException is thrown.
- 6. The dynamic class loader is released after the operation is handled. A next operation will load the class from the cache or re-fetch it.

# 5.6.1. Configuring User Code Deployment

User Code Deployment feature is not enabled by default. You can control local caching of the classes loaded from other members, control classes to be provided to other members and create blacklists and whitelists of classes and packages.

Following are example configuration snippets:

#### **Declarative Configuration:**

```
<hazelcast>
....
<user-code-deployment enabled="true">
....
<user-code-deployment enabled="true"</user-code-deployment enabled="true"</user-
```

#### **Programmatic Configuration:**

```
Config config = new Config();
UserCodeDeploymentConfig distCLConfig = config.getUserCodeDeploymentConfig();
distCLConfig.setEnabled( true )
        .setClassCacheMode( UserCodeDeploymentConfig.ClassCacheMode.ETERNAL )
        .setProviderMode( UserCodeDeploymentConfig.ProviderMode
.LOCAL_AND_CACHED_CLASSES )
        .setBlacklistedPrefixes( "com.foo,com.bar" )
        .setWhitelistedPrefixes( "com.bar.MyClass" )
        .setProviderFilter( "HAS_ATTRIBUTE:lite" );
```

User Code Deployment on the member has the following configuration:

- enabled: Specifies whether dynamic class loading is enabled or not. Its default value is "false" and it's a mandatory attribute. If feature is disabled, the member will never load classes from other members or clients.
- <class-cache-mode>: Controls the local caching behavior for the classes loaded from remote members (classes loaded from clients are always cached). Available values are:
  - ETERNAL: Cache the loaded classes locally. This is the default value and suitable when you load long-living objects, such as domain objects stored in a map.
  - **OFF**: Do not cache the loaded classes locally. It is suitable for loading runnables, callables, entry processors, etc.
- <provider-mode>: Controls which classes are served to other cluster members. Available values are:
  - LOCAL\_AND\_CACHED\_CLASSES: Serve classes loaded from both local classpath and from other members. This is the default value.
  - LOCAL\_CLASSES\_ONLY: Serve classes from the local classpath only. Classes loaded from other members are used locally, but they are not served to other members.
  - OFF: Never serve classes to other members.
- <blacklist-prefixes>: Comma separated class/package name prefixes that the member will never attempt to load from other members and that the client won't be allowed to upload. For example, if you set it to "com.foo", remote loading of all classes from the "com.foo" package is prevented, including the classes from all its sub-packages. If you set it to "com.foo.Class", then "Class" and all classes starting with "Class" in the "com.foo" package are blacklisted. There are built-in prefixes which are always blacklisted. These are as follows:
  - 。javax.
  - 。java.
  - 。 SUN.
  - 。 com.hazelcast.
- <whitelist-prefixes>: Comma separated name prefixes of classes/packages only from which the classes are allowed to be loaded. It allows to quickly configure remote loading only for classes from selected packages. It can be used together with blacklisting. For example, you can whitelist the prefix "com.foo" and blacklist the prefix "com.foo.secret". If the list is empty, all classes are allowed.

• <provider-filter>: Filter to constrain members that can be used for a class loading request when a class is not available locally. The value is in the format "HAS\_ATTRIBUTE:foo". When it is set to "HAS\_ATTRIBUTE:foo", the class loading request is only sent to the members which have "foo" as a member attribute. Setting this to null allows loading of classes from all members. See an example in the next section.

## 5.6.2. Example for Filtering of Members

As described above, the configuration element provider-filter is used to limit members that can be used to load classes. The attribute required in the provider-filter must be set as a member attribute on the members from which the classes are to be loaded. See the following examples provided as programmatic configurations.

The example configuration below allows the Hazelcast member to load classes only from members with the class-provider attribute set. It prevents from asking any other member to provide a locally unavailable class:

```
Config hazelcastConfig = new Config();
UserCodeDeploymentConfig ucdConfig = hazelcastConfig.getUserCodeDeploymentConfig();
ucdConfig.setProviderFilter("HAS_ATTRIBUTE:class-provider");
```

```
HazelcastInstance instance = Hazelcast.newHazelcastInstance(hazelcastConfig);
```

The example configuration below sets the attribute **class-provider** for a member. Therefore the above member will be able to load classes from this member:

```
Config hazelcastConfig = new Config();
MemberAttributeConfig memberAttributes = hazelcastConfig.getMemberAttributeConfig();
memberAttributes.setAttribute("class-provider", "true");
```

HazelcastInstance instance = Hazelcast.newHazelcastInstance(hazelcastConfig);

# 5.7. Deploying User Code from Clients

You can also deploy your code from the client side for the following situations:

- 1. You have objects that run on the cluster via the clients such as Runnable, Callable and EntryProcessor.
- 2. You have new user domain objects which need to be deployed into the cluster.

When this feature is enabled on the client, the client will deploy the classes to the members when connecting. This way, when a client adds a new class, the members do not require a restart to include it in their classpath.

You can also use the client permission policy to specify which clients are permitted to use User Code Deployment. See the Permissions section.

## 5.7.1. Configuring Client User Code Deployment

Client User Code Deployment feature is not enabled by default. You can configure this feature declaratively or programmatically. Following are example configuration snippets:

#### **Declarative Configuration:**

In your hazelcast-client.xml:

```
<hazelcast>
    <user-code-deployment enabled="true">
        <iarPaths>
            <jarPath>/User/example/example.jar</jarPath>
            <jarPath>example.jar</jarPath> <!--from class path -->
            <jarPath>https://com.example.com/example.jar</jarPath>
            <jarPath>file://Users/example/example.jar</jarPath>
        </jarPaths>
        <classNames>
            <!-- for classes available in client's class path -->
            <className>example.ClassName</className>
            <className>example.ClassName2</className>
        </classNames>
    </user-code-deployment>
    . . .
</hazelcast>
```

### **Programmatic Configuration:**

```
ClientConfig clientConfig = new ClientConfig();
ClientUserCodeDeploymentConfig clientUserCodeDeploymentConfig = new
ClientUserCodeDeploymentConfig.addJar("/User/example/example.jar");
clientUserCodeDeploymentConfig.addJar("https://com.example.com/example.jar");
clientUserCodeDeploymentConfig.addClass("example.ClassName");
clientUserCodeDeploymentConfig.addClass("example.ClassName2");
clientUserCodeDeploymentConfig.setEnabled(true);
clientUserCodeDeploymentConfig.setEnabled(true);
clientUserCodeDeploymentConfig(clientUserCodeDeploymentConfig);
```

#### Important to Know

The members have to be configured in a specific way for the feature to work correctly:

- User Code Deployment must be enabled on the members. Otherwise, the classes from the client will be ignored. Also blacklisted and non-whitelisted classes will be ignored.
- All members must be providers, provider-mode must be set to LOCAL\_AND\_CACHED\_CLASSES on all

members.

• No provider-filter must be configured.

The client uploads the classes only to one member. If the members don't load classes from each other, other members won't see the class.

Here's a programmatic configuration of the members that will work with client user code deployment:

Config config = new Config(); UserCodeDeploymentConfig ucdConfig = config.getUserCodeDeploymentConfig(); ucdConfig.setEnabled(true); // following two configs are defaults, we show them for clarity ucdConfig.setProviderMode(ProviderMode.LOCAL\_AND\_CACHED\_CLASSES); ucdConfig.setProviderFilter(null);

See the Member User Code Deployment section for more information on enabling it on the member side and the configuration properties.

Classes deployed from clients are always cached on the members, no matter whether ETERNAL or OFF is configured on the members.

#### **Performance Considerations**

The client always uploads all added classes and jars to one of the members, whether it has them or not. So avoid adding large jar files for each connection - if configured properly, the member will have the class the next time the client connects.

### Two Versions of a Class

If the client uploads a class and the member already has that class, an exception is thrown if the byte code is different. If byte code is same, it is ignored. Therefore classes uploaded from the client can't be updated with a new version.

## 5.7.2. Adding User Library to CLASSPATH

When you want to use a Hazelcast feature in a non-Java client, you need to make sure that the Hazelcast member recognizes it. For this, you can use the /user-lib directory that comes with the Hazelcast package and deploy your own library to the member. Let's say you use Hazelcast Node.js client and want to use an entry processor. This processor should be IdentifiedDataSerializable or Portable in the Node.js client. You need to implement the Java equivalents of the processor and its factory on the member side, and put these compiled class or JAR files into the /user-lib directory. Then you can run the start.sh script which adds them to the classpath.

The following is an example code which can be the Java equivalent of entry processor in the Node.js client:

```
public class IdentifiedEntryProcessor implements EntryProcessor<String, String,
String>, IdentifiedDataSerializable {
    static final int CLASS ID = 1;
    private String value;
    public IdentifiedEntryProcessor() {
    }
   @Override
    public int getFactoryId() {
        return IdentifiedFactory.FACTORY_ID;
    }
   @Override
    public int getClassId() {
        return CLASS_ID;
    }
    @Override
    public void writeData(ObjectDataOutput out) throws IOException {
        out.writeUTF(value);
    }
   @Override
    public void readData(ObjectDataInput in) throws IOException {
        value = in.readUTF();
    }
    @Override
    public String process(Map.Entry<String, String> entry) {
        entry.setValue(value);
        return value;
   }
}
```

You can implement the above processor's factory as follows:

```
public class IdentifiedFactory implements DataSerializableFactory {
    public static final int FACTORY_ID = 5;
    @Override
    public IdentifiedDataSerializable create(int typeId) {
        if (typeId == IdentifiedEntryProcessor.CLASS_ID) {
            return new IdentifiedEntryProcessor();
        }
        return null;
    }
}
```

And the following is the configuration for the above factory:

```
<hazelcast>
<serialization>
<data-serializable-factories>
<data-serializable-factory factory-id="5">
IdentifiedFactory
</data-serializable-factory>
</data-serializable-factories>
</serialization>
</hazelcast>
```

Then, you can start your Hazelcast member by using the start scripts (start.sh or start.bat) in the /bin directory. The start scripts automatically adds your class and JAR files to the classpath.

# 5.8. Partition Group Configuration

Hazelcast distributes key objects into partitions using the consistent hashing algorithm. Multiple replicas are created for each partition and those partition replicas are distributed among Hazelcast members. An entry is stored in the members that own replicas of the partition to which the entry's key is assigned. The total partition count is 271 by default; you can change it with the configuration property hazelcast.partition.count. See the System Properties appendix.

Hazelcast member that owns the primary replica of a partition is called as the partition owner. Other replicas are called backups. Based on the configuration, a key object can be kept in multiple replicas of a partition. A member can hold at most one replica of a partition (ownership or backup).

By default, Hazelcast distributes partition replicas randomly and equally among the cluster members, assuming all members in the cluster are identical. But what if some members share the same JVM or physical machine or chassis and you want backups of these members to be assigned to members in another machine or chassis? What if processing or memory capacities of some members are different and you do not want an equal number of partitions to be assigned to all members?

To deal with such scenarios, you can group members in the same JVM (or physical machine) or members located in the same chassis. Or you can group members to create identical capacity. We call these groups **partition groups**. Partitions are assigned to those partition groups instead of individual members. Backup replicas of a partition which is owned by a partition group are located in other partition groups.

# 5.8.1. Grouping Types

When you enable partition grouping, Hazelcast presents the following choices for you to configure partition groups.

## HOST\_AWARE

You can group members automatically using the IP addresses of members, so members sharing the same network interface are grouped together. All members on the same host (IP address or domain name) form a single partition group. This helps to avoid data loss when a physical server crashes,

because multiple replicas of the same partition are not stored on the same host. But if there are multiple network interfaces or domain names per physical machine, this assumption is invalid.

The following are declarative and programmatic configuration snippets that show how to enable HOST\_AWARE grouping:

<partition-group enabled="true" group-type="HOST\_AWARE" />

```
Config config = ...;
PartitionGroupConfig = config.getPartitionGroupConfig();
partitionGroupConfig.setEnabled( true )
        .setGroupType( MemberGroupType.HOST_AWARE );
```

#### **CUSTOM**

You can do custom grouping using Hazelcast's interface matching configuration. This way, you can add different and multiple interfaces to a group. You can also use wildcards in the interface addresses. For example, the users can create rack-aware or data warehouse partition groups using custom partition grouping.

The following are declarative and programmatic configuration examples that show how to enable and use CUSTOM grouping:

```
Config config = new Config();
PartitionGroupConfig partitionGroupConfig = config.getPartitionGroupConfig();
partitionGroupConfig.setEnabled( true )
        .setGroupType( PartitionGroupConfig.MemberGroupType.CUSTOM );
MemberGroupConfig memberGroupConfig = new MemberGroupConfig();
memberGroupConfig.addInterface( "10.10.0.*" )
        .addInterface( "10.10.3.*" ).addInterface("10.10.5.*" );
MemberGroupConfig memberGroupConfig2 = new MemberGroupConfig();
memberGroupConfig2.addInterface( "10.10.10.10-100" )
        .addInterface( "10.10.1.*").addInterface( "10.10.2.*" );
partitionGroupConfig.addMemberGroupConfig( memberGroupConfig );
partitionGroupConfig.addMemberGroupConfig( memberGroupConfig );
```



While your cluster was forming, if you configured your members to discover each other by their IP addresses, you should use the IP addresses for the <interface> element. If your members discovered each other by their host names, you should use host names.

#### PER\_MEMBER

You can give every member its own group. Each member is a group of its own and primary and backup partitions are distributed randomly (not on the same physical member). This gives the least amount of protection and is the default configuration for a Hazelcast cluster. This grouping type provides good redundancy when Hazelcast members are on separate hosts. However, if multiple instances run on the same host, this type is not a good option.

The following are declarative and programmatic configuration snippets that show how to enable PER\_MEMBER grouping:

```
<partition-group enabled="true" group-type="PER_MEMBER" />
```

```
Config config = ...;
PartitionGroupConfig partitionGroupConfig = config.getPartitionGroupConfig();
partitionGroupConfig.setEnabled( true )
    .setGroupType( MemberGroupType.PER_MEMBER );
```

#### ZONE\_AWARE

You can use ZONE\_AWARE configuration with Hazelcast Kubernetes, Hazelcast AWS, Hazelcast GCP, Hazelcast jclouds or Hazelcast Azure Discovery Service plugins.

As discovery services, these plugins put zone information to the Hazelcast member attributes map during the discovery process. When ZONE\_AWARE is configured as partition group type, Hazelcast

creates the partition groups with respect to member attributes map entries that include zone information. That means backups are created in the other zones and each zone is accepted as one partition group.



When using the ZONE\_AWARE partition grouping, a Hazelcast cluster spanning multiple AZs should have an equal number of members in each AZ. Otherwise, it results in uneven partition distribution among the members.

The following is the list of supported attributes which is set by the Discovery Service plugins during a Hazelcast member start-up:

- hazelcast.partition.group.zone: For the zones in the same area.
- hazelcast.partition.group.rack: For different racks in the same zone.
- hazelcast.partition.group.host: For a shared physical member if virtualization is used.



Hazelcast jclouds plugin offers rack or host information in addition to zone information based on the cloud provider. In such cases, Hazelcast looks for zone, rack and host information in the given order and create partition groups with available information.

The following are declarative and programmatic configuration snippets that show how to enable ZONE\_AWARE grouping:

<partition-group enabled="true" group-type="ZONE\_AWARE" />

```
Config config = ...;
PartitionGroupConfig partitionGroupConfig = config.getPartitionGroupConfig();
partitionGroupConfig.setEnabled( true )
    .setGroupType( MemberGroupType.ZONE_AWARE );
```

#### SPI

You can provide your own partition group implementation using the SPI configuration. To create your partition group implementation, you need to first extend the DiscoveryStrategy class of the discovery service plugin, override the method public PartitionGroupStrategy getPartitionGroupStrategy() and return the PartitionGroupStrategy configuration in that overridden method.

The following code covers the implementation steps mentioned in the above paragraph:

```
public class CustomDiscovery extends AbstractDiscoveryStrategy {
    public CustomDiscovery(ILogger logger, Map<String, Comparable> properties) {
        super(logger, properties);
    }
   @Override
    public Iterable<DiscoveryNode> discoverNodes() {
        Iterable<DiscoveryNode> iterable = //your implementation
        return iterable;
    }
   @Override
    public PartitionGroupStrategy getPartitionGroupStrategy() {
        return new CustomPartitionGroupStrategy();
    }
    private class CustomPartitionGroupStrategy implements PartitionGroupStrategy {
        @Override
        public Iterable<MemberGroup> getMemberGroups() {
            Iterable<MemberGroup> iterable = //your implementation
            return iterable;
        }
   }
}
```

# 5.9. Logging Configuration

Hazelcast has a flexible logging configuration and does not depend on any logging framework except JDK logging. It has built-in adapters for a number of logging frameworks and it also supports custom loggers by providing logging interfaces.

To use the built-in adapters, set the hazelcast.logging.type property to one of the predefined types below:

- jdk: JDK logging (default)
- log4j: Log4j
- log4j2: Log4j2
- slf4j: Slf4j
- none: disable logging

You can set hazelcast.logging.type through declarative configuration, programmatic configuration or JVM system property.



If you choose to use log4j, log4j2, or slf4j, you should include the proper dependencies in the classpath.

```
<hazelcast>
...
<properties>
<property name="hazelcast.logging.type">log4j</property>
</properties>
...
</hazelcast>
```

### **Programmatic Configuration**

```
Config config = new Config() ;
config.setProperty( "hazelcast.logging.type", "log4j" );
```

#### **System Property**

- using the java -Dhazelcast.logging.type=slf4j JVM parameter
- using System.setProperty( "hazelcast.logging.type", "none" ); System class

If the provided logging mechanisms are not satisfactory, you can implement your own using the custom logging feature. To use it, implement the com.hazelcast.logging.LoggerFactory and com.hazelcast.logging.ILogger interfaces and set the system property hazelcast.logging.class as your custom LoggerFactory class name.

-Dhazelcast.logging.class=foo.bar.MyLoggingFactory

You can also listen to logging events generated by Hazelcast runtime by registering LogListeners to LoggingService.

```
LogListener listener = new LogListener() {
   public void log( LogEvent logEvent ) {
      // do something
   }
};
HazelcastInstance instance = Hazelcast.newHazelcastInstance();
LoggingService loggingService = instance.getLoggingService();
loggingService.addLogListener( Level.INFO, listener );
```

Through the LoggingService, you can get the currently used ILogger implementation and log your own messages too.



If you are not using command line for configuring logging, you should be careful about Hazelcast classes. They may be defaulted to jdk logging before newly configured logging is read. When logging mechanism is selected, it will not change.

Below are example configurations for Log4j2 and Log4j. Note that Hazelcast does not recommend any specific logging library, these examples are provided only to demonstrate how to configure the logging. You can use your custom logging as explained above.

# 5.9.1. Example Log4j2 Configuration

Specify the logging type as Log4j2 and a separate logging configuration file as shown below.

Using JVM arguments:

```
-Dhazelcast.logging.type=log4j2
-Dlog4j.configurationFile=/path/to/properties/log4j2.properties
```

Using declarative configuration (hazelcast.xml):

```
<hazelcast>
....
<properties>
<property name="hazelcast.logging.type">log4j2</property>
<property name="log4j2.configuration">
/path/to/properties/log4j2.properties</property>
</properties>
....
</hazelcast>
```

Following is an example log4j2.properties file:

```
rootLogger=file
rootLogger.level=info
property.filepath=/path/to/log/files
property.filename=hazelcast
appender.file.type=RollingFile
appender.file.name=RollingFile
appender.file.fileName=${filepath}/${filename}.log
appender.file.filePattern=${filepath}/${filename}-%d{yyyy-MM-dd}-%i.log.gz
appender.file.layout.type=PatternLayout
appender.file.layout.pattern = %d{yyyy-MM-dd HH:mm:ss} %-5p %c{1}:%L - %m%n
appender.file.policies.type=Policies
appender.file.policies.time.type=TimeBasedTriggeringPolicy
appender.file.policies.time.interval=1
appender.file.policies.time.modulate=true
appender.file.policies.size.type=SizeBasedTriggeringPolicy
appender.file.policies.size.size=50MB
appender.file.strategy.type=DefaultRolloverStrategy
appender.file.strategy.max=100
rootLogger.appenderRefs=file
rootLogger.appenderRef.file.ref=RollingFile
#Hazelcast specific logs.
#log4j.logger.com.hazelcast=debug
#log4j.logger.com.hazelcast.cluster=debug
#log4j.logger.com.hazelcast.partition=debug
#log4j.logger.com.hazelcast.partition.InternalPartitionService=debug
#log4j.logger.com.hazelcast.nio=debug
#log4j.logger.com.hazelcast.hibernate=debug
```

To enable the debug logs for all Hazelcast operations uncomment the below line in the above configuration file:

log4j.logger.com.hazelcast=debug

If you do not need detailed logs, the default settings are enough. Using the Hazelcast specific lines in the above configuration file, you can select to see specific logs (cluster, partition, hibernate, etc.) in desired levels.

You can also use the hazelcast.logging.details.enabled property to specify whether the name, IP address and version of the cluster are included in the logs. When there are lots of log lines, it may be hard to follow. When set to false, those information will not appear.

# 5.9.2. Example Log4j Configuration

Its configuration is similar to that of Log4j2. Below is the JVM argument way of specifying the logging type and configuration file:

-Dhazelcast.logging.type=log4j
-Dlog4j.configuration=file:/path/to/properties/log4j.properties

Following is an example log4j.properties file:

```
log4j.rootLogger=INFO,file
```

```
log4j.appender.file=org.apache.log4j.RollingFileAppender
log4j.appender.file.File=/path/to/log/files/hazelcast.log
log4j.appender.file.layout=org.apache.log4j.PatternLayout
log4j.appender.file.layout.ConversionPattern=%d{yyyy-MM-dd HH:mm:ss} %p [%c{1}] - %m%n
log4j.appender.file.maxFileSize=50MB
log4j.appender.file.maxBackupIndex=100
log4j.appender.file.threshold=DEBUG
#log4j.logger.com.hazelcast=debug
#log4j.logger.com.hazelcast.cluster=debug
#log4j.logger.com.hazelcast.partition=debug
#log4j.logger.com.hazelcast.partition.InternalPartitionService=debug
#log4j.logger.com.hazelcast.nio=debug
#log4j.logger.com.hazelcast.hibernate=debug
```

# 5.10. Other Network Configurations

All network related configurations are performed via the network element in the Hazelcast XML configuration file or the class NetworkConfig when using programmatic configuration. Following subsections describe the available configurations that you can perform under the network element.

## 5.10.1. Public Address

public-address overrides the public address of a member. By default, a member selects its socket address as its public address. But behind a network address translation (NAT), two endpoints (members) may not be able to see/access each other. If both members set their public addresses to their defined addresses on NAT, then that way they can communicate with each other. In this case, their public addresses are not an address of a local network interface but a virtual address defined by NAT. It is optional to set and useful when you have a private cloud. Note that, the value for this element should be given in the format host IP address:port number. See the following examples.

## **Declarative Configuration:**

```
<hazelcast>
...
<network>
<public-address>11.22.33.44:5555</public-address>
</network>
...
</hazelcast>
```

#### **Programmatic Configuration:**

```
Config config = new Config();
config.getNetworkConfig()
   .setPublicAddress( "11.22.33.44:5555" );
```

### 5.10.2. Port

You can specify the ports that Hazelcast uses to communicate between cluster members. Its default value is 5701. The following are example configurations.

#### **Declarative Configuration:**

```
<hazelcast>
...
.network>
.port port-count="20" auto-increment="true">5701</port>
...
...
</hazelcast>
```

#### **Programmatic Configuration:**

```
Config config = new Config();
config.getNetworkConfig().setPort( 5701 )
   .setPortAutoIncrement( true ).setPortCount( 20 );
```

According to the above example, Hazelcast tries to find free ports between 5701 and 5720.

port has the following attributes.

- port-count: By default, Hazelcast tries 100 ports to bind. Meaning that, if you set the value of port as 5701, as members are joining to the cluster, Hazelcast tries to find ports between 5701 and 5801. You can choose to change the port count in the cases like having large instances on a single machine or willing to have only a few ports to be assigned. The parameter port-count is used for this purpose, whose default value is 100.
- auto-increment: In some cases you may want to choose to use only one port. In that case, you can

disable the auto-increment feature of port by setting auto-increment to false. The port-count attribute is not used when auto-increment feature is disabled.

## 5.10.3. Outbound Ports

By default, Hazelcast lets the system pick up an ephemeral port during socket bind operation. But security policies/firewalls may require you to restrict outbound ports to be used by Hazelcastenabled applications. To fulfill this requirement, you can configure Hazelcast to use only defined outbound ports. The following are example configurations.

### **Declarative Configuration:**

```
<hazelcast>
....
<network>
<outbound-ports>
<i-- ports between 33000 and 35000 -->
<ports>33000-35000</ports>
<i-- comma separated ports -->
<ports>37000,37001,37002,37003</ports>
<ports>38000,38500-38600</ports>
</outbound-ports>
</network>
....
</hazelcast>
```

### **Programmatic Configuration:**

```
...
NetworkConfig networkConfig = config.getNetworkConfig();
// ports between 35000 and 35100
networkConfig.addOutboundPortDefinition("35000-35100");
// comma separated ports
networkConfig.addOutboundPortDefinition("36001, 36002, 36003");
networkConfig.addOutboundPort(37000);
networkConfig.addOutboundPort(37001);
...
```



You can use port ranges and/or comma separated ports.

As shown in the programmatic configuration, you use the method addOutboundPort to add only one port. If you need to add a group of ports, then use the method addOutboundPortDefinition.

In the declarative configuration, the element **ports** can be used for both single and multiple port definitions. When you set this element to 0 or \*, your operating system (not Hazelcast) selects a free port from the ephemeral range.

## 5.10.4. Reuse Address

When you shutdown a cluster member, the server socket port goes into the TIME\_WAIT state for the next couple of minutes. If you start the member right after shutting it down, you may not be able to bind it to the same port because it is in the TIME\_WAIT state. If you set the reuse-address element to true, the TIME\_WAIT state is ignored and you can bind the member to the same port again.

The following are example configurations.

### **Declarative Configuration:**

```
<hazelcast>
...
<network>
<reuse-address>true</reuse-address>
</network>
...
</hazelcast>
```

#### **Programmatic Configuration:**

```
...
NetworkConfig networkConfig = config.getNetworkConfig();
networkConfig.setReuseAddress( true );
...
```

## 5.10.5. Join

The join configuration element is used to discover Hazelcast members and enable them to form a cluster. Hazelcast provides multicast, TCP/IP, EC2 and jclouds® discovery mechanisms. These mechanisms are explained the Discovery Mechanisms section. This section describes all the sub-elements and attributes of join element. The following are example configurations.

### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <network>
       <join>
            <multicast enabled="true">
                <multicast-group>224.2.2.3</multicast-group>
                <multicast-port>54327</multicast-port>
                <multicast-time-to-live>32</multicast-time-to-live>
                <multicast-timeout-seconds>2</multicast-timeout-seconds>
                <trusted-interfaces>
                    <interface>192.168.1.102</interface>
                </trusted-interfaces>
            </multicast>
            <tcp-ip enabled="false">
                <required-member>192.168.1.104</required-member>
                <member>192.168.1.104</member>
                <members>192.168.1.105,192.168.1.106</members>
            </tcp-ip>
            <aws enabled="false">
                <access-key>my-access-key</access-key>
                <secret-key>my-secret-key</secret-key>
                <region>us-west-1</region>
                <host-header>ec2.amazonaws.com</host-header>
                <security-group-name>hazelcast-sg</security-group-name>
                <tag-key>type</tag-key>
                <tag-value>hz-members</tag-value>
            </aws>
            <discovery-strategies>
                <discovery-strategy ... />
            </discovery-strategies>
        </join>
    </network>
    . . .
</hazelcast>
```

### **Programmatic Configuration:**

```
Config config = new Config();
NetworkConfig network = config.getNetworkConfig();
JoinConfig join = network.getJoin();
join.getMulticastConfig().setEnabled( false )
                            .addTrustedInterface( "192.168.1.102" );
join.getTcpIpConfig().addMember( "10.45.67.32" ).addMember( "10.45.67.100" )
                      .setRequiredMember( "192.168.10.100" ).setEnabled( true );
```

The join element has the following sub-elements and attributes.

#### multicast element

The multicast element includes parameters to fine tune the multicast join mechanism.

- enabled: Specifies whether the multicast discovery is enabled or not, true or false.
- multicast-group: The multicast group IP address. Specify it when you want to create clusters within the same network. Values can be between 224.0.0.0 and 239.255.255.255. Its default value is 224.2.2.3.
- multicast-port: The multicast socket port that the Hazelcast member listens to and sends discovery messages through. Its default value is 54327.
- multicast-time-to-live: Time-to-live value for multicast packets sent out to control the scope of multicasts. See more information here.
- multicast-timeout-seconds: Only when the members are starting up, this timeout (in seconds) specifies the period during which a member waits for a multicast response from another member. For example, if you set it as 60 seconds, each member waits for 60 seconds until a leader member is selected. Its default value is 2 seconds.
- trusted-interfaces: Includes IP addresses of trusted members. When a member wants to join to the cluster, its join request is rejected if it is not a trusted member. You can give an IP addresses range using the wildcard (\*) on the last digit of IP address, e.g., 192.168.1.\* or 192.168.1.100-110.



Multicast mechanism is not recommended for production since UDP is often blocked in production environments and other join mechanisms are more definite.

#### tcp-ip element

The tcp-ip element includes parameters to fine tune the TCP/IP join mechanism.

- enabled: Specifies whether the TCP/IP discovery is enabled or not. Values can be true or false.
- required-member: IP address of the required member. Cluster is only formed if the member with this IP address is found.
- member: IP address(es) of one or more well known members. Once members are connected to these well known ones, all member addresses are communicated with each other. You can also give comma separated IP addresses using the members element.



tcp-ip element also accepts the interface parameter. See the Interfaces element description.

• connection-timeout-seconds: Defines the connection timeout in seconds. This is the maximum amount of time Hazelcast is going to try to connect to a well known member before giving up. Setting it to a too low value could mean that a member is not able to connect to a cluster. Setting it to a too high value means that member startup could slow down because of longer timeouts, for example when a well known member is not up. Increasing this value is recommended if you have many IPs listed and the members cannot properly build up the cluster. Its default value is 5 seconds.

#### aws element

The aws element includes parameters to allow the members to form a cluster on the Amazon EC2 environment.

- enabled: Specifies whether the EC2 discovery is enabled or not, true or false.
- access-key, secret-key: Access and secret keys of your account on EC2.
- region: The region where your members are running. Its default value is us-east-1. You need to specify this if the region is other than the default one.
- host-header: The URL that is the entry point for a web service. It is optional.
- security-group-name: Name of the security group you specified at the EC2 management console. It is used to narrow the Hazelcast members to be within this group. It is optional.
- tag-key, tag-value: To narrow the members in the cloud down to only Hazelcast members, you can set these parameters as the ones you specified in the EC2 console. They are optional.
- connection-timeout-seconds: The maximum amount of time, in seconds, Hazelcast tries to connect to a well known member before giving up. Setting this value too low could mean that a member is not able to connect to a cluster. Setting the value too high means that member startup could slow down because of longer timeouts (for example, when a well known member is not up). Increasing this value is recommended if you have many IPs listed and the members cannot properly build up the cluster. Its default value is 5 seconds.

If you are using a cloud provider other than AWS, you can use the programmatic configuration to specify a TCP/IP cluster. The members need to be retrieved from that provider, e.g., jclouds.

### discovery-strategies element

The discovery-strategies element configures internal or external discovery strategies based on the Hazelcast Discovery SPI. For further information, see the Discovery SPI section and the vendor documentation of the used discovery strategy.

## 5.10.6. AWSClient Configuration

To make sure EC2 instances are found correctly, you can use the AWSClient class. It determines the private IP addresses of EC2 instances to be connected. Give the AWSClient class the values for the parameters that you specified in the aws element, as shown below. You will see whether your EC2 instances are found.

```
public static void main( String[] args )throws Exception{
 AwsConfig config = new AwsConfig();
 config.setSecretKey( ... ) ;
 config.setSecretKey( ... );
 config.setRegion( ... );
 config.setSecurityGroupName( ... );
 config.setTagKey( ... );
 config.setTagValue( ... );
 config.setEnabled( true );
 AWSClient client = new AWSClient( config );
 Collection<String> ipAddresses = client.getPrivateIpAddresses();
 System.out.println( "addresses found:" + ipAddresses );
 for ( String ip: ipAddresses ) {
    System.out.println( ip );
 }
}
```

## 5.10.7. Interfaces

You can specify which network interfaces that Hazelcast should use. Servers mostly have more than one network interface, so you may want to list the valid IPs. Range characters (\* and -) can be used for simplicity. For instance, 10.3.10.\* refers to IPs between 10.3.10.0 and 10.3.10.255. Interface 10.3.10.4-18 refers to IPs between 10.3.10.4 and 10.3.10.18 (4 and 18 included). If network interface configuration is enabled (it is disabled by default) and if Hazelcast cannot find a matching interface, then it prints a message on the console and does not start on that member.

The following are example configurations.

#### **Declarative Configuration:**

```
<hazelcast>
....
<network>
<interfaces enabled="true">
<interface>10.3.16.*</interface>
<interface>10.3.10.4-18</interface>
<interface>192.168.1.3</interface>
</interfaces>
</network>
....
</hazelcast>
```

#### **Programmatic Configuration:**

## 5.10.8. IPv6 Support

Hazelcast supports IPv6 addresses seamlessly (This support is switched off by default, see the note at the end of this section).

All you need is to define IPv6 addresses or interfaces in the network configuration. The only current limitation is that you cannot define wildcard IPv6 addresses in the TCP/IP join configuration (tcp-ip element). Interfaces configuration does not have this limitation, you can configure wildcard IPv6 interfaces in the same way as IPv4 interfaces.

```
<hazelcast>
    . . .
    <network>
        <port auto-increment="true">5701</port>
        <ioin>
            <multicast enabled="false">
                <multicast-group>FF02:0:0:0:0:0:0:1</multicast-group>
                <multicast-port>54327</multicast-port>
            </multicast>
            <tcp-ip enabled="true">
                <member>[fe80::223:6cff:fe93:7c7e]:5701</member>
                <interface>192.168.1.0-7</interface>
                <interface>192.168.1.*</interface>
                <interface>fe80:0:0:0:45c5:47ee:fe15:493a</interface>
            </tcp-ip>
        </join>
        <interfaces enabled="true">
            <interface>10.3.16.*</interface>
            <interface>10.3.10.4-18</interface>
            <interface>fe80:0:0:0:45c5:47ee:fe15:*</interface>
            <interface>fe80::223:6cff:fe93:0-5555</interface>
        </interfaces>
    </network>
    . . .
</hazelcast>
```

JVM has two system properties for setting the preferred protocol stack (IPv4 or IPv6) as well as the preferred address family types (inet4 or inet6). On a dual stack machine, IPv6 stack is preferred by default, you can change this through the java.net.preferIPv4Stack=<true|false> system property. When querying name services, JVM prefers IPv4 addresses over IPv6 addresses and returns an IPv4 address if possible. You can change this through java.net.preferIPv6Addresses=<true|false> system property.



IPv6 support has been switched off by default, since some platforms have issues using the IPv6 stack. Some other platforms such as Amazon AWS have no support at all. To enable IPv6 support, just set configuration property hazelcast.prefer.ipv4.stack to **false**. See the System Properties appendix for details.

## 5.10.9. Member Address Provider SPI



This SPI is not intended to provide addresses of other cluster members with which the Hazelcast instance forms a cluster. To do that, see the previous sections above.

By default, Hazelcast chooses the public and bind address. You can influence on the choice by defining a public-address in the configuration or by using other properties mentioned above. In some cases, though, these properties are not enough and the default address picking strategy chooses wrong addresses. This may be the case when deploying Hazelcast in some cloud environments, such as AWS, when using Docker or when the instance is deployed behind a NAT and the public-address property is not enough (see the Public Address section).

In these cases, it is possible to configure the bind and public address in a more advanced way. You can provide an implementation of the com.hazelcast.spi.MemberAddressProvider interface which provides the bind and public address. The implementation may then choose these addresses in any way - it may read from a system property or file or even invoke a web service to retrieve the public and private address.

The details of the implementation depend heavily on the environment in which Hazelcast is deployed. As such, we now demonstrate how to configure Hazelcast to use a simplified custom member address provider SPI implementation. An example implementation is shown below:

```
public static final class SimpleMemberAddressProvider implements MemberAddressProvider
{
    @Override
    public InetSocketAddress getBindAddress() {
        // determine the address using some configuration, calling an API, ...
        return new InetSocketAddress(hostname, port);
    }
    @Override
    public InetSocketAddress getPublicAddress() {
        // determine the address using some configuration, calling an API, ...
        return new InetSocketAddress(hostname, port);
    }
}
```

Note that if the bind address port is 0 then it uses a port as configured in the Hazelcast network configuration (see the Port section). If the public address port is set to 0 then it broadcasts the same port that it is bound to. If you wish to bind to any local interface, you may return new

InetSocketAddress((InetAddress) null, port) from the getBindAddress() address.

The following configuration examples contain properties that are provided to the constructor of the provider class. If you do not provide any properties, the class may have either a no-arg constructor or a constructor accepting a single java.util.Properties instance. On the other hand, if you do provide properties in the configuration, the class must have a constructor accepting a single java.util.Properties must have a constructor must have be accepted by the single java.util.Properties must have be accepted by the single by the singl

### **Declarative Configuration:**

<hazelcast></hazelcast>
<network></network>
<member-address-provider enabled="true"></member-address-provider>
<class-name>SimpleMemberAddressProvider</class-name>
<properties></properties>
<property name="prop1">prop1-value</property>
<property name="prop2">prop2-value</property>
other network configurations

## **Programmatic Configuration:**

```
Config config = new Config();
MemberAddressProviderConfig memberAddressProviderConfig = config.getNetworkConfig()
.getMemberAddressProviderConfig
.setEnabled(true)
.setClassName(MemberAddressProviderWithStaticProperties.class.getName());
Properties properties = memberAddressProviderConfig.getProperties();
properties.setProperty("prop1", "prop1-value");
properties.setProperty("prop2", "prop2-value");
config.getNetworkConfig().getJoin().getMulticastConfig().setEnabled(false);
// perform other configuration
Hazelcast.newHazelcastInstance(config);
```

# 5.11. Failure Detector Configuration

A failure detector is responsible to determine if a member in the cluster is unreachable or crashed. The most important problem in failure detection is to distinguish whether a member is still alive but slow or has crashed. But according to the famous FLP result, it is impossible to distinguish a crashed member from a slow one in an asynchronous system. A workaround to this limitation is to use unreliable failure detectors. An unreliable failure detector allows a member to suspect that others have failed, usually based on liveness criteria but it can make mistakes to a certain degree.

Hazelcast has the following built-in failure detectors: Deadline Failure Detector and Phi Accrual Failure Detector.

There is also a Ping Failure Detector, that, if enabled, works in parallel with the above ones, but identifies the failures on OSI Layer 3 (Network Layer). This detector is by default disabled.

Note that, Hazelcast also offers failure detectors for its Java client. See the Client Failure Detectors section for more information.

## 5.11.1. Deadline Failure Detector

*Deadline Failure Detector* uses an absolute timeout for missing/lost heartbeats. After timeout, a member is considered as crashed/unavailable and marked as suspected.

Deadline Failure Detector has the following configuration properties:

- hazelcast.heartbeat.interval.seconds: This is the interval at which member heartbeat messages are sent to each other.
- hazelcast.max.no.heartbeat.seconds: This is the timeout which defines when a cluster member is suspected because it has not sent any heartbeats.

To use *Deadline Failure Detector* configuration property hazelcast.heartbeat.failuredetector.type should be set to "deadline".

```
<hazelcast>
....
<properties>
<property name="hazelcast.heartbeat.failuredetector.type">deadline</property>
<property name="hazelcast.heartbeat.interval.seconds">5</property>
<property name="hazelcast.max.no.heartbeat.seconds">120</property>
</property>
<//properties>
....
</hazelcast>
```

```
Config config = ...;
config.setProperty("hazelcast.heartbeat.failuredetector.type", "deadline");
config.setProperty("hazelcast.heartbeat.interval.seconds", "5");
config.setProperty("hazelcast.max.no.heartbeat.seconds", "120");
[...]
```



Deadline Failure Detector is the default failure detector in Hazelcast.

# 5.11.2. Phi Accrual Failure Detector

This is the failure detector based on The Phi Accrual Failure Detector' by Hayashibara et al.

Phi Accrual Failure Detector keeps track of the intervals between heartbeats in a sliding window of time and measures the mean and variance of these samples and calculates a value of suspicion level (Phi). The value of phi increases when the period since the last heartbeat gets longer. If the network becomes slow or unreliable, the resulting mean and variance increase, there needs to be a longer period for which no heartbeat is received before the member is suspected.

The hazelcast.heartbeat.interval.seconds and hazelcast.max.no.heartbeat.seconds properties still can be used as period of heartbeat messages and deadline of heartbeat messages. Since *Phi Accrual Failure Detector* is adaptive to network conditions, a much lower hazelcast.max.no.heartbeat.seconds can be defined than *Deadline Failure Detector*'s timeout.

In addition to the above two properties, *Phi Accrual Failure Detector* has the following configuration properties:

• hazelcast.heartbeat.phiaccrual.failuredetector.threshold: This is the phi threshold for suspicion. After calculated phi exceeds this threshold, a member is considered as unreachable and marked as suspected. A low threshold allows to detect member crashes/failures faster but can generate more mistakes and cause wrong member suspicions. A high threshold generates fewer mistakes but is slower to detect actual crashes/failures.

phi = 1 means likeliness that we will make a mistake is about 10%. The likeliness is about 1% with
phi = 2, 0.1% with phi = 3 and so on. Default phi threshold is 10.

- hazelcast.heartbeat.phiaccrual.failuredetector.sample.size: Number of samples to keep for history. Its default value is 200.
- hazelcast.heartbeat.phiaccrual.failuredetector.min.std.dev.millis: Minimum standard deviation to use for the normal distribution used when calculating phi. Too low standard deviation might result in too much sensitivity.

To use *Phi Accrual Failure Detector*, configuration property hazelcast.heartbeat.failuredetector.type should be set to "phi-accrual".

```
<hazelcast>
    . . .
    <properties>
        <property name="hazelcast.heartbeat.failuredetector.type">phi-
accrual</property>
        <property name="hazelcast.heartbeat.interval.seconds">1</property></property>
        <property name="hazelcast.max.no.heartbeat.seconds">60</property></property>
        <property name="hazelcast.heartbeat.phiaccrual.failuredetector.threshold">
10</property>
        <property name="hazelcast.heartbeat.phiaccrual.failuredetector.sample.size"</pre>
>200</property>
        <property name=
"hazelcast.heartbeat.phiaccrual.failuredetector.min.std.dev.millis">100</property>
    </properties>
    . . .
</hazelcast>
```

```
Config config = ...;
config.setProperty("hazelcast.heartbeat.failuredetector.type", "phi-accrual");
config.setProperty("hazelcast.heartbeat.interval.seconds", "1");
config.setProperty("hazelcast.max.no.heartbeat.seconds", "60");
config.setProperty("hazelcast.heartbeat.phiaccrual.failuredetector.threshold", "10");
config.setProperty("hazelcast.heartbeat.phiaccrual.failuredetector.sample.size", "200
");
config.setProperty("hazelcast.heartbeat.phiaccrual.failuredetector.min.std.dev.millis"
, "100");
[...]
```

# 5.11.3. Ping Failure Detector

The Ping Failure Detector may be configured in addition to one of Deadline and Phi Accrual Failure Detectors. It operates at Layer 3 of the OSI protocol and provides much quicker and more deterministic detection of hardware and other lower level events. This detector may be configured to perform an extra check after a member is suspected by one of the other detectors, or it can work in parallel, which is the default. This way hardware and network level issues are detected more quickly.

This failure detector is based on InetAddress.isReachable(). When the JVM process has enough permissions to create RAW sockets, the implementation chooses to rely on ICMP Echo requests. This is preferred.

If there are not enough permissions, it can be configured to fallback on attempting a TCP Echo on port 7. In the latter case, both a successful connection or an explicit rejection is treated as "Host is Reachable". Or, it can be forced to use only RAW sockets. This is not preferred as each call creates a heavy weight socket and moreover the Echo service is typically disabled.

For the Ping Failure Detector to rely **only** on ICMP Echo requests, there are some criteria that need to be met.

#### **Requirements and Linux/Unix Configuration**

- Supported OS: as of Java 1.8 only Linux/Unix environments are supported. This detector relies on ICMP, i.e., the protocol behind the ping command. It tries to issue the ping attempts periodically, and their responses are used to determine the reachability of the remote member. However, you cannot simply create an ICMP Echo Request because these type of packets do not rely on any of the preexisting transport protocols such as TCP. In order to create such a request, you must have the privileges to create RAW sockets (see https://linux.die.net/man/7/raw). Most operating systems allow this to the root users, however Unix based ones are more flexible and allow the use of custom privileges per process instead of requiring root access. Therefore, this detector is supported only on Linux.
- The Java executable must have the cap\_net\_raw capability. As described in the above requirement, on Linux, you have the ability to define extra capabilities to a single process, which would allow the process to interact with the RAW sockets. This interaction is achieved via the capability cap\_net\_raw (see https://linux.die.net/man/7/capabilities). To enable this capability run the following command:

sudo setcap cap\_net\_raw=+ep <JDK\_HOME>/jre/bin/java

- When running with custom capabilities, the dynamic linker on Linux rejects loading the libs from untrusted paths. Since you have now cap\_net\_raw as a custom capability for a process, it becomes suspicious to the dynamic linker and throws an error: java: error while loading shared libraries: libjli.so: cannot open shared object file: No such file or directory
  - To overcome this rejection, the <JDK\_HOME>/jre/lib/amd64/jli/ path needs to be added in the ld.conf. Run the following command to do this: echo "<JDK\_HOME>/jre/lib/amd64/jli/" >> /etc/ld.so.conf.d/java.conf && sudo ldconfig
- ICMP Echo Requests must not be blocked by the receiving hosts. /proc/sys/net/ipv4/icmp\_echo\_ignore\_all set to 0. Run the following command:

echo 0 > /proc/sys/net/ipv4/icmp\_echo\_ignore\_all

If any of the above criteria isn't met, then the *isReachable* always falls back on TCP Echo attempts on port 7.

To be able to use the Ping Failure Detector, you can configure it using the *icmp* element in your Hazelcast IMDG declarative configuration file, e.g., hazelcast.xml. An example is shown below:

```
<hazelcast>
    <network>
    . . .
        <failure-detector>
            <icmp enabled="true">
                <timeout-milliseconds>1000</timeout-milliseconds>
                <fail-fast-on-startup>true</fail-fast-on-startup>
                <interval-milliseconds>1000</interval-milliseconds>
                <max-attempts>3</max-attempts>
                <parallel-mode>true</parallel-mode>
                <ttl>0</ttl>
            </icmp>
        </failure-detector>
    </network>
    . . .
</hazelcast>
```

The following are the element and attribute descriptions:

- enabled: Specifies whether the legacy ICMP detection mode is enabled; works cooperatively with the existing failure detector and only kicks-in after a pre-defined period has passed with no heartbeats from a member. Its default value is false.
- parallel-mode: Specifies whether the parallel ping detector is enabled; works separately from the other detectors. Its default value is true.
- timeout-milliseconds: Number of milliseconds until a ping attempt is considered failed if there was no reply. Its default value is 1000 milliseconds.
- max-attempts: Maximum number of ping attempts before the member/node gets suspected by the detector. Its default value is 2.
- interval-milliseconds: Interval, in milliseconds, between each ping attempt. 1000ms (1 sec) is also the minimum interval allowed. Its default value is 1000 milliseconds.
- ttl: Maximum number of hops the packets should go through. Its default value is 0.
- fail-fast-on-startup: Specifies whether the cluster member fails to start if it is unable to action an ICMP ping command when ICMP is enabled. Failure is usually due to OS level restrictions.

In the above example configuration, the Ping detector attempts 3 pings, one every second and waits up to 1 second for each to complete. If after 3 seconds, there was no successful ping, the member gets suspected.

To enforce the Requirements, the property hazelcast.icmp.echo.fail.fast.on.startup can also be set to true, in which case, if any of the requirements isn't met, Hazelcast fails to start.

Below is a summary table of all possible configuration combinations of the ping failure detector.

Table 3. Ping Failure Detector Possible Configuration Combinations

ICMP	Parallel	Fail-Fast	Description	Linux	Window s	macOS	
false	false	false	Completely disabled	N/A	N/A	N/A	
true	false	false	Legacy ping mode. This works hand-to-hand with the OSI Layer 7 failure detector (see. phi or deadline in the sections above). Ping in this mode only kicks in after a period when there are no heartbeats received, in which case the remote Hazelcast member is pinged up to a configurable count of attempts. If all those attempts fail, the member gets suspected. You can configure this attempt count using the max-attempts configuration element listed above.	Supported ICMP Echo if available - Falls back on TCP Echo on port 7	Support ed TCP Echo on port 7	Support ed ICMP Echo if availabl e - Falls back on TCP Echo on port 7	
true	true	false	Parallel ping detector, works in parallel with the configured failure detector. Checks periodically if members are live (OSI Layer 3) and suspects them immediately, regardless of the other detectors.	Supported ICMP Echo if available - Falls back on TCP Echo on port 7	Support ed TCP Echo on port 7	Support ed ICMP Echo if availabl e - Falls back on TCP Echo on port 7	
true	true	true	Parallel ping detector, works in parallel with the configured failure detector. Checks periodically if members are live (OSI Layer 3) and suspects them immediately, regardless of the other detectors.	Supported - Requires OS Configuration Enforcing ICMP Echo if available - No start up if not available	Not Support ed	Not Support ed - Requires root privilege s	

# 5.12. Advanced Network Configuration

With the default configuration, Hazelcast members use a single server socket for all kinds of connections: cluster members, Hazelcast clients implementing the Open Binary Client Protocol and HTTP protocol clients connect to a single server socket that handles all the protocols.

You can also configure the Hazelcast members with separate server sockets using a different network configuration for different protocols. This configuration scheme allows more flexibility when deploying Hazelcast as described in the following cases:

- For security, it is possible to bind the member protocol server socket on a protected internal network interface, while the client connections can be established on another network interface accessible by the Hazelcast clients.
- Different kinds of network connections can be established with different socket options. For example varying send/receive window size to optimize the network usage, TLS for connections over WAN while member-to-member connections may remain unencrypted, etc.

In the following example we introduce the advanced network configuration for a member to listen for member-to-member connections on the default port 5701 while listening for client connections on the port 9090:

Running this example prints something similar to the following output, indicating that the member listens for the specified protocols on the respective configured ports:

```
{EndpointQualifier{type='CLIENT'}=[10.212.134.156]:9090, EndpointQualifier{type=
'MEMBER'}=[10.212.134.156]:5701}
```

The following is the equivalent declarative configuration:

```
<hazelcast>
....
<advanced-network enabled="true">
        <advanced-network enabled="true"</a>
```

# 5.12.1. Setting Up Cluster Members for Advanced Network Configuration

Advanced network configuration and single-socket network configuration are mutually exclusive: either an enabled AdvancedNetworkConfig or the NetworkConfig object is used to configure a member's networking, including the joiner, discovery, failure detectors, etc. as described in the previous sections of this chapter. You cannot define both elements in the declarative configuration, i.e., the network and advancednetwork elements cannot be configured at the same time. In the programmatic configuration, an enabled AdvancedNetworkConfig takes precedence over the NetworkConfig. AdvancedNetworkConfig is disabled by default, therefore the unisocket member configuration under NetworkConfig is used in the default case.

When using the advanced network configuration, the following configurations are defined member-wide:

- Joiner and cluster discovery (Multicast, TCP/IP, AWS, Eureka, etc.)
- MemberAddressProvider configuration
- Failure detector configuration

In addition to the above, the advanced network configuration allows the configuration of multiple endpoints: each endpoint configuration applies for a specific protocol, e.g., MEMBER and CLIENT. An additional optional identifier can be configured to separate the configuration of multiple WAN protocol endpoints.

The supported protocols are as follows:

- MEMBER: A member server socket is required for Hazelcast to operate. The default advanced network configuration defines a member endpoint configuration listening on port 5701 (same as the single-socket Hazelcast member configuration).
- CLIENT: A single server socket handling the Hazelcast Open Binary Client Protocol can be optionally configured. If no such endpoint is configured, then the clients will not be able to connect to the Hazelcast member.
- **REST**: A REST server socket is optional.
- MEMCACHE: When accessing a Hazelcast cluster over the Memcache text protocol, an endpoint listening to MEMCACHE protocol must be defined.
- WAN: Multiple WAN endpoint configurations can be defined to determine the network settings of outgoing connections (from the members of a source cluster to the target WAN cluster members) or to establish server sockets on which a target WAN member can listen for the incoming connections from the source cluster.

# 5.12.2. Server Socket Endpoint Configuration

The server socket endpoint configuration is common for all protocols. The elements comprising a server socket endpoint configuration are identical to their single-socket network configuration counterparts.

The following declarative configuration example includes all the common server socket endpoint elements:

```
<hazelcast>
   . . .
  <advanced-network enabled="true">
       <member-server-socket-endpoint-config>
           <port auto-increment="true" port-count="100">5701</port>
           <outbound-ports>
               <ports>33000-35000</ports>
               <ports>37000,37001,37002,37003</ports>
               <ports>38000,38500-38600</ports>
           </outbound-ports>
           <interfaces enabled="true">
               <interface>10.10.1.*</interface>
           </interfaces>
           <ssl enabled="true">
               <factory-class-name>
com.hazelcast.examples.MySSLContextFactory</factory-class-name>
               <properties>
                   <property name="foo">bar</property></property>
               </properties>
           </ssl>
           <symmetric-encryption>
               <algorithm>ALGO</algorithm>
               <salt>SALT</salt>
               <password>PASS</password>
               <iteration-count>10000</iteration-count>
           </symmetric-encryption>
           <socket-interceptor enabled="true">
               <class-name>com.hazelcast.examples.MySocketInterceptor</class-name>
               <properties>
                   <property name="foo">bar</property></property>
               </properties>
           </socket-interceptor>
           <socket-options>
               <buffer-direct>true</buffer-direct>
               <tcp-no-delay>true</tcp-no-delay>
               <keep-alive>true</keep-alive>
               <connect-timeout-seconds>64</connect-timeout-seconds>
               <send-buffer-size-kb>25</send-buffer-size-kb>
               <receive-buffer-size-kb>33</receive-buffer-size-kb>
               linger-seconds>99</linger-seconds>
           </socket-options>
           <public-address>dummy</public-address>
           <reuse-address>true</reuse-address>
        </member-server-socket-endpoint-config>
    </advanced-network>
    . . .
</hazelcast>
```

When using the declarative configuration, specific element names introduce the server socket endpoint configuration for each protocol:

- member-server-socket-endpoint-config for MEMBER protocol
- client-server-socket-endpoint-config for CLIENT protocol
- rest-server-socket-endpoint-config for REST endpoint
- memcache-server-socket-endpoint-config for MEMCACHE endpoint
- wan-server-socket-endpoint-config for WAN endpoints

When using the programmatic configuration, corresponding methods set the respective server socket endpoint configuration:

## 5.12.3. Setting Up REST Server Socket Endpoint Configuration

In addition to the common server socket configuration described above, the REST endpoint configuration includes certain additional elements which are used to enable/disable the REST functionality groups.

The following is the equivalent declarative configuration:

```
<hazelcast>
....
<advanced-network enabled="true">
</advanced-network enabled="true"</advanced-network enabled="true">
</advanced-network enabled="true"</advanced-network enabled="true"</advanced-networ
```

# 5.12.4. Setting Up WAN Endpoints Configuration

Multiple WAN endpoint configurations can be defined to configure the outgoing connections and server sockets, depending on the role of the member in the WAN replication. The configuration examples are provided in the following sections for both active and passive side of the WAN replication.

## Configuring the WAN Active Side

The members on the active cluster initiate connections to the target cluster members, so there is no need to create a server socket. A plain EndpointConfig is created that supplies the configuration for the client side of connections that the active members will create:

```
config.getAdvancedNetworkConfig().addWanEndpointConfig(
        new EndpointConfig().setName("tokyo")
                .setSSLConfig(new SSLConfig()
                                    .setEnabled(true)
                                    .setFactoryClassName(
"com.hazelcast.examples.MySSLContextFactory")
                                    .setProperty("foo", "bar"))
);
WanReplicationConfig wanReplicationConfig = new WanReplicationConfig();
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
            .setEndpoint("tokyo")
            .setTargetEndpoints("tokyo.hazelcast.com:8765");
wanReplicationConfig.addBatchReplicationPublisherConfig(publisherConfig);
config.addWanReplicationConfig(wanReplicationConfig);
config.getMapConfig("customers").setWanReplicationRef(
        new WanReplicationRef("replicate-to-tokyo", "com.company.MergePolicy",
emptyList(), false)
);
```

The following is the equivalent declarative configuration:

```
<hazelcast>
    . . .
   <advanced-network enabled="true">
        <wan-endpoint-config name="tokyo">
            <ssl enabled="true">
                <factory-class-name>
com.hazelcast.examples.MySSLContextFactory</factory-class-name>
                <properties>
                    <property name="endpoints">tokyo.example.com:11010</property>
                </properties>
            </ssl>
        </wan-endpoint-config>
    </advanced-network>
    . . .
    <wan-replication name="replicate-to-tokyo">
        <batch-publisher>
            <cluster-name>clusterB</cluster-name>
            <target-endpoints>...</target-endpoints>
        </batch-publisher>
    </wan-replication>
    . . .
    <map name="customer">
        <wan-replication-ref name="replicate-to-tokyo">
            <merge-policy>...</merge-policy>
        </wan-replication-ref>
    </map>
    . . .
</hazelcast>
```

The wan-endpoint-config element contains the same sub-elements as the member-server-socketendpoint-config element described above except port, public-address and reuse-address

## Configuring the WAN Passive Side

On the passive cluster, a server socket is configured on the members to listen for the incoming WAN connections, matching the network configuration (SSL configuration, etc.) configured on the active side of the WAN replication.

The following is the equivalent declarative configuration:

```
<hazelcast>
    . . .
    <advanced-network enabled="true">
        <wan-server-socket-endpoint-config name="tokyo">
            <port auto-increment="false">11010</port>
            <ssl enabled="true">
                 <factorv-class-name>
com.hazelcast.examples.MySSLContextFactory</factory-class-name>
                 <properties>
                     <property name="foo">bar</property></property>
                 </properties>
            </ssl>
        </wan-server-socket-endpoint-config>
    </advanced-network>
    . . .
</hazelcast>
```

# 5.12.5. Advanced Network Configuration FAQ

Can I multiplex protocols on a single advanced network endpoint? For example, can I use a single server socket to listen for MEMBER and CLIENT protocols?

No, each endpoint configuration that defines a server socket must bind to a different socket address.

# Can I mix unisocket and advanced network members in the same cluster?

No, the results will be undefined.

## Can I configure multiple server socket endpoints for the same protocol?

You can only configure multiple server socket endpoints for WAN protocol. For other protocols (MEMBER, CLIENT, REST, MEMCACHE), a single server socket can be configured.

# 6. Rolling Member Upgrades

## Hazelcast IMDG Enterprise

This chapter explains the procedure of upgrading the version of Hazelcast members in a running cluster without interrupting the operation of the cluster.

# 6.1. Terminology

- Minor version: A version change after the decimal point, e.g., 3.12 and 3.13.
- Patch version: A version change after the second decimal point, e.g., 3.12.1 and 3.12.2.
- Member codebase version: The major.minor.patch version of the Hazelcast binary on which the member executes. For example, when running on hazelcast-3.12.jar, your member's codebase version is 3.12.0.
- **Cluster version**: The major.minor version at which the cluster operates. This ensures that cluster members are able to communicate using the same cluster protocol and determines the feature set exposed by the cluster.

# 6.2. Hazelcast Members Compatibility Guarantees

Hazelcast members operating on binaries of the same major and minor version numbers are compatible regardless of patch version. For example, in a cluster with members running on version 3.11.1, it is possible to perform a rolling upgrade to 3.11.2 by shutting down, upgrading to hazelcast-3.11.2.jar binary and starting each member one by one. *Patch level compatibility applies to both Hazelcast IMDG and Hazelcast IMDG Enterprise*.

Also, each minor version is compatible with the previous one (back until Hazelcast IMDG 3.8). For example, it is possible to perform a rolling upgrade on a cluster running Hazelcast IMDG Enterprise 3.11 to Hazelcast IMDG Enterprise 3.12. *Rolling upgrades across minor versions is a Hazelcast IMDG Enterprise feature*.

The compatibility guarantees described above are given in the context of rolling member upgrades and only apply to GA (general availability) releases. It is never advisable to run a cluster with members running on different patch or minor versions for prolonged periods of time.

# 6.3. Rolling Upgrade Procedure



The version numbers used in the paragraph below are only used as an example.

Let's assume a cluster with four members running on codebase version 3.12.0 with cluster version 3.12, that should be upgraded to codebase version 3.13.0 and cluster version 3.13. The rolling upgrade process for this cluster, i.e., replacing existing 3.12.0 members one by one with an upgraded one at version 3.13.0, includes the following steps which should be repeated for each member:

• Gracefully shut down an existing 3.12.0 member.

- Wait until all partition migrations are completed; during migrations, membership changes (member joins or removals) are not allowed.
- Update the member with the new 3.13.0 Hazelcast binaries.
- Start the member and wait until it joins the cluster. You should see something like the following in your logs:

```
...
INFO: [192.168.2.2]:5701 [cluster] [3.13] Hazelcast 3.9 (20170630 - a67dc3a)
starting at [192.168.2.2]:5701
...
INFO: [192.168.2.2]:5701 [cluster] [3.13] Cluster version set to 3.12
```

The version in brackets ([3.13]) still denotes the member's codebase version (running on the hypothetical hazelcast-3.13.jar binary). Once the member locates the existing cluster members, it sends its join request to the master. The master validates that the new member is allowed to join the cluster and lets the new member know that the cluster is currently operating at 3.12 cluster version. The new member sets 3.12 as its cluster version and starts operating normally.

At this point all members of the cluster have been upgraded to codebase version 3.13.0 but the cluster still operates at cluster version 3.12. In order to use 3.13 features the cluster version must be changed to 3.13.



Rolling upgrade can be used for one version at a time, e.g., 3.n to 3.n+1. You cannot upgrade your members, for example, from 3.13 to 3.15 in a single rolling upgrade session.

# 6.4. Upgrading Cluster Version

You have the following options to upgrade the cluster version:

- Using Management Center.
- Using the cluster.sh script.
- Allow the cluster to auto-upgrade.

Note that you need to enable the REST API to use either of the above methods to upgrade your cluster version. For this, enable the CLUSTER\_WRITE REST endpoint group (its default is disabled). See the Using the REST Endpoint Groups section on how to enable them.

Also note that you need to upgrade your Management Center version **before** upgrading the member version if you want to change the cluster version using Management Center. Management Center is compatible with the previous minor version of Hazelcast. For example, Management Center 3.12 works with both Hazelcast IMDG 3.11 and 3.12. To change your cluster version to 3.12, you need Management Center 3.12.

# 6.5. Enabling Auto-Upgrading

The cluster can automatically upgrade its version. As soon as it detects that all its members have a version higher than the current cluster version, it upgrades the cluster version to match it. This feature is disabled by default. To enable it, set the system property hazelcast.cluster.version.auto.upgrade.enabled to true.

There is one tricky detail here: as you are shutting down and upgrading the members one by one, when you shut down the last one, all the members in the remaining cluster have the newer version, but you don't want the auto-upgrade to kick in before you have successfully upgraded the last avoid member as well. То this, you can use the hazelcast.cluster.version.auto.upgrade.min.cluster.size system property. You should set it to the size of your cluster, and then Hazelcast will wait for the last member to join before it can proceed with the auto-upgrade.

# 6.6. Network Partitions and Rolling Upgrades

In the event of network partitions which split your cluster into two subclusters, split-brain handling works as explained in the Network Partitioning chapter, with the additional constraint that two subclusters only merge as long as they operate on the same cluster version. This is a requirement to ensure that all members participating in each one of the subclusters are able to operate as members of the merged cluster at the same cluster version.

With regards to rolling upgrades, the above constraint implies that if a network partition occurs while a change of cluster version is in progress, then with some unlucky timing, one subcluster may be upgraded to the new cluster version and another subcluster may have upgraded members but still operate at the old cluster version.

In order for the two subclusters to merge, it is necessary to change the cluster version of the subcluster that still operates on the old cluster version, so that both subclusters will be operating at the same, upgraded cluster version and able to merge as soon as the network partition is fixed.

# 6.7. Rolling Upgrade FAQ

The following provide answers to the frequently asked questions related to rolling member upgrades.

## How is the cluster version set?

When a new member starts, it is not yet joined to a cluster; therefore its cluster version is still undetermined. In order for the cluster version to be set, one of the following must happen:

- the member cannot locate any members of the cluster to join or is configured without a joiner: in this case, the member appoints itself as the master of a new single-member cluster and its cluster version is set to the major.minor version of its own codebase version. So a standalone member running on codebase version 3.12.0 sets its own cluster version to 3.12.
- the member that is starting locates members of the cluster and identifies which is the master: in this case, the master validates that the joining member's codebase version is compatible with

the current cluster version. If it is found to be compatible, then the member joins and the master sends the cluster version, which is set on the joining member. Otherwise, the starting member fails to join and shuts down.

# What if a new Hazelcast minor version changes fundamental cluster protocol communication, like join messages?



The version numbers used in the paragraph below are only used as an example.

On startup, as answered in the above question (How is the cluster version set?), the cluster version is not yet known to a member that has not joined any cluster. By default the newly started member uses the cluster protocol that corresponds to its codebase version until this member joins a cluster (so for codebase 3.12.0 this means implicitly assuming cluster version 3.12). If, hypothetically, major changes in discovery & join operations have been introduced which do not allow the member to join a 3.11 cluster, then the member should be explicitly configured to start assuming a 3.11 cluster version.

#### Do I have to upgrade clients to work with rolling upgrades?

Clients which implement the Open Binary Client Protocol are compatible with Hazelcast version 3.6 and newer minor versions. Thus older client versions are compatible with next minor versions. Newer clients connected to a cluster operate at the lower version of capabilities until all members are upgraded and the cluster version upgrade occurs.

#### Can I stop and start multiple members at once during a rolling member upgrade?

It is not recommended due to potential network partitions. It is advised to always stop and start one member in each upgrade step.

# Can I upgrade my business app together with Hazelcast while doing a rolling member upgrade?

Yes, but make sure to make the new version of your app compatible with the old one since there will be a timespan when both versions interoperate. Checking if two versions of your app are compatible includes verifying binary and algorithmic compatibility and some other steps.

It is worth mentioning that a business app upgrade is orthogonal to a rolling member upgrade. A rolling business app upgrade may be done without upgrading the members.

# 7. Distributed Data Structures

As mentioned in the Overview section, Hazelcast offers distributed implementations of many common data structures. For each of the client languages, Hazelcast mimics as closely as possible the natural interface of the structure. So, for example in Java, the map follows java.util.Map semantics. In the descriptions below, we mention each structure's Java equivalent interface. All of these structures are usable from Java, .NET, C++, Node.js, Python, Go and Scala.

#### • Standard utility collections

• Map is the distributed implementation of java.util.Map. It lets you read from and write to a

Hazelcast map with methods such as get and put.

- Queue is the distributed implementation of java.util.concurrent.BlockingQueue. You can add an item in one member and remove it from another one.
- Ringbuffer is implemented for reliable eventing system.
- Set is the distributed and concurrent implementation of java.util.Set. It does not allow duplicate elements and does not preserve their order.
- List is similar to Hazelcast Set. The only difference is that it allows duplicate elements and preserves their order.
- Multimap is a specialized Hazelcast map. It is a distributed data structure where you can store multiple values for a single key.
- Replicated Map does not partition data. It does not spread data to different cluster members. Instead, it replicates the data to all members.
- Cardinality Estimator is a data structure which implements Flajolet's HyperLogLog algorithm.
- **Topic** is the distributed mechanism for publishing messages that are delivered to multiple subscribers. It is also known as the publish/subscribe (pub/sub) messaging model. See the Topic section for more information. Hazelcast also has a structure called Reliable Topic which uses the same interface of Hazelcast Topic. The difference is that it is backed up by the Ringbuffer data structure. See the Reliable Topic section.
- Concurrency utilities
  - FencedLock is the distributed implementation of java.util.concurrent.locks.Lock. When you use lock, the critical section that Hazelcast Lock guards is guaranteed to be executed by only one thread in the entire cluster.
  - **ISemaphore** is the distributed implementation of java.util.concurrent.Semaphore. When performing concurrent activities, semaphores offer permits to control the thread counts.
  - IAtomicLong is the distributed implementation of java.util.concurrent.atomic.AtomicLong. Most of AtomicLong's operations are available. However, these operations involve remote calls and hence their performances differ from AtomicLong, due to being distributed.
  - IAtomicReference is the distributed implementation of java.util.concurrent.atomicReference. When you need to deal with a reference in a distributed environment, you can use Hazelcast IAtomicReference.
  - FlakeIdGenerator is used to generate cluster-wide unique identifiers.
  - ICountdownLatch is the distributed implementation of java.util.concurrent.CountDownLatch. Hazelcast CountDownLatch is a gate keeper for concurrent activities. It enables the threads to wait for other threads to complete their operations.
  - PN counter is a distributed data structure where each Hazelcast instance can increment and decrement the counter value and these updates are propagated to all replicas.
- Event Journal is a distributed data structure that stores the history of mutation actions on map or cache.

# 7.1. Overview of Hazelcast Distributed Objects

Hazelcast has two types of distributed objects in terms of their partitioning strategies:

- 1. Data structures where each partition stores a part of the instance, namely partitioned data structures.
- 2. Data structures where a single partition stores the whole instance, namely non-partitioned data structures.

The following are the partitioned Hazelcast data structures:

- Map
- MultiMap
- Cache (Hazelcast JCache implementation)
- Event Journal

The following are the non-partitioned Hazelcast data structures:

- Queue
- Set
- List
- Ringbuffer
- FencedLock
- ISemaphore
- IAtomicLong
- IAtomicReference
- FlakeIdGenerator
- ICountdownLatch
- Cardinality Estimator
- PN Counter

Besides these, Hazelcast also offers the Replicated Map structure as explained in the above **Standard utility collections** list.

# 7.1.1. Loading and Destroying a Distributed Object

Hazelcast offers a get method for most of its distributed objects. To load an object, first create a Hazelcast instance and then use the related get method on this instance. Following example code snippet creates an Hazelcast instance and a map on this instance.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
Map<Integer, String> customers = hazelcastInstance.getMap( "customers" );
```

As to the configuration of distributed object, Hazelcast uses the default settings from the file hazelcast.xml that comes with your Hazelcast download. Of course, you can provide an explicit configuration in this XML or programmatically according to your needs. See the Understanding Configuration section.

Note that, most of Hazelcast's distributed objects are created lazily, i.e., a distributed object is created once the first operation accesses it.

If you want to use an object you loaded in other places, you can safely reload it using its reference without creating a new Hazelcast instance (customers in the above example).

To destroy a Hazelcast distributed object, you can use the method destroy. This method clears and releases all resources of the object. Therefore, you must use it with care since a reload with the same object reference after the object is destroyed creates a new data structure without an error. See the following example code where one of the queues are destroyed and the other one is accessed.

```
HazelcastInstance hz1 = Hazelcast.newHazelcastInstance();
HazelcastInstance hz2 = Hazelcast.newHazelcastInstance();
IQueue<String> q1 = hz1.getQueue("q");
IQueue<String> q2 = hz2.getQueue("q");
q1.add("foo");
System.out.println("q1.size: "+q1.size()+ " q2.size:"+q2.size());
q1.destroy();
System.out.println("q1.size: " + q1.size() + " q2.size:" + q2.size());
```

If you start the Member above, the output is as shown below:

```
q1.size: 1 q2.size:1
q1.size: 0 q2.size:0
```

As you see, no error is generated and a new queue resource is created.

Hazelcast is designed to create any distributed data structure whenever it is accessed, i.e., whenever a call is made to the data structure. Therefore, keep in mind that a data structure is recreated when you perform an operation on it even after you have destroyed it.

# 7.1.2. Controlling Partitions

Hazelcast uses the name of a distributed object to determine which partition it will be put. Let's load two queues as shown below:

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
IQueue q1 = hazelcastInstance.getQueue("q1");
IQueue q2 = hazelcastInstance.getQueue("q2");
```

Since these queues have different names, they will be placed into different partitions. If you want

to put these two into the same partition, you use the **@** symbol as shown below:

HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(); IQueue q1 = hazelcastInstance.getQueue("q1@foo"); IQueue q2 = hazelcastInstance.getQueue("q2@foo");

Now, these two queues will be put into the same partition whose partition key is foo. Note that you can use the method getPartitionKey to learn the partition key of a distributed object. It may be useful when you want to create an object in the same partition of an existing object. See its usage as shown below:

```
String partitionKey = q1.getPartitionKey();
IQueue q3 = hazelcastInstance.getQueue("q3@"+partitionKey);
```

## 7.1.3. Common Features of all Hazelcast Data Structures

- If a member goes down, its backup replica (which holds the same data) dynamically redistributes the data, including the ownership and locks on them, to the remaining live members. As a result, there will not be any data loss.
- There is no single cluster master that can be a single point of failure. Every member in the cluster has equal rights and responsibilities. No single member is superior. There is no dependency on an external 'server' or 'master'.

# 7.1.4. Example Distributed Object Code

Here is an example of how you can retrieve existing data structure instances (map, queue, set, topic, etc.) and how you can listen for instance events, such as an instance being created or destroyed.

```
ExampleDOL example = new ExampleDOL();
    Config config = new Config();
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(config);
    hazelcastInstance.addDistributedObjectListener(example);
    Collection<DistributedObject> distributedObjects = hazelcastInstance
.getDistributedObjects();
    for (DistributedObject distributedObject : distributedObjects) {
        System.out.println(distributedObject.getName());
    }
}
@Override
public void distributedObjectCreated(DistributedObjectEvent event) {
    DistributedObject instance = event.getDistributedObject();
    System.out.println("Created " + instance.getName());
}
@Override
public void distributedObjectDestroyed(DistributedObjectEvent event) {
    DistributedObject instance = event.getDistributedObject();
    System.out.println("Destroyed " + instance.getName());
}
```

# 7.2. Map

Hazelcast Map (IMap) extends the interface java.util.concurrent.ConcurrentMap and hence java.util.Map. It is the distributed implementation of Java map. You can perform operations like reading and writing from/to a Hazelcast map with the well known get and put methods.

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IMap data structure can also be used by Hazelcast Jet for Real-Time Stream Processing (by enabling the Event Journal on your map) and Fast Batch Processing. Hazelcast Jet uses IMap as a source (reads data from IMap) and as a sink (writes data to IMap). See the Fast Batch Processing and Real-Time Stream Processing use cases for Hazelcast Jet. See also here in the Hazelcast Jet Programming Guide to learn how Jet uses IMap, i.e., how it can read from and write to IMap.

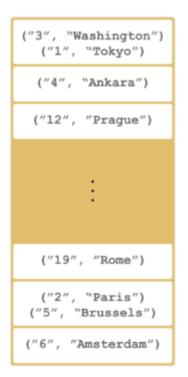
# 7.2.1. Getting a Map and Putting an Entry

Hazelcast partitions your map entries and their backups, and almost evenly distribute them onto all Hazelcast members. Each member carries approximately "number of map entries \* 2 \* 1/n" entries, where **n** is the number of members in the cluster. For example, if you have a member with 1000 objects to be stored in the cluster and then you start a second member, each member will both store 500 objects and back up the 500 objects in the other member.

Let's create a Hazelcast instance and fill a map named Capitals with key-value pairs using the following code. Use the HazelcastInstance getMap method to get the map, then use the map put method to put an entry into the map.

```
HazelcastInstance hzInstance = Hazelcast.newHazelcastInstance();
Map<String, String> capitalcities = hzInstance.getMap( "capitals" );
capitalcities.put( "1", "Tokyo" );
capitalcities.put( "2", "Paris" );
capitalcities.put( "3", "Washington" );
capitalcities.put( "4", "Ankara" );
capitalcities.put( "5", "Brussels" );
capitalcities.put( "6", "Amsterdam" );
capitalcities.put( "6", "New Delhi" );
capitalcities.put( "8", "London" );
capitalcities.put( "9", "Berlin" );
capitalcities.put( "10", "Oslo" );
capitalcities.put( "11", "Moscow" );
....
capitalcities.put( "120", "Stockholm" );
```

When you run this code, a cluster member is created with a map whose entries are distributed across the members' partitions. See the below illustration. For now, this is a single member cluster.

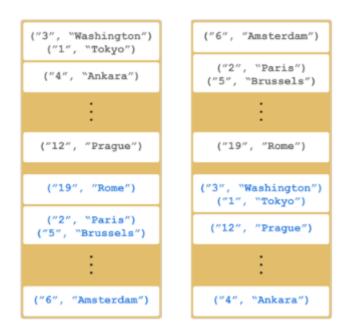




Please note that some of the partitions do not contain any data entries since we only have 120 objects and the partition count is 271 by default. This count is configurable and can be changed using the system property hazelcast.partition.count. See the System Properties appendix.

# 7.2.2. Creating A Member for Map Backup

Now let's create a second member by running the above code again. This creates a cluster with two members. This is also where backups of entries are created - remember the backup partitions mentioned in the Hazelcast Overview section. The following illustration shows two members and how the data and its backup is distributed.



As you see, when a new member joins the cluster, it takes ownership and loads some of the data in the cluster. Eventually, it will carry almost "(1/n \* total-data) + backups" of the data, reducing the load on other members.

HazelcastInstance.getMap() returns an instance of com.hazelcast.core.IMap which extends the java.util.concurrent.ConcurrentMap interface. Methods like ConcurrentMap.putIfAbsent(key,value) and ConcurrentMap.replace(key,value) can be used on the distributed map, as shown in the example below.

```
public class BasicMapOperations {
    private HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    public Customer getCustomer(String id) {
        ConcurrentMap<String, Customer> customers = hazelcastInstance.getMap(
"customers");
       Customer customer = customers.get(id);
        if (customer == null) {
            customer = new Customer(id);
            customer = customers.putIfAbsent(id, customer);
        }
        return customer;
   }
    public boolean updateCustomer(Customer customer) {
        ConcurrentMap<String, Customer> customers = hazelcastInstance.getMap(
"customers");
        return (customers.replace(customer.getId(), customer) != null);
   }
    public boolean removeCustomer(Customer customer) {
        ConcurrentMap<String, Customer> customers = hazelcastInstance.getMap(
"customers");
        return customers.remove(customer.getId(), customer);
   }
}
```

All ConcurrentMap operations such as put and remove might wait if the key is locked by another thread in the local or remote JVM. But, they will eventually return with success. ConcurrentMap operations never throw a java.util.ConcurrentModificationException.

# 7.2.3. Backing Up Maps

Hazelcast distributes map entries onto multiple cluster members (JVMs). Each member holds some portion of the data.

Distributed maps have one backup by default. If a member goes down, your data is recovered using the backups in the cluster. There are two types of backups as described below: *sync* and *async*.

## **Creating Sync Backups**

To provide data safety, Hazelcast allows you to specify the number of backup copies you want to have. That way, data on a cluster member is copied onto other member(s).

To create synchronous backups, select the number of backup copies using the backup-count property.

When this count is 1, a map entry will have its backup on one other member in the cluster. If you set it to 2, then a map entry will have its backup on two other members. You can set it to 0 if you do not want your entries to be backed up, e.g., if performance is more important than backing up. The maximum value for the backup count is 6.

Hazelcast supports both synchronous and asynchronous backups. By default, backup operations are synchronous and configured with backup-count. In this case, backup operations block operations until backups are successfully copied to backup members (or deleted from backup members in case of remove) and acknowledgements are received. Therefore, backups are updated before a write(put, set, remove and their async counterparts) operation is completed, provided that the cluster is stable. Sync backup operations have a blocking cost which may lead to latency issues.

#### **Creating Async Backups**

Asynchronous backups, on the other hand, do not block operations. They are fire & forget and do not require acknowledgements; the backup operations are performed at some point in time.

To create asynchronous backups, select the number of async backups with the async-backup-count property. An example is shown below.

See Consistency and Replication Model for more detail.



Backups increase memory usage since they are also kept in memory.

0

A map can have both sync and async backups at the same time.

#### **Enabling Backup Reads**

By default, Hazelcast has one sync backup copy. If backup-count is set to more than 1, then each member will carry both owned entries and backup copies of other members. So for the

map.get(key) call, it is possible that the calling member has a backup copy of that key. By default, map.get(key) always reads the value from the actual owner of the key for consistency.

To enable backup reads (read local backup entries), set the value of the read-backup-data property to **true**. Its default value is **false** for consistency. Enabling backup reads can improve performance but on the other hand it can cause stale reads while still preserving monotonic-reads property.

```
<hazelcast>
....
<map name="default">
....
<br/>
<backup-count>0</backup-count>
<br/>
<backup-count>1</async-backup-count>
<br/>
<read-backup-data>true</read-backup-data>
</map>
....
</hazelcast>
```

This feature is available when there is at least one sync or async backup.

Please note that if you are performing a read from a backup, you should take into account that your hits to the keys in the backups are not reflected as hits to the original keys on the primary members. This has an impact on IMap's maximum idle seconds or time-to-live seconds expiration. Therefore, even though there is a hit on a key in backups, your original key on the primary member may expire.



Backup reads that are requested by Hazelcast clients are ignored since this operation is performed on the local entries.

## 7.2.4. Map Eviction

Unless you delete the map entries manually or use an eviction policy, they will remain in the map. Hazelcast supports policy-based eviction for distributed maps. Currently supported policies are LRU (Least Recently Used) and LFU (Least Frequently Used).

Hazelcast Map uses the same eviction mechanism as the JCache implementation. See the Eviction Algorithm section for details.

#### **Understanding Map Eviction**

Hazelcast Map performs eviction based on partitions. For example, when you specify a size using the PER\_NODE attribute for max-size (see the Configuring Map Eviction section), Hazelcast internally calculates the maximum size for every partition. Hazelcast uses the following equation to calculate the maximum size of a partition:

```
partition-maximum-size = max-size * member-count / partition-count
```



If the partition-maximum-size is less than 1 in the equation above, it will be set to 1 (otherwise, the partitions would be emptied immediately by eviction due to the exceedance of max-size being less than 1).

The eviction process starts according to this calculated partition maximum size when you try to put an entry. When entry count in that partition exceeds partition maximum size, eviction starts on that partition.

Assume that you have the following figures as examples:

- partition count: 200
- entry count for each partition: 100
- max-size (PER\_NODE): 20000

The total number of entries here is 20000 (partition count \* entry count for each partition). This means you are at the eviction threshold since you set the max-size to 20000. When you try to put an entry:

- 1. the entry goes to the relevant partition
- 2. the partition checks whether the eviction threshold is reached (max-size)
- 3. only one entry will be evicted.

As a result of this eviction process, when you check the size of your map, it is 19999. After this eviction, subsequent put operations do not trigger the next eviction until the map size is again close to the max-size.



The above scenario is simply an example that describes how the eviction process works. Hazelcast finds the most optimum number of entries to be evicted according to your cluster size and selected policy.

#### **Configuring Map Eviction**

The following is an example declarative configuration for map eviction.

The following are the configuration element descriptions:

• time-to-live-seconds: Maximum time in seconds for each entry to stay in the map (TTL). It

limits the lifetime of the entries relative to the time of the last write access performed on them. If it is not 0, the entries whose lifetime exceeds this period (without any write access performed on them during this period) are expired and evicted automatically. An individual entry may have its own lifetime limit by using one of the methods accepting a TTL; see Evicting Specific Entries section. If there is no TTL value provided for the individual entry, it inherits the value set for this element. Valid values are integers between 0 and Integer.MAX VALUE. Its default value is 0, which means infinite (no expiration and eviction). If it is not 0, entries are evicted regardless of the set eviction-policy described below.

• max-idle-seconds: Maximum time in seconds for each entry to stay idle in the map. It limits the lifetime of the entries relative to the time of the last read or write access performed on them. The entries whose idle period exceeds this limit are expired and evicted automatically. An entry is idle if no get, put, EntryProcessor.process or containsKey is called on it. Valid values are integers between 0 and Integer.MAX VALUE. Its default value is 0, which means infinite.



Setting this property to 1 second expires the entry after 1 second, regardless of the operations done on that entry in-between, due to the loss of millisecond resolution on the entry timestamps. Assume that you create a record at time = 1 second (1000 milliseconds) and access it at wall clock time 1100 milliseconds and then again at 1400 milliseconds. In this case, the entry is deemed as not touched. So, setting this property to 1 second is not supported.



Both time-to-live-seconds and max-idle-seconds may be used simultaneously on the map entries. In that case, the entry is considered expired if at least one of the policies marks it as expired.

- eviction-policy: Eviction policy to be applied when the size of map grows larger than the value specified by the size element described below. Valid values are:
  - NONE: Default policy. If set, no items are evicted and the property size described below is ignored. You still can combine it with time-to-live-seconds and max-idle-seconds.
  - LRU: Least Recently Used.
  - LFU: Least Frequently Used.

Apart from the above values, you can also develop and use your own eviction policy. See the Custom Eviction Policy section.

- size: Maximum size of the map. When maximum size is reached, the map is evicted based on the policy defined. Valid values are integers between 0 and Integer.MAX VALUE. Its default value is 0, which means infinite. If you want size to work, set the eviction-policy property to a value other than NONE. Its attributes are described below.
  - PER\_NODE: Maximum number of map entries in each cluster member. This is the default policy.

```
<eviction max-size-policy="PER_NODE" size="5000"/>
```

• PER\_PARTITION: Maximum number of map entries within each partition. Storage size depends on the partition count in a cluster member. This attribute should not be used often. For instance, avoid using this attribute with a small cluster. If the cluster is small, it hosts more partitions, and therefore map entries, than that of a larger cluster. Thus, for a small cluster, eviction of the entries decreases performance (the number of entries is large).

<eviction max-size-policy="PER\_PARTITION" size="27100" />

USED\_HEAP\_SIZE: Maximum used heap size in megabytes per map for each Hazelcast instance.
 Please note that this policy does not work when in-memory format is set to OBJECT, since the memory footprint cannot be determined when data is put as OBJECT.

```
<eviction max-size-policy="USED_HEAP_SIZE" size="4096" />
```

 USED\_HEAP\_PERCENTAGE: Maximum used heap size percentage per map for each Hazelcast instance. If, for example, a JVM is configured to have 1000 MB and this value is 10, then the map entries will be evicted when used heap size exceeds 100 MB. Please note that this policy does not work when in-memory format is set to OBJECT, since the memory footprint cannot be determined when data is put as OBJECT.

```
<eviction max-size-policy="USED_HEAP_PERCENTAGE" size="10" />
```

• FREE\_HEAP\_SIZE: Minimum free heap size in megabytes for each JVM.

```
<eviction max-size-policy="FREE_HEAP_SIZE" size="512" />
```

• FREE\_HEAP\_PERCENTAGE: Minimum free heap size percentage for each JVM. If, for example, a JVM is configured to have 1000 MB and this value is 10, then the map entries will be evicted when free heap size is below 100 MB.

```
<eviction max-size-policy="FREE_HEAP_PERCENTAGE" size="10" />
```

• USED\_NATIVE\_MEMORY\_SIZE: (Hazelcast IMDG Enterprise HD) Maximum used native memory size in megabytes per map for each Hazelcast instance.

<eviction max-size-policy="USED\_NATIVE\_MEMORY\_SIZE" size="1024" />

• USED\_NATIVE\_MEMORY\_PERCENTAGE: (Hazelcast IMDG Enterprise HD) Maximum used native memory size percentage per map for each Hazelcast instance.

<eviction max-size-policy="USED\_NATIVE\_MEMORY\_PERCENTAGE" size="65" />

• FREE\_NATIVE\_MEMORY\_SIZE: (Hazelcast IMDG Enterprise HD) Minimum free native memory size in megabytes for each Hazelcast instance.

<eviction max-size-policy="FREE\_NATIVE\_MEMORY\_SIZE" size="256" />

• FREE\_NATIVE\_MEMORY\_PERCENTAGE: (Hazelcast IMDG Enterprise HD) Minimum free native memory size percentage for each Hazelcast instance.

<eviction max-size-policy="FREE\_NATIVE\_MEMORY\_PERCENTAGE" size="5" />

To put it briefly, Hazelcast maps have no restrictions on the size and may grow arbitrarily large, by default. When it comes to reducing the size of a map, there are two concepts: expiration and eviction.

Expiration puts a limit on the maximum lifetime of an entry stored inside the map. When the entry

expires it cannot be retrieved from the map any longer and at some point in time it will be cleaned out from the map to free up the memory. Expiration, and hence the eviction based on the expiration, can be configured using the element time-to-live-seconds and max-idle-seconds as described above.

Eviction puts a limit on the maximum size of the map. If the size of the map grows larger than the maximum allowed size, an eviction policy decides which item to evict from the map to reduce its size. The maximum allowed size can be configured using the element size and the eviction policy can be configured using the element eviction-policy as described above.

Eviction and expiration can be used together. In this case, the expiration configurations (time-tolive-seconds and max-idle-seconds) continue to work as usual cleaning out the expired entries regardless of the map size. Note that locked map entries are not the subjects for eviction and expiration.

#### **Example Eviction Configurations**

```
<hazelcast>
....
<map name="documents">
        <eviction eviction-policy="LRU" max-size-policy="PER_NODE" size="10000"/>
        <max-idle-seconds>60</max-idle-seconds>
        </map>
....
</hazelcast>
```

In the above example, documents map starts to evict its entries from a member when the map size exceeds 10000 in that member. Then the entries least recently used will be evicted. The entries not used for more than 60 seconds will be evicted as well.

And the following is an example eviction configuration for a map having NATIVE as the in-memory format:

```
<hazelcast>
...
<map name="nativeMap*">
<in-memory-format>NATIVE</in-memory-format>
<ieviction max-size-policy="USED_NATIVE_MEMORY_PERCENTAGE" eviction-policy="
LFU" size="99"/>
</map>
...
</hazelcast>
```

## **Evicting Specific Entries**

The eviction policies and configurations explained above apply to all the entries of a map. The entries that meet the specified eviction conditions are evicted.

If you want to evict some specific map entries, you can use the ttl and ttlUnit parameters of the method map.put(). An example code line is given below.

myMap.put( "1", "John", 50, TimeUnit.SECONDS )

The map entry with the key "1" will be evicted 50 seconds after it is put into myMap.

You may also use map.setTTL method to alter the time-to-live value of an existing entry. It is done as follows:

```
myMap.setTTL( "1", 50, TimeUnit.SECONDS )
```

In addition to the ttl, you may also specify a maximum idle timeout for specific map entries using the maxIdle and maxIdleUnit parameters:

myMap.put( "1", "John", 50, TimeUnit.SECONDS, 40, TimeUnit.SECONDS )

Here ttl is set as 50 seconds and maxIdle is set as 40 seconds. The entry is considered to be evicted if at least one of these policies marks it as expired. If you want to specify only the maxIdle parameter, you need to set ttl as 0 seconds.

#### **Evicting All Entries**

To evict all keys from the map except the locked ones, use the method evictAll(). If a MapStore is defined for the map, deleteAll is not called by evictAll. If you want to call the method deleteAll, use clear().

An example is given below.

```
final int numberOfKeysToLock = 4;
final int numberOfEntriesToAdd = 1000;
HazelcastInstance node1 = Hazelcast.newHazelcastInstance();
HazelcastInstance node2 = Hazelcast.newHazelcastInstance();
IMap<Integer, Integer> map = node1.getMap( "map" );
for (int i = 0; i < numberOfEntriesToAdd; i++) {</pre>
    map.put(i, i);
}
for (int i = 0; i < numberOfKeysToLock; i++) {</pre>
    map.lock(i);
}
// should keep locked keys and evict all others.
map.evictAll();
System.out.printf("# After calling evictAll...\n");
System.out.printf("# Expected map size\t: %d\n", numberOfKeysToLock);
System.out.printf("# Actual map size\t: %d\n", map.size());
```

#### **Forced Eviction**

#### Hazelcast IMDG Enterprise

Hazelcast may use forced eviction in the cases when the eviction explained in Understanding Map Eviction is not enough to free up your memory. Note that this is valid if you are using **Hazelcast IMDG Enterprise** and you set your in-memory format to NATIVE.

The forced eviction mechanism is explained below as steps in the given order:

- When the normal eviction is not enough, forced eviction is triggered and first it tries to evict approx. 20% of the entries from the current partition. It retries this five times.
- If the result of above step is still not enough, forced eviction applies the above step to all maps. This time it might perform eviction from some other partitions too, provided that they are owned by the same thread.
- If that is still not enough to free up your memory, it evicts not the 20% but all the entries from the current partition.
- If that is not enough, it will evict all the entries from the other data structures; from the partitions owned by the local thread.

Finally, when all the above steps are not enough, Hazelcast throws a native OutOfMemoryException.

When you have an evictable cache/map, you should safely put entries to it without facing with any memory shortages. Forced eviction helps to achieve this. Regular eviction removes one entry at a time while forced eviction can remove multiple entries, which can even be owned by another caches/maps.

#### **Custom Eviction Policy**

Apart from the policies such as LRU and LFU, which Hazelcast provides out-of-the-box, you can develop and use your own eviction policy.

To achieve this, you need to provide an implementation of MapEvictionPolicyComparator as in the following OddEvictor example:

```
public class MapCustomEvictionPolicyComparator {
    public static void main(String[] args) {
        Config config = new Config();
        config.getMapConfig("test")
            .getEvictionConfig()
            .setComparator(new OddEvictor())
            .setMaxSizePolicy(PER_NODE)
            .setSize(10000);
        HazelcastInstance instance = Hazelcast.newHazelcastInstance(config);
```

```
IMap<Integer, Integer> map = instance.getMap("test");
    final Queue<Integer> oddKeys = new ConcurrentLinkedQueue<Integer>();
    final Queue<Integer> evenKeys = new ConcurrentLinkedQueue<Integer>();
    map.addEntryListener((EntryEvictedListener<Integer, Integer>) event -> {
        Integer key = event.getKey();
        if (key % 2 == 0) {
           evenKeys.add(key);
        } else {
           oddKeys.add(key);
        }
    }, false);
    // wait some more time to receive evicted-events
    parkNanos(SECONDS.toNanos(5));
    for (int i = 0; i < 15000; i++) {</pre>
       map.put(i, i);
    }
    String msg = "IMap uses sampling based eviction. After eviction"
            + " is completed, we are expecting number of evicted-odd-keys"
            + " should be greater than number of evicted-even-keys. \nNumber"
            + " of evicted-odd-keys = %d, number of evicted-even-keys = %d";
    out.println(format(msg, oddKeys.size(), evenKeys.size()));
    instance.shutdown();
}
/**
* Odd evictor tries to evict odd keys first.
*/
private static class OddEvictor
        implements MapEvictionPolicyComparator<Integer, Integer> {
    @Override
    public int compare(EntryView<Integer, Integer> e1,
                       EntryView<Integer, Integer> e2) {
        Integer key1 = e1.getKey();
        if (key1 % 2 != 0) {
            return -1;
        }
        Integer key2 = e2.getKey();
        if (key2 % 2 != 0) {
            return 1;
        }
        return 0;
```

	}	 	 	 	 	
}						
}						

Then you can enable your policy by setting it via the method MapConfig.getEvictionConfig().setComparatorClassName() programmatically via or XML declaratively. Following is the example declarative configuration for the eviction policy OddEvictor implemented above:

```
<hazelcast>
...
<map name="test">
...
<eviction comparator-class-name="com.mycompany.OddEvictor"/>
...
</map>
</hazelcast>
```

If you Hazelcast with Spring, you can enable your policy as shown below.

# 7.2.5. Setting In-Memory Format

IMap (and a few other Hazelcast data structures, such as ICache) has an in-memory-format configuration option. By default, Hazelcast stores data into memory in binary (serialized) format. Sometimes it can be efficient to store the entries in their object form, especially in cases of local processing, such as entry processor and queries.

Specify the in-memory-format element in the configuration to set how the data will be stored in the memory. You have the following format options:

- **BINARY** (default): The data (both the key and value) is stored in serialized binary format. You can use this option if you mostly perform regular map operations, such as **put** and **get**.
- OBJECT: The data is stored in deserialized form. This configuration is good for maps where entry processing and queries form the majority of all operations and the objects are complex, making the serialization cost comparatively high. By storing objects, entry processing does not contain the deserialization cost. Note that when you use OBJECT as the in-memory format, the key is still stored in binary format and the value is stored in object format.
- NATIVE: (Hazelcast IMDG Enterprise HD) This format behaves the same as BINARY, however, instead of heap memory, key and value are stored in the off-heap memory.

Regular operations like get rely on the object instance. When the OBJECT format is used and a get is

performed, the map does not return the stored instance, but creates a clone. Therefore, this whole get operation first includes a serialization on the member owning the instance and then a deserialization on the member calling the instance. When the BINARY format is used, only a deserialization is required; BINARY is faster.

Similarly, a put operation is faster when the BINARY format is used. If the format was OBJECT, the map would create a clone of the instance, and there would first be a serialization and then a deserialization. When BINARY is used, only a deserialization is needed.



If a value is stored in OBJECT format, a change on a returned value does not affect the stored instance. In this case, the returned instance is not the actual one but a clone. Therefore, changes made on an object after it is returned will not reflect on the actual stored data. Similarly, when a value is written to a map and the value is stored in OBJECT format, it will be a copy of the put value. Therefore, changes made on the object after it is stored will not reflect on the stored data.

# 7.2.6. Using High-Density Memory Store with Map

## Hazelcast IMDG Enterprise HD

Hazelcast instances are Java programs. In case of BINARY and OBJECT in-memory formats, Hazelcast stores your distributed data into the heap of its server instances. Java heap is subject to garbage collection (GC). In case of larger heaps, garbage collection might cause your application to pause for tens of seconds (even minutes for really large heaps), badly affecting your application performance and response times.

As the data gets bigger, you either run the application with larger heap, which would result in longer GC pauses or run multiple instances with smaller heap which can turn into an operational nightmare if the number of such instances becomes very high.

To overcome this challenge, Hazelcast offers High-Density Memory Store for your maps. You can configure your map to use High-Density Memory Store by setting the in-memory format to NATIVE. The following snippet is the declarative configuration example.

```
<hazelcast>
...
<map name="nativeMap*">
...
<in-memory-format>NATIVE</in-memory-format>
...
</hazelcast>
```

Keep in mind that you should have already enabled the High-Density Memory Store usage for your cluster. See the Configuring High-Density Memory Store section.

You can also benefit from the persistent memory technologies such as Intel® Optane<sup>™</sup> DC to be used by the High-Density Memory Store. See the Using Persistent Memory section.

#### Required configuration changes when using NATIVE

Note that the eviction mechanism is different for NATIVE in-memory format. The new eviction algorithm for map with High-Density Memory Store is similar to that of JCache with High-Density Memory Store and is described here.

```
<hazelcast>
....
<map name="nativeMap*">
....
<map name="nativeMap*">
....
<map name="nativeMap*">
....
<map >
....
</map >
....
</hazelcast>
```

+ \* These IMap eviction policies for size cannot be used: FREE\_HEAP\_PERCENTAGE, FREE\_HEAP\_SIZE, USED\_HEAP\_PERCENTAGE, USED\_HEAP\_SIZE.

+ \* Near Cache eviction policy ENTRY\_COUNT cannot be used for max-size-policy.



+

See the High-Density Memory Store section for more information.

## 7.2.7. Metadata Policy

Hazelcast IMap offers automatic preprocessing of various data types on the update time to make queries faster. It is currently supported only by the HazelcastJsonValue type. When metadata creation is on, IMap creates additional metadata about the objects of supported types and uses this metadata during the querying. It does not affect the latency and throughput of the object of any type except the supported types.

This feature is on by default. You can configure it using the metadata-policy configuration element.

#### **Declarative Configuration:**

```
<hazelcast>
....
<map name="map-a">
....
</hazelcast>
```

```
MapConfig mapConfig = new MapConfig();
mapConfig.setMetadataPolicy(MetadataPolicy.OFF);
```

### 7.2.8. Loading and Storing Persistent Data

Hazelcast allows you to load and store the distributed map entries from/to a persistent data store such as a relational database. To do this, you can use Hazelcast's MapStore and MapLoader interfaces.

When you provide a MapLoader implementation and request an entry (IMap.get()) that does not exist in memory, MapLoader's load method loads that entry from the data store. This loaded entry is placed into the map and will stay there until it is removed or evicted.

All loads can be listened via EntryLoadedListener. See the Listening for Map Events section to learn how you can catch entry-based events.

When a MapStore implementation is provided, an entry is also put into a user defined data store.



Data store needs to be a centralized system that is accessible from all Hazelcast members. Persistence to a local file system is not supported.



Also note that the MapStore interface extends the MapLoader interface as you can see in the interface code.

Following is a MapStore example.

```
public class PersonMapStore implements MapStore<Long, Person> {
    private final Connection con;
    private final PreparedStatement allKeysStatement;
    public PersonMapStore() {
        try {
            con = DriverManager.getConnection("jdbc:hsqldb:mydatabase", "SA", "");
            con.createStatement().executeUpdate(
                    "create table if not exists person (id bigint not null, name
varchar(45), primary key (id))");
            allKeysStatement = con.prepareStatement("select id from person");
        } catch (SQLException e) {
            throw new RuntimeException(e);
        }
    }
    public synchronized void delete(Long key) {
        System.out.println("Delete:" + key);
        try {
            con.createStatement().executeUpdate(
```

```
format("delete from person where id = %s", key));
        } catch (SQLException e) {
            throw new RuntimeException(e);
       }
   }
    public synchronized void store(Long key, Person value) {
        try {
            con.createStatement().executeUpdate(
                    format("insert into person values(%s,'%s')", key, value.getName()
));
        } catch (SQLException e) {
            throw new RuntimeException(e);
        }
   }
    public synchronized void storeAll(Map<Long, Person> map) {
        for (Map.Entry<Long, Person> entry : map.entrySet()) {
            store(entry.getKey(), entry.getValue());
        }
   }
    public synchronized void deleteAll(Collection<Long> keys) {
        for (Long key : keys) {
            delete(key);
        }
   }
    public synchronized Person load(Long key) {
        try {
            ResultSet resultSet = con.createStatement().executeQuery(
                    format("select name from person where id =%s", key));
            try {
                if (!resultSet.next()) {
                    return null;
                }
                String name = resultSet.getString(1);
                return new Person(key, name);
            } finally {
                resultSet.close();
            }
        } catch (SQLException e) {
            throw new RuntimeException(e);
        }
    }
    public synchronized Map<Long, Person> loadAll(Collection<Long> keys) {
        Map<Long, Person> result = new HashMap<Long, Person>();
        for (Long key : keys) {
            result.put(key, load(key));
        }
```

```
return result;
}
public Iterable<Long> loadAllKeys() {
    return new StatementIterable<Long>(allKeysStatement);
}
```



During the initial loading process, MapStore uses a thread different from the partition threads that are used by the ExecutorService. After the initialization is completed, the map.get method looks up any nonexistent value from the database in a partition thread, or the map.put method looks up the database to return the previously associated value for a key also in a partition thread.

Entries loaded by MapLoader do not have a set time-to-live property. Therefore, they live until evicted or explicitly removed. It is possible to enforce time-to-live on the entries by using EntryLoader. EntryLoader allows you to set time-to-live values per key before handing the values to Hazelcast. Therefore, you can store and load key specific time-to-live values in the external storage.

Similar to EntryLoader, in order to store custom expiration times associated with the entries, you may use EntryStore. EntryStore allows you to retrieve associated expiration date for each entry. The expiration date is an offset from an epoch in milliseconds. Epoch is January 1, 1970 UTC which is used by System.currentTimeMillis().



Although the expiration date is expressed in milliseconds, IMap has second granularity when it comes to expiration. Therefore, the expiration date is rounded to the nearest lower whole second.

EntryLoader and EntryStore extend from MapLoader and MapStore, respectively. Therefore, all features and configuration parameters of MapLoader and MapStore apply to them, too.

Following is an EntryStore example.

```
public class PersonEntryStore implements EntryStore<Long, Person> {
    private final Connection con;
    private final PreparedStatement allKeysStatement;
    public PersonEntryStore() {
        try {
            con = DriverManager.getConnection("jdbc:hsqldb:mydatabase", "SA", "");
            con.createStatement().executeUpdate(
                "create table if not exists person (id bigint not null, name
varchar(45), expiration-date bigint, primary key (id))");
            allKeysStatement = con.prepareStatement("select id from person");
        } catch (SQLException e) {
            throw new RuntimeException(e);
        }
    }
}
```

```
@Override
    public synchronized void delete(Long key) {
        System.out.println("Delete:" + key);
        try {
            con.createStatement().executeUpdate(
                    format("delete from person where id = %s", key));
        } catch (SQLException e) {
            throw new RuntimeException(e);
       }
   }
    @Override
    public synchronized void store(Long key, MetadataAwareValue<Person> value) {
        try {
            con.createStatement().executeUpdate(
                    format("insert into person values(%s,'%s', %d)", key, value
.getValue().getName(), value.getExpirationTime()));
       } catch (SQLException e) {
            throw new RuntimeException(e);
       }
    }
   @Override
    public void storeAll(Map<Long, MetadataAwareValue<Person>> map) {
        for (Map.Entry<Long, MetadataAwareValue<Person>> entry : map.entrySet()) {
            store(entry.getKey(), entry.getValue());
       }
    }
   @Override
    public synchronized void deleteAll(Collection<Long> keys) {
        for (Long key : keys) {
            delete(key);
        }
    }
    @Override
    public synchronized MetadataAwareValue<Person> load(Long key) {
        try {
            ResultSet resultSet = con.createStatement().executeQuery(
                    format("select name, expiration-date from person where id =%s",
key));
            try {
                if (!resultSet.next()) {
                    return null;
                }
                String name = resultSet.getString(1);
                Long expirationDate = resultSet.getLong(2);
                return new MetadataAwareValue<>(new Person(key, name), expirationDate
);
```

```
} finally {
                resultSet.close();
            }
        } catch (SQLException e) {
            throw new RuntimeException(e);
        }
    }
    @Override
    public synchronized Map<Long, MetadataAwareValue<Person>> loadAll(Collection<Long>
keys) {
        Map<Long, MetadataAwareValue<Person>> result = new HashMap<>();
        for (Long key : keys) {
            result.put(key, load(key));
        }
        return result;
    }
    public Iterable<Long> loadAllKeys() {
        return new StatementIterable<Long>(allKeysStatement);
   }
}
```

0

For more MapStore/MapLoader code samples, see here.

Hazelcast supports read-through, write-through and write-behind persistence modes, which are explained in the subsections below.

### **Using Read-Through Persistence**

If an entry does not exist in memory when an application asks for it, Hazelcast asks the loader implementation to load that entry from the data store. If the entry exists there, the loader implementation gets it, hands it to Hazelcast, and Hazelcast puts it into memory. This is read-through persistence mode.

As you can remember from the introduction of this section, the IMap.get() method triggers the load() method in your MapLoader implementation if an entry does not exist in the memory. In this case, note that the IMap.get() method does not create backup copies for such entries, when the mode is read-through persistence: there is no need for backups for these entries since if the primary entry is lost, then a read for the key triggers the load() method and loads the entry from the persistence layer.

### Setting Write-Through Persistence

MapStore can be configured to be write-through by setting the write-delay-seconds property to **0**. This means the entries are put to the data store synchronously.

In this mode, when the map.put(key,value) call returns:

• MapStore.store(key,value) is successfully called so the entry is persisted.

- In-Memory entry is updated.
- In-Memory backup copies are successfully created on other cluster members (if backup-count is greater than 0).

If MapStore throws an exception then the exception is propagated to the original put or remove call in the form of RuntimeException.



There is a key difference in the behaviors of map.remove(key) and map.delete(key), i.e., the latter results in MapStore.delete(key) to be invoked whereas the former only removes the entry from IMap.

### Setting Write-Behind Persistence

You can configure MapStore as write-behind by setting the write-delay-seconds property to a value bigger than **0**. This means the modified entries will be put to the data store asynchronously after a configured delay.



In write-behind mode, Hazelcast coalesces updates on a specific key by default, which means it applies only the last update on that key. However, you can set MapStoreConfig.setWriteCoalescing() to FALSE and you can store all updates performed on a key to the data store.



When you set MapStoreConfig.setWriteCoalescing() to FALSE, after you reached pernode maximum write-behind-queue capacity, subsequent put operations will fail with ReachedMaxSizeException. This exception is thrown to prevent uncontrolled grow of write-behind queues. You can set per-node maximum capacity using the system property hazelcast.map.write.behind.queue.capacity. See the System Properties appendix for information on this property and how to set the system properties.

In write-behind mode, when the map.put(key,value) call returns:

- in-memory entry is updated
- in-memory backup copies are successfully created on the other cluster members (if backupcount is greater than 0)
- the entry is marked as dirty so that after write-delay-seconds, it can be persisted with MapStore.store(key,value) call
- and for fault tolerance, dirty entries are stored in a queue on the primary member and also on a back-up member.

The same behavior goes for the map.remove(key), the only difference is that MapStore.delete(key) is called when the entry will be deleted.

If MapStore throws an exception, then Hazelcast tries to store the entry again. If the entry still cannot be stored, a log message is printed and the entry is re-queued.

For batch write operations, which are only allowed in write-behind mode, Hazelcast calls the

MapStore.storeAll(map) and MapStore.deleteAll(collection) methods to do all writes in a single call.



If a map entry is marked as dirty, meaning that it is waiting to be persisted to the MapStore in a write-behind scenario, the eviction process forces the entry to be stored. This way you have control over the number of entries waiting to be stored, and thus you can prevent a possible OutOfMemory exception.



MapStore or MapLoader implementations should not use Hazelcast Map/Queue/MultiMap/List/Set operations. Your implementation should only work with your data store. Otherwise, you may get into deadlock situations.

Here is an example configuration:



The following are the descriptions of MapStore configuration elements and attributes:

- class-name: Name of the class implementing MapLoader and/or MapStore.
- write-delay-seconds: Number of seconds to delay to call the MapStore.store(key, value). If the value is zero then it is write-through, so the MapStore.store(key,value) method is called as soon as the entry is updated. Otherwise, it is write-behind; so the updates will be stored after the write-delay-seconds value by calling the Hazelcast.storeAll(map) method. Its default value is 0.
- write-batch-size: Used to create batch chunks when writing map store. In default mode, all map entries are tried to be written in one go. To create batch chunks, the minimum meaningful value for write-batch-size is 2. For values smaller than 2, it works as in default mode.
- write-coalescing: In write-behind mode, Hazelcast coalesces updates on a specific key by default; it applies only the last update on it. You can set this element to false to store all updates performed on a key to the data store.
- enabled: True to enable this map-store, false to disable. Its default value is true.
- initial-mode: Sets the initial load mode. LAZY is the default load mode, where load is asynchronous. EAGER means load is blocked till all partitions are loaded. See the Initializing Map on Startup section for more details.

#### Managing the Lifecycle of a MapLoader

With MapLoader (and MapStore which extends it), you can do the regular store and load operations. If you need to perform other operations on create or on destroy of a MapLoader, such as establishing a connection to a database or accessing to other Hazelcast maps, you need to implement the MapLoaderLifeCycleSupport interface. By implementing it, you will have the init() and destroy() methods.

The init() method initializes the MapLoader implementation. Hazelcast calls this method when the map is first created on a Hazelcast instance. The MapLoader implementation can initialize the required resources such as reading a configuration file or creating a database connection or accessing a Hazelcast instance.

The destroy() method is called during the graceful shutdown of a Hazelcast instance. You can override this method to cleanup the resources held by the MapLoader implementation, such as closing the database connections.

In summary, you need MapLoaderLifecycleSupport to perform actions on create and on destroy of a MapLoader.

See here to see this interface in action.

### **Storing Entries to Multiple Maps**

A configuration can be applied to more than one map using wildcards (see Using Wildcards), meaning that the configuration is shared among the maps. But MapStore does not know which entries to store when there is one configuration applied to multiple maps.

To store entries when there is one configuration applied to multiple maps, use Hazelcast's MapStoreFactory interface. Using the MapStoreFactory interface, MapStores for each map can be created when a wildcard configuration is used. Example code is shown below.

```
Config config = new Config();
MapConfig mapConfig = config.getMapConfig( "*" );
MapStoreConfig mapStoreConfig = mapConfig.getMapStoreConfig();
mapStoreConfig.setFactoryImplementation( new MapStoreFactory<Object, Object>() {
    @Override
    public MapLoader<Object, Object> newMapStore( String mapName, Properties
    properties ) {
        return null;
      }
});
```

To initialize the MapLoader implementation with the given map name, configuration properties and the Hazelcast instance, implement the MapLoaderLifecycleSupport interface which is described in the previous section.

### Initializing Map on Startup

To pre-populate the in-memory map when the map is first touched/used, use the MapLoader.loadAllKeys API.

If MapLoader.loadAllKeys returns NULL, then nothing will be loaded. Your MapLoader.loadAllKeys implementation can return all or some of the keys. For example, you may select and return only the keys which are most important to you that you want to load them while initializing the map. MapLoader.loadAllKeys is the fastest way of pre-populating the map since Hazelcast optimizes the loading process by having each cluster member load its owned portion of the entries.

The InitialLoadMode configuration parameter in the class MapStoreConfig has two values: LAZY and EAGER. If InitialLoadMode is set to LAZY, data is not loaded during the map creation. If it is set to EAGER, all the data is loaded while the map is created and everything becomes ready to use. Also, if you add indices to your map with the IndexConfig class or the addIndex method, then InitialLoadMode is overridden and MapStoreConfig behaves as if EAGER mode is on.

Here is the MapLoader initialization flow:

- When getMap() is first called from any member, initialization starts depending on the value of InitialLoadMode. If it is set to EAGER, initialization starts on all partitions as soon as the map is touched, i.e., all partitions are loaded when getMap is called. If it is set to LAZY, data is loaded partition by partition, i.e., each partition is loaded with its first touch.
- 2. Hazelcast calls MapLoader.loadAllKeys() to get all your keys on one of the members.
- 3. That member distributes keys to all other members in batches.
- 4. Each member loads values of all its owned keys by calling MapLoader.loadAll(keys).
- 5. Each member puts its owned entries into the map by calling IMap.putTransient(key,value).



If the load mode is LAZY and the clear() method is called (which triggers MapStore.deleteAll()), Hazelcast removes **ONLY** the loaded entries from your map and datastore. Since all the data is not loaded in this case (LAZY mode), please note that there may still be entries in your datastore.



If you do not want the MapStore start to load as soon as the first cluster member starts, you can use the system property hazelcast.initial.min.cluster.size. For example, if you set its value as 3, loading process will be blocked until all three members are completely up.



The return type of loadAllKeys() is changed from Set to Iterable with the release of Hazelcast 3.5. MapLoader implementations from previous releases are also supported and do not need to be adapted.

### Loading Keys Incrementally

If the number of keys to load is large, it is more efficient to load them incrementally rather than loading them all at once. To support incremental loading, the MapLoader.loadAllKeys() method returns an Iterable which can be lazily populated with the results of a database query.

Hazelcast iterates over the Iterable and, while doing so, sends out the keys to their respective owner members. The Iterator obtained from MapLoader.loadAllKeys() may also implement the Closeable interface, in which case Iterator is closed once the iteration is over. This is intended for releasing resources such as closing a JDBC result set.

### Forcing All Keys To Be Loaded

The method loadAll loads some or all keys into a data store in order to optimize the multiple load operations. The method has two signatures; the same method can take two different parameter lists. One signature loads the given keys and the other loads all keys. See the example code below.

```
final int numberOfEntriesToAdd = 1000;
final String mapName = LoadAll.class.getCanonicalName();
final Config config = createNewConfig(mapName);
final HazelcastInstance node = Hazelcast.newHazelcastInstance(config);
final IMap<Integer, Integer> map = node.getMap(mapName);
populateMap(map, numberOfEntriesToAdd);
System.out.printf("# Map store has %d elements\n", numberOfEntriesToAdd);
map.evictAll();
System.out.printf("# After evictAll map size\t: %d\n", map.size());
map.loadAll(true);
System.out.printf("# After loadAll map size\t: %d\n", map.size());
```

### Post-Processing Objects in Map Store

In some scenarios, you may need to modify the object after storing it into the map store. For example, you can get an ID or version auto-generated by your database and then need to modify your object stored in the distributed map, but not to break the synchronization between the database and the data grid.

To post-process an object in the map store, implement the PostProcessingMapStore interface to put the modified object into the distributed map. This triggers an extra step of Serialization, so use it only when needed. (This is only valid when using the write-through map store configuration.)

Here is an example of post processing map store:

```
class ProcessingStore implements MapStore<Integer, Employee>, PostProcessingMapStore {
    @Override
    public void store( Integer key, Employee employee ) {
        EmployeeId id = saveEmployee();
        employee.setId( id.getId() );
    }
}
```



Please note that if you are using a post-processing map store in combination with the entry processors, post-processed values will not be carried to backups.

### Accessing a Database Using Properties

You can prepare your own MapLoader to access a database such as Cassandra and MongoDB. For this, you can first declaratively specify the database properties in your hazelcast.xml configuration file and then implement the MapLoaderLifecycleSupport interface to pass those properties.

You can define the database properties, such as its URL and name, using the properties configuration element. The following is a configuration example for MongoDB:

After you specified the database properties in your configuration, you need to implement the MapLoaderLifecycleSupport interface and give those properties in the init() method, as shown below:

```
public class YourMapStoreImplementation implements MapStore<String, Supplement>,
MapLoaderLifecycleSupport {
    private MongoClient mongoClient;
    private MongoCollection collection;
    public YourMapStoreImplementation() {
    }
    @Override
    public void init(HazelcastInstance hazelcastInstance, Properties properties,
String mapName) {
        String mongoUrl = (String) properties.get("mongo.url");
        String dbName = (String) properties.get("mongo.db");
        String collectionName = (String) properties.get("mongo.collection");
        this.mongoClient = new MongoClient(new MongoClientURI(mongoUrl));
        this.collection = mongoClient.getDatabase(dbName).getCollection(
collectionName);
    }
```

See the full example here.

### MapStore and MapLoader Methods Triggered by IMap Operations

As it is explained in the above sections, you can configure Hazelcast maps to be backed by a map store to persist the entries. In this case many of the IMap methods call MapLoader or MapStore methods to load, store or remove data. This section summarizes these methods. Here are the Hazelcast IMap operations that may trigger the MapStore or MapLoader methods:

IMap Method	Impact on the MapStore/MapLoader			
flush()	If the map has a MapStore, this method flushes all the local dirty entries. It calls the MapStore.storeAll(Map) or MapStore.deleteAll(Collection) methods with the elements marked as dirty.			
<pre>• put() • putAll() • putAsync() • tryPut() • putIfAbsent ()</pre>	These methods are used to put entries to the map. They call the MapLoader.load(Object) method for each entry not found in the memory to load the value from the map store backing the map. They also call the MapStore.store(Object, Object) method for each entry, if write-through persistence mode is configured before the entry is added into the memory.			
<pre>• set() • setAsync()</pre>	These methods put an entry into the map without returning the old value. They call the MapStore.store(Object, Object) method if write-through persistence mode is configured before the entry is added into the memory, to write the value into the map store.			

IMap Method	Impact on the MapStore/MapLoader
remove()	Removes the mapping for a key from the map if it is present. It calls the MapLoader.load(Object) method if no value is found with key in the memory, to load the value from the map store backing the map. It also calls the MapStore.delete(Object) method if write-through persistence mode is configured before the value is removed from the memory, to remove the value from the map store.
<pre>• removeAll() • delete() • removeAsync () • tryRemove()</pre>	These methods are used to remove entries from the map for various conditions. They call the MapStore.delete(Object) method if write-through persistence mode is configured before the value is removed from the memory, to remove the value from the map store.
• setTtl	This method updates time-to-live of an existing entry. It calls the MapLoader.load(Object) method if no value is found in the memory. It also calls EntryStore.store(Object, MetadataAwareValue) with the entry whose time-to-live has been updated.
clear()	It clears the map and deletes the items from the backing map store. It calls the MapStore.deleteAll(Collection) method on each partition with the keys that the given partition stores.
replace()	It replaces the entry for a key only if currently mapped to a given value. It calls the MapStore.store(Object, Object) method if write-through persistence mode is configured before the value is stored in the memory, to write the value into the map store.
<ul> <li>executeOnKe y()</li> <li>executeOnKe ys()</li> <li>submitToKey ()</li> <li>executeOnAl lEntries()</li> </ul>	They call the MapLoader.load(Object) method if the value with key is not found in

# 7.2.9. Creating Near Cache for Map

The Hazelcast distributed map supports a local Near Cache for remotely stored entries to increase the performance of local read operations. See the Near Cache section for a detailed explanation of the Near Cache feature and its configuration.

# 7.2.10. Locking Maps

Hazelcast Distributed Map (IMap) is thread-safe to meet your thread safety requirements. When these requirements increase or you want to have more control on the concurrency, consider the Hazelcast solutions described here.

Consider the following example:

```
public class RacyUpdateMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IMap<String, Value> map = hz.getMap( "map" );
        String key = "1";
        map.put( key, new Value() );
        System.out.println( "Starting" );
        for ( int k = 0; k < 1000; k++ ) {
            if ( k % 100 == 0 ) System.out.println( "At: " + k );
            Value value = map.get( key );
            Thread.sleep( 10 );
            value.amount++;
            map.put( key, value );
        }
        System.out.println( "Finished! Result = " + map.get(key).amount );
   }
    static class Value implements Serializable {
        public int amount;
    }
}
```

If the above code is run by more than one cluster member simultaneously, a race condition is likely. You can solve this condition with Hazelcast using either pessimistic or optimistic locking.

### **Pessimistic Locking**

One way to solve the race issue is by using pessimistic locking - lock the map entry until you are finished with it.

To perform pessimistic locking, use the lock mechanism provided by the Hazelcast distributed map, i.e., the map.lock and map.unlock methods. See the below example code.

```
public class PessimisticUpdateMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IMap<String, Value> map = hz.getMap( "map" );
        String key = "1";
        map.put( key, new Value() );
        System.out.println( "Starting" );
        for ( int k = 0; k < 1000; k++ ) {</pre>
            map.lock( key );
            try {
                Value value = map.get( key );
                Thread.sleep( 10 );
                value.amount++;
                map.put( key, value );
            } finally {
                map.unlock( key );
            }
        }
        System.out.println( "Finished! Result = " + map.get( key ).amount );
   }
    static class Value implements Serializable {
        public int amount;
    }
}
```

The IMap lock will automatically be collected by the garbage collector when the lock is released and no other waiting conditions exist on the lock.

The IMap lock is reentrant, but it does not support fairness.

A

In some cases, a client application connected to your cluster may cause the entries in a map to remain locked after the application has been restarted (which were already locked before such a restart). This can be due to the reasons such as incomplete/incorrect client implementations. In these cases, you can unlock the entries, either from the thread which locked them using the IMap.unlock() method, or check if the entry is locked using the IMap.isLock() method and then call IMap.forceUnlock().



For the above case, as a workaround, you can also kill all the applications connected to the cluster and use the Management Center's scripting functionality to clear the map and release the locks (instead of using IMap.forceUnlock()). Keep in mind that the scripting functionality is limited to working with maps that have primitive key types, e.g., string keys and limited to relaying only a single string of output per member to the result panel in the Management Center.

Another way to solve the race issue is by acquiring a predictable Lock object from Hazelcast. This way, every value in the map can be given a lock, or you can create a stripe of locks.

### **Optimistic Locking**

In Hazelcast, you can apply the optimistic locking strategy with the map's replace method. This method compares values in object or data forms depending on the in-memory format configuration. If the values are equal, it replaces the old value with the new one. If you want to use your defined equals method, in-memory-format should be OBJECT. Otherwise, Hazelcast serializes objects to BINARY forms and compares them.

See the below example code.



The below example code is intentionally broken.

```
public class OptimisticMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IMap<String, Value> map = hz.getMap( "map" );
        String key = "1";
        map.put( key, new Value() );
        System.out.println( "Starting" );
        for ( int k = 0; k < 1000; k++ ) {</pre>
            if ( k % 10 == 0 ) System.out.println( "At: " + k );
            for (; ; ) {
                Value oldValue = map.get( key );
                Value newValue = new Value( oldValue );
                Thread.sleep( 10 );
                newValue.amount++;
                if ( map.replace( key, oldValue, newValue ) )
                    break;
            }
        }
        System.out.println( "Finished! Result = " + map.get( key ).amount );
   }
    static class Value implements Serializable {
        public int amount;
        public Value() {
        }
        public Value( Value that ) {
            this.amount = that.amount;
        }
        public boolean equals( Object o ) {
            if ( o == this ) return true;
            if ( !( o instanceof Value ) ) return false;
            Value that = ( Value ) o;
            return that.amount == this.amount;
       }
   }
}
```

### Pessimistic vs. Optimistic Locking

The locking strategy you choose depends on your locking requirements.

Optimistic locking is better for mostly read-only systems. It has a performance boost over pessimistic locking.

Pessimistic locking is good if there are lots of updates on the same key. It is more robust than optimistic locking from the perspective of data consistency.

In Hazelcast, use **IExecutorService** to submit a task to a key owner, or to a member or members. This is the recommended way to perform task executions, rather than using pessimistic or optimistic locking techniques. **IExecutorService** has fewer network hops and less data over wire, and tasks are executed very near to the data. See the Data Affinity section.

### Solving the ABA Problem

The ABA problem occurs in environments when a shared resource is open to change by multiple threads. Even if one thread sees the same value for a particular key in consecutive reads, it does not mean that nothing has changed between the reads. Another thread may change the value, do work and change the value back, while the first thread thinks that nothing has changed.

To prevent these kind of problems, you can assign a version number and check it before any write to be sure that nothing has changed between consecutive reads. Although all the other fields are equal, the version field will prevent objects from being seen as equal. This is the optimistic locking strategy; it is used in environments that do not expect intensive concurrent changes on a specific key.

In Hazelcast, you can apply the optimistic locking strategy with the map replace method.

### Lock Split-Brain Protection with Pessimistic Locking

Locks can be configured to check the number of currently present members before applying a locking operation. If the check fails, the lock operation fails with a SplitBrainProtectionException (see the Split-Brain Protection section). As pessimistic locking uses lock operations internally, it also uses the configured lock split-brain protection. This means that you can configure a lock split-brain protection with the same name or a pattern that matches the map name. Note that the split-brain protection for IMap locking actions can be different from the split-brain protection for other IMap actions.

The following actions check for lock split-brain protection before being applied:

- IMap.lock(K) and IMap.lock(K, long, java.util.concurrent.TimeUnit)
- IMap.isLocked()
- IMap.tryLock(K), IMap.tryLock(K, long, java.util.concurrent.TimeUnit) and IMap.tryLock(K, long, java.util.concurrent.TimeUnit, long, java.util.concurrent.TimeUnit)
- IMap.unlock()
- IMap.forceUnlock()
- MultiMap.lock(K) and MultiMap.lock(K, long, java.util.concurrent.TimeUnit)
- MultiMap.isLocked()
- MultiMap.tryLock(K), MultiMap.tryLock(K, long, java.util.concurrent.TimeUnit) and MultiMap.tryLock(K, long, java.util.concurrent.TimeUnit, long, java.util.concurrent.TimeUnit)
- MultiMap.unlock()
- MultiMap.forceUnlock()

An example of declarative configuration:

```
<hazelcast>
....
<map name="myMap">
.split-brain-protection-ref>map-actions-split-brain-protection</split-brain-
protection-ref>
</map>
<lock name="myMap">
</map>
```

Here the configured map uses the map-lock-actions-split-brain-protection for map lock actions and the map-actions-split-brain-protection for other map actions.

# 7.2.11. Accessing Map and Entry Statistics

You can retrieve the statistics of the map in your Hazelcast IMDG member using the getLocalMapStats() method, which is the programmatic approach. It returns information such as primary and backup entry count, last update time and locked entry count. If you need the cluster-wide map statistics, you can get the local map statistics from all members of the cluster and combine them. Alternatively, you can see the map statistics on the Hazelcast Management Center.

To be able to retrieve the map statistics, the statistics-enabled element under the map configuration should be set as true, which is the default value:

```
<hazelcast>
...
<map name="myMap">
<statistics-enabled>true</statistics-enabled>
</map>
...
</hazelcast>
```

When this element is set to false, the statistics are not gathered for the map and cannot be seen on the Hazelcast Management Center, nor retrieved by the getLocalMapStats() method.

Hazelcast also keeps statistics about each map entry, such as creation time, last update time, last access time, and number of hits and version. To access the map entry statistics, use an IMap.getEntryView(key) call. Here is an example.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
EntryView entry = hz.getMap( "quotes" ).getEntryView( "1" );
System.out.println ( "size in memory : " + entry.getCost() );
System.out.println ( "creationTime : " + entry.getCreationTime() );
System.out.println ( "expirationTime : " + entry.getExpirationTime() );
System.out.println ( "number of hits : " + entry.getHits() );
System.out.println ( "lastAccessedTime: " + entry.getLastAccessTime() );
System.out.println ( "lastUpdateTime : " + entry.getLastUpdateTime() );
System.out.println ( "version : " + entry.getVersion() );
System.out.println ( "key : " + entry.getKey() );
System.out.println ( "value : " + entry.getValue() );
```

### 7.2.12. Map Listener

See the Listening for Map Events section.

# 7.2.13. Listening to Map Entries with Predicates

You can listen to the modifications performed on specific map entries. You can think of it as an entry listener with predicates. See the Listening for Map Events section for information on how to add entry listeners to a map.



The default backwards-compatible event publishing strategy only publishes UPDATED events when map entries are updated to a value that matches the predicate with which the listener was registered. This implies that when using the default event publishing strategy, your listener is not notified about an entry whose value is updated from one that matches the predicate to a new value that does not match the predicate.

Since version configure 3.7, when you Hazelcast members with property hazelcast.map.entry.filtering.natural.event.types set to true, handling of entry updates conceptually treats value transition as entry, update or exit with regards to the predicate value space. The following table compares how a listener is notified about an update to a map entry value under the default backwards-compatible Hazelcast behavior (when property hazelcast.map.entry.filtering.natural.event.types is not set or is set to false) versus when set to true:

	Default	<pre>hazelcast.map.entry.filtering. natural.event.types = true</pre>
When old value matches predicate, new value does not match predicate	No event is delivered to entry listener	<b>REMOVED</b> event is delivered to entry listener
When old value matches predicate, new value matches predicate	UPDATED event is delivered to entry listener	UPDATED event is delivered to entry listener

When old value does not match predicate, new value does not match predicate	No event is delivered to entry listener	No event is delivered to entry listener
When old value does not match predicate, new value matches predicate	UPDATED event is delivered to entry listener	ADDED event is delivered to entry listener

As an example, let's listen to the changes made on an employee with the surname "Smith". First, let's create the Employee class.

```
public class Employee implements Serializable {
    private final String surname;
    public Employee(String surname) {
        this.surname = surname;
    }
    @Override
    public String toString() {
        return "Employee{" +
            "surname="" + surname + '\'' +
            '}';
    }
}
```

Then, let's create a listener with predicate by adding a listener that tracks ADDED, UPDATED and REMOVED entry events with the surname predicate.

```
public class ListenerWithPredicate {
    public static void main(String[] args) {
        Config config = new Config();
        config.setProperty("hazelcast.map.entry.filtering.natural.event.types", "true
");
        HazelcastInstance hz = Hazelcast.newHazelcastInstance(config);
        IMap<String, String> map = hz.getMap("map");
        map.addEntryListener(new MyEntryListener(),
                Predicates.sql("surname=smith"), true);
        System.out.println("Entry Listener registered");
    }
    static class MyEntryListener
            implements EntryAddedListener<String, String>,
            EntryUpdatedListener<String, String>,
            EntryRemovedListener<String, String> {
        @Override
        public void entryAdded(EntryEvent<String, String> event) {
            System.out.println("Entry Added:" + event);
        }
        @Override
        public void entryRemoved(EntryEvent<String, String> event) {
            System.out.println("Entry Removed:" + event);
        }
        @Override
        public void entryUpdated(EntryEvent<String, String> event) {
            System.out.println("Entry Updated:" + event);
        }
   }
}
```

And now, let's play with the employee "smith" and see how that employee is listened to.

```
public class Modify {
    public static void main(String[] args) {
        Config config = new Config();
        config.setProperty("hazelcast.map.entry.filtering.natural.event.types", "true
");
    HazelcastInstance hz = Hazelcast.newHazelcastInstance(config);
    IMap<String, Employee> map = hz.getMap("map");
    map.put("1", new Employee("smith"));
    map.put("2", new Employee("jordan"));
    System.out.println("done");
    System.exit(0);
    }
}
```

When you first run the class ListenerWithPredicate and then run Modify, an output similar to the one below appears.

entryAdded:EntryEvent {Address[192.168.178.10]:5702} key=1,oldValue=null, value=Person{name= smith }, event=ADDED, by Member [192.168.178.10]:5702



See the Continuous Query Cache section for more information.

## 7.2.14. Removing Map Entries in Bulk with Predicates

You can remove all map entries that match your predicate. For this, Hazelcast offers the method removeAll(). Its syntax is as follows:

```
void removeAll(Predicate<K, V> predicate);
```

Normally the map entries matching the predicate are found with a full scan of the map. If the entries are indexed, Hazelcast uses the index search to find them. With index, you can expect that finding the entries is faster.



When removeAll() is called, ALL entries in the caller member's Near Cache are also removed.

### 7.2.15. Adding Interceptors

You can add intercept operations and execute your own business logic synchronously blocking the operations. You can change the returned value from a get operation, change the value in put, or cancel operations by throwing an exception.

Interceptors are different from listeners. With listeners, you take an action after the operation has

been completed. Interceptor actions are synchronous and you can alter the behavior of operation, change its values, or totally cancel it.

Map interceptors are chained, so adding the same interceptor multiple times to the same map can result in duplicate effects. This can easily happen when the interceptor is added to the map at member initialization, so that each member adds the same interceptor. When you add the interceptor in this way, be sure to implement the hashCode() method to return the same value for every instance of the interceptor. It is not strictly necessary, but it is a good idea to also implement equals() as this ensures that the map interceptor can be removed reliably.

The IMap API has two methods for adding and removing an interceptor to the map: addInterceptor and removeInterceptor. See also the MapInterceptor interface to learn about the methods used to intercept the changes in a map.

The following is an example usage.

```
public class MapInterceptorMember {
    public static void main(String[] args) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IMap<String, String> map = hz.getMap("themap");
        map.addInterceptor(new MyMapInterceptor());
        map.put("1", "1");
        System.out.println(map.get("1"));
   }
    private static class MyMapInterceptor implements MapInterceptor {
        @Override
        public Object interceptGet(Object value) {
            return value + "-foo";
        }
        @Override
        public void afterGet(Object value) {
        }
        @Override
        public Object interceptPut(Object oldValue, Object newValue) {
            return null;
        }
        @Override
        public void afterPut(Object value) {
        }
        @Override
        public Object interceptRemove(Object removedValue) {
            return null;
        }
        @Override
        public void afterRemove(Object value) {
        }
   }
}
```

# 7.2.16. Preventing Out of Memory Exceptions

It is very easy to trigger an out of memory exception (OOME) with query-based map methods, especially with large clusters or heap sizes. For example, on a cluster with five members having 10 GB of data and 25 GB heap size per member, a single call of IMap.entrySet() fetches 50 GB of data and crashes the calling instance.

A call of IMap.values() may return too much data for a single member. This can also happen with a real query and an unlucky choice of predicates, especially when the parameters are chosen by a user of your application.

To prevent this, you can configure a maximum result size limit for query based operations. This is not a limit like SELECT \* FROM map LIMIT 100, which you can achieve by a Paging Predicate. A maximum result size limit for query based operations is meant to be a last line of defense to prevent your members from retrieving more data than they can handle.

The Hazelcast component which calculates this limit is the QueryResultSizeLimiter.

### Setting Query Result Size Limit

If the QueryResultSizeLimiter is activated, it calculates a result size limit per partition. Each QueryOperation runs on all partitions of a member, so it collects result entries as long as the member limit is not exceeded. If that happens, a QueryResultSizeExceededException is thrown and propagated to the calling instance.

This feature depends on an equal distribution of the data on the cluster members to calculate the result size limit per member. Therefore, there is a minimum value defined in QueryResultSizeLimiter.MINIMUM\_MAX\_RESULT\_LIMIT. Configured values below the minimum will be increased to the minimum.

### Local Pre-check

In addition to the distributed result size check in the QueryOperations, there is a local pre-check on the calling instance. If you call the method from a client, the pre-check is executed on the member that invokes the QueryOperations.

Since the local pre-check can increase the latency of a QueryOperation, you can configure how many local partitions should be considered for the pre-check, or you can deactivate the feature completely.

### Scope of Result Size Limit

Besides the designated query operations, there are other operations that use predicates internally. Those method calls throw the QueryResultSizeExceededException as well. See the following matrix for the methods that are covered by the query result size limit.

Method	MapProxyImpl	ClientMapProxyImpl	TransactionalMapProxy	ClientTxnMapProxy
values()	1	1	1	1
keySet()	1	1	<ul> <li>Image: A second s</li></ul>	1
entrySet()	1	1	n/a	n/a
values(predicate)	1	1	1	1
keySet(predicate)	1	1	1	1
entrySet(predicate)	1	1	n/a	n/a
localKeySet()	1	n/a	n/a	n/a
localKeySet(predicate)	1	n/a	n/a	n/a

Interfaces: IMap

TransactionalMap

### **Configuring Query Result Size**

The query result size limit is configured via the following system properties.

- hazelcast.query.result.size.limit: Result size limit for query operations on maps. This value defines the maximum number of returned elements for a single query result. If a query exceeds this number of elements, a QueryResultSizeExceededException is thrown.
- hazelcast.query.max.local.partition.limit.for.precheck: Maximum value of local partitions to trigger local pre-check for Predicates#alwaysTrue() query operations on maps.

See the System Properties appendix to see the full descriptions of these properties and how to set them.

# 7.3. Queue

Hazelcast distributed queue is an implementation of java.util.concurrent.BlockingQueue. Being distributed, Hazelcast distributed queue enables all cluster members to interact with it. Using Hazelcast distributed queue, you can add an item in one cluster member and remove it from another one.

## 7.3.1. Getting a Queue and Putting Items

Use the Hazelcast instance's getQueue method to get the queue, then use the queue's put method to put items into the queue.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
BlockingQueue<MyTask> queue = hazelcastInstance.getQueue( "tasks" );
queue.put( new MyTask() );
MyTask task = queue.take();
boolean offered = queue.offer( new MyTask(), 10, TimeUnit.SECONDS );
task = queue.poll( 5, TimeUnit.SECONDS );
if ( task != null ) {
    //process task
}
```

FIFO ordering applies to all queue operations across the cluster. The user objects (such as MyTask in the example above) that are enqueued or dequeued have to be Serializable.

Hazelcast distributed queue performs no batching while iterating over the queue. All items are copied locally and iteration occurs locally.

Hazelcast distributed queue uses ItemListener to listen to the events that occur when items are added to and removed from the queue. See the Listening for Item Events section for information on how to create an item listener class and register it.

## 7.3.2. Creating an Example Queue

The following example code illustrates a distributed queue that connects a producer and consumer.

### Putting Items on the Queue

Let's put one integer on the queue every second, 100 integers total.

```
public class ProducerMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IQueue<Integer> queue = hz.getQueue( "queue" );
        for ( int k = 1; k < 100; k++ ) {
            queue.put( k );
            System.out.println( "Producing: " + k );
            Thread.sleep(1000);
        }
        queue.put( -1 );
        System.out.println( "Producer Finished!" );
        }
}</pre>
```

**Producer** puts a **-1** on the queue to show that the **put**s are finished.

#### **Taking Items off the Queue**

Now, let's create a Consumer class to take a message from this queue, as shown below.

```
public class ConsumerMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IQueue<Integer> queue = hz.getQueue( "queue" );
        while ( true ) {
            int item = queue.take();
            System.out.println( "Consumed: " + item );
            if ( item == -1 ) {
                queue.put( -1 );
                break;
            }
            Thread.sleep( 5000 );
        }
        System.out.println( "Consumer Finished!" );
    }
}
```

As seen in the above example code, Consumer waits five seconds before it consumes the next message. It stops once it receives **-1**. Also note that Consumer puts **-1** back on the queue before the loop is ended.

When you first start **Producer** and then start **Consumer**, items produced on the queue will be consumed from the same queue.

### **Balancing the Queue Operations**

From the above example code, you can see that an item is produced every second and consumed every five seconds. Therefore, the consumer keeps growing. To balance the produce/consume operation, let's start another consumer. This way, consumption is distributed to these two consumers, as seen in the example outputs below.

The second consumer is started. After a while, here is the first consumer output:

```
Consumed 13
Consumed 15
Consumer 17
```

Here is the second consumer output:

```
Consumed 14
Consumed 16
Consumer 18
```

In the case of a lot of producers and consumers for the queue, using a list of queues may solve the queue bottlenecks. In this case, be aware that the order of the messages sent to different queues is not guaranteed. Since in most cases strict ordering is not important, a list of queues is a good solution.



The items are taken from the queue in the same order they were put on the queue. However, if there is more than one consumer, this order is not guaranteed.

### **ItemIDs When Offering Items**

Hazelcast gives an *itemId* for each item you offer, which is an incrementing sequence identification for the queue items. You should consider the following to understand the *itemId* assignment behavior:

- When a Hazelcast member has a queue and that queue is configured to have at least one backup, and that member is restarted, the itemId assignment resumes from the last known highest itemId before the restart; itemId assignment does not start from the beginning for the new items.
- When the whole cluster is restarted, the same behavior explained in the above consideration applies if your queue has a persistent data store (QueueStore). If the queue has QueueStore, the itemId for the new items are given, starting from the highest itemId found in the IDs returned by the method loadAllKeys. If the method loadAllKeys does not return anything, the itemIds starts from the beginning after a cluster restart.
- The above two considerations mean there are no duplicated *itemIds* in the memory or in the persistent data store.

## 7.3.3. Setting a Bounded Queue

A bounded queue is a queue with a limited capacity. When the bounded queue is full, no more items can be put into the queue until some items are taken out.

To turn a Hazelcast distributed queue into a bounded queue, set the capacity limit with the max-size property. You can set the max-size property in the configuration, as shown below. The max-size element specifies the maximum size of the queue. Once the queue size reaches this value, put operations are blocked until the queue size goes below max-size, which happens when a consumer removes items from the queue.

Let's set **10** as the maximum size of our example queue in Creating an Example Queue.

```
<hazelcast>
...
<queue name="queue">
.max-size>10</max-size>
...
</hazelcast>
```

When the producer is started, ten items are put into the queue and then the queue will not allow more put operations. When the consumer is started, it will remove items from the queue. This means that the producer can put more items into the queue until there are ten items in the queue again, at which point the put operation again becomes blocked.

In this example code, the producer is five times faster than the consumer. It will effectively always be waiting for the consumer to remove items before it can put more on the queue. For this example code, if maximum throughput is the goal, it would be a good option to start multiple consumers to prevent the queue from filling up.

# 7.3.4. Queueing with Persistent Datastore

Hazelcast allows you to load and store the distributed queue items from/to a persistent datastore using the interface QueueStore. If queue store is enabled, each item added to the queue is also stored at the configured queue store. When the number of items in the queue exceeds the memory limit, the subsequent items are persisted in the queue store, they are not stored in the queue memory.

The QueueStore interface enables you to store, load and delete queue items with methods like store, storeAll, load and delete. The following example class includes all of the QueueStore methods.

```
public class TheQueueStore implements QueueStore<Item> {
    @Override
   public void delete(Long key) {
        System.out.println("delete");
    }
   @Override
    public void store(Long key, Item value) {
        System.out.println("store");
    }
   @Override
    public void storeAll(Map<Long, Item> map) {
        System.out.println("store all");
    }
   @Override
    public void deleteAll(Collection<Long> keys) {
        System.out.println("deleteAll");
    }
   @Override
    public Item load(Long key) {
        System.out.println("load");
        return null;
    }
   @Override
    public Map<Long, Item> loadAll(Collection<Long> keys) {
        System.out.println("loadALL");
        return null;
   }
    @Override
    public Set<Long> loadAllKeys() {
        System.out.println("loadAllKeys");
        return null;
   }
}
```

Item must be serializable. The following is an example queue store configuration.

The following are the descriptions for each queue store property:

- **Binary**: By default, Hazelcast stores the queue items in serialized form, and before it inserts the queue items into the queue store, it deserializes them. If you are not reaching the queue store from an external application, you might prefer that the items be inserted in binary form. Do this by setting the binary property to true: then you can get rid of the deserialization step, which is a performance optimization. The binary property is false by default.
- **Memory Limit**: This is the number of items after which Hazelcast stores items only to the datastore. For example, if the memory limit is 1000, then the 1001st item is put only to the datastore. This feature is useful when you want to avoid out-of-memory conditions. If you want to always use memory, you can set it to Integer.MAX\_VALUE. The default number for memory-limit is 1000.
- **Bulk Load**: When the queue is initialized, items are loaded from QueueStore in bulks. Bulk load is the size of these bulks. The default value of bulk-load is 250.

## 7.3.5. Split-Brain Protection for Queue

Queues can be configured to check for a minimum number of available members before applying queue operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the protection types, that support split-brain protection checks:

- WRITE, READ\_WRITE
  - 。Collection.addAll()
  - Collection.removeAll(), Collection.retainAll()
  - BlockingQueue.offer(), BlockingQueue.add(), BlockingQueue.put()
  - 。 BlockingQueue.drainTo()
  - IQueue.poll(), Queue.remove(), IQueue.take()

- 。 BlockingQueue.remove()
- READ, READ\_WRITE
  - 。 Collection.clear()
  - Collection.containsAll(), BlockingQueue.contains()
  - 。Collection.isEmpty()
  - Collection.iterator(), Collection.toArray()
  - o Queue.peek(), Queue.element()
  - 。 Collection.size()
  - 。 BlockingQueue.remainingCapacity()

# 7.3.6. Configuring Queue

The following are examples of queue configurations. It includes the QueueStore configuration, which is explained in the Queueing with Persistent Datastore section.

### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <queue name="default">
        <max-size>0</max-size>
        <backup-count>1</backup-count>
        <async-backup-count>0</async-backup-count>
        <empty-queue-ttl>-1</empty-queue-ttl>
        <item-listeners>
            <item-listener>com.hazelcast.examples.ItemListener</item-listener>
        </item-listeners>
        <statistics-enabled>true</statistics-enabled>
        <queue-store>
            <class-name>com.hazelcast.QueueStoreImpl</class-name>
            <properties>
                <property name="binary">false</property></property>
                <property name="memory-limit">10000</property></property>
                <property name="bulk-load">500</property>
            </properties>
        </queue-store>
        <split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
    </queue>
    . . .
</hazelcast>
```

### **Programmatic Configuration:**

```
Config config = new Config();
QueueConfig queueConfig = config.getQueueConfig("default");
queueConfig.setName("MyQueue")
        .setBackupCount(1)
        .setMaxSize(0)
        .setStatisticsEnabled(true)
        .setStatisticsEnabled(true)
        .setSplitBrainProtectionName("splitbrainprotectionname");
queueConfig.getQueueStoreConfig()
        .setEnabled(true)
        .setClassName("com.hazelcast.QueueStoreImpl")
        .setProperty("binary", "false");
config.addQueueConfig(queueConfig);
```

Hazelcast distributed queue has one synchronous backup by default. By having this backup, when a cluster member with a queue goes down, another member having the backup of that queue will continue. Therefore, no items are lost. You can define the number of synchronous backups for a queue using the backup-count element in the declarative configuration. A queue can also have asynchronous backups: you can define the number of asynchronous backups using the asyncbackup-count element.

To set the maximum size of the queue, use the max-size element. To purge unused or empty queues after a period of time, use the empty-queue-ttl element. If you define a value (time in seconds) for the empty-queue-ttl element, then your queue will be destroyed if it stays empty or unused for the time in seconds that you give.

The following is the full list of queue configuration elements with their descriptions:

- max-size: Maximum number of items in the queue. It is used to set an upper bound for the queue. You will not be able to put more items when the queue reaches to this maximum size whether you have a queue store configured or not.
- backup-count: Number of synchronous backups. Queue is a non-partitioned data structure, so all entries of a queue reside in one partition. When this parameter is '1', it means there will be one backup of that queue in another member in the cluster. When it is '2', two members will have the backup.
- async-backup-count: Number of asynchronous backups.
- empty-queue-ttl: Used to purge unused or empty queues. If you define a value (time in seconds) for this element, then your queue will be destroyed if it stays empty or unused for that time.
- item-listeners: Adds listeners (listener classes) for the queue items. You can also set the attribute include-value to true if you want the item event to contain the item values. You can set local to true if you want to listen to the items on the local member.
- queue-store: Includes the queue store factory class name and the properties **binary**, **memory limit** and **bulk load**. See the Queueing with Persistent Datastore section.
- statistics-enabled: Specifies whether the statistics gathering is enabled for your queue. If set to false, you cannot collect statistics in your implementation (using getLocalQueueStats()) and also Hazelcast Management Center will not show them. Its default value is true.

• split-brain-protection-ref : Name of the split-brain protection configuration that you want this queue to use.

# 7.4. MultiMap

Hazelcast MultiMap is a specialized map where you can store multiple values under a single key. Just like any other distributed data structure implementation in Hazelcast, MultiMap is distributed and thread-safe.

Hazelcast MultiMap is not an implementation of java.util.Map due to the difference in method signatures. It supports most features of Hazelcast Map except for indexing, predicates and MapLoader/MapStore. Yet, like Hazelcast Map, entries are almost evenly distributed onto all cluster members. When a new member joins the cluster, the same ownership logic used in the distributed map applies.

# 7.4.1. Getting a MultiMap and Putting an Entry

The following example creates a MultiMap and puts items into it:

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
MultiMap<String , String > map = hazelcastInstance.getMultiMap( "map" );
map.put( "a", "1" );
map.put( "a", "2" );
map.put( "b", "3" );
System.out.println( "PutMember:Done" );
```

We use the getMultiMap method to create the MultiMap and then use the put method to put an entry into it.

Now let's print the entries in this MultiMap using the following code:

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
MultiMap<String, String> map = hazelcastInstance.getMultiMap("map");
map.put("a", "1");
map.put("a", "2");
map.put("b", "3");
System.out.printf("PutMember:Done");
for (String key: map.keySet()){
    Collection<String> values = map.get(key);
    System.out.printf("%s -> %s\n", key, values);
}
```

After you run ExampleMultiMap, run PrintMember. You will see the key a has two values, as shown below:

 $b \rightarrow [3]$ 

 $a \rightarrow [2, 1]$ 

Hazelcast MultiMap uses EntryListener to listen to events which occur when entries are added to, updated in or removed from the MultiMap. See the Listening for MultiMap Events section for information on how to create an entry listener class and register it.

## 7.4.2. Configuring MultiMap

When using MultiMap, the collection type of the values can be either **Set** or **List**. Configure the collection type with the valueCollectionType parameter. If you choose Set, duplicate and null values are not allowed in your collection and ordering is irrelevant. If you choose List, ordering is relevant and your collection can include duplicate and null values.

You can also enable statistics for your MultiMap with the statisticsEnabled parameter. If you enable statisticsEnabled, statistics can be retrieved with getLocalMultiMapStats() method.



Currently, eviction is not supported for the MultiMap data structure.

The following are the example MultiMap configurations.

#### **Declarative Configuration:**

```
<hazelcast>
....
<multimap name="default">
<backup-count>@</backup-count>
<br/><async-backup-count>1</async-backup-count>
<br/><async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count>1</async-backup-count=1*false*
<br/>>>com.hazelcast.examples.EntryListener</async-backup-count-protection-name</br/>/split-brain-protection-ref><br/><br/></async-backup-count-sets</a></a></a></a>
```

#### **Programmatic Configuration:**

```
MultiMapConfig mmConfig = new MultiMapConfig();
mmConfig.setName( "default" )
   .setBackupCount( 0 ).setAsyncBackupCount( 1 )
   .setValueCollectionType( "SET" )
   .setSplitBrainProtectionName( "splitbrainprotectionname" );
```

The following are the configuration elements and their descriptions:

- backup-count: Defines the number of synchronous backups. For example, if it is set to 1, backup of a partition will be placed on one other member. If it is 2, it will be placed on two other members.
- async-backup-count: The number of asynchronous backups. Behavior is the same as that of the backup-count element.
- statistics-enabled: Specifies whether the statistics gathering is enabled for your MultiMap. If set to false, you cannot collect statistics in your implementation (using getLocalMultiMapStats()) and also Hazelcast Management Center will not show them. Its default value is true.
- value-collection-type: Type of the value collection. It can be SET or LIST.
- entry-listeners: Lets you add listeners (listener classes) for the map entries. You can also set the attribute include-value to true if you want the item event to contain the entry values. You can set local to true if you want to listen to the entries on the local member.
- split-brain-protection-ref: Name of the split-brain protection configuration that you want this MultiMap to use. See the Split-Brain Protection for MultiMap and TransactionalMultiMap section.

## 7.4.3. Split-Brain Protection for MultiMap and TransactionalMultiMap

MultiMap & TransactionalMultiMap can be configured to check for a minimum number of available members before applying their operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods that support split-brain protection checks. The list is grouped by the protection types.

#### MultiMap:

- WRITE, READ\_WRITE:
  - 。 clear
  - 。 forceUnlock
  - 。 lock
  - 。 put
  - 。 remove
  - o tryLock
  - 。 unlock
- READ, READ\_WRITE:
  - 。 containsEntry
  - ontainsKey
  - 。 containsValue
  - entrySet
  - 。get
  - o isLocked
  - 。 keySet

- . localKeySet
- 。 size
- ovalueCount
- o values

TransactionalMultiMap:

- WRITE, READ\_WRITE:
  - $_{\circ}$  put
  - 。 remove
- READ, READ\_WRITE:
  - 。 size
  - 。get
  - o valueCount

#### **Configuring Split-Brain Protection**

Split-brain protection for MultiMap can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. Following is an example declarative configuration:

```
<hazelcast>
....
<multimap name="default">
....
<split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
....
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

## 7.5. Set

Hazelcast Set (ISet) is a distributed and concurrent implementation of java.util.Set. It has the following features:

- Hazelcast Set does not allow duplicate elements.
- Hazelcast Set does not preserve the order of elements.
- Hazelcast Set is a non-partitioned data structure: all the data that belongs to a set lives on one single partition in that member.
- Hazelcast Set cannot be scaled beyond the capacity of a single machine. Since the whole set lives on a single partition, storing a large amount of data on a single set may cause memory pressure. Therefore, you should use multiple sets to store a large amount of data. This way, all the sets are

spread across the cluster, sharing the load.

- A backup of Hazelcast Set is stored on a partition of another member in the cluster so that data is not lost in the event of a primary member failure.
- All items are copied to the local member and iteration occurs locally.
- The equals method implemented in Hazelcast Set uses a serialized byte version of objects, as opposed to java.util.HashSet.

## 7.5.1. Getting a Set and Putting Items

Use the HazelcastInstances getSet method to get the Set, then use the add method to put items into it.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
ISet<String> set = hz.getSet("set");
set.add("Tokyo");
set.add("Paris");
set.add("London");
set.add("New York");
System.out.println("Putting finished!");
```

Hazelcast Set uses ItemListener to listen to events that occur when items are added to and removed from the Set. See the Listening for Item Events section for information on how to create an item listener class and register it.

## 7.5.2. Configuring Set

The following are the example Hazelcast Set configurations.

#### **Declarative Configuration:**

```
<hazelcast>
....
<set name="default">
<br/>
<br/
```

#### **Programmatic Configuration:**

```
Config config = new Config();
CollectionConfig collectionSet = config.getSetConfig("MySet");
collectionSet.setBackupCount(1)
        .setMaxSize(10)
        .setSplitBrainProtectionName("splitbrainprotectionname");
```

Hazelcast Set configuration has the following elements:

- statistics-enabled: True (default) if statistics gathering is enabled on the Set, false otherwise.
- backup-count: Count of synchronous backups. Set is a non-partitioned data structure, so all entries of a Set reside in one partition. When this parameter is '1', it means there will be one backup of that Set in another member in the cluster. When it is '2', two members will have the backup.
- async-backup-count: Count of asynchronous backups.
- max-size: The maximum number of entries for this Set. It can be any number between 0 and Integer.MAX\_VALUE. Its default value is 0, meaning there is no capacity constraint.
- item-listeners: Lets you add listeners (listener classes) for the list items. You can also set the attributes include-value to true if you want the item event to contain the item values. You can set local to true if you want to listen to the items on the local member.
- split-brain-protection-ref: Name of the split-brain protection configuration that you want this Set to use. See the Split-Brain Protection for ISet and TransactionalSet section.

## 7.5.3. Split-Brain Protection for ISet and TransactionalSet

ISet & TransactionalSet can be configured to check for a minimum number of available members before applying queue operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the protection types, that support split-brain protection checks:

ISet:

- WRITE, READ\_WRITE:
  - 。add
  - 。addAll
  - 。 clear
  - 。 remove
  - 。 removeAll
- READ, READ\_WRITE:
  - contains
  - containsAll
  - o isEmpty
  - 。 iterator

- 。 size
- o toArray

TransactionalSet:

- WRITE, READ\_WRITE:
  - $^{\circ}$  add
  - 。 remove
- READ, READ\_WRITE:
  - 。 size

#### **Configuring Split-Brain Protection**

Split-brain protection for ISet can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. The following is an example declarative configuration:

```
<hazelcast>
...
<set name="default">
...
<split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

# 7.6. List

Hazelcast List (IList) is similar to Hazelcast Set, but it also allows duplicate elements.

- Besides allowing duplicate elements, Hazelcast List preserves the order of elements.
- Hazelcast List is a non-partitioned data structure where values and each backup are represented by their own single partition.
- Hazelcast List cannot be scaled beyond the capacity of a single machine.
- All items are copied to local and iteration occurs locally.



While IMap and ICache are the recommended data structures to be used by Hazelcast Jet, IList can also be used by it for unit testing or similar non-production situations. See here in the Hazelcast Jet Reference Manual to learn how Jet can use IList, e.g., how it can fill IList with data, consume it in a Jet job and drain the results to another IList. See also the Fast Batch Processing and Real-Time Stream Processing use cases for Hazelcast Jet.

## 7.6.1. Getting a List and Putting Items

Use the HazelcastInstances getList method to get the List, then use the add method to put items into it.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
IList<String> list = hz.getList("list");
list.add("Tokyo");
list.add("Paris");
list.add("London");
list.add("New York");
System.out.println("Putting finished!");
```

Hazelcast List uses ItemListener to listen to events that occur when items are added to and removed from the List. See the Listening for Item Events section for information on how to create an item listener class and register it.

## 7.6.2. Configuring List

The following are the example Hazelcast List configurations.

#### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <list name="default">
        <backup-count>1</backup-count>
        <async-backup-count>0</async-backup-count>
        <max-size>10</max-size>
        <item-listeners>
            <item-listener>
                com.hazelcast.examples.ItemListener
            </item-listener>
        </item-listeners>
        <split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
    </list>
    . . .
</hazelcast>
```

```
Config config = new Config();
CollectionConfig collectionList = config.getListConfig("MyList");
collectionList.setBackupCount(1)
        .setMaxSize(10)
        .setSplitBrainProtectionName("splitbrainprotectionname");
```

Hazelcast List configuration has the following elements:

- statistics-enabled: True (default) if statistics gathering is enabled on the list, false otherwise.
- backup-count: Number of synchronous backups. List is a non-partitioned data structure, so all entries of a List reside in one partition. When this parameter is '1', there will be one backup of that List in another member in the cluster. When it is '2', two members will have the backup.
- async-backup-count: Number of asynchronous backups.
- max-size: The maximum number of entries for this List.
- item-listeners: Lets you add listeners (listener classes) for the list items. You can also set the attribute include-value to true if you want the item event to contain the item values. You can set the attribute local to true if you want to listen the items on the local member.
- split-brain-protection-ref: Name of the split-brain protection configuration that you want this List to use. See the Split-Brain Protection for IList and TransactionalList section.

## 7.6.3. Split-Brain Protection for IList and TransactionalList

IList & TransactionalList can be configured to check for a minimum number of available members before applying queue operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the protection types, that support split-brain protection checks:

IList:

- WRITE, READ\_WRITE:
  - 。add
  - 。addAll
  - 。 clear
  - remove
  - 。 removeAll
  - 。set
- READ, READ\_WRITE:
  - $^{\circ}$  add
  - ontains
  - ontainsAll

- 。get
- . indexOf
- 。 isEmpty
- 。 iterator
- 。lastIndexOf
- . listIterator
- 。 size
- 。 subList
- toArray

TransactionalList:

- WRITE, READ\_WRITE:
  - 。add
  - remove
- READ, READ\_WRITE:
  - 。 size

### **Configuring Split-Brain Protection**

Split-brain protection for IList can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. Following is an example declarative configuration:

```
<hazelcast>
...
tist name="default">
.split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

# 7.7. Ringbuffer

Hazelcast Ringbuffer is a replicated but not partitioned data structure that stores its data in a ringlike structure. You can think of it as a circular array with a given capacity. Each Ringbuffer has a tail and a head. The tail is where the items are added and the head is where the items are overwritten or expired. You can reach each element in a Ringbuffer using a sequence ID, which is mapped to the elements between the head and tail (inclusive) of the Ringbuffer.

## 7.7.1. Getting a Ringbuffer and Reading Items

Reading from Ringbuffer is simple: get the Ringbuffer with the HazelcastInstance getRingbuffer method, get its current head with the headSequence method and start reading. Use the method readOne to return the item at the given sequence; readOne blocks if no item is available. To read the next item, increment the sequence by one.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
Ringbuffer<String> ringbuffer = hz.getRingbuffer("rb");
long sequence = ringbuffer.headSequence();
while(true){
    String item = ringbuffer.readOne(sequence);
    sequence++;
    // process item
}
```

By exposing the sequence, you can now move the item from the Ringbuffer as long as the item is still available. If the item is not available any longer, <a href="https://staleSequenceException">staleSequenceException</a> is thrown.

## 7.7.2. Adding Items to a Ringbuffer

Adding an item to a Ringbuffer is also easy with the Ringbuffer add method:

```
Ringbuffer<String> ringbuffer = hz.getRingbuffer("ExampleRB");
ringbuffer.add("someitem");
```

Use the method add to return the sequence of the inserted item; the sequence value is always unique. You can use this as a very cheap way of generating unique IDs if you are already using Ringbuffer.

## 7.7.3. IQueue vs. Ringbuffer

Hazelcast Ringbuffer can sometimes be a better alternative than an Hazelcast IQueue. Unlike IQueue, Ringbuffer does not remove the items, it only reads items using a certain position. There are many advantages to this approach as described below:

- The same item can be read multiple times by the same thread. This is useful for realizing semantics of read-at-least-once or read-at-most-once.
- The same item can be read by multiple threads. Normally you could use an IQueue per thread for the same semantic, but this is less efficient because of the increased remoting. A take from an IQueue is destructive, so the change needs to be applied for backup also, which is why a queue.take() is more expensive than a ringBuffer.read(...).
- Reads are extremely cheap since there is no change in the Ringbuffer. Therefore no replication is required.
- Reads and writes can be batched to speed up performance. Batching can dramatically improve the performance of Ringbuffer.

## 7.7.4. Configuring Ringbuffer Capacity

By default, a Ringbuffer is configured with a capacity of 10000 items. This creates an array with a size of 10000. If a time-to-live is configured, then an array of longs is also created that stores the expiration time for every item. In a lot of cases you may want to change this capacity number to something that better fits your needs.

Below is a declarative configuration example of a Ringbuffer with a capacity of 2000 items.

```
<hazelcast>
...
<ringbuffer name="rb">
<capacity>2000</capacity>
</ringbuffer>
...
</hazelcast>
```

Currently, Hazelcast Ringbuffer is not a partitioned data structure; its data is stored in a single partition and the replicas are stored in another partition. Therefore, create a Ringbuffer that can safely fit in a single cluster member.

## 7.7.5. Backing Up Ringbuffer

Hazelcast Ringbuffer has a single synchronous backup by default. You can control the Ringbuffer backup just like most of the other Hazelcast distributed data structures by setting the synchronous and asynchronous backups: backup-count and async-backup-count. In the example below, a Ringbuffer is configured with no synchronous backups and one asynchronous backup:

```
<hazelcast>
...
<ringbuffer name="rb">
.logic count>0</backup-count>
.async-backup-count>1</async-backup-count>
...
</ringbuffer>
...
</hazelcast>
```

An asynchronous backup probably gives you better performance. However, there is a chance that the item added will be lost when the member owning the primary crashes before the backup could complete. You may want to consider batching methods if you need high performance but do not want to give up on consistency.

## 7.7.6. Configuring Ringbuffer Time-To-Live

You can configure Hazelcast Ringbuffer with a time-to-live in seconds. Using this setting, you can control how long the items remain in the Ringbuffer before they are expired. By default, the time-to-live is set to 0, meaning that unless the item is overwritten, it will remain in the Ringbuffer

indefinitely. If you set a time-to-live and an item is added, then, depending on the Overflow Policy, either the oldest item is overwritten, or the call is rejected.

In the example below, a Ringbuffer is configured with a time-to-live of 180 seconds.

```
<hazelcast>
...
<ringbuffer name="rb">
...
<ringbuffer name="rb">
...
</ingbuffer>
...
</hazelcast>
```

## 7.7.7. Setting Ringbuffer Overflow Policy

Using the overflow policy, you can determine what to do if the oldest item in the Ringbuffer is not old enough to expire when more items than the configured Ringbuffer capacity are being added. The below options are currently available:

- OverflowPolicy.OVERWRITE: The oldest item is overwritten.
- OverflowPolicy.FAIL: The call is aborted. The methods that make use of the OverflowPolicy return -1 to indicate that adding the item has failed.

Overflow policy gives you fine control on what to do if the Ringbuffer is full. You can also use the overflow policy to apply a back pressure mechanism. The following example code shows the usage of an exponential backoff.

```
Random random = new Random();
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
Ringbuffer<Long> rb = hz.getRingbuffer("rb");
long i = 100;
while (true) {
   long sleepMs = 100;
    for (; ; ) {
        long result = rb.addAsync(i, OverflowPolicy.FAIL).toCompletableFuture().get();
        if (result != -1) {
            break;
        }
        TimeUnit.MILLISECONDS.sleep(sleepMs);
        sleepMs = min(5000, sleepMs * 2);
    }
    // add a bit of random delay to make it look a bit more realistic
    Thread.sleep(random.nextInt(10));
    System.out.println("Written: " + i);
    i++;
}
```

## 7.7.8. Ringbuffer with Persistent Datastore

Hazelcast allows you to load and store the Ringbuffer items from/to a persistent datastore using the interface RingbufferStore. If a Ringbuffer store is enabled, each item added to the Ringbuffer will also be stored at the configured Ringbuffer store.

If the Ringbuffer store is configured, you can get items with sequences which are no longer in the actual Ringbuffer but are only in the Ringbuffer store. This is probably much slower but still allows you to continue consuming items from the Ringbuffer even if they are overwritten with newer items in the Ringbuffer.

When a Ringbuffer is being instantiated, it checks if the Ringbuffer store is configured and requests the latest sequence in the Ringbuffer store. This is to enable the Ringbuffer to start with sequences larger than the ones in the Ringbuffer store. In this case, the Ringbuffer is empty but you can still request older items from it (which will be loaded from the Ringbuffer store).

The Ringbuffer store stores items in the same format as the Ringbuffer. If the BINARY in-memory format is used, the Ringbuffer store must implement the interface RingbufferStore<byte[]> meaning that the Ringbuffer receives items in the binary format. If the OBJECT in-memory format is used, the Ringbuffer store must implement the interface RingbufferStore<K>, where K is the type of item being stored (meaning that the Ringbuffer store receives the deserialized object).

When adding items to the Ringbuffer, the method **storeAll** allows you to store items in batches.

The following example class includes all of the RingbufferStore methods.

```
public class TheRingbufferObjectStore implements RingbufferStore<Item> {
    @Override
    public void store(long sequence, Item data) {
        System.out.println("Object store");
    }
    @Override
    public void storeAll(long firstItemSequence, Item[] items) {
        System.out.println("Object store all");
    }
    @Override
    public Item load(long sequence) {
        System.out.println("Object load");
        return null;
    }
    @Override
    public long getLargestSequence() {
        System.out.println("Object get largest sequence");
        return -1;
   }
}
```

Item must be serializable. The following is an example of a Ringbuffer with the Ringbuffer store configured and enabled.

```
<hazelcast>
...
</ringbuffer name="default">
</capacity>10000</capacity>
</time-to-live-seconds>30</time-to-live-seconds>
</backup-count>1</backup-count>
</async-backup-count>0</async-backup-count>
</async-backup-count>0</async-backup-count>
</in-memory-format>BINARY</in-memory-format>
</ringbuffer-store>
</ringbuffer-store>
</ringbuffer-store>
</ringbuffer-store>
</ringbuffer-store>
</ringbuffer>
....
</hazelcast>
```

The following are the explanations for the Ringbuffer store configuration elements:

- class-name: Name of the class implementing the 'RingbufferStore interface.
- factory-class-name: Name of the class implementing the RingbufferStoreFactory interface. This interface allows a factory class to be registered instead of a class implementing the

RingbufferStore interface.

Either the class-name or the factory-class-name element should be used.

## 7.7.9. Configuring Ringbuffer In-Memory Format

You can configure Hazelcast Ringbuffer with an in-memory format that controls the format of the Ringbuffer's stored items. By default, BINARY in-memory format is used, meaning that the object is stored in a serialized form. You can select the OBJECT in-memory format, which is useful when filtering is applied or when the OBJECT in-memory format has a smaller memory footprint than BINARY.

In the declarative configuration example below, a Ringbuffer is configured with the OBJECT inmemory format:

```
<hazelcast>
...
<ringbuffer name="rb">
...
<in-memory-format>OBJECT</in-memory-format>
</ringbuffer>
...
</hazelcast>
```

### 7.7.10. Configuring Split-Brain Protection for Ringbuffer

Ringbuffer can be configured to check for a minimum number of available members before applying Ringbuffer operations. This is a check to avoid performing successful Ringbuffer operations on all parts of a cluster during a network partition and can be configured using the element split-brain-protection-ref. You should set this element's value as the quorum's name, which you configured under the split-brain-protection element as explained in the Split-Brain Protection section. Following is an example snippet:

```
<hazelcast>
...
<ringbuffer name="rb">
<split-brain-protection-ref>quorumname</split-brain-protection-ref>
</ringbuffer>
...
</hazelcast>
```

The following is a list of methods, grouped by the protection types, that support split-brain protection checks:

- WRITE, READ\_WRITE:
  - 。add
  - addAllAsync
  - o addAsync

• READ, READ\_WRITE:

- 。 capacity
- headSequence
- or readManyAsync
- 。readOne
- 。 remainingCapacity
- 。 size
- 。 tailSequence

## 7.7.11. Adding Batched Items

In the previous examples, the method ringBuffer.add() is used to add an item to the Ringbuffer. The problems with this method are that it always overwrites and that it does not support batching. Batching can have a huge impact on the performance. You can use the method addAllAsync to support batching.

See the following example code.

```
List<String> items = Arrays.asList("1","2","3");
CompletionStage<Long> s = rb.addAllAsync(items, OverflowPolicy.OVERWRITE);
// block until all items are added
s.toCompletableFuture().join();
```

In the above case, three strings are added to the Ringbuffer using the policy OverflowPolicy.OVERWRITE. See the Overflow Policy section for more information.

## 7.7.12. Reading Batched Items

In the previous example, the readOne method read items from the Ringbuffer. readOne is simple but not very efficient for the following reasons:

- readOne does not use batching.
- readOne cannot filter items at the source; the items need to be retrieved before being filtered.

The method readManyAsync can read a batch of items and can filter items at the source.

See the following example code.

```
CompletionStage<ReadResultSet<E>> readManyAsync(
    long startSequence,
    int minCount,
    int maxCount,
    IFunction<E, Boolean> filter);
```

The meanings of the readManyAsync arguments are given below:

- startSequence: Sequence of the first item to read.
- minCount: Minimum number of items to read. If you do not want to block, set it to 0. If you want to block for at least one item, set it to 1.
- maxCount: Maximum number of the items to retrieve. Its value cannot exceed 1000.
- filter: A function that accepts an item and checks if it should be returned. If no filtering should be applied, set it to null.

A full example is given below.

```
long sequence = rb.headSequence();
for(;;) {
    CompletionStage<ReadResultSet<String>> f = rb.readManyAsync(sequence, 1, 10, null
);
    CompletionStage<Integer> readCountStage = f.thenApplyAsync(rs -> {
       for (String s : rs) {
           System.out.println(s);
        }
        return rs.readCount();
    });
    sequence += readCountStage.toCompletableFuture().join();
}
```

Please take a careful look at how your sequence is being incremented. You cannot always rely on the number of items being returned if the items are filtered out.

There is not any filtering applied in the above example. The following example shows how you can apply a filter when reading batched items. First, let's create our filter as shown below:

```
public class FruitFilter implements IFunction<String, Boolean> {
    public FruitFilter() {}
    public Boolean apply(String s) {
        return s.startsWith("a");
    }
}
```

So, the FruitFilter checks whether a String object starts with the letter "a". You can see this filter in action in the below example:

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
Ringbuffer<String> rb = hz.getRingbuffer("rb");
rb.add("apple");
rb.add("orange");
rb.add("pear");
rb.add("peach");
rb.add("avocado");
long sequence = rb.headSequence();
CompletableFuture<ReadResultSet<String>> f = rb.readManyAsync(sequence, 2, 5, new
FruitFilter()).toCompletableFuture();
ReadResultSet<String> rs = f.join();
for (String s : rs) {
    System.out.println(s);
}
```

## 7.7.13. Using Async Methods

Hazelcast Ringbuffer provides asynchronous methods for more powerful operations like batched writing or batched reading with filtering. To wait for the result of the operation in a blocking way, obtain a CompletableFuture from the returned CompletionStage by invoking CompletionStage#toCompletableFuture() method, then use either CompletableFuture#get() or CompletableFuture#join().

See the following example code.

```
CompletionStage<Long> f = ringbuffer.addAsync(item, OverflowPolicy.FAIL);
f.toCompletableFuture().get();
```

However, you can also use CompletionStage API to add subsequent dependent computation stages which will be executed when the operation has completed. This way the thread used for the call is not blocked until the response is returned.

See the below code as an example of when you want to get notified when a batch of reads has completed.

```
CompletionStage<ReadResultSet<String>> stage = rb.readManyAsync(sequence, min, max,
someFilter);
stage.whenCompleteAsync((response, throwable) -> {
    if (throwable == null) {
        for (String s : response) {
            System.out.println("Received:" + s);
        }
    } else {
        throwable.printStackTrace();
    }
});
```

## 7.7.14. Ringbuffer Configuration Examples

The following shows the declarative configuration of a Ringbuffer called rb. The configuration is modeled after the Ringbuffer defaults.

You can also configure a Ringbuffer programmatically. The following is a programmatic version of the above declarative configuration.

```
Config config = new Config();
RingbufferConfig rbConfig = config.getRingbufferConfig("myRB");
rbConfig.setCapacity(10000)
    .setBackupCount(1)
    .setAsyncBackupCount(0)
    .setTimeToLiveSeconds(0)
    .setInMemoryFormat(InMemoryFormat.BINARY)
    .setSplitBrainProtectionName("splitbrainprotectionname");
```

# 7.8. Topic

Hazelcast provides a distribution mechanism for publishing messages that are delivered to multiple subscribers. This is also known as a publish/subscribe (pub/sub) messaging model. Publishing and

subscribing operations are cluster wide. When a member subscribes to a topic, it is actually registering for messages published by any member in the cluster, including the new members that joined after you add the listener.



Publish operation is async. It does not wait for operations to run in remote members; it works as fire and forget.

## 7.8.1. Getting a Topic and Publishing Messages

Use the HazelcastInstance's **getTopic** method to get the topic, then use the topic's **publish** method to publish your messages. The following is an example publisher:

```
public class TopicPublisher {
    public static void main(String[] args) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        ITopic<Date> topic = hz.getTopic("topic");
        topic.publish(new Date());
    }
}
```

And here is an example subscriber:

```
public class TopicSubscriber {
    public static void main(String[] args) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        ITopic<Date> topic = hz.getTopic("topic");
        topic.addMessageListener(new MessageListenerImpl());
        System.out.println("Subscribed");
    }
    private static class MessageListenerImpl implements MessageListener<Date> {
        public void onMessage(Message<Date> m) {
            System.out.println("Received: " + m.getMessageObject());
        }
    }
}
```

Hazelcast Topic uses the MessageListener interface to listen for events that occur when a message is received. See the Listening for Topic Messages section for information on how to create a message listener class and register it.

## 7.8.2. Getting Topic Statistics

Topic has two statistic variables that you can query. These values are incremental and local to the

member.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
ITopic<Object> myTopic = hazelcastInstance.getTopic( "myTopicName" );
```

```
myTopic.getLocalTopicStats().getPublishOperationCount();
myTopic.getLocalTopicStats().getReceiveOperationCount();
```

getPublishOperationCount and getReceiveOperationCount returns the total number of published and received messages since the start of this member, respectively. Note that these values are not backed up, so if the member goes down, these values will be lost.

You can disable this feature with topic configuration. See the Configuring Topic section.



These statistics values can be also viewed in Management Center. See the Monitoring Topics section in Hazelcast Management Center Reference Manual.

### 7.8.3. Understanding Topic Behavior

Each cluster member has a list of all registrations in the cluster. When a new member is registered for a topic, it sends a registration message to all members in the cluster. Also, when a new member joins the cluster, it receives all registrations made so far in the cluster.

The behavior of a topic varies depending on the value of the configuration parameter globalOrderEnabled.

#### Ordering Messages as Published

If globalOrderEnabled is disabled, messages are not ordered and listeners (subscribers) process the messages in the order that the messages are published. If cluster member M publishes messages **m1, m2, m3, ..., mn** to a topic **T**, then Hazelcast makes sure that all of the subscribers of topic **T** receive and process **m1, m2, m3, ..., mn** in the given order.

Here is how it works: Let's say that we have three members (**member1**, **member2** and **member3**) and that **member1** and **member2** are registered to a topic named news. Note that all three members know that **member1** and **member2** are registered to news.

In this example, **member1** publishes two messages: a1 and a2. **Member3** publishes two messages: c1 and c2. When **member1** and **member3** publish a message, they check their local list for registered members, discover that **member1** and **member2** are in their lists, and then they fire messages to those members. One possible order of the messages received could be the following.

member1  $\rightarrow$  c1, a1, a2, c2

member2  $\rightarrow$  c1, c2, a1, a2

#### **Ordering Messages for Members**

If globalOrderEnabled is enabled, all members listening to the same topic get its messages in the

same order.

Here is how it works. Let's say that we have three members (**member1**, **member2** and **member3**) and that **member1** and **member2** are registered to a topic named news. Note that all three members know that **member1** and **member2** are registered to news.

In this example, **member1** publishes two messages: a1 and a2. **Member3** publishes two messages: c1 and c2. When a member publishes messages over the topic news, it first calculates which partition the news ID corresponds to. Then it sends an operation to the owner of the partition for that member to publish messages. Let's assume that news corresponds to a partition that **member2** owns. **member1** and **member3** first sends all messages to **member2**. Assume that the messages are published in the following order:

#### member1 $\rightarrow$ a1, c1, a2, c2

**member2** then publishes these messages by looking at registrations in its local list. It sends these messages to **member1** and **member2** (it makes a local dispatch for itself).

member1  $\rightarrow$  a1, c1, a2, c2

#### member $2 \rightarrow a1, c1, a2, c2$

This way we guarantee that all members see the events in the same order.

#### Keeping Generated and Published Order the Same

In both cases, there is a **StripedExecutor** in EventService that is responsible for dispatching the received message. For all events in Hazelcast, the order that events are generated and the order they are published to the user are guaranteed to be the same via this **StripedExecutor**.

In StripedExecutor, there are as many threads as are specified in the property hazelcast.event.thread.count (default is five). For a specific event source (for a particular topic name), hash of that source's name % 5 gives the ID of the responsible thread. Note that there can be another event source (entry listener of a map, item listener of a collection, etc.) corresponding to the same thread. In order not to make other messages to block, heavy processing should not be done in this thread. If there is time-consuming work that needs to be done, the work should be handed over to another thread. See the Getting a Topic and Publishing Messages section.

## 7.8.4. Configuring Topic

To configure a topic, set the topic name, decide on statistics and global ordering, and set the message listeners. The following are the default values:

- global-ordering is **false**, meaning that by default, there is no guarantee of global order.
- **statistics** is **true**, meaning that by default, statistics are calculated.

You can see the example configuration snippets below.

#### **Declarative Configuration:**

```
<hazelcast>
...
(topic name="yourTopicName">
(global-ordering-enabled>true</global-ordering-enabled>
(statistics-enabled>true</statistics-enabled>
(message-listeners>
(message-listener>MessageListenerImpl</message-listener>
(/message-listeners>
(/topic>
...
</hazelcast>
```

#### **Programmatic Configuration:**

```
TopicConfig topicConfig = new TopicConfig();
topicConfig.setGlobalOrderingEnabled( true );
topicConfig.setStatisticsEnabled( true );
topicConfig.setName( "yourTopicName" );
MessageListener<String> implementation = new MessageListener<String>() {
    @Override
    public void onMessage( Message<String> message ) {
        // process the message
     }
};
topicConfig.addMessageListenerConfig( new ListenerConfig( implementation ) );
HazelcastInstance instance = Hazelcast.newHazelcastInstance();
```

Topic configuration has the following elements:

- statistics-enabled: Specifies whether the statistics gathering is enabled for your topic. If set to false, you cannot collect statistics in your implementation (using getLocalTopicStats()) and also Hazelcast Management Center will not show them. Its default value is true.
- global-ordering-enabled: Default is false, meaning there is no global order guarantee.
- message-listeners: Lets you add listeners (listener classes) for the topic messages.

Besides the above elements, there are the following system properties that are topic related but not topic specific:

- hazelcast.event.queue.capacity with a default value of 1,000,000
- hazelcast.event.queue.timeout.millis with a default value of 250
- hazelcast.event.thread.count with a default value of 5

For the descriptions of these parameters, see the Global Event Configuration section.

# 7.9. Reliable Topic

Reliable Topic uses the same **ITopic** interface as a regular topic. The main difference is that Reliable Topic is backed up by the Ringbuffer data structure. The following are the advantages of this approach:

- Events are not lost since the Ringbuffer is configured with one synchronous backup by default.
- Each Reliable ITopic gets its own Ringbuffer; if a topic has a very fast producer, it will not lead to problems at topics that run at a slower pace.
- Since the event system behind a regular ITopic is shared with other data structures, e.g., collection listeners, you can run into isolation problems. This does not happen with the Reliable ITopic.

Here is an example for a publisher using Reliable Topic:

```
public class PublisherMember {
    public static void main(String[] args) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        Random random = new Random();
        ITopic<Long> topic = hz.getReliableTopic("sometopic");
        long messageId = 0;
        while (true) {
            topic.publish(messageId);
            messageId++;
            System.out.println("Written: " + messageId);
            sleepMillis(random.nextInt(100));
        }
    }
    public static boolean sleepMillis(int millis) {
        try {
            MILLISECONDS.sleep(millis);
        } catch (InterruptedException e) {
            Thread.currentThread().interrupt();
            return false;
        }
        return true;
    }
}
```

And the following is an example for the subscriber:

```
public class SubscribedMember {
    public static void main(String[] args) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        ITopic<Long> topic = hz.getReliableTopic("sometopic");
        topic.addMessageListener(new MessageListenerImpl());
    }
    private static class MessageListenerImpl implements MessageListener<Long> {
        public void onMessage(Message<Long> m) {
            System.out.println("Received: " + m.getMessageObject());
        }
    }
}
```

When you create a Reliable Topic, Hazelcast automatically creates a Ringbuffer for it. You may configure this Ringbuffer by adding a Ringbuffer config with the same name as the Reliable Topic. For instance, if you have a Reliable Topic with the name "sometopic", you should add a Ringbuffer config with the name "sometopic" to configure the backing Ringbuffer. Some of the things that you may configure are the capacity, the time-to-live for the topic messages, and you can even add a Ringbuffer store which allows you to have a persistent topic. By default, a Ringbuffer does not have any TTL (time-to-live) and it has a limited capacity; you may want to change that configuration. The following is an example configuration for the "sometopic" given above.

See the Configuring Reliable Topic section below for the descriptions of all Reliable Topic configuration elements.

By default, the Reliable ITopic uses a shared thread pool. If you need a better isolation, you can configure a custom executor on the ReliableTopicConfig.

Because the reads on a Ringbuffer are not destructive, batching is easy to apply. **ITopic** uses read batching and reads ten items at a time (if available) by default. See Reading Batched Items for more information.

### 7.9.1. Slow Consumers

The Reliable ITopic provides control and a way to deal with slow consumers. It is unwise to keep events for a slow consumer in memory indefinitely since you do not know when the slow consumer is going to catch up. You can control the size of the Ringbuffer by using its capacity. For the cases when a Ringbuffer runs out of its capacity, you can specify the following policies for the TopicOverloadPolicy configuration:

- DISCARD\_OLDEST: Overwrite the oldest item, even if a TTL is set. In this case the fast producer supersedes a slow consumer.
- DISCARD\_NEWEST: Discard the newest item.
- BLOCK: Wait until the items are expired in the Ringbuffer.
- ERROR: Immediately throw TopicOverloadException if there is no space in the Ringbuffer.

## 7.9.2. Configuring Reliable Topic

The following are example Reliable Topic configurations.

#### **Declarative Configuration:**

```
<hazelcast>
....
<reliable-topic name="default">
</reliable-topic name="default"
</reliable-t
```

#### **Programmatic Configuration:**

```
Config config = new Config();
ReliableTopicConfig rtConfig = config.getReliableTopicConfig( "default" );
rtConfig.setTopicOverloadPolicy( TopicOverloadPolicy.BLOCK )
    .setReadBatchSize( 10 )
    .setStatisticsEnabled( true );
```

Reliable Topic configuration has the following elements:

• statistics-enabled: Specifies whether the statistics gathering is enabled for your Reliable Topic. If set to false, you cannot collect statistics in your implementation and also Hazelcast Management Center will not show them. Its default value is true.

- message-listener: Message listener class that listens to the messages when they are added or removed.
- read-batch-size: Minimum number of messages that Reliable Topic tries to read in batches. Its default value is 10.
- topic-overload-policy: Policy to handle an overloaded topic. Available values are DISCARD\_OLDEST, DISCARD\_NEWEST, BLOCK and ERROR. Its default value is BLOCK. See Slow Consumers for definitions of these policies.

# 7.10. FencedLock



FencedLock is a member of CP Subsystem API. For detailed information, see the CP Subsystem chapter.

FencedLock is a linearizable and distributed implementation of java.util.concurrent.locks.Lock, meaning that if you lock using a FencedLock, the critical section that it guards is guaranteed to be executed by only one thread in the entire cluster. Even though locks are great for synchronization, they can lead to problems if not used properly. Also note that Hazelcast Lock does not support fairness.



For detailed information and configuration, see the FencedLock section under the CP Subsystem chapter.

## 7.10.1. Using Try-Catch Blocks with Locks

Always use locks with **try-catch** blocks. This ensures that locks are released if an exception is thrown from the code in a critical section. Also note that the **lock** method is outside the **try-catch** block because we do not want to unlock if the lock operation itself fails.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
Lock lock = hazelcastInstance.getCPSubsystem().getLock("myLock");
lock.lock();
try {
    // do something here
} finally {
    lock.unlock();
}
```

## 7.10.2. Releasing Locks with tryLock Timeout

If a lock is not released in the cluster, another thread that is trying to get the lock can wait forever. To avoid this, use tryLock with a timeout value. You can set a high value (normally it should not take that long) for tryLock. You can check the return value of tryLock as follows:

```
if ( lock.tryLock ( 10, TimeUnit.SECONDS ) ) {
   try {
      // do some stuff here..
   } finally {
      lock.unlock();
   }
} else {
   // warning
}
```

## 7.10.3. Understanding Lock Behavior

- Locks are fail-safe. If a member holds a lock and some other members go down, the cluster will keep your locks safe and available. Moreover, when a member leaves the cluster, all the locks acquired by that dead member will be removed so that those locks are immediately available for live members.
- Locks are not automatically removed. If a lock is not used anymore, Hazelcast does not automatically perform garbage collection in the lock. This can lead to an OutOfMemoryError. If you create locks on the fly, make sure they are destroyed.
- Locks are re-entrant. The same thread can lock multiple times on the same lock. Note that for other threads to be able to require this lock, the owner of the lock must call unlock as many times as the owner called lock.

# 7.11. IAtomicLong



IAtomicLong is a member of CP Subsystem API. For detailed information, see the CP Subsystem chapter.

Hazelcast IAtomicLong is the distributed implementation of java.util.concurrent.atomic.AtomicLong. It offers most of AtomicLong's operations such as get, set, getAndSet, compareAndSet and incrementAndGet. Since IAtomicLong is a distributed implementation, these operations involve remote calls and thus their performances differ from AtomicLong.

The following example code creates an instance, increments it by a million and prints the count.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
IAtomicLong counter = hazelcastInstance.getCPSubsystem().getAtomicLong( "counter" );
for ( int k = 0; k < 1000 * 1000; k++ ) {
    if ( k % 500000 == 0 ) {
        System.out.println( "At: " + k );
    }
    counter.incrementAndGet();
}
System.out.printf( "Count is %s\n", counter.get() );
```

When you start other instances with the code above, you will see the count as **member count** times **a million**.

## 7.11.1. Sending Functions to IAtomicLong

You can send functions to an IAtomicLong. IFunction is a Hazelcast owned, single method interface. The following example IFunction implementation adds two to the original value.

```
private static class Add2Function implements IFunction<Long, Long> {
    @Override
    public Long apply( Long input ) {
        return input + 2;
    }
}
```

## 7.11.2. Executing Functions on IAtomicLong

You can use the following methods to execute functions on IAtomicLong:

- apply: Applies the function to the value in IAtomicLong without changing the actual value and returning the result.
- alter: Alters the value stored in the IAtomicLong by applying the function. It does not send back a result.
- alterAndGet: Alters the value stored in the IAtomicLong by applying the function, storing the result in the IAtomicLong and returning the result.
- getAndAlter: Alters the value stored in the IAtomicLong by applying the function and returning the original value.

The following example includes these methods.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
IAtomicLong atomicLong = hazelcastInstance.getCPSubsystem().getAtomicLong( "counter"
);
atomicLong.set( 1 );
long result = atomicLong.apply( new Add2Function() );
System.out.println( "apply.result: " + result);
System.out.println( "apply.value: " + atomicLong.get() );
atomicLong.set( 1 );
atomicLong.alter( new Add2Function() );
System.out.println( "alter.value: " + atomicLong.get() );
atomicLong.set( 1 );
result = atomicLong.alterAndGet( new Add2Function() );
System.out.println( "alterAndGet.result: " + result );
System.out.println( "alterAndGet.value: " + atomicLong.get() );
atomicLong.set( 1 );
result = atomicLong.getAndAlter( new Add2Function() );
System.out.println( "getAndAlter.result: " + result );
System.out.println( "getAndAlter.value: " + atomicLong.get() );
```

The output of the above class when run is as follows:

apply.result: 3
apply.value: 1
alter.value: 3
alterAndGet.result: 3
alterAndGet.value: 3
getAndAlter.result: 1
getAndAlter.value: 3

## 7.11.3. Reasons to Use Functions with IAtomicLong

The reason for using a function instead of a simple code line like atomicLong.set(atomicLong.get() +
2)); is that the IAtomicLong read and write operations are not atomic. Since IAtomicLong is a
distributed implementation, those operations can be remote ones, which may lead to race
problems. By using functions, the data is not pulled into the code, but the code is sent to the data.
This makes it more scalable.

# 7.12. ISemaphore



ISemaphore is a member of CP Subsystem API. For detailed information, see the CP Subsystem chapter.

Hazelcast ISemaphore is the distributed implementation of java.util.concurrent.Semaphore.

## 7.12.1. Controlling Thread Counts with Permits

Semaphores offer **permit**s to control the thread counts when performing concurrent activities. To execute a concurrent activity, a thread grants a permit or waits until a permit becomes available. When the execution is completed, the permit is released.



ISemaphore with a single permit may be considered as a lock. Unlike the locks, when semaphores are used, any thread can release the permit depending on the configuration, and semaphores can have multiple permits. For more information, see the Semaphore Configuration section.



Hazelcast ISemaphore does not support fairness at all times. There are some edge cases where the fairness is not honored, e.g., when the permit becomes available at the time when an internal timeout occurs.

When a permit is acquired on ISemaphore:

- If there are permits, the number of permits in the semaphore is decreased by one and the calling thread performs its activity. If there is contention, the longest waiting thread acquires the permit before all other threads.
- If no permits are available, the calling thread blocks until a permit becomes available. When a timeout happens during this block, the thread is interrupted.

## 7.12.2. Example Semaphore Code

The following example code uses an IAtomicLong resource 1000 times, increments the resource when a thread starts to use it and decrements it when the thread completes.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
ISemaphore semaphore = hazelcastInstance.getCPSubsystem().getSemaphore( "semaphore" );
IAtomicLong resource = hazelcastInstance.getCPSubsystem().getAtomicLong( "resource" );
for ( int k = 0 ; k < 1000 ; k++ ) {</pre>
    System.out.println( "At iteration: " + k + ", Active Threads: " + resource.get()
);
    semaphore.acquire();
    try {
        resource.incrementAndGet();
        Thread.sleep( 1000 );
        resource.decrementAndGet();
    } finally {
        semaphore.release();
    }
}
System.out.println("Finished");
```

If you execute the above SemaphoreMember class 5 times, the following output appears:

```
At iteration: 0, Active Threads: 1
```

```
At iteration: 1, Active Threads: 2
At iteration: 2, Active Threads: 3
At iteration: 3, Active Threads: 3
At iteration: 4, Active Threads: 3
```

As you can see, the maximum count of concurrent threads is equal or smaller than three. If you remove the semaphore acquire/release statements in SemaphoreMember, you will see that there is no limitation on the number of concurrent usages.

# 7.13. IAtomicReference



IAtomicReference is a member of CP Subsystem API. For detailed information, see the CP Subsystem chapter.

The IAtomicLong is very useful if you need to deal with a long, but in some cases you need to deal with a reference. That is why Hazelcast also supports the IAtomicReference which is the distributed version of the java.util.concurrent.atomic.AtomicReference.

Here is an IAtomicReference example.

```
Config config = new Config();
HazelcastInstance hz = Hazelcast.newHazelcastInstance(config);
IAtomicReference<String> ref = hz.getCPSubsystem().getAtomicReference("reference");
ref.set("foo");
System.out.println(ref.get());
System.exit(0);
```

When you execute the above example, the output is as follows:

foo

## 7.13.1. Sending Functions to IAtomicReference

Just like IAtomicLong, IAtomicReference has methods that accept a 'function' as an argument, such as alter, alterAndGet, getAndAlter and apply. There are two big advantages of using these methods:

- From a performance point of view, it is better to send the function to the data than the data to the function. Often the function is a lot smaller than the data and therefore cheaper to send over the line. Also the function only needs to be transferred once to the target machine and the data needs to be transferred twice.
- You do not need to deal with concurrency control. If you would perform a load, transform, store, you could run into a data race since another thread might have updated the value you are about to overwrite.

## 7.13.2. Using IAtomicReference

The following are some considerations you need to know when you use IAtomicReference:

- IAtomicReference works based on the byte-content and not on the object-reference. If you use the compareAndSet method, do not change to the original value because its serialized content will then be different. It is also important to know that if you rely on Java serialization, sometimes (especially with hashmaps) the same object can result in different binary content.
- All methods returning an object return a private copy. You can modify the private copy, but the rest of the world is shielded from your changes. If you want these changes to be visible to the rest of the world, you need to write the change back to the IAtomicReference; but be careful about introducing a data-race.
- The 'in-memory format' of an IAtomicReference is binary. The receiving side does not need to have the class definition available unless it needs to be deserialized on the other side, e.g., because a method like 'alter' is executed. This deserialization is done for every call that needs to have the object instead of the binary content, so be careful with expensive object graphs that need to be deserialized.
- If you have an object with many fields or an object graph and you only need to calculate some information or need a subset of fields, you can use the apply method. With the apply method, the whole object does not need to be sent over the line; only the information that is relevant is sent.

# 7.14. ICountDownLatch



ICountDownLatch is a member of CP Subsystem API. For detailed information, see the CP Subsystem chapter.

HazelcastICountDownLatchisthedistributedimplementationofjava.util.concurrent.CountDownLatchBut unlike Java's implementation, Hazelcast's ICountDownLatchcount can be reset after a countdown has finished, but not during an active count.

## 7.14.1. Gate-Keeping Concurrent Activities

**ICountDownLatch** is considered to be a gate keeper for concurrent activities. It enables the threads to wait for other threads to complete their operations. The following examples describe the mechanism of ICountDownLatch.

Assume that there is a leader process and there are follower processes that will wait until the leader completes. Here is the leader:

```
public class Leader {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
        ICountDownLatch latch = hazelcastInstance.getCPSubsystem().getCountDownLatch(
"countDownLatch" );
        System.out.println( "Starting" );
        latch.trySetCount( 1 );
        Thread.sleep( 30000 );
        latch.countDown();
        System.out.println( "Leader finished" );
        latch.destroy();
    }
}
```

Since only a single step is needed to be completed as a sample, the above code initializes the latch with 1. Then, the code sleeps for a while to simulate a process and starts the countdown. Finally, it clears up the latch. Let's write a follower:

```
public class Follower {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
        ICountDownLatch latch = hazelcastInstance.getCPSubsystem().getCountDownLatch(
"countDownLatch" );
        System.out.println( "Waiting" );
        boolean success = latch.await( 10, TimeUnit.SECONDS );
        System.out.println( "Complete: " + success );
    }
}
```

The follower class above first retrieves ICountDownLatch and then calls the await method to enable the thread to listen for the latch. The method await has a timeout value as a parameter. This is useful when the countDown method fails. To see ICountDownLatch in action, start the leader first and then start one or more followers. You will see that the followers wait until the leader completes.

# 7.15. PN Counter

A Conflict-free Replicated Data Type (CRDT) is a distributed data structure that achieves high availability by relaxing consistency constraints. There may be several replicas for the same data and these replicas can be modified concurrently without coordination. This means that you may achieve high throughput and low latency when updating a CRDT data structure. On the other hand, all of the updates are replicated asynchronously. Each replica then receives updates made on other replicas eventually and if no new updates are done, all replicas which can communicate to each other return the same state (converge) after some time.

Hazelcast offers a lightweight CRDT PN counter (Positive-Negative Counter) implementation where each Hazelcast instance can increment and decrement the counter value and these updates are propagated to all replicas. Only a Hazelcast member can store state for a counter which means that counter method invocations performed on a Hazelcast member are usually local (depending on the configured replica count). If there is no member failure, it is guaranteed that each replica sees the final value of the counter eventually. Counter's state converges with each update and all CRDT replicas that can communicate to each other will eventually have the same state.

Using the PN Counter, you can get a distributed counter, increment and decrement it, and query its value with RYW (read-your-writes) and monotonic reads. The implementation borrows most methods from the AtomicLong which should be familiar in most cases and easily interchangeable in the existing code.

Some examples of PN counter are:

- counting the number of "likes" or "+1"
- counting the number of logged in users
- counting the number of page hits/views.

#### How it works

The counter supports adding and subtracting values as well as retrieving the current counter value. Each replica of this counter can perform operations locally without coordination with the other replicas, thus increasing availability. The counter guarantees that whenever two members have received the same set of updates, possibly in a different order, their state is identical, and any conflicting updates are merged automatically. If no new updates are made to the shared state, all members that can communicate will eventually have the same data.

The updates to the counter are applied locally when invoked on a CRDT replica. A CRDT replica can be any Hazelcast instance **which is NOT a client or a lite member**. You can configure the number of replicas in the cluster using the replica-count configuration element.

When invoking updates from a non-replica instance, the invocation is remote. This may lead to indeterminate state - the update may be applied but the response has not been received. In this case, the caller is notified with a TargetDisconnectedException when invoked from a client or a MemberLeftException when invoked from a member.

The read and write methods provide monotonic read and RYW (read-your-write) guarantees. These guarantees are session guarantees which mean that if no replica with the previously observed state is reachable, the session guarantees are lost and the method invocation throws a ConsistencyLostException. This does not mean that an update is lost. All of the updates are part of some replica and eventually reflected in the state of all other replicas. This exception just means that you cannot observe your own writes because all replicas that contain your updates are currently unreachable. After you have received a ConsistencyLostException, you can either wait for a sufficiently up-to-date replica to become reachable in which case the session can be continued or you can reset the session by calling the method `reset(). If you have called this method, a new session is started with the next invocation to a CRDT replica.



The CRDT state is kept entirely on non-lite (data) members. If there aren't any and the methods here are invoked on a lite member, they fail with a NoDataMemberInClusterException.

The following is an example code.

```
final HazelcastInstance instance = Hazelcast.newHazelcastInstance();
final PNCounter counter = instance.getPNCounter("counter");
counter.addAndGet(5);
final long value = counter.get();
```

This code snippet creates an instance of a PN counter, increments it by 5 and retrieves the value.

## 7.15.1. Configuring PN Counter

Following is an example declarative configuration snippet:

```
<hazelcast>
....

counter name="default">
    ceplica-count>10</replica-count>
        <statistics-enabled>true</statistics-enabled>
    </pn-counter>
...
</hazelcast>
```

PN Counter has the following configuration elements:

- name: Name of your PN Counter.
- replica-count: Number of replicas on which state for this PN counter is kept. This number applies in quiescent state, if there are currently membership changes or clusters are merging, the state may be temporarily kept on more replicas. Its default value is Integer.MAX\_VALUE. Generally, keeping the state on more replicas means that more Hazelcast members are able to perform updates locally but it also means that the PN counter state is kept on more replicas, increasing the network traffic, decreasing the speed at which replica states converge and increasing the size of the PN counter state kept on each replica.
- statistics-enabled: Specifies whether the statistics gathering is enabled for your PN Counter. If set to false, you cannot collect statistics in your implementation (using getLocalPNCounterStats()) and also Hazelcast Management Center will not show them. Its default value is true.

Following is an equivalent snippet of Java configuration:

```
PNCounterConfig pnCounterConfig = new PNCounterConfig("default")
        .setReplicaCount(10)
        .setStatisticsEnabled(true);
Config hazelcastConfig = new Config()
        .addPNCounterConfig(pnCounterConfig);
```

# 7.15.2. Configuring the CRDT Replication Mechanism



Configuring the replication mechanism is for advanced use cases only - usually the default configuration works fine for most cases.

In some cases, you may want to configure the replication mechanism for all CRDT implementations. The CRDT states are replicated in rounds (the period is configurable) and in each round the state is replicated up to the configured number of members. Generally speaking, you may increase the speed at which replicas converge at the expense of more network traffic or decrease the network traffic at the expense of slower convergence of replicas. Hazelcast implements the state-based replication mechanism - the CRDT state for changed CRDTs is replicated in its entirety to other replicas on each replication round.

```
<hazelcast>
....
<crdt-replication>
<max-concurrent-replication-targets>1</max-concurrent-replication-targets>
<replication-period-millis>1000</replication-period-millis>
</crdt-replication>
....
</hazelcast>
```

CRDT replication has the following configuration elements:

- max-concurrent-replication-targets: The maximum number of target members that we replicate the CRDT states to in one period. A higher count leads to states being disseminated more rapidly at the expense of burst-like behavior one update to a CRDT leads to a sudden burst in the number of replication messages in a short time interval. Its default value is 1 which means that each replica replicates state to only one other replica in each replication round.
- replication-period-millis: The period between two replications of CRDT states in milliseconds. A lower value increases the speed at which changes are disseminated to other cluster members at the expense of burst-like behavior - less updates are batched together in one replication message, and one update to a CRDT may cause a sudden burst of replication messages in a short time interval. The value must be a positive non-null integer. Its default value is 1000 milliseconds which means that the changed CRDT state is replicated every 1 second.

Following is an equivalent snippet of Java configuration:

```
final CRDTReplicationConfig crdtReplicationConfig = new CRDTReplicationConfig()
        .setMaxConcurrentReplicationTargets(1)
        .setReplicationPeriodMillis(1000);
Config hazelcastConfig = new Config()
        .setCRDTReplicationConfig(crdtReplicationConfig);
```

# 7.16. Flake ID Generator

Hazelcast Flake ID Generator is used to generate cluster-wide unique identifiers. Generated identifiers are long primitive values and are k-ordered (roughly ordered). IDs are in the range from 0 to Long.MAX\_VALUE.

# 7.16.1. Generating Cluster-Wide IDs

The IDs contain timestamp component and a node ID component, which is assigned when the member joins the cluster. This allows the IDs to be ordered and unique without any coordination between the members, which makes the generator safe even in split-brain scenarios (for limitations in this case, see the Node ID assignment section below).

Timestamp component is in milliseconds since 1.1.2018, 0:00 UTC and has 41 bits. This caps the useful lifespan of the generator to little less than 70 years (until ~2088). The sequence component is 6 bits. If more than 64 IDs are requested in single millisecond, IDs gracefully overflow to the next millisecond and uniqueness is guaranteed in this case. The implementation does not allow overflowing by more than 15 seconds, if IDs are requested at higher rate, the call blocks. Note, however, that clients are able to generate even faster because each call goes to a different (random) member and the 64 IDs/ms limit is for single member.

## 7.16.2. Performance

Operation on member is always local, if the member has valid node ID, otherwise it's remote. On the client, the newId() method goes to a random member and gets a batch of IDs, which is then returned locally for a limited time. The pre-fetch size and the validity time can be configured for each client and member.

# 7.16.3. Example

Let's write an example identifier generator.

```
public class ExampleFlakeIdGenerator {
    public static void main(String[] args) {
        HazelcastInstance hazelcast = Hazelcast.newHazelcastInstance();
        ClientConfig clientConfig = new ClientConfig()
                .addFlakeIdGeneratorConfig(new ClientFlakeIdGeneratorConfig(
"idGenerator")
                        .setPrefetchCount(10)
                        .setPrefetchValidityMillis(MINUTES.toMillis(10)));
        HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);
        FlakeIdGenerator idGenerator = client.getFlakeIdGenerator("idGenerator");
        for (int i = 0; i < 10000; i++) {
            sleepSeconds(1);
            System.out.printf("Id: %s\n", idGenerator.newId());
        }
   }
}
```

## 7.16.4. Node ID Assignment

Flake IDs require a unique node ID to be assigned to each member, from which point the member can generate unique IDs without any coordination. Hazelcast uses the member list version from the moment when the member joined the cluster as a unique node ID.

The join algorithm is specifically designed to ensure that member list join version is unique for each member in the cluster. This ensures that IDs are unique even during network splits, with one caveat: at most one member is allowed to join the cluster during a network split. If two members join different subclusters, they are likely to get the same node ID. This is resolved when the cluster heals, but until then, they can generate duplicate IDs.

### Node ID Overflow

Node ID component of the ID has 16 bits. Members with the member list join version higher than 2^16 won't be able to generate IDs, but functionality is preserved by forwarding to another member. It is possible to generate IDs on any member or client as long as there is at least one member with join version smaller than 2^16 in the cluster. The remedy is to restart the cluster: the node ID component will be reset and assigned starting from zero again. Uniqueness after the restart will be preserved thanks to the timestamp component.

## 7.16.5. Configuring Flake ID Generator

Following is an example declarative configuration snippet:

```
<hazelcast>
....
<flake-id-generator name="default">
<flake-id-generator name="default">
<flake-id-generator name="default">
<flake-id-generator>
</prefetch-count>100</prefetch-count>
</prefetch-validity-millis>600000</prefetch-validity-millis>
</id-offset>0</id-offset>
</id-offset>0</id-offset>
</id-offset>0</id-offset>
</statistics-enabled>true</statistics-enabled>
</flake-id-generator>
....
</hazelcast>
```

The following are the descriptions of configuration elements and attributes:

- name: Name of your Flake ID Generator. It is a required attribute.
- prefetch-count: Count of IDs which are pre-fetched on the background when one call to FlakeIdGenerator.newId() is made. Its value must be in the range 1 -100,000. Its default value is 100. This setting pertains only to newId() calls made on the member that configured it.
- prefetch-validity-millis: Specifies for how long the pre-fetched IDs can be used. After this time elapses, a new batch of IDs are fetched. Time unit is milliseconds. Its default value is 600,000 milliseconds (10 minutes). The IDs contain a timestamp component, which ensures a rough global ordering of them. If an ID is assigned to an object that was created later, it will be out of order. If ordering is not important, set this value to 0. This setting pertains only to newId() calls made on the member that configured it.
- id-offset: Specifies the offset that is added to the returned IDs. Its default value is 0. Setting might be useful when migrating from ID Generator. The default value works for all green-field projects. For example, assume the largest ID returned from ID Generator is 150. And, Flake ID Generator now returns 100. If you set this element to 50 and stop using the ID Generator, the next ID from Flake ID Generator will be 151 or larger and no duplicate IDs will be generated. In real-life, the IDs are much larger. You also need to add a reserve to the offset because the IDs from Flake ID Generator are only roughly ordered. Recommended reserve is 2^38, that is 274877906944. Negative values are allowed to increase the lifespan of the generator, however keep in mind that the generated IDs might also be negative.
- node-id-offset: Specifies the offset that is added to the node ID assigned to cluster member for this generator. Might be useful in A/B deployment scenarios where you have cluster A which you want to upgrade. You create cluster B and for some time both will generate IDs and you want to have them unique. In this case, configure node ID offset for generators on cluster B.
- statistics-enabled: Specifies whether the statistics gathering is enabled for your Flake ID Generator. If set to false, you cannot collect statistics in your implementation (using getLocalFlakeIdGeneratorStats()) and also Hazelcast Management Center will not show them. Its default value is true.

# 7.17. Replicated Map

A Replicated Map is a distributed key-value data structure where the data is replicated to all

members in the cluster. It provides full replication of entries to all members for high speed access.

The following are the features of Replicated Map:

- When you have a Replicated Map in the cluster, your clients can communicate with any cluster member.
- All cluster members are able to perform write operations.
- It supports all methods of the interface java.util.Map.
- It supports automatic initial fill up when a new member is started.
- It provides statistics for entry access, write and update so that you can monitor it using Hazelcast Management Center.
- New members joining to the cluster pull all the data from the existing members.
- You can listen to entry events using listeners. See the Using EntryListener on Replicated Map section.

# 7.17.1. Replicating Instead of Partitioning

A Replicated Map does not partition data (it does not spread data to different cluster members); instead, it replicates the data to all members.

Replication leads to higher memory consumption. However, a Replicated Map has faster read and write access since the data is available on all members.

Writes could take place on local/remote members in order to provide write-order, eventually being replicated to all other members.

Replicated Map is suitable for objects, catalog data, or idempotent calculable data (such as HTML pages). It fully implements the java.util.Map interface, but it lacks the methods from java.util.concurrent.ConcurrentMap since there are no atomic guarantees to writes or reads.



If Replicated Map is used from a unisocket client and this unisocket client is connected to a lite member, the entry listeners cannot be registered/de-registered.



You cannot use Replicated Map from a lite member. A com.hazelcast.replicatedmap.ReplicatedMapCantBeCreatedOnLiteMemberException is thrown if com.hazelcast.core.HazelcastInstance.getReplicatedMap(name) is invoked on a lite member.

# 7.17.2. Example Replicated Map Code

Here is an example of Replicated Map code. The HazelcastInstance's getReplicatedMap method gets the Replicated Map, and the Replicated Map's put method creates map entries.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
Map<String, String> map = hz.getReplicatedMap("map");
map.put("1", "Tokyo");
map.put("2", "Paris");
map.put("3", "New York");
System.out.println("Finished loading map");
hz.shutdown();
```

HazelcastInstance.getReplicatedMap() returns com.hazelcast.core.ReplicatedMap which, as stated above, extends the java.util.Map interface.

The com.hazelcast.core.ReplicatedMap interface has some additional methods for registering entry listeners or retrieving values in an expected order.

# 7.17.3. Considerations for Replicated Map

If you have a large cluster or very high occurrences of updates, the Replicated Map may not scale linearly as expected since it has to replicate update operations to all members in the cluster.

Since the replication of updates is performed in an asynchronous manner, we recommend you enable back pressure in case your system has high occurrences of updates. See the Back Pressure section to learn how to enable it.

Replicated Map has an anti-entropy system that converges values to a common one if some of the members are missing replication updates.

Replicated Map does not guarantee eventual consistency because there are some edge cases that fail to provide consistency.

Replicated Map uses the internal partition system of Hazelcast in order to serialize updates happening on the same key at the same time. This happens by sending updates of the same key to the same Hazelcast member in the cluster.

Due to the asynchronous nature of replication, a Hazelcast member could die before successfully replicating a "write" operation to other members after sending the "write completed" response to its caller during the write process. In this scenario, Hazelcast's internal partition system promotes one of the replicas of the partition as the primary one. The new primary partition does not have the latest "write" since the dead member could not successfully replicate the update. (This leaves the system in a state that the caller is the only one that has the update and the rest of the cluster have not.) In this case even the anti-entropy system simply could not converge the value since the source of true information is lost for the update. This leads to a break in the eventual consistency because different values can be read from the system for the same key.

Other than the aforementioned scenario, the Replicated Map behaves like an eventually consistent system with read-your-writes and monotonic-reads consistency.

# 7.17.4. Configuration Design for Replicated Map

There are several technical design decisions you should consider when you configure a Replicated Map.

### **Initial Provisioning**

If a new member joins the cluster, there are two ways you can handle the initial provisioning that is executed to replicate all existing values to the new member. Each involves how you configure the async fill up.

First, you can configure async fill up to true, which does not block reads while the fill up operation is underway. That way, you have immediate access on the new member, but it will take time until all the values are eventually accessible. Not yet replicated values are returned as non-existing (null).

Second, you can configure for a synchronous initial fill up (by configuring the async fill up to false), which blocks every read or write access to the map until the fill up operation is finished. Use this with caution since it might block your application from operating.

# 7.17.5. Configuring Replicated Map

Replicated Map can be configured programmatically or declaratively.

### **Declarative Configuration:**

You can declare your Replicated Map configuration in the Hazelcast configuration file hazelcast.xml. See the following example:

```
<hazelcast>
....
<replicatedmap name="default">
<in-memory-format>BINARY</in-memory-format>
<iasync-fillup>true</async-fillup>
<istatistics-enabled>true</statistics-enabled>
<ientry-listeners>
<ientry-listener include-value="true">
<ientry-listener include-value="true">
<ientry-listeners>
<ientry-listener>
</entry-listener>
</entry-listener>
</entry-listeners>
<isplit-brain-protection-ref>quorumname</split-brain-protection-ref>
</replicatedmap>
....
</hazelcast>
```

Replicated Map has the following configuration elements:

• in-memory-format: Internal storage format. See the In-Memory Format section. Its default value is OBJECT.

- async-fillup: Specifies whether the Replicated Map is available for reads before the initial replication is completed. Its default value is true. If set to false, i.e., synchronous initial fill up, no exception is thrown when the Replicated Map is not yet ready, but null values can be seen until the initial replication is completed.
- statistics-enabled: Specifies whether the statistics gathering is enabled for your Replicated Map. If set to false, you cannot collect statistics in your implementation (using getLocalReplicatedMapStats()) and also Hazelcast Management Center will not show them. Its default value is true.
- entry-listener: Full canonical classname of the EntryListener implementation.
  - entry-listener#include-value: Specifies whether the event includes the value or not.
     Sometimes the key is enough to react on an event. In those situations, setting this value to false saves a deserialization cycle. Its default value is true.
  - entry-listener#local: Not used for Replicated Map since listeners are always local.
- split-brain-protection-ref: Name of quorum configuration that you want this Replicated Map to use. See the Split-Brain Protection for Replicated Map section.

### **Programmatic Configuration:**

You can configure a Replicated Map programmatically, as you can do for all other data structures in Hazelcast. You must create the configuration upfront, when you nstantiate the HazelcastInstance. A basic example of how to configure the Replicated Map using the programmatic approach is shown in the following snippet.

All properties that can be configured using the declarative configuration are also available using programmatic configuration by transforming the tag names into getter or setter names.

### **In-Memory Format on Replicated Map**

Currently, you can use the following in-memory-format options with the Replicated Map:

- OBJECT (default): The data is stored in deserialized form. This configuration is the default choice since the data replication is mostly used for high speed access. Please be aware that changing the values without a Map.put() is not reflected on the other members but is visible on the changing members for later value accesses.
- BINARY: The data is stored in serialized binary format and has to be deserialized on every request. This option offers higher encapsulation since changes to values are always discarded as long as the newly changed object is not explicitly Map.put() into the map again.

# 7.17.6. Using EntryListener on Replicated Map

A com.hazelcast.core.EntryListener used on a Replicated Map serves the same purpose as it would on other data structures in Hazelcast. You can use it to react on add, update and remove operations. Replicated Maps do not yet support eviction.

### Difference in EntryListener on Replicated Map

The fundamental difference in Replicated Map behavior, compared to the other data structures, is that an EntryListener only reflects changes on local data. Since replication is asynchronous, all listener events are fired only when an operation is finished on a local member. Events can fire at different times on different members.

### Example of Replicated Map EntryListener

Here is a code example for using EntryListener on a Replicated Map.

The HazelcastInstance s getReplicatedMap method gets a Replicated Map (customers), and the ReplicatedMap s addEntryListener method adds an entry listener to the Replicated Map. Then, the ReplicatedMap s put method adds a Replicated Map entry and updates it. The method remove removes the entry.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
    ReplicatedMap<String, String> map = hz.getReplicatedMap("somemap");
    map.addEntryListener(new MyEntryListener());
    System.out.println("EntryListener registered");
}
private static class MyEntryListener implements EntryListener<String, String> {
    @Override
    public void entryAdded(EntryEvent<String, String> event) {
        System.out.println("entryAdded: " + event);
    }
    @Override
    public void entryRemoved(EntryEvent<String, String> event) {
        System.out.println("entryRemoved: " + event);
    }
    @Override
    public void entryUpdated(EntryEvent<String, String> event) {
        System.out.println("entryUpdated: " + event);
    }
    @Override
    public void entryEvicted(EntryEvent<String, String> event) {
        System.out.println("entryEvicted: " + event);
    }
    @Override
    public void entryExpired(EntryEvent<String, String> event) {
        System.out.println( "Entry expired: " + event );
    }
    @Override
    public void mapEvicted(MapEvent event) {
        System.out.println("mapEvicted:" + event);
   }
    @Override
    public void mapCleared(MapEvent event) {
        System.out.println("mapCleared: " + event);
    }
```

# 7.17.7. Split-Brain Protection for Replicated Map

Replicated Map can be configured to check for a minimum number of available members before applying its operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the protection types, that support split-brain

protection checks:

- WRITE, READ\_WRITE:
  - 。 clear
  - 。put
  - 。 putAll
  - 。 remove
- READ, READ\_WRITE:
  - ontainsKey
  - ontainsValue
  - entrySet
  - 。get
  - o isEmpty
  - o keySet
  - 。 size
  - 。 values

### **Configuring Split-Brain Protection**

Split-brain protection for Replicated Map can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. Following is an example declarative configuration:

```
<hazelcast>
...
<replicatedmap name="default">
...
<split-brain-protection-ref>quorumname</split-brain-protection-ref>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

# 7.18. Cardinality Estimator Service

Hazelcast's cardinality estimator service is a data structure which implements Flajolet's HyperLogLog algorithm for estimating cardinalities of unique objects in theoretically huge data sets. The implementation offered by Hazelcast includes improvements from Google's version of the algorithm, i.e., HyperLogLog++.

The cardinality estimator service does not provide any ways to configure its properties, but rather uses some well tested defaults:

• P: Stands for precision with a default value of 14 (using the 14 LSB of the hash for the index)

- M: 2 ^ P = 16384 (16K) registers
- P': Stands for sparse precision with a default value of 25
- Durability: Count of backups for each estimator with a default value of 2



It is important to understand that this data structure is not 100% accurate, it is used to provide estimates. The error rate is typically a result of 1.04/sqrt(M) which in our implementation is around 0.81% for high percentiles.

The memory consumption of this data structure is close to 16K despite the size of elements in the source data set or stream.

There are two phases in using the cardinality estimator.

1. Add objects to the instance of the estimator, e.g., for IPs estimator.add("0.0.0."). The provided object is first serialized and then the byte array is used to generate a hash for that object.



Objects must be serializable in a form that Hazelcast understands.

2. Compute the estimate of the set so far estimator.estimate().

See the cardinality estimator Javadoc for more information on its API.

The following is an example code.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
CardinalityEstimator visitorsEstimator = hz.getCardinalityEstimator("visitors");
InputStreamReader isr = new InputStreamReader(ExampleCardinalityEstimator.class
.getResourceAsStream("visitors.txt"));
BufferedReader br = new BufferedReader(isr);
trv {
    String visitor = br.readLine();
    while (visitor != null) {
        visitorsEstimator.add(visitor);
        visitor = br.readLine();
    }
} catch (IOException e) {
    e.printStackTrace();
} finally {
    closeResource(br);
    closeResource(isr);
}
System.out.printf("Estimated unique visitors seen so far: %d%n", visitorsEstimator
.estimate());
Hazelcast.shutdownAll();
```

# 7.18.1. Split-Brain Protection for Cardinality Estimator

Cardinality Estimator can be configured to check for a minimum number of available members before applying its operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the protection types, that support split-brain protection checks:

- WRITE, READ\_WRITE:
  - 。add
  - 。 addAsync
- READ, READ\_WRITE:
  - 。 estimate
  - 。 estimateAsync

### **Configuring Split-Brain Protection**

Split-brain protection for Cardinality Estimator can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. Following is an example declarative configuration:

```
<hazelcast>
...
<cardinality-estimator name="default">
...
<split-brain-protection-ref>quorumname</split-brain-protection-ref>
</cardinality-estimator>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

### **Configuring Merge Policy**

While recovering from a split-brain syndrome, Cardinality Estimator in the small cluster merges into the bigger cluster based on a configured merge policy. When an estimator merges into the cluster, an estimator with the same name might already exist in the cluster. So the merge policy resolves these kinds of conflicts with different out-of-the-box strategies. It can be configured programmatically using the method setMergePolicyConfig(), or declaratively using the element merge-policy. Following is an example declarative configuration:

```
<hazelcast>
...
<cardinality-estimator name="default">
...
<merge-policy>HyperLogLogMergePolicy</merge-policy>
</cardinality-estimator>
...
</hazelcast>
```

The following out-of-the-box merge policies are available:

- DiscardMergePolicy: Estimator from the smaller cluster is discarded.
- HyperLogLogMergePolicy: Estimator merges with the existing one, using the algorithmic merge for HyperLogLog. This is the default policy.
- PassThroughMergePolicy: Estimator from the smaller cluster wins.
- PutIfAbsentMergePolicy: Estimator from the smaller cluster wins if it doesn't exist in the cluster.

# 7.19. Event Journal

The event journal is a distributed data structure that stores the history of mutation actions on map or cache. Each action on the map or cache which modifies its contents (such as put, remove or scheduled tasks which are not triggered by using the public API) creates an event which is stored in the event journal. The event stores the event type as well as the key, old value and updated value for the entry (when applicable). As a user, you can only append to the journal indirectly by using the map and cache methods or configuring the expiration and eviction. By reading from the event journal you can recreate the state of the map or cache at any point in time.



Currently the event journal does not expose a public API for reading the event journal in Hazelcast IMDG. The event journal can be used to stream event data to Hazelcast Jet, so it should be used in conjunction with Hazelcast Jet. Because of this we describe how to configure it but not how to use it from IMDG in this section. If you enable and configure the event journal, you may only reach it through private API and you most probably do not get any benefits but the journal retains events nevertheless and consumes heap space.

The event journal has a fixed capacity and an expiration time. Internally it is structured as a ringbuffer (partitioned by ringbuffer item) and shares many similarities with it.

# 7.19.1. Interaction with Evictions and Expiration for IMap

Configuring IMap with eviction and expiration can cause the event journal to contain different events on the different replicas of the same partition. You can run into issues if you are reading from the event journal and the partition owner is terminated. A backup replica is then promoted into the partition owner but the event journal will contain different events. The event count should stay the same but the entries which you previously thought were evicted and expired could now be "alive" and vice versa.

This is because eviction and expiration randomly choose entries to be evicted/expired. The entry is not coordinated between partition replicas. In these cases, the event journal diverges and will not converge at any future point, but will remain inconsistent just as well as the contents of the internal record stores are inconsistent between replicas. You may say that the event journal on a specific replica is in-sync with the record store on that replica but the event journals and record stores between replicas are out-of-sync.

# 7.19.2. Configuring Event Journal Capacity

By default, an event journal is configured with a capacity of 10000 items. This creates a single array per partition, roughly the size of the capacity divided by the number of partitions. Thus, if the configured capacity is 10000 and number of partitions is 271, we create 271 arrays of size 36 (10000/271). If a time-to-live is configured, then an array of longs is also created that stores the expiration time for every item. A single array of the event journal keeps events that are only related to the map entries in that partition. In a lot of cases you may want to change this capacity number to something that better fits your needs. As the capacity is shared between partitions, keep in mind not to set it to a value which is too low for you. Setting the capacity to a number lower than the partition count results in an error when initializing the event journal.

Below is a declarative configuration example of an event journal with a capacity of 5000 items for a map and 10000 items for a cache:

```
<hazelcast>
....
<event-journal enabled="true">
....
<event-journal enabled="true">
....
<capacity>5000</capacity>
.capacity>5000</capacity>
.time-to-live-seconds>20</time-to-live-seconds>
....
</event-journal enabled="true">
....
<cacheName>myCache</cacheName>
....
</event-journal>
....
</hazelcast>
```

You can also configure an event journal programmatically. The following is a programmatic version of the above declarative configuration:

```
EventJournalConfig eventJournalMapConfig = new EventJournalConfig()
    .setEnabled(true)
    .setCapacity(5000)
    .setTimeToLiveSeconds(20);
EventJournalConfig eventJournalCacheConfig = new EventJournalConfig()
    .setEnabled(true)
    .setCapacity(10000)
    .setTimeToLiveSeconds(0);
Config config = new Config();
config.getMapConfig("myMap").setEventJournalConfig(eventJournalMapConfig);
config.getCacheConfig("myCache").setEventJournalConfig(eventJournalCacheConfig);
```

The mapName and cacheName attributes define the map or cache to which this event journal configuration applies. You can use pattern-matching and the default keyword when doing so. For instance, by using a mapName of journaled\*, the journal configuration applies to all maps whose names start with "journaled" and don't have other journal configurations that match (e.g., if you would have a more specific journal configuration with an exact name match). If you specify the mapName or cacheName as default, the journal configuration applies to all maps and caches that don't have any other journal configuration. This means that potentially all maps and/or caches have one single event journal configuration.

# 7.19.3. Event Journal Partitioning

The event journal is a partitioned data structure. The partitioning is done by the event key. Because of this, the map and cache entry with a specific key is co-located with the events for that key and will be migrated accordingly. Also, the backup count for the event journal is equal to the backup count of the map or cache for which it contains events. The events on the backup replicas will be created with the map or cache backup operations and no additional network traffic is introduced when appending events to the event journal.

# 7.19.4. Configuring Event Journal time-to-live

You can configure Hazelcast event journal with a time-to-live in seconds. Using this setting, you can control how long the items remain in the event journal before they are expired. By default, the time-to-live is set to 0, meaning that unless the item is overwritten, it remains in the journal indefinitely. The expiration time of the existing journal events is checked whenever a new event is appended to the event journal or when the event journal is being read. If the journal is not being read from or written to, the journal may keep expired items indefinitely.

In the example below, an event journal is configured with a time-to-live of 180 seconds:

```
<hazelcast>
...
<event-journal enabled="true">
<cacheName>myCache</cacheName>
<capacity>10000</capacity>
<time-to-live-seconds>180</time-to-live-seconds>
...
</hazelcast>
```

# 8. Distributed Events

You can register for Hazelcast entry events so you are notified when those events occur. Event listeners are cluster-wide: when a listener is registered in one member of cluster, it is actually registered for the events that originated at any member in the cluster. When a new member joins, events originated at the new member are also delivered.

An event is created only if you registered an event listener. If no listener is registered, then no event is created. If you provided a predicate when you registered the event listener, pass the predicate before sending the event to the listener (member/client).

As a rule of thumb, your event listener should not implement heavy processes in its event methods that block the thread for a long time. If needed, you can use ExecutorService to transfer long running processes to another thread and thus offload the current listener thread.



In a failover scenario, events are not highly available and may get lost. However, you can perform workarounds such as configuring the event queue capacity as explained in the Global Event Configuration section.

Hazelcast offers the following event listeners.

For cluster events:

- Membership Listener for cluster membership events
- Distributed Object Listener for distributed object creation and destruction events
- Migration Listener for partition migration start and completion events
- Partition Lost Listener for partition lost events
- Lifecycle Listener for HazelcastInstance lifecycle events
- Client Listener for client connection events

For distributed object events:

- Entry Listener for IMap and MultiMap entry events
- Item Listener for IQueue, ISet and IList item events
- Message Listener for ITopic message events

For Hazelcast JCache implementation:

- Cache Entry Listener
- ICache Partition Lost Listener

For Hazelcast clients:

- Lifecycle Listener
- Membership Listener
- Distributed Object Listener

# 8.1. Cluster Events

# 8.1.1. Listening for Member Events

The Membership Listener interface has methods that are invoked for the following events:

- memberAdded: A new member is added to the cluster.
- memberRemoved: An existing member leaves the cluster.

To write a Membership Listener class, you implement the MembershipListener interface and its methods.

The following is an example Membership Listener class.

```
public class ClusterMembershipListener implements MembershipListener {
    public void memberAdded(MembershipEvent membershipEvent) {
        System.err.println("Added: " + membershipEvent);
    }
    public void memberRemoved(MembershipEvent membershipEvent) {
        System.err.println("Removed: " + membershipEvent);
    }
}
```

When a respective event is fired, the membership listener outputs the addresses of the members that joined and left, and also which attribute changed on which member.

### **Registering Membership Listeners**

After you create your class, you can configure your cluster to include the membership listener. Below is an example using the method addMembershipListener.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
hazelcastInstance.getCluster().addMembershipListener( new ClusterMembershipListener());
```

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register listeners in the configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
Config config = new Config();
config.addListenerConfig(
    new ListenerConfig( "com.yourpackage.ClusterMembershipListener" ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
...
tisteners>
tistener>
<listener>
</listener>
</listeners>
...
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

# 8.1.2. Listening for Distributed Object Events

The Distributed Object Listener methods distributedObjectCreated and distributedObjectDestroyed are invoked when a distributed object is created and destroyed throughout the cluster. To write a Distributed Object Listener class, you implement the DistributedObjectListener interface and its methods.

The following is an example Distributed Object Listener class.

```
public class ExampleDistObjListener implements DistributedObjectListener {
    @Override
    public void distributedObjectCreated(DistributedObjectEvent event) {
        DistributedObject instance = event.getDistributedObject();
        System.out.println("Created " + instance.getName() + ", service=" + instance
.getServiceName());
    }
    @Override
    public void distributedObjectDestroyed(DistributedObjectEvent event) {
        System.out.println("Destroyed " + event.getObjectName() + ", service=" + event.getServiceName());
    }
}
```

When a respective event is fired, the distributed object listener outputs the event type, the object name and a service name (for example, for a Map object the service name is "hz:impl:mapService").

### **Registering Distributed Object Listeners**

After you create your class, you can configure your cluster to include distributed object listeners. Below is an example using the method addDistributedObjectListener. You can also see this portion in the above class creation.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
ExampleDistObjListener example = new ExampleDistObjListener();
```

```
hazelcastInstance.addDistributedObjectListener( example );
```

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register the listeners in the configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
config.addListenerConfig(
    new ListenerConfig( "com.yourpackage.ExampleDistObjListener" ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
...
tisteners>
<listener>
<listener>
</listener>
</listeners>
...
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

```
<hz:listeners>
<hz:listener class-name="com.yourpackage.ExampleDistObjListener"/>
<hz:listener implementation="DistributedObjectListener"/>
</hz:listeners>
```

# 8.1.3. Listening for Migration Events

The Migration Listener interface has methods that are invoked for the following events:

- migrationStarted: The migration starts. A migration consists of a group of replica migrations which are planned together. The MigrationState parameter of the migrationStarted method shows information about the migration: start time of the process, number of the planned migrations, etc.
- migrationFinished: The migration finishes. MigrationState parameter shows the result of the migration: number of the completed migrations, number of the remaining migrations, total elapsed time, etc.
- replicaMigrationCompleted: A partition replica migration starts. Method's parameter, ReplicaMigrationEvent, shows information about a replica migration: partition ID, replica index, source and destination members of the migration and elapsed time for this replica migration. Also it shows the progress of the overall migration: number of the completed and remaining replica migrations and total elapsed time.
- replicaMigrationFailed: A partition replica migration fails. The MigrationEvent parameter shows the information about this replica migration and overall migration similar to the migrationCompleted method.

To write a Migration Listener class, you implement the MigrationListener interface and its methods.

The following is an example Migration Listener class.

```
public class ClusterMigrationListener implements MigrationListener {
    @Override
    public void migrationStarted(MigrationState state) {
        System.out.println("Migration Started: " + state);
    }
    @Override
    public void migrationFinished(MigrationState state) {
        System.out.println("Migration Finished: " + state);
    }
    @Override
    public void replicaMigrationCompleted(ReplicaMigrationEvent event) {
        System.out.println("Replica Migration Completed: " + event);
    }
    @Override
    public void replicaMigrationFailed(ReplicaMigrationEvent event) {
        System.out.println("Replica Migration Failed: " + event);
    }
}
```

### **Registering Migration Listeners**

After you create your class, you can configure your cluster to include migration listeners. Below is an example using the method addMigrationListener.

HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();

```
PartitionService partitionService = hazelcastInstance.getPartitionService();
partitionService.addMigrationListener( new ClusterMigrationListener() );
```

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register the listeners in the configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
config.addListenerConfig(
    new ListenerConfig( "com.yourpackage.ClusterMigrationListener" ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
...
tisteners>
<listener>
<listener>
</listener>
</listeners>
...
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

```
<hz:listeners>
        <hz:listener class-name="com.yourpackage.ClusterMigrationListener"/>
        <hz:listener implementation="MigrationListener"/>
        </hz:listeners>
```

# 8.1.4. Listening for Partition Lost Events

Hazelcast provides fault-tolerance by keeping multiple copies of your data. For each partition, one of your cluster members becomes the owner and some of the other members become replica members, based on your configuration. Nevertheless, data loss may occur if a few members crash simultaneously.

Let's consider the following example with three members: N1, N2, N3 for a given partition-0. N1 is owner of partition-0. N2 and N3 are the first and second replicas respectively. If N1 and N2 crash simultaneously, partition-0 loses its data that is configured with less than two backups. For instance, if we configure a map with one backup, that map loses its data in partition-0 since both owner and first replica of partition-0 have crashed. However, if we configure our map with two backups, it does not lose any data since a copy of partition-0's data for the given map also resides in N3.

The Partition Lost Listener notifies for possible data loss occurrences with the information of how many replicas are lost for a partition. It listens to PartitionLostEvent instances. Partition lost events are dispatched per partition.

Partition loss detection is done after a member crash is detected by the other members and the crashed member is removed from the cluster. Please note that false-positive PartitionLostEvent instances may be fired on the network split errors.

### Writing a Partition Lost Listener Class

To write a Partition Lost Listener, you implement the PartitionLostListener interface and its partitionLost method, which is invoked when a partition loses its owner and all backups.

The following is an example Partition Lost Listener class.

```
public class ConsoleLoggingPartitionLostListener implements PartitionLostListener {
    @Override
    public void partitionLost(PartitionLostEvent event) {
        System.out.println(event);
    }
}
```

When a PartitionLostEvent is fired, the partition lost listener given above outputs the partition ID, the replica index that is lost and the member that has detected the partition loss. The following is an example output.

```
com.hazelcast.partition.PartitionLostEvent{partitionId=242, lostBackupCount=0,
eventSource=Address[192.168.2.49]:5701}
```

### **Registering Partition Lost Listeners**

After you create your class, you can configure your cluster programmatically or declaratively to include the partition lost listener. Below is an example of its programmatic configuration.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
hazelcastInstance.getPartitionService().addPartitionLostListener( new
ConsoleLoggingPartitionLostListener() );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
...
tisteners>
com.yourpackage.ConsoleLoggingPartitionLostListener
</listener>
</listeners>
...
</hazelcast>
```

### 8.1.5. Listening for Lifecycle Events

The Lifecycle Listener notifies for the following events:

- **STARTING**: A member is starting.
- STARTED: A member started.
- SHUTTING\_DOWN: A member is shutting down.
- SHUTDOWN: A member's shutdown has completed.
- MERGING: A member is merging with the cluster.

- MERGED: A member's merge operation has completed.
- CLIENT\_CONNECTED: A Hazelcast Client connected to the cluster.
- CLIENT\_DISCONNECTED: A Hazelcast Client disconnected from the cluster.

The following is an example Lifecycle Listener class.

```
public class NodeLifecycleListener implements LifecycleListener {
    @Override
    public void stateChanged(LifecycleEvent event) {
        System.err.println(event);
    }
}
```

This listener is local to an individual member. It notifies the application that uses Hazelcast about the events mentioned above for a particular member.

### **Registering Lifecycle Listeners**

After you create your class, you can configure your cluster to include lifecycle listeners. Below is an example using the method addLifecycleListener.

HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(); hazelcastInstance.getLifecycleService().addLifecycleListener( new NodeLifecycleListener() );

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register the listeners in the configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
config.addListenerConfig(
    new ListenerConfig( "com.yourpackage.NodeLifecycleListener" ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
...
tisteners>
tistener>
<listener>
</listener>
</listeners>
...
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

```
<hz:listeners>
<hz:listener class-name="com.yourpackage.NodeLifecycleListener"/>
<hz:listener implementation="LifecycleListener"/>
</hz:listeners>
```

# 8.1.6. Listening for Clients

The client listener is used by the Hazelcast cluster members. It notifies the cluster member when a client is connected to or disconnected from it, i.e., the clients fire an event from only one member they are connected to. Other cluster members do not fire a "client is connected" or "client is disconnected" event.

To write a client listener class, you implement the ClientListener interface and its methods clientConnected and clientDisconnected, which are invoked when a client is connected to or disconnected from the cluster. You can add your client listener as shown below.

hazelcastInstance.getClientService().addClientListener(new ExampleClientListener());

The following is the equivalent declarative configuration.

```
<hazelcast>
...
tisteners>
com.yourpackage.ExampleClientListener
</listener>
</listeners>
...
</hazelcast>
```

The following is the equivalent configuration in the Spring context.

```
<hz:listeners>
<hz:listener class-name="com.yourpackage.ExampleClientListener"/>
<hz:listener implementation="com.yourpackage.ExampleClientListener"/>
</hz:listeners>
```



You can also add event listeners to a Hazelcast client. See the <u>Client Listenerconfig</u> section for the related information.

# 8.2. Distributed Object Events

## 8.2.1. Listening for Map Events

You can listen to map-wide or entry-based events using the listeners provided by the Hazelcast's eventing framework. To listen to these events, implement a MapListener sub-interface.

A map-wide event is fired as a result of a map-wide operation. For example, IMap.clear() or IMap.evictAll(). An entry-based event is fired after the operations that affect a specific entry. For example, IMap.remove() or IMap.evict().

### **Catching a Map Event**

To catch an event, you should explicitly implement a corresponding sub-interface of a MapListener, such as EntryAddedListener or MapClearedListener.



The EntryListener interface still can be implemented (we kept it for backward compatibility reasons). However, if you need to listen to a different event, one that is not available in the EntryListener interface, you should also implement a relevant MapListener sub-interface.

Let's take a look at the following class example.

```
public class Listen {
    public static void main( String[] args ) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IMap<String, String> map = hz.getMap( "somemap" );
        map.addEntryListener( new MyEntryListener(), true );
        System.out.println( "EntryListener registered" );
    }
    static class MyEntryListener implements
        EntryAddedListener<String, String>,
        EntryRemovedListener<String, String>,
        EntryUpdatedListener<String, String>,
        EntryEvictedListener<String, String>,
        EntryLoadedListener<String, String>,
        EntryLoadedListener
```

```
MapClearedListener {
    @Override
    public void entryAdded( EntryEvent<String, String> event ) {
        System.out.println( "Entry Added:" + event );
    }
    @Override
    public void entryRemoved( EntryEvent<String, String> event ) {
        System.out.println( "Entry Removed:" + event );
    }
    @Override
    public void entryUpdated( EntryEvent<String, String> event ) {
        System.out.println( "Entry Updated:" + event );
    }
    @Override
    public void entryEvicted( EntryEvent<String, String> event ) {
        System.out.println( "Entry Evicted:" + event );
    }
    @Override
    public void entryLoaded( EntryEvent<String, String> event ) {
        System.out.println( "Entry Loaded:" + event );
    }
    @Override
    public void mapEvicted( MapEvent event ) {
        System.out.println( "Map Evicted:" + event );
    }
    @Override
    public void mapCleared( MapEvent event ) {
        System.out.println( "Map Cleared:" + event );
    }
}
```

Now, let's perform some modifications on the map entries using the following example code.

}

```
public class ModifyMap {
    public static void main( String[] args ) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IMap<String, String> map = hz.getMap( "somemap");
        String key = "" + System.nanoTime();
        String value = "1";
        map.put( key, value );
        map.put( key, value );
        map.put( key, "2" );
        map.delete( key );
    }
}
```

If you execute the Listen class and then the Modify class, you get the following output produced by the Listen class.

```
Entry Added:EntryEvent{entryEventType=ADDED, member=Member [192.168.1.100]]:5702
- ffedb655-bbad-43ea-aee8-d429d37ce528, name='somemap', key=11455268066242,
oldValue=null, value=1, mergingValue=null}
Entry Updated:EntryEvent{entryEventType=UPDATED, member=Member [192.168.1.100]]:5702
- ffedb655-bbad-43ea-aee8-d429d37ce528, name='somemap', key=11455268066242,
oldValue=1, value=2, mergingValue=null}
Entry Removed:EntryEvent{entryEventType=REMOVED, member=Member [192.168.1.100]]:5702
- ffedb655-bbad-43ea-aee8-d429d37ce528, name='somemap', key=11455268066242,
oldValue=1, value=2, mergingValue=null}
```



Please note that the method IMap.clear() does not fire an "EntryRemoved" event, but fires a "MapCleared" event.



Listeners have to offload all blocking operations to another thread (pool).

## 8.2.2. Listening for Lost Map Partitions

You can listen to MapPartitionLostEvent instances by registering an implementation of MapPartitionLostListener, which is also a sub-interface of MapListener.

Let's consider the following example code:

```
public class ListenMapPartitionLostEvents {
    public static void main(String[] args) {
        Config config = new Config();
        // keeps its data if a single node crashes
        config.getMapConfig("map").setBackupCount(1);
        HazelcastInstance instance = HazelcastInstanceFactory.newHazelcastInstance
(config);
        IMap<Object, Object> map = instance.getMap("map");
        map.put(0, 0);
        map.addPartitionLostListener(new MapPartitionLostListener() {
            @Override
            public void partitionLost(MapPartitionLostEvent event) {
                System.out.println(event);
            }
       });
   }
}
```

Within this example code, a MapPartitionLostListener implementation is registered to a map that is configured with one backup. For this particular map and any of the partitions in the system, if the partition owner member and its first backup member crash simultaneously, the given MapPartitionLostListener receives a corresponding MapPartitionLostEvent. If only a single member crashes in the cluster, there is no MapPartitionLostEvent fired for this map since backups for the partitions owned by the crashed member are kept on other members.

See the Listening for Partition Lost Events section for more information about partition lost detection and partition lost events.

### **Registering Map Listeners**

After you create your listener class, you can configure your cluster to include map listeners using the method addEntryListener (as you can see in the example Listen class above). Below is the related portion from this code, showing how to register a map listener.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
IMap<String, String> map = hz.getMap( "somemap" );
map.addEntryListener( new MyEntryListener(), true );
```

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register listeners in configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
....
<map name="somemap">
        <entry-listeners>
        <entry-listener include-value="false" local="false">
            centry-listener include-value="false" local="false">
            com.yourpackage.MyEntryListener
            </entry-listener>
            </entry-listener>
            </entry-listeners>
            </map>
....
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

```
<hz:map name="somemap">
<hz:entry-listeners>
<hz:entry-listener include-value="true"
class-name="com.hazelcast.spring.DummyEntryListener"/>
<hz:entry-listener implementation="dummyEntryListener" local="true"/>
</hz:entry-listeners>
</hz:map>
```

### **Map Listener Attributes**

As you see, there are attributes of the map listeners in the above examples: include-value and local. The attribute include-value is a boolean attribute that is optional, and if you set it to true, the map event contains the map value. Its default value is true.

The attribute local is also a boolean attribute that is optional, and if you set it to true, you can listen to the map on the local member. Its default value is false.

## 8.2.3. Listening for MultiMap Events

You can listen to entry-based events in the MultiMap using EntryListener. The following is an example entry listener implementation for MultiMap.

```
public class ExampleEntryListener implements EntryListener<String, String> {
    @Override
    public void entryAdded(EntryEvent<String, String> event) {
        System.out.println("Entry Added: " + event);
    }
    @Override
    public void entryRemoved( EntryEvent<String, String> event ) {
        System.out.println( "Entry Removed: " + event );
    }
    @Override
    public void entryUpdated(EntryEvent<String, String> event) {
        System.out.println( "Entry Updated: " + event );
    }
    @Override
    public void entryEvicted(EntryEvent<String, String> event) {
        System.out.println( "Entry evicted: " + event );
    }
    @Override
    public void entryExpired(EntryEvent<String, String> event) {
        System.out.println( "Entry expired: " + event );
    }
    @Override
    public void mapCleared(MapEvent event) {
        System.out.println( "Map Cleared: " + event );
    }
    @Override
    public void mapEvicted(MapEvent event) {
        System.out.println( "Map Evicted: " + event );
    }
}
```

### **Registering MultiMap Listeners**

After you create your listener class, you can configure your cluster to include MultiMap listeners using the method addEntryListener. Below is the related portion from a code, showing how to register a map listener.

```
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
MultiMap<String, String> map = hz.getMultiMap( "somemap" );
map.addEntryListener( new ExampleEntryListener(), true );
```

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register listeners in the configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
multiMapConfig.addEntryListenerConfig(
    new EntryListenerConfig( "com.yourpackage.ExampleEntryListener",
    false, false ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
....
<multimap name="somemap">

<value-collection-type>SET</value-collection-type>
<entry-listeners>
<entry-listener include-value="false" local="false">
com.yourpackage.ExampleEntryListener
</entry-listeners>
</multimap>
```

The following is an example of the equivalent Spring configuration.

### MultiMap Listener Attributes

As you see, there are attributes of the MultiMap listeners in the above examples: include-value and local. The attribute include-value is a boolean attribute that is optional, and if you set it to true, the MultiMap event contains the map value. Its default value is true.

The attribute local is also a boolean attribute that is optional, and if you set it to true, you can listen to the MultiMap on the local member. Its default value is false.

# 8.2.4. Listening for Item Events

The Item Listener is used by the Hazelcast IQueue, ISet and IList interfaces.

To write an Item Listener class, you implement the ItemListener interface and its methods itemAdded and itemRemoved. These methods are invoked when an item is added or removed.

The following is an example Item Listener class for an ISet structure.

```
public class ExampleItemListener implements ItemListener<Price> {
    @Override
    public void itemAdded(ItemEvent<Price> event) {
        System.out.println( "Item added: " + event );
    }
    @Override
    public void itemRemoved(ItemEvent<Price> event) {
        System.out.println( "Item removed: " + event );
    }
}
```



You can use ICollection when creating any of the collection (queue, set and list) data structures, as shown above. You can also use IQueue, ISet or IList instead of ICollection.

### **Registering Item Listeners**

After you create your class, you can configure your cluster to include item listeners. Below is an example using the method addItemListener for ISet (it applies also to IQueue and IList). You can also see this portion in the above class creation.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
ICollection<Price> set = hazelcastInstance.getSet( "default" );
// or ISet<Prices> set = hazelcastInstance.getSet( "default" );
set.addItemListener( new ExampleItemListener(), true );
```

With the above approach, there is the possibility of missing events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register listeners in the configuration. You can register listeners using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
setConfig.addItemListenerConfig(
    new ItemListenerConfig( "com.yourpackage.ExampleItemListener", true ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
....
<set>
.item-listeners>
.item-listener include-value="true">
com.yourpackage.ExampleItemListener
./item-listener>
./item-listeners>
....
...
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

```
<hz:set name="default" >
<hz:item-listeners>
<hz:item-listener include-value="true"
class-name="com.yourpackage.ExampleItemListener"/>
</hz:item-listeners>
</hz:set>
```

### **Item Listener Attributes**

As you see, there is an attribute in the above examples: include-value. It is a boolean attribute that is optional, and if you set it to true, the item event contains the item value. Its default value is true.

There is also another attribute called local, which is not shown in the above examples. It is also a boolean attribute that is optional, and if you set it to true, you can listen to the items on the local member. Its default value is false.

## 8.2.5. Listening for Topic Messages

The Message Listener is used by the ITopic interface. It notifies when a message is received for the registered topic.

To write a Message Listener class, you implement the MessageListener interface and its method onMessage, which is invoked when a message is received for the registered topic.

The following is an example Message Listener class.

```
public class ExampleMessageListener implements MessageListener<MyEvent> {
    public void onMessage( Message<MyEvent> message ) {
        MyEvent myEvent = message.getMessageObject();
        System.out.println( "Message received = " + myEvent.toString() );
    }
}
```

#### **Registering Message Listeners**

After you create your class, you can configure your cluster to include message listeners. Below is an example using the method addMessageListener.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
ITopic topic = hazelcastInstance.getTopic( "default" );
topic.addMessageListener( new ExampleMessageListener() );
```

With the above approach, there is the possibility of missing messaging events between the creation of the instance and registering the listener. To overcome this race condition, Hazelcast allows you to register this listener in the configuration. You can register it using declarative, programmatic, or Spring configuration, as shown below.

The following is an example programmatic configuration.

```
topicConfig.addMessageListenerConfig(
    new ListenerConfig( "com.yourpackage.ExampleMessageListener" ) );
```

The following is an example of the equivalent declarative configuration.

```
<hazelcast>
....
<topic name="default">
<topic name="default">
<topic name="default">
<tmessage-listeners>
<tmessage-listener>
<tmessage-listener>
<tmessage-listener>
<tmessage-listeners>
<t/topic>
....
</hazelcast>
```

The following is an example of the equivalent Spring configuration.

## 8.3. Event Listeners for Hazelcast Clients

You can add event listeners to Hazelcast clients. You can configure the following listeners to listen to the events on the client side:

- Lifecycle Listener: Notifies when the client is starting, started, shutting down and shutdown.
- Membership Listener: Notifies when a member joins to/leaves the cluster to which the client is connected, or when an attribute is changed in a member.
- Distributed Object Listener: Notifies when a distributed object is created or destroyed throughout the cluster to which the client is connected. Also notifies for the events happening in the distributed data structures, e.g., entry, item and message listeners.

For Hazelcast Java client example code/configuration snippets, see the sections of the current chapter, i.e., Distributed Events. See also the Configuring Client Listeners section for more information.

Follow the below links to learn how to configure the event listeners on other Hazelcast clients:

- .NET client
- C++ client
- Node.js client
- Go client
- Python client

Note that you can simply add a listener to your client that you already configured and registered on the member side. You do not need to configure the listener on the client. Assuming that you implemented a listener for a map, .e.g., MyListener on the member side, see the following example for a Java client:

```
IMap<Object, Object> map = client.getMap("mymap");
map.addEntryListener(new MyListener(), true);
```

As you see, no configuration is needed.

## 8.4. Global Event Configuration

- hazelcast.event.queue.capacity: default value is 1000000
- hazelcast.event.queue.timeout.millis: default value is 250
- hazelcast.event.thread.count: default value is 5

A striped executor in each cluster member controls and dispatches the received events. This striped executor also guarantees the event order. For all events in Hazelcast, the order in which events are generated and the order in which they are published are guaranteed for given keys. For map and multimap, the order is preserved for the operations on the same key of the entry. For list, set, topic and queue, the order is preserved for events on that instance of the distributed data structure.

To achieve the order guarantee, you make only one thread responsible for a particular set of events (entry events of a key in a map, item events of a collection, etc.) in StripedExecutor (within com.hazelcast.util.executor).

If the event queue reaches its capacity (hazelcast.event.queue.capacity) and the last item cannot be put into the event queue for the period specified in hazelcast.event.queue.timeout.millis, these events are dropped with a warning message, such as "EventQueue overloaded".

If event listeners perform a computation that takes a long time, the event queue can reach its maximum capacity and lose events. For map and multimap, you can configure hazelcast.event.thread.count to a higher value so that fewer collisions occur for keys, and therefore worker threads do not block each other in StripedExecutor. For list, set, topic and queue, you should offload heavy work to another thread. To preserve order guarantee, you should implement similar logic with StripedExecutor in the offloaded thread pool.

# 9. Hazelcast Jet



This chapter only briefly describes Hazelcast Jet. For detailed information and Jet documentation, please see the Jet homepage at jet-start.sh.

## 9.1. Overview

Hazelcast Jet is a distributed batch and stream processing framework based on Hazelcast IMDG. It allows you to write, currently, modern Java code that focuses purely on data transformation while it does all the heavy lifting of getting the data flowing and computation running across a cluster of members. It supports working with both bounded (batch) and unbounded (streaming) data.

You can follow the Getting Started Guide in the Hazelcast Jet documentation to see a simple example.

Jet supports a rich set of data transformations such as windowed aggregations. For example, if your data is GPS location reports from millions of users, Jet can compute every user's velocity vector by using a sliding window and just a few lines of code. Jet also supports *at-least-once* and *exactly-once* processing.

Jet can be used to import/export data from/to Hazelcast IMDG using a very wide variety of data sources including Hadoop, S3, Apache Kafka, Elasticsearch, JDBC and JMS. For example, you can read data from Kafka and write to IMap with just a few lines of code. You can *stream* changes from an IMap and write it to an external system or you can join to a stream reference data that is already stored in IMap.

For a full list of external systems that Jet integrates with, see the Sources and Sinks section of Jet's documentation.

# **10. Distributed Computing**

This chapter explains Hazelcast's executor service, durable/scheduled executor services and entry

processor implementations.

## **10.1. Executor Service**

One of the coolest features of Java is the Executor framework, which allows you to asynchronously execute your tasks (logical units of work), such as database queries, complex calculations and image rendering.

The default implementation of this framework (ThreadPoolExecutor) is designed to run within a single JVM (cluster member). In distributed systems, this implementation is not desired since you may want a task submitted in one JVM and processed in another one. Hazelcast offers IExecutorService for you to use in distributed environments. It implements java.util.concurrent.ExecutorService to serve the applications requiring computational and data processing power.



Note that you may want to use Hazelcast Jet if you want to process batch or realtime streaming data. See the Fast Batch Processing and Real-Time Stream Processing use cases for Hazelcast Jet.

With IExecutorService, you can execute tasks asynchronously and perform other useful tasks. If your task execution takes longer than expected, you can cancel the task execution. Tasks should be Serializable since they are distributed.

In the Java Executor framework, you implement tasks two ways: Callable or Runnable.

- Callable: If you need to return a value and submit it to Executor, implement the task as java.util.concurrent.Callable.
- Runnable: If you do not need to return a value, implement the task as java.util.concurrent.Runnable.

Note that, the distributed executor service (IExecutorService) is intended to run processing where the data is hosted: on the server members. In general, you cannot run a Java Runnable or Callable on the clients as the clients may not be Java. Also, the clients do not host any data, so they would have to fetch what data they need from the servers potentially. If you want something to run on all or some clients connected to your cluster, you could implement this using the publish/subscribe mechanism; a payload could be sent to an ITopic with the necessary execution parameters, and clients listening can act on the message.

## **10.1.1. Implementing a Callable Task**

In Hazelcast, when you implement a task as java.util.concurrent.Callable (a task that returns a value), you implement Callable and Serializable.

Below is an example of a Callable task. SumTask prints out map keys and returns the summed map values.

```
public class SumTask
        implements Callable<Integer>, Serializable, HazelcastInstanceAware {
    private transient HazelcastInstance hazelcastInstance;
    public void setHazelcastInstance( HazelcastInstance hazelcastInstance ) {
        this.hazelcastInstance = hazelcastInstance;
    }
    public Integer call() throws Exception {
        IMap<String, Integer> map = hazelcastInstance.getMap( "map" );
        int result = 0;
        for ( String key : map.localKeySet() ) {
            System.out.println( "Calculating for key: " + key );
            result += map.get( key );
        }
        System.out.println( "Local Result: " + result );
        return result;
   }
}
```

Another example is the Echo callable below. In its call() method, it returns the local member and the input passed in. Remember that instance.getCluster().getLocalMember() returns the local member and toString() returns the member's address (IP + port) in String form, just to see which member actually executed the code for our example. Of course, the call() method can do and return anything you like.

```
public class Echo implements Callable<String>, Serializable, HazelcastInstanceAware {
   String input = null;
    private transient HazelcastInstance hazelcastInstance;
   public Echo() {
    }
   public void setHazelcastInstance( HazelcastInstance hazelcastInstance ) {
        this.hazelcastInstance = hazelcastInstance;
    }
    public Echo(String input) {
        this.input = input;
    }
   public String call() {
        return hazelcastInstance.getCluster().getLocalMember().toString() + ":" +
input;
   }
}
```

#### **Executing a Callable Task**

To execute a callable task:

- retrieve the Executor from HazelcastInstance
- submit a task which returns a Future
- after executing the task, you do not have to wait for the execution to complete, you can process other things
- when ready, use the Future object to retrieve the result as shown in the code example below.

Below, the Echo task is executed.

```
public class MasterMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance instance = Hazelcast.newHazelcastInstance();
        IExecutorService executorService = instance.getExecutorService(
    "executorService" );
        Future<String> future = executorService.submit( new Echo( "myinput") );
        //while it is executing, do some useful stuff
        //when ready, get the result of your execution
        String result = future.get();
    }
}
```

Please note that the Echo callable in the above example also implements a Serializable interface, since it may be sent to another member to be processed.



When a task is deserialized, HazelcastInstance needs to be accessed. To do this, the task should implement HazelcastInstanceAware interface. See the HazelcastInstanceAware Interface section for more information.

### 10.1.2. Implementing a Runnable Task

In Hazelcast, when you implement a task as java.util.concurrent.runnable (a task that does not return a value), you implement Runnable and Serializable.

Below is Runnable example code. It is a task that waits for some time and echoes a message.

```
public class EchoTask implements Runnable, Serializable {
    private final String msg;
    public EchoTask( String msg ) {
        this.msg = msg;
    }
    @Override
    public void run() {
        try {
            Thread.sleep( 5000 );
        } catch ( InterruptedException e ) {
        }
        System.out.println( "echo:" + msg );
    }
}
```

#### **Executing a Runnable Task**

To execute the runnable task:

- retrieve the Executor from HazelcastInstance
- submit the tasks to the Executor.

Now let's write a class that submits and executes these echo messages. Executor is retrieved from HazelcastInstance and 1000 echo tasks are submitted.

```
public class RunnableMasterMember {
    public static void main( String[] args ) throws Exception {
        HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
        IExecutorService executor = hazelcastInstance.getExecutorService( "exec" );
        for ( int k = 1; k <= 1000; k++ ) {
            Thread.sleep( 1000 );
            System.out.println( "Producing echo task: " + k );
            executor.execute( new EchoTask( String.valueOf( k ) ) );
        }
        System.out.println( "EchoTaskMain finished!" );
    }
}</pre>
```

## **10.1.3. Scaling The Executor Service**

You can scale the Executor service both vertically (scale up) and horizontally (scale out).

To scale up, you should improve the processing capacity of the cluster member (JVM). You can do this by increasing the pool-size property mentioned in Configuring Executor Service (i.e.,

increasing the thread count). However, please be aware of your member's capacity. If you think it cannot handle such an additional load caused by increasing the thread count, you may want to consider improving the member's resources (CPU, memory, etc.). As an example, set the pool-size to 5 and run the above MasterMember. You will see that EchoTask is run as soon as it is produced.

To scale out, add more members instead of increasing only one member's capacity. In reality, you may want to expand your cluster by adding more physical or virtual machines. For example, in the EchoTask example in the Runnable section, you can create another Hazelcast instance. That instance automatically gets involved in the executions started in MasterMember and start processing.

## 10.1.4. Executing Code in the Cluster

The distributed executor service is a distributed implementation of java.util.concurrent.ExecutorService. It allows you to execute your code in the cluster. In this section, the code examples are based on the Echo class above (please note that the Echo class is Serializable). The code examples show how Hazelcast can execute your code (Runnable, Callable):

- echoOnTheMember: On a specific cluster member you choose with the IExecutorService submitToMember method.
- echoOnTheMemberOwningTheKey: On the member owning the key you choose with the IExecutorService submitToKeyOwner method.
- echoOnSomewhere: On the member Hazelcast picks with the IExecutorService submit method.
- echoOnMembers: On all or a subset of the cluster members with the IExecutorService submitToMembers method.

```
public void echoOnTheMember( String input, Member member ) throws Exception {
   Callable<String> task = new Echo( input );
   HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
   IExecutorService executorService =
    hazelcastInstance.getExecutorService( "default" );
   Future<String> future = executorService.submitToMember( task, member );
```

```
String echoResult = future.get();
```

```
}
```

```
public void echoOnTheMemberOwningTheKey( String input, Object key ) throws Exception {
    Callable<String> task = new Echo( input );
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    IExecutorService executorService =
        hazelcastInstance.getExecutorService( "default" );
    Future<String> future = executorService.submitToKeyOwner( task, key );
    String echoResult = future.get();
}
```

```
public void echoOnSomewhere( String input ) throws Exception {
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    IExecutorService executorService =
    hazelcastInstance.getExecutorService( "default" );
    Future<String> future = executorService.submit( new Echo( input ) );
    String echoResult = future.get();
}
```

```
public void echoOnMembers( String input, Set<Member> members ) throws Exception {
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    IExecutorService executorService =
    hazelcastInstance.getExecutorService( "default" );
    Map<Member, Future<String>> futures = executorService
    .submitToMembers( new Echo( input ), members );
    for ( Future<String> future : futures.values() ) {
        String echoResult = future.get();
        // ...
    }
}
```



You can obtain the set of cluster members via HazelcastInstance.getCluster().getMembers() call.

## **10.1.5. Canceling an Executing Task**

A task in the code that you execute in a cluster might take longer than expected. If you cannot stop/cancel that task, it keeps eating your resources.

To cancel a task, you can use the standard Java executor framework's cancel() API. This framework encourages us to code and design for cancellations, a highly ignored part of software development.

#### **Example Task to Cancel**

The Fibonacci callable class below calculates the Fibonacci number for a given number. In the calculate method, we check if the current thread is interrupted so that the code can respond to cancellations once the execution is started.

```
int input = 0;
public FibonacciCallable( int input ) {
    this.input = input;
}
public Long call() {
    return calculate( input );
}
private long calculate( int n ) {
    if ( Thread.currentThread().isInterrupted() ) {
        return 0;
    }
    if ( n <= 1 ) {
        return n;
    } else {
        return calculate( n - 1 ) + calculate( n - 2 );
    }
}
```

#### Example Method to Execute and Cancel the Task

The fib() method below submits the Fibonacci calculation task above for number 'n' and waits a maximum of 3 seconds for the result. If the execution does not completed in three seconds, the future.get() method throws a TimeoutException and upon catching it, we cancel the execution, saving some CPU cycles.

```
long fib( int n ) throws Exception {
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    IExecutorService es = hazelcastInstance.getExecutorService("es");
    Future<Long> future = es.submit( new FibonacciCallable( n ) );
    try {
        long result = future.get( 3, TimeUnit.SECONDS );
        System.out.println(result);
    } catch ( TimeoutException e ) {
        future.cancel( true );
    }
    return -1;
}
```

fib(20) probably takes less than 3 seconds. However, fib(50) takes much longer. (This is not an example for writing better Fibonacci calculation code, but for showing how to cancel a running execution that takes too long.) The method future.cancel(false) can only cancel execution before it is running (executing), but future.cancel(true) can interrupt running executions provided that your code is able to handle the interruption. If you are willing to cancel an already running task, then your task should be designed to handle interruptions. If the calculate (int n) method did not have the (Thread.currentThread().isInterrupted()) line, then you would not be able to cancel the execution after it is started.

### 10.1.6. Callback When Task Completes

You can use the ExecutionCallback offered by Hazelcast to asynchronously be notified when the execution is done. To be notified when your task completes without an error, implement the onResponse method. To be notified when your task completes with an error, implement the onFailure method.

#### **Example Task to Callback**

Let's use the Fibonacci series to explain this. The example code below is the calculation that is executed. Note that it is Callable and Serializable.

```
public class Fibonacci2 implements Callable<Long>, Serializable {
    private final int input;
   public Fibonacci2(int input) {
        this.input = input;
    }
    public Long call() {
        return calculate(input);
    }
    private long calculate(int n) {
        if (Thread.currentThread().isInterrupted()) {
            System.out.println("FibonacciCallable is interrupted");
            throw new RuntimeException("FibonacciCallable is interrupted");
        }
        if (n <= 1) {
            return n;
        } else {
            return calculate(n - 1) + calculate(n - 2);
        }
    }
}
```

#### Example Method to Callback the Task

The example code below submits the Fibonacci calculation to ExecutionCallback and prints the result asynchronously. ExecutionCallback has the methods onResponse and onFailure. In this example code, onResponse is called upon a valid response and prints the calculation result, whereas onFailure is called upon a failure and prints the stacktrace.

```
public class MasterMemberCallback {
    public static void main(String[] args) {
        HazelcastInstance hz = Hazelcast.newHazelcastInstance();
        IExecutorService executor = hz.getExecutorService("executor");
        ExecutionCallback<Long> executionCallback = new ExecutionCallback<Long>() {
            public void onFailure(Throwable t) {
                t.printStackTrace();
            }
            public void onResponse(Long response) {
                System.out.println("Result: " + response);
            }
        };
        executor.submit(new FibonacciCallable(10), executionCallback);
        System.out.println("Fibonacci task submitted");
    }
}
```

## 10.1.7. Selecting Members for Task Execution

As previously mentioned, it is possible to indicate where in the Hazelcast cluster the Runnable or Callable is executed. Usually you execute these in the cluster based on the location of a key or a set of keys, or you allow Hazelcast to select a member.

If you want more control over where your code runs, use the MemberSelector interface. For example, you may want certain tasks to run only on certain members, or you may wish to implement some form of custom load balancing regime. The MemberSelector is an interface that you can implement and then provide to the IExecutorService when you submit or execute.

The select(Member) method is called for every available member in the cluster. Implement this method to decide if the member is going to be used or not.

In a simple example shown below, we select the cluster members based on the presence of an attribute.

```
public class MyMemberSelector implements MemberSelector {
    public boolean select(Member member) {
        return Boolean.TRUE.equals(member.getBooleanAttribute("my.special.executor"));
    }
}
```

You MemberSelector provided the can use instances by com.hazelcast.cluster.memberselector.MemberSelectors class. For example, you can select a lite member for running task using а com.hazelcast.cluster.memberselector.MemberSelectors#LITE\_MEMBER\_SELECTOR.

## 10.1.8. Configuring Executor Service

The following are example configurations for executor service.

#### **Declarative Configuration:**

```
<hazelcast>
....
executor-service name="exec">
executor-service name="exec">
executor-service name="exec">
executor-service>
executor-serv
```

**Programmatic Configuration:** 

```
Config config = new Config();
ExecutorConfig executorConfig = config.getExecutorConfig("exec");
executorConfig.setPoolSize( 1 ).setQueueCapacity( 10 )
        .setStatisticsEnabled( true )
        .setSplitBrainProtectionName( "splitbrainprotectionname" );
```

Executor service configuration has the following elements:

- pool-size: The number of executor threads per Member for the Executor. By default, Executor is configured to have 16 threads in the pool. You can change that with this element.
- queue-capacity: Executor's task queue capacity; the number of tasks this queue can hold.
- statistics-enabled: Specifies whether the statistics gathering is enabled for your Executor Service. If set to false, you cannot collect statistics in your implementation (using getLocalExecutorStats()) and also Hazelcast Management Center will not show them. Its default value is true.
- split-brain-protection-ref: Name of the split-brain protection configuration that you want this Executor Service to use. See the Split-Brain Protection for IExecutorService section.

## **10.1.9. Split-Brain Protection for IExecutorService**

IExecutorService can be configured to check for a minimum number of available members before applying its operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the operations, that support split-brain protection checks:

• WRITE, READ\_WRITE:

- 。 execute
- 。 executeOnAllMembers
- 。 executeOnKeyOwner
- 。 executeOnMember
- 。 executeOnMembers
- 。 shutdown
- 。 shutdownNow
- 。 submit
- 。 submitToAllMembers
- submitToKeyOwner
- 。 submitToMember
- 。 submitToMembers

#### **Configuring Split-Brain Protection**

Split-brain protection for Executor Service can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. Following is an example declarative configuration:

```
<hazelcast>
...
<executor-service name="default">
...
<split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

## **10.2. Durable Executor Service**

Hazelcast's durable executor service is a data structure which is able to store an execution task both on the executing Hazelcast member and its backup member(s), if configured. By this way, you do not lose any tasks if a member goes down or any results if the submitter (member or client) goes down while executing the task. When using the durable executor service you can either submit or execute a task randomly or on the owner of a provided key. Note that in executor service, you can submit or execute tasks to/on the selected member(s).

Processing of the tasks when using durable executor service involves two invocations:

1. Sending the task to primary Hazelcast member (primary partition) and to its backups, if configured, and executing the task.

2. Retrieving the result of the task.

As you may already know, Hazelcast's executor service returns a future representing the task to the user. With the above two-invocations approach, it is guaranteed that the task is executed before the future returns and you can track the response of a submitted task with a unique ID. Hazelcast stores the task on both primary and backup members, and starts the execution also.

With the first invocation, a Ringbuffer stores the task and a generated sequence for the task is returned to the caller as a result. In addition to the storing, the task is executed on the local execution service for the primary member. By this way, the task is now resilient to member failures and you are able to track the task with its ID.

After the first invocation has completed and the sequence of task is returned, second invocation starts to retrieve the result of task with that sequence. This retrieval waits in the waiting operations queue until notified, or it runs immediately if the result is already available.

When task execution is completed, Ringbuffer replaces the task with the result for the given task sequence. This replacement notifies the waiting operations queue.

## **10.2.1. Configuring Durable Executor Service**

This section presents example configurations for durable executor service along with the descriptions of its configuration elements and attributes.

#### **Declarative Configuration:**

```
<hazelcast>
....
<durable-executor-service name="myDurableExecSvc">
<durable-executor-service name="myDurableExecSvc">
<durable-executor-service>
<durablity>1</durability>
<durability>1</durability>
<durability>1</durability>
<durable-executor-ref>splitbrainprotection-name</split-brain-protection-
ref>
</durable-executor-service>
....
</hazelcast>
```

#### **Programmatic Configuration:**

```
Config config = new Config();
config.getDurableExecutorConfig( "myDurableExecSvc" )
    .setPoolSize ( 8 )
    .setDurability( 1 )
    .setCapacity( 1 )
    .setSplitBrainProtectionName( "splitbrainprotectionname" );
HazelcastInstance hazelcast = Hazelcast.newHazelcastInstance(config);
DurableExecutorService durableExecSvc = hazelcast.getDurableExecutorService(
"myDurableExecSvc");
```

The following are the descriptions of each configuration element and attribute:

- name: Name of the executor task.
- pool-size: Number of executor threads per member for the executor.
- durability: Number of backups in the cluster for the submitted task. Its default value is 1.
- capacity: Executor's task queue capacity; the number of tasks this queue can hold.
- split-brain-protection-ref: Name of the split-brain protection configuration that you want this Durable Executor Service to use. See the Split-Brain Protection for Durable Executor Service section.

## 10.2.2. Split-Brain Protection for Durable Executor Service

Durable Executor Service can be configured to check for a minimum number of available members before applying its operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the operations, that support split-brain protection checks:

- WRITE, READ\_WRITE:
  - 。 disposeResult
  - 。 execute
  - 。 executeOnKeyOwner
  - 。 retrieveAndDisposeResult
  - 。 shutdown
  - 。 shutdownNow
  - 。 submit
  - submitToKeyOwner
- READ, READ\_WRITE:
  - o retrieveResult

#### **Configuring Split-Brain Protection**

Split-brain protection for Durable Executor Service can be configured programmatically using the

method setSplitBrainProtectionName(), or declaratively using the element split-brain-protectionref. Following is an example declarative configuration:

```
<hazelcast>
...
<durable-executor-service name="myDurableExecSvc">
...
<split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

## **10.3. Scheduled Executor Service**

Hazelcast's scheduled executor service (IScheduledExecutorService) is a data structure which implements java.util.concurrent.ScheduledExecutorService, partially. By partially, we mean the behavior difference in scheduling a task at a fixed rate (scheduleAtFixedRate()). Hazelcast's behavior guarantees that a task is not executed by multiple threads concurrently: a scheduled execution is skipped, instead of postponing, if another thread is still running the same task.

On top of the Vanilla Scheduling API, IScheduledExecutorService allows additional methods such as the following:

- scheduleOnMember: On a specific cluster member.
- scheduleOnKeyOwner: On the partition owning that key.
- scheduleOnAllMembers: On all cluster members.
- scheduleOnAllMembers: On all given members.

See the IScheduledExecutorService Javadoc for its API details.

There are two different modes of durability for the service:

1. Upon partition specific scheduling, the future task is stored both in the primary partition and also in its N backups, N being the <durability> property in the configuration. More specifically, there are always one or more backups to take ownership of the task in the event of a lost member. If a member is lost, the task is re-scheduled on the backup (new primary) member, which might induce further delays on the subsequent executions of the task. For example, if we schedule a task to run in 10 seconds from now, schedule(new ExampleTask(), 10, TimeUnit.SECONDS); and after 5 seconds the owner member goes down (before the execution takes place), then the backup owner re-schedules the task in 10 seconds from now. Therefore, from the user's perspective waiting on the result, this will be available in 10 + 5 = 15 seconds rather than 10 seconds as it is anticipated originally. If atFixedRate was used, then only the initial delay is affected in the above scenario, all subsequent executions should adhere to the

given period parameter.

2. Upon member specific scheduling, the future task is **only** stored in the member itself, which means that in the event of a lost member, the task is lost as well.

To accomplish the described durability, all tasks provide a unique identity/name before the scheduling takes place. The name allows the service to reach the scheduled task even after the caller (client or member) goes down and also allows to prevent duplicate tasks. The name of the user-defined if needs be, task can be it to by implementing the com.hazelcast.scheduledexecutor.NamedTask interface (plain wrapper util is available here: com.hazelcast.scheduledexecutor.TaskUtils.named(java.lang.String, java.lang.Runnable)). If the task does not provide a name in its implementation, the service provides a random UUID for it, internally.

Upon scheduling, the service returns an IScheduledFuture, which on top of the java.util.concurrent.ScheduledFuture functionality, provides an API to get the resource handler of the task ScheduledTaskHandler and also the runtime statistics of the task.

Futures associated with a scheduled task, in order to be aware of lost partitions and/or members, act as listeners on the local member/client. Therefore, they are always strongly referenced, on the member/client side. In order to clean up their resources, once completed, you can use the method dispose(). This method also cancels further executions of the task if scheduled at a fixed rate. See the IScheduledFuture Javadoc for its API details.

The task handler is a descriptor class holding information for the scheduled future, which is used to pinpoint the actual task in the cluster. It contains the name of the task, the owner (member or partition) and the scheduler name.

The handler is always available after scheduling and can be stored in a plain string format com.hazelcast.scheduledexecutor.ScheduledTaskHandler.toUrn() and re-constructed back from that String com.hazelcast.scheduledexecutor.ScheduledTaskHandler.of(). If the handler is lost, you can still find а task under а given scheduler using the Scheduler's by com.hazelcast.scheduledexecutor.IScheduledExecutorService.getAllScheduledFutures().

Last but not least, similar to executor service, the scheduled executor service allows Stateful tasks to be scheduled. Stateful tasks, are tasks that require any kind of state during their runtime, which must also be durable along with the task in the event of a lost partition.

Stateful tasks can be created by implementing the com.hazelcast.scheduledexecutor.StatefulTask interface, providing implementation details for saving the state and loading it back. If a partition is lost, then the re-scheduled task loads the previously saved state before its execution.



As with the tasks, Objects stored in the state Map need to be Hazelcast serializable.

## 10.3.1. Configuring Scheduled Executor Service

This section presents example configurations for scheduled executor service along with the descriptions of its configuration elements and attributes.

### **Declarative Configuration:**

#### **Programmatic Configuration:**

```
Config config = new Config();
config.getScheduledExecutorConfig( "myScheduledExecSvc" )
    .setPoolSize ( 16 )
    .setCapacity( 100 )
    .setDurability( 1 )
    .setSplitBrainProtectionName( "splitbrainprotectionname" );
HazelcastInstance hazelcast = Hazelcast.newHazelcastInstance(config);
IScheduledExecutorService myScheduledExecSvc = hazelcast.getScheduledExecutorService(
    "myScheduledExecSvc");
```

The following are the descriptions of each configuration element and attribute:

- name: Name of the scheduled executor.
- pool-size: Number of executor threads per member for the executor.
- capacity: Maximum number of tasks that a scheduler can have per partition. Attempt to schedule more results in RejectedExecutionException. To free up the capacity, tasks should get disposed by the user.
- durability: Durability of the executor.
- split-brain-protection-ref: Name of the split-brain protection configuration that you want this Scheduled Executor Service to use. See the Split-Brain Protection for IScheduled Executor Service section.

## 10.3.2. Examples

Scheduling a callable that computes the cluster size in 10 seconds from now:

```
static class DelayedClusterSizeTask implements Callable<Integer>,
HazelcastInstanceAware, Serializable {
    private transient HazelcastInstance instance;
    @Override
    public Integer call()
            throws Exception {
        return instance.getCluster().getMembers().size();
    }
    @Override
    public void setHazelcastInstance(HazelcastInstance hazelcastInstance) {
        this.instance = hazelcastInstance;
    }
}
HazelcastInstance hazelcast = Hazelcast.newHazelcastInstance();
IScheduledExecutorService = hazelcast.getScheduledExecutorService(
"myScheduler");
IScheduledFuture<Integer> future = executorService.schedule(
        new DelayedClusterSizeTask(), 10, TimeUnit.SECONDS);
int membersCount = future.get(); // Block until we get the result
ScheduledTaskStatistics stats = future.getStats();
future.dispose(); // Always dispose futures that are not in use any more, to release
resources
long totalTaskRuns = stats.getTotalRuns(); // = 1
```

## 10.3.3. Split-Brain Protection for IScheduled Executor Service

IScheduledExecutorService can be configured to check for a minimum number of available members before applying its operations (see the Split-Brain Protection section). This is a check to avoid performing successful queue operations on all parts of a cluster during a network partition.

The following is a list of methods, grouped by the operations, that support split-brain protection checks:

- WRITE, READ\_WRITE:
  - 。 schedule
  - 。 scheduleAtFixedRate
  - 。 scheduleOnAllMembers
  - 。 scheduleOnAllMembersAtFixedRate
  - scheduleOnKeyOwner
  - 。 scheduleOnKeyOwnerAtFixedRate
  - 。 scheduleOnMember
  - 。 scheduleOnMemberAtFixedRate

- 。 scheduleOnMembers
- 。 scheduleOnMembersAtFixedRate
- 。shutdown
- READ, READ\_WRITE:
  - getAllScheduledFutures

#### **Configuring Split-Brain Protection**

Split-brain protection for Scheduled Executor Service can be configured programmatically using the method setSplitBrainProtectionName(), or declaratively using the element split-brain-protection-ref. Following is an example declarative configuration:

```
<hazelcast>
...
<scheduled-executor-service name="myScheduledExecSvc">
...
<split-brain-protection-ref>splitbrainprotection-name</split-brain-protection-
ref>
...
</scheduled-executor-service>
...
</hazelcast>
```

The value of split-brain-protection-ref should be the split-brain protection configuration name which you configured under the split-brain-protection element as explained in the Split-Brain Protection section.

## **10.4. Entry Processor**

Hazelcast supports entry processing. An entry processor is a function that executes your code on a map entry in an atomic way.

An entry processor is a good option if you perform bulk processing on an IMap. Usually you perform a loop of keys - executing IMap.get(key), mutating the value and finally putting the entry back in the map using IMap.put(key,value). If you perform this process from a client or from a member where the keys do not exist, you effectively perform two network hops for each update: the first to retrieve the data and the second to update the mutated value.

If you are doing the process described above, you should consider using entry processors. An entry processor executes a read and updates upon the member where the data resides. This eliminates the costly network hops described above.



Entry processor is meant to process a single entry per call. Processing multiple entries and data structures in an entry processor is not supported as it may result in deadlocks.



Note that Hazelcast Jet is a good fit when you want to perform processing that involves multiple entries (aggregations, joins, etc.), or involves multiple computing steps to be made parallel. Hazelcast Jet contains an Entry Processor Sink to allow you to update Hazelcast IMDG data as a result of your Hazelcast Jet computation. See the Hazelcast Jet Reference Manual.

## **10.4.1. Performing Fast In-Memory Map Operations**

An entry processor enables fast in-memory operations on your map without you having to worry about locks or concurrency issues. You can apply it to a single map entry or to all map entries. Entry processors support choosing target entries using predicates. You do not need any explicit lock on entry thanks to the isolated threading model: Hazelcast runs the entry processor for all entries on a partitionThread so there will NOT be any interleaving of the entry processor and other mutations.

Hazelcast sends the entry processor to each cluster member and these members apply it to map entries. Therefore, if you add more members, your processing completes faster.

#### **Using Indexes**

Entry processors can be used with predicates. Predicates help to process a subset of data by selecting eligible entries. This selection can happen either by doing a full-table scan or by using indexes. To accelerate entry selection step, you can consider to add indexes. If indexes are there, entry processor automatically uses them.

#### Using OBJECT In-Memory Format

If entry processing is the major operation for a map and if the map consists of complex objects, you should use OBJECT as the in-memory-format to minimize serialization cost. By default, the entry value is stored as a byte array (BINARY format). When it is stored as an object (OBJECT format), then the entry processor is applied directly on the object. In that case, no serialization or deserialization is performed. However, if there is a defined event listener, a new entry value will be serialized when passing to the event publisher service.



When in-memory-format is OBJECT, the old value of the updated entry will be null.

#### **Processing Entries**

The IMap interface provides the following methods for entry processing:

- executeOnKey processes an entry mapped by a key, blocking until the processing is complete and the result is returned.
- executeOnKeys processes entries mapped by a collection of keys, blocking until the processing is complete and the results are returned.
- submitToKey processes an entry mapped by a key and provides a way to register a callback to receive notifications about the result of the entry processing.
- executeOnEntries processes all entries in a map, blocking until the processing is complete and the results are returned.

• executeOnEntries process all entries in a map matching the provided predicate, blocking until the processing is complete and the results are returned.

When using the executeOnEntries method, if the number of entries is high and you do not need the results, then returning null with the process() method is a good practice. This method is offered by the EntryProcessor interface. By returning null, results of the processing are not collected and thus out of memory errors are eliminated.

If you do not need to read or modify the entry in any way but would like to execute a task on the member owning the entry with that key (i.e. the member is the partition owner for that key), you can also use executeOnKeyOwner provided by IExecutorService. You need to make sure that the runnable can be serialized (using any of the available serialization techniques in Hazelcast). The runnable will not receive the map entry key or value and is not running on the same thread as operations reading the map data so operations such as map.get() or map.put() will not be blocked.



Entry processors run via operation threads that are dedicated to specific partitions. Therefore, with long running entry processor executions, other partition operations such as map.put(key) on some partitions can be blocked while partition operations on other partitions might run concurrently. With this in mind, it is a good practice to make your entry processor executions as quick as possible.

#### **Respecting Locks on Single Keys**

The entry processor respects locks ONLY when its executions are performed on a single key. As explained in the above section, the entry processor has the following methods to process a single key:

```
<R> R executeOnKey(K key, EntryProcessor<K, V, R> entryProcessor);
<R> CompletionStage<R> submitToKey(K key, EntryProcessor<K, V, R> entryProcessor);
```

Therefore, if you want to to perform an entry processor execution on a single key using one of these methods and that key has a lock on it, the execution will wait until the lock on that key is removed.

#### **Processing Backup Entries**

If your code modifies the data, then you will most likely need to modify backup entries as well. This should be done to prevent divergence of map values between copies of data in the cluster (the primary and backup replicas). In most cases, this is simple. By implementing the EntryProcessor interface and providing only the process() method, the same entry processor will be applied on all copies of the map entry.

If, however, you would like to run a custom processor on backup entries, you may provide the processor by overriding the EntryProcessor#getBackupProcessor method. The method should return an instance of an EntryProcessor which will be run on backup entries exclusively. As such, it may carry some state that was derived from running the entry processor on primary replicas.

You may also return null from the EntryProcessor#getBackupProcessor method. This signifies that there is nothing to be done on the backup replicas which is most convenient when you are using

the entry processor to read and not modify entries.



It is possible that an entry processor could see that a key exists though its backup processor may not find it due to an unsent backup of a previous operation, e.g., a previous put operation. In those situations, Hazelcast internally/eventually synchronizes those owner and backup partitions so you do not lose any data. When coding a backup entry processor, you should take that case into account, otherwise NullPointerException can be seen since Map.Entry.getValue() may return null.

## 10.4.2. Creating an Entry Processor

The class IncrementingEntryProcessor creates an entry processor to process the map entries. It implements the EntryProcessor interface. The process() method will be called for both primary and backup entries.

```
public class IncrementingEntryProcessor implements EntryProcessor<Integer, Integer, Integer> {
    public Integer process( Map.Entry<Integer, Integer> entry ) {
        Integer value = entry.getValue();
        entry.setValue( value + 1 );
        return value + 1;
    }
    @Override
    public EntryProcessor<Integer, Integer, Integer> getBackupProcessor() {
        return IncrementingEntryProcessor.this;
    }
}
```

An example usage is shown below:

```
IMap<Integer, Integer> map = hazelcastInstance.getMap( "myMap" );
for ( int i = 0; i < 100; i++ ) {
    map.put( i, i );
}
Map<Integer, Object> res = map.executeOnEntries( new IncrementingEntryProcessor() );
```



You should explicitly call the setValue method of Map.Entry when modifying data in the entry processor. Otherwise, the entry processor is accepted as read-only.



An entry processor instance is not thread-safe. If you are storing a partition specific state between invocations, be sure to register this in a thread-local. An entry processor instance can be used by multiple partition threads.

## **10.4.3. Entry Processor Performance Optimizations**

By default the entry processor executes on a partition thread. A partition thread is responsible for handling one or more partitions. The design of entry processor assumes users have fast user code execution of the process() method. In the pathological case where the code is very heavy and executes in multi-milliseconds, this may create a bottleneck.

We have a slow user code detector which can be used to log a warning controlled by the following system properties:

- hazelcast.slow.operation.detector.enabled (default: true)
- hazelcast.slow.operation.detector.threshold.millis (default: 10000)

The defaults catch extremely slow operations but you should set this much lower, say to 1ms, at development time to catch entry processors that could be problematic in production. These are good candidates for our optimizations.

We have two optimizations:

- Offloadable which moves execution off the partition thread to an executor thread
- ReadOnly which means we can avoid taking a lock on the key

These are enabled very simply by implementing these interfaces in your EntryProcessor.

As of Hazelcast IMDG 3.9, these optimizations apply to the following IMap methods only:

- executeOnKey(Object, EntryProcessor)
- submitToKey(Object, EntryProcessor)
- submitToKey(Object, EntryProcessor, ExecutionCallback)

#### **Offloadable Entry Processor**

If an entry processor implements the Offloadable interface, the process() method is executed in the executor specified by the Offloadable's getExecutorName() method.

Offloading unblocks the partition thread allowing the user to profit from much higher throughput. The key is locked for the time span of the processing in order to not generate a write conflict.

In this case the threading looks as follows:

- 1. partition thread (fetch entry & lock key)
- 2. execution thread (process(entry) method)
- 3. partition thread (set new value & unlock key, or just unlock key if the entry has not been modified)

The method getExecutorName() method may also return two constants defined in the Offloadable interface:

• NO\_OFFLOADING: Processing is not offloaded if the method getExecutorName() returns this constant; it is executed as if it does not implement the Offloadable interface.

• OFFLOADABLE\_EXECUTOR: Processing is offloaded to the default ExecutionService.OFFLOADABLE\_EXECUTOR.

Note that if the method getExecutorName() cannot find an executor whose name matches the one called by this method, then the default executor service is used. Here is the configuration for the "default" executor:

```
<hazelcast>
...
<executor-service name="default">
        <pool-size>16</pool-size>
        <queue-capacity>0</queue-capacity>
        </executor-service>
...
</hazelcast>
```

An example of an Offloadable called "OffloadedInventoryEntryProcessor" would be as follows:

```
<hazelcast>
....
<executor-service name="OffloadedInventoryEntryProcessor">
<pool-size>30</pool-size>
<queue-capacity>0</queue-capacity>
</executor-service>
....
</hazelcast>
```

Remember to set the pool-size (count of executor threads per member) according to your execution needs. See the Configuring Executor Service section for the configuration details.

#### **ReadOnly Entry Processor**

By default, an entry processor does not run if the key is locked. It waits until the key has been unlocked (it applies to the executeOnKey, submitToKey methods, that were mentioned before).

If the entry processor implements the ReadOnly interface without implementing the Offloadable interface, the processing is not offloaded to an external executor. However, the entry processor does not observe if the key of the processed entry is locked, nor tries to acquire the lock since the entry processor will not do any modifications.

If the entry processor implements ReadOnly and modifies the entry, an UnsupportedOperationException is thrown.

#### **ReadOnly and Offloadable Entry Processor**

If the entry processor implements both ReadOnly and Offloadable interfaces, we observe the combination of both optimizations described above.

The process() method is executed in the executor specified by the Offloadable's `getExecutorName() method. Also, the entry processor does not observe if the key of the processed entry is locked, nor tries to acquire the lock since the entry processor will not do any modifications.

In this case the threading looks as follows:

- 1. partition thread (fetch entry)
- 2. execution thread (process(entry))

In this case the EntryProcessor.getBackupProcessor() has to return null; otherwise an IllegalArgumentException exception is thrown.

If the entry processor implements ReadOnly and modifies the entry, an UnsupportedOperationException is thrown.

Putting it all together:

```
public class OffloadableReadOnlyEntryProcessor implements EntryProcessor<String,
Employee, Object>,
        Offloadable, ReadOnly {
    @Override
    public Object process(Map.Entry<String, Employee> entry) {
        // heavy logic
        return null;
    }
    @Override
    public EntryProcessor<String, Employee, Object> getBackupProcessor() {
        // ReadOnly EntryProcessor has to return null, since it's just a read-only
operation that will not be
       // executed on the backup
        return null;
    }
    @Override
    public String getExecutorName() {
        return OFFLOADABLE_EXECUTOR;
    }
}
```

# **11. Distributed Query**

Distributed queries access data from multiple data sources stored on either the same or different members.

Hazelcast partitions your data and spreads it across cluster of members. You can iterate over the map entries and look for certain entries (specified by predicates) you are interested in. However,

this is not very efficient because you have to bring the entire entry set and iterate locally. Instead, Hazelcast allows you to run distributed queries on your distributed map.

## **11.1. How Distributed Query Works**

- 1. The requested predicate is sent to each member in the cluster.
- 2. Each member looks at its own local entries and filters them according to the predicate. At this stage, key/value pairs of the entries are deserialized and then passed to the predicate.
- 3. The predicate requester merges all the results coming from each member into a single set.

Distributed query is highly scalable. If you add new members to the cluster, the partition count for each member is reduced and thus the time spent by each member on iterating its entries is reduced. In addition, the pool of partition threads evaluates the entries concurrently in each member and the network traffic is also reduced since only filtered data is sent to the requester.

Hazelcast offers the following APIs for distributed query purposes:

- Criteria API
- Distributed SQL Query

## 11.1.1. Employee Map Query Example

Assume that you have an "employee" map containing values of Employee objects, as coded below.

```
public class Employee implements Serializable {
    private String name;
    private int age;
    private boolean active;
    private double salary;
    public Employee(String name, int age, boolean active, double salary) {
        this.name = name;
        this.age = age;
        this.active = active;
        this.salary = salary;
    }
    public Employee() {
    public String getName() {
        return name;
    }
    public int getAge() {
        return age;
    }
    public double getSalary() {
        return salary;
    }
    public boolean isActive() {
        return active;
    }
}
```

Now let's look for the employees who are active and have an age less than 30 using the aforementioned APIs (Criteria API and Distributed SQL Query). The following subsections describe each query mechanism for this example.



When using Portable objects, if one field of an object exists on one member but does not exist on another one, Hazelcast does not throw an unknown field exception. Instead, Hazelcast treats that predicate, which tries to perform a query on an unknown field, as an always false predicate.

## 11.1.2. Querying with Criteria API

Criteria API is a programming interface offered by Hazelcast that is similar to the Java Persistence Query Language (JPQL). Below is the code for the above example query.

```
IMap<String, Employee> map = hazelcastInstance.getMap( "employee" );
EntryObject e = Predicates.newPredicateBuilder().getEntryObject();
Predicate predicate = e.is( "active" ).and( e.get( "age" ).lessThan( 30 ) );
Collection<Employee> employees = map.values( predicate );
```

In the above example code, predicate verifies whether the entry is active and its age value is less than 30. This predicate is applied to the employee map using the map.values(predicate) method. This method sends the predicate to all cluster members and merges the results coming from them. Since the predicate is communicated between the members, it needs to be serializable.



Predicates can also be applied to keySet, entrySet and localKeySet of the Hazelcast distributed map.

#### **Predicates Class Operators**

The Predicates class includes many operators for your query requirements. The following are descriptions for some of them:

- equal: Checks if the result of an expression is equal to a given value.
- notEqual: Checks if the result of an expression is not equal to a given value.
- instanceOf: Checks if the result of an expression has a certain type.
- like: Checks if the result of an expression matches some string pattern. % (percentage sign) is the placeholder for many characters, (underscore) is placeholder for only one character.
- ilike: A case-insensitive variant of like.
- greaterThan: Checks if the result of an expression is greater than a certain value.
- greaterEqual: Checks if the result of an expression is greater than or equal to a certain value.
- lessThan: Checks if the result of an expression is less than a certain value.
- lessEqual: Checks if the result of an expression is less than or equal to a certain value.
- between: Checks if the result of an expression is between two values (this is inclusive).
- in: Checks if the result of an expression is an element of a certain collection.
- isNot: Checks if the result of an expression is false.
- regex: Checks if the result of an expression matches some regular expression.
- alwaysTrue: The result of an expression always matches.
- alwaysFalse: The result of an expression ever matches.



See the Predicates Javadoc for all predicates provided.

#### Combining Predicates with AND, OR, NOT

You can combine predicates using the and, or and not operators, as shown in the below examples.

```
public Collection<Employee> getWithNameAndAge( String name, int age ) {
    Predicate namePredicate = Predicates.equal( "name", name );
    Predicate agePredicate = Predicates.equal( "age", age );
    Predicate predicate = Predicates.and( namePredicate, agePredicate );
    return employeeMap.values( predicate );
```

```
}
```

```
public Collection<Employee> getWithNameOrAge( String name, int age ) {
    Predicate namePredicate = Predicates.equal( "name", name );
    Predicate agePredicate = Predicates.equal( "age", age );
    Predicate predicate = Predicates.or( namePredicate, agePredicate );
    return employeeMap.values( predicate );
}
```

```
public Collection<Employee> getNotWithName( String name ) {
    Predicate namePredicate = Predicates.equal( "name", name );
    Predicate predicate = Predicates.not( namePredicate );
    return employeeMap.values( predicate );
}
```

#### Simplifying with PredicateBuilder

You can simplify predicate usage with the PredicateBuilder interface, which offers simpler predicate building. See the below example code which selects all people with a certain name and age.

```
public Collection<Employee> getWithNameAndAgeSimplified( String name, int age ) {
    EntryObject e = Predicates.newPredicateBuilder().getEntryObject();
    Predicate agePredicate = e.get( "age" ).equal( age );
    Predicate predicate = e.get( "name" ).equal( name ).and( agePredicate );
    return employeeMap.values( predicate );
}
```

#### 11.1.3. Querying with SQL

Predicates.sql() takes the regular SQL where clause. Here is an example:

```
IMap<String, Employee> map = hazelcastInstance.getMap( "employee" );
Set<Employee> employees = map.values( Predicates.sql( "active AND age < 30" ) );</pre>
```

#### Supported SQL Syntax

```
AND/OR: `<expression> AND <expression> AND <expression>... `
```

- active AND age>30
- active=false OR age = 45 OR name = 'Joe'
- active AND ( age > 20 OR salary < 60000 )</li>

**Equality:** =, !=, <, ⇐, >, >=

- <expression> = value
- age ← 30
- name = 'Joe'
- salary != 50000

BETWEEN: <attribute> [NOT] BETWEEN <value1> AND <value2>

- age BETWEEN 20 AND 33 ( same as age >= 20 AND age  $\leftarrow$  33 )
- age NOT BETWEEN 30 AND 40 ( same as age < 30 OR age > 40 )

IN: <attribute> [NOT] IN (val1, val2,...)

- age IN ( 20, 30, 40 )
- age NOT IN ( 60, 70 )
- active AND ( salary >= 50000 OR ( age NOT BETWEEN 20 AND 30 ) )
- age IN ( 20, 30, 40 ) AND salary BETWEEN ( 50000, 80000 )

LIKE: <attribute> [NOT] LIKE "expression"

The % (percentage sign) is placeholder for multiple characters, an \_ (underscore) is placeholder for only one character.

- name LIKE 'Jo%' (true for 'Joe', 'Josh', 'Joseph' etc.)
- name LIKE 'Jo\_' (true for 'Joe'; false for 'Josh')
- name NOT LIKE 'Jo\_' (true for 'Josh'; false for 'Joe')
- name LIKE 'J\_s%' (true for 'Josh', 'Joseph'; false 'John', 'Joe')

ILIKE: <attribute> [NOT] ILIKE 'expression'

Similar to LIKE predicate but in a case-insensitive manner.

- name ILIKE 'Jo%' (true for 'Joe', 'joe', 'jOe', 'Josh', 'joSH', etc.)
- name ILIKE 'Jo\_' (true for 'Joe' or 'jOE'; false for 'Josh')

**REGEX:** <attribute> [NOT] REGEX 'expression'

• name REGEX 'abc-.\*' (true for 'abc-123'; false for 'abx-123')



You can escape the % and \_ placeholder characters in your SQL queries with predicates using the backslash (\) character. The apostrophe (') can be escaped with another apostrophe, i.e., ''. If you use REGEX, you need to escape characters according to the normal Java escape syntax; see here for the details.

#### **Querying Entry Keys with Predicates**

You can use \_\_key attribute to perform a predicated search for entry keys. See the following example:

```
IMap<String, Person> personMap = hazelcastInstance.getMap(persons);
personMap.put("Alice", new Person("Alice", 35, Gender.FEMALE));
personMap.put("Andy", new Person("Andy", 37, Gender.MALE));
personMap.put("Bob", new Person("Bob", 22, Gender.MALE));
[...]
Predicate predicate = Predicates.sql("__key like A%");
Collection<Person> startingWithA = personMap.values(predicate);
```

In this example, the code creates a collection with the entries whose keys start with the letter "A".

## 11.1.4. Querying JSON Strings

You can query JSON strings stored inside your Hazelcast clusters. To query a JSON string, you first need to create a HazelcastJsonValue from the JSON string. You can use HazelcastJsonValues both as keys and values in the distributed data structures. Then, it is possible to query these objects using the Hazelcast query methods explained in this section.

```
String person1 = "{ \"name\": \"John\", \"age\": 35 }";
String person2 = "{ \"name\": \"Jane\", \"age\": 24 }";
String person3 = "{ \"name\": \"Trey\", \"age\": 17 }";
IMap<Integer, HazelcastJsonValue> idPersonMap = instance.getMap("jsonValues");
idPersonMap.put(1, new HazelcastJsonValue(person1));
idPersonMap.put(2, new HazelcastJsonValue(person2));
idPersonMap.put(3, new HazelcastJsonValue(person3));
Collection<HazelcastJsonValue> peopleUnder21 = idPersonMap.values(Predicates.lessThan
("age", 21));
```

When running the queries, Hazelcast treats values extracted from the JSON documents as Java types so they can be compared with the query attribute. JSON specification defines five primitive types to be used in the JSON documents: number,string, true, false and null. The string, true/false and null types are treated as String, boolean and null, respectively. We treat the extracted number values as longs if they can be represented by a long. Otherwise, numbers are treated as doubles.

It is possible to query nested attributes and arrays in JSON documents. The query syntax is the same as querying other Hazelcast objects as explained in the Querying in Collections and Arrays section.

```
/**
 * Sample JSON object
 *
 *
  {
       "departmentId": 1,
       "room": "alpha",
       "people": [
           {
               "name": "Peter",
               "age": 26,
               "salary": 50000
           },
           {
               "name": "Jonah",
               "age": 50,
               "salary": 140000
           }
 *
       1
 * }
 * The following query finds all the departments that have a person named "Peter"
working in them.
 */
Collection<HazelcastJsonValue> departmentWithPeter = departments.values(Predicates
.equal("people[any].name", "Peter"));
```

HazelcastJsonValue is a lightweight wrapper around your JSON strings. It is used merely as a way to indicate that the contained string should be treated as a valid JSON value. Hazelcast does not check the validity of JSON strings put into to maps. Putting an invalid JSON string in a map is permissible. However, in that case whether such an entry is going to be returned or not from a query is not defined.

#### Metadata Creation for JSON Querying

Hazelcast stores a metadata object per HazelcastJsonValue stored. This metadata object is created every time a HazelcastJsonValue is put into an IMap. Metadata is later used to speed up the query operations. Metadata creation is on by default. Depending on your application's needs, you may want to turn off the metadata creation to decrease the put latency and increase the throughput. You can configure this using Metadata Policy.



JSON metadata is stored on-heap even when you use the NATIVE in-memory format. If you are storing HazelcastJsonValues in your NATIVE maps, there is a certain amount of on-heap cost per object. Metadata is not created unless you put HazelcastJsonValues in your NATIVE maps even when metadata creation is on.

## **11.1.5. Filtering with Paging Predicates**

Hazelcast provides paging for defined predicates. With its PagingPredicate interface, you can get a collection of keys, values, or entries page by page by filtering them with predicates and giving the size of the pages. Also, you can sort the entries by specifying comparators. In this case, the comparator should be Serializable and the serialization factory implementations you use, e.g., PortableFactory and DataSerializableFactory, should be registered. See the Serialization chapter on how to register these factories.

Paging predicates require the objects to be deserialized both on the calling side (either a member or client) and the member side from which the collection is retrieved. Therefore, you need to register the serialization factories you use on all the members and clients on which the paging predicates are used. See the <u>Serialization chapter</u> on how to register these factories.

In the example code below:

- The greaterEqual predicate gets values from the "students" map. This predicate has a filter to retrieve the objects with an "age" greater than or equal to 18.
- Then a PagingPredicate is constructed in which the page size is 5, so that there are five objects in each page. The first time the values are called creates the first page.
- It gets subsequent pages with the nextPage() method of PagingPredicate and querying the map again with the updated PagingPredicate.

```
IMap<Integer, Student> map = hazelcastInstance.getMap( "students" );
Predicate greaterEqual = Predicates.greaterEqual( "age", 18 );
PagingPredicate pagingPredicate = Predicates.pagingPredicate( greaterEqual, 5 );
// Retrieve the first page
Collection<Student> values = map.values( pagingPredicate );
...
// Set up next page
pagingPredicate.nextPage();
// Retrieve next page
values = map.values( pagingPredicate );
...
```

If a comparator is not specified for PagingPredicate, but you want to get a collection of keys or values page by page, this collection must be an instance of Comparable (i.e., it must implement java.lang.Comparable). Otherwise, the java.lang.IllegalArgument exception is thrown.

You can also access a specific page more easily with the help of the setPage() method. This way, if you make a query for the hundredth page, for example, it gets all 100 pages at once instead of reaching the hundredth page one by one using the nextPage() method. Note that this feature tires the memory and see the PagingPredicate Javadoc.

Paging Predicate, also known as Order & Limit, is not supported in Transactional Context.

## **11.1.6. Filtering with Partition Predicate**

You can run queries on a single partition in your cluster using the partition predicate (PartitionPredicate).

The Predicates.partitionPredicate() method takes a predicate and partition key as parameters, gets the partition ID using the key and runs that predicate only on the partition where that key belongs.

See the following code snippet:

```
Predicate predicate = Predicates.partitionPredicate(partitionKey, Predicates
.alwaysTrue());
Collection<Integer> values = map.values(predicate);
Collection<String> keys = map.keySet(predicate);
...
```

By default there are 271 partitions, and using a regular predicate, each partition needs to be accessed. However, if the partition predicate only accesses a single partition, this can lead to a big performance gain.

For the partition predicate to work correctly, you need to know which partition your data belongs to so that you can send the request to the correct partition. One of the ways of doing it is to make use of the PartitionAware interface when data is inserted, thereby controlling the owning partition. See the PartitionAware section for more information and examples.

A concrete example may be a web shop that sells phones and accessories. To find all the accessories of a phone, a query could be executed that selects all accessories for that phone. This query is executed on all members in the cluster and therefore could generate quite a lot of load. However, if we would store the accessories in the same partition as the phone, the partition predicate could use the partitionKey of the phone to select the right partition and then it queries for the accessories for that phone; and this reduces the load on the system and get faster query results.

## 11.1.7. Indexing Queries

Hazelcast distributed queries run on each member in parallel and return only the results to the caller. Then, on the caller side, the results are merged.

When a query runs on a member, Hazelcast iterates through all the owned entries and find the matching ones. This can be made faster by indexing the mostly queried fields, just like you would do for your database. Indexing adds overhead for each write operation but queries will be a lot faster. If you query your map a lot, make sure to add indexes for the most frequently queried fields. For example, if you do an active and age < 30 query, make sure you add an index for the active and age fields. The following example code does that by getting the map from the Hazelcast instance and adding indexes to the map with the IMap addIndex method.

IMap map = hazelcastInstance.getMap( "employees" );
// ordered, since we have ranged queries for this field
map.addIndex(new IndexConfig(IndexType.SORTED, "age"));
// not ordered, because boolean field cannot have range
map.addIndex(new IndexConfig(IndexType.HASH, "active"));

Note that creating indexes once is sufficient. Subsequent operations are reflected in the index automatically. So, although it is safe to call the addIndex() method consecutively, there will be a performance penalty due to the redundant index creation.

When you call, for example, map.addIndex("fieldName", true), each partition iterates over their recordset and creates an index for each entry. The previously created index entry will be recreated and replaced with the new entry. The performance penalty will be parallel with the number of entries. If you have maps with a large number of entries, then synchronizing index addition process is recommended.

Other than using the addIndex() method, you can define your index declaratively or programmatically as described in the Configuring IMap Indexes section.

#### **Indexing Ranged Queries**

IMap.addIndex(IndexConfig) is used for adding index. For each indexed field, if you have ranged queries such as age>30, age BETWEEN 40 AND 60, then use IndexType.SORTED index Otherwise, use IndexType.HASH.

#### **Configuring IMap Indexes**

Also, you can define IMap indexes in configuration. An example is shown below.

```
<hazelcast>
    . . .
    <map name="default">
        <indexes>
            <index type="HASH">
                 <attributes>
                     <attribute>name</attribute>
                 </attributes>
            </index>
            <index>
                 <attributes>
                     <attribute>age</attribute>
                 </attributes>
            </index>
        </indexes>
    </map>
    . . .
</hazelcast>
```

You can also define IMap indexes using programmatic configuration, as in the example below.

```
mapConfig.addIndexConfig(new IndexConfig(IndexType.HASH, "name"));
mapConfig.addIndexConfig(new IndexConfig(IndexType.SORTED, "age"));
```

The following is the Spring declarative configuration for the same example.

```
<hz:map name="default">
<hz:indexes>
<hz:index type="HASH">
<hz:attributes>
<hz:attribute>name</hz:attribute>
</hz:attributes>
</hz:index>
<hz:index>
<hz:attributes>
<hz:attributes>
</hz:attributes>
</hz:index>
</hz:index>
</hz:index>
</hz:index>
</hz:index>
```



Non-primitive types to be indexed should implement **Comparable**.



If you configure the data structure to use High-Density Memory Store **and** indexes, the indexes are automatically stored in the High-Density Memory Store as well. This prevents from running into full garbage collections when doing a lot of updates to index.

#### **Composite Indexes**

Composite indexes, also known as compound indexes, are special kind of indexes that are built on top of the multiple map entry attributes and therefore may be used to significantly speed up the queries involving those attributes simultaneously.

There are two distinct composite index types used for two different purposes: unordered composite indexes and ordered ones.

#### **Unordered Composite Indexes**

The unordered indexes are used to perform equality queries, also known as the point queries, e.g., name = 'Alice'. These are specifically optimized for equality queries and don't support other comparison operators like > or <=.

Additionally, the *composite* unordered indexes allow speeding up the equality queries involving multiple attributes simultaneously, e.g., name = 'Alice' and age = 33. This example query results in a single composite index lookup operation which can be performed very efficiently.

The unordered composite index on the name and age attributes may be configured for a map as follows:

```
<hazelcast>
...
<map name="persons">
.indexes>
.index type="HASH">
```

The attributes indexed by the *unordered* composite indexes can't be matched partially: the name = 'Alice' query can't utilize the composite index configured above.

#### **Ordered Composite Indexes**

The ordered indexes are specifically designed to perform efficient order comparison queries, also known as the range queries, e.g., age > 33. The equality queries, like age = 33, are still supported by the ordered indexes, but they are handled in a slightly less efficient manner comparing to the unordered indexes.

The *composite* ordered indexes extend the concept by allowing multiple equality predicates and a single order comparison predicate to be combined into a single index query operation. For instance, the name = 'Alice' and age > 33 and name = 'Bob' and age = 33 and balance > 0.0 queries are good candidates to be covered by an ordered composite index configured as follows:

```
<hazelcast>
....
<map name="persons">
<indexes>
<index>
<index>
<index>
<index>
<iattribute>name</attribute>
<iattribute>age</attribute>
<iattribute>balance</attribute>
<iattribute>balance</attribute>
<i/attributes>
<i/index>
</map>
....
</hazelcast>
```

Unlike the *unordered* composite indexes, partial attribute prefixes may be matched for the *ordered* composite indexes. In general, a valid non-empty attribute prefix is formed as a sequence of zero or more equality predicates followed by a zero or exactly one order comparison predicate. Given the index definition above, the following queries may be served by the index: name = 'Alice', name > 'Alice', name = 'Alice' and age > 33, name = 'Alice' and age = 33 and balance = 5.0. The following queries can't be served the index: age = 33, age > 33 and balance = 0.0, balance > 0.0.

While matching the ordered composite indexes, multiple order comparison predicates acting on the same attribute are treated as a single range predicate acting on that attribute. Given the index definition above, the following queries may be served by the index: name > 'Alice' and name < 'Bob', name = 'Alice' and age > 33 and age < 55, name = 'Alice' and age = 33 and balance > 0.0 and balance < 100.0.

#### **Composite Index Matching and Selection**

The order of attributes involved in a query plays no role in the selection of the matching composite index: name = 'Alice' and age = 33 and age = 33 and name = 'Alice' queries are equivalent from the point of view of the index matching procedure.

The attributes involved in a query can be matched partially by the composite index matcher: name = 'Alice' and age = 33 and balance > 0.0 can be partially matched by the name, age composite index, the name = 'Alice' and age = 33 predicates are served by the matched index, while the balance > 0.0 predicate is processed by other means.

#### **Bitmap Indexes**

Bitmap indexes provide capabilities similar to unordered/hash indexes. The same set of predicates is supported:

- equal
- notEqual
- in,
- and
- OF
- not

But, unlike hash indexes, bitmap indexes are able to achieve a much higher memory efficiency for low cardinality attributes at the cost of reduced query performance. In practice, the query performance is comparable to the performance of hash indexes, while memory footprint reduction is high, usually around an order of magnitude.

Bitmap indexes are specifically designed for indexing of collection and array attributes since a single IMap entry produces many index entries in that case. A single hash index entry costs a few tens of bytes, while a single bitmap index entry usually costs just a few bytes.

It's also possible to improve the memory footprint while indexing regular single-value attributes, but the improvement is usually minor, depending on the data layout and total number of indexes.



Currently, bitmap indexes are not supported by off-heap High-Density Memory Stores (HD).

#### **Configuring Bitmap Indexes**

In the simplest form, bitmap index for an IMap entry attribute can be declaratively configured as follows:

```
<hazelcast>
....
<map name="persons">
.indexes>
.index type="BITMAP">
.attributes>
.attributes>
.attributes>
....
</map>
....
</hazelcast>
```

Internally, a unique non-negative long ID is assigned to every indexed IMap entry based on the entry key. That unique ID is required for bitmap indexes to distinguish one indexed IMap entry from another.

The mapping between IMap entries and long IDs is not free and its performance and memory footprint can be improved in certain cases. For instance, if IMap entries already have a unique integer-valued attribute, the attribute values can be used as unique long IDs directly without any additional transformations. That can be configured as follows:

```
<index type="BITMAP">
        <attributes>
            <attribute>age</attribute>
            </attribute>age</attribute>
            </attributes>
            <bitmap-index-options>
                 <unique-key>uniqueId</unique-key>
                 <unique-key-transformation>RAW</unique-key-transformation>
            </bitmap-index-options>
        </iindex>
```

The index definition above instructs Hazelcast to create a bitmap index on the age attribute, extract the unique key values from uniqueId attribute and use the raw (RAW) extracted values directly as long IDs. If the extracted unique key value is not of long type, the widening conversion is performed for the following types: byte, short and int; boxed variants are also supported.

In certain cases, the extracted raw IDs might be randomly distributed. This causes increased memory usage in bitmap indexes since the best case scenario for them is sequential contiguous IDs.

That can be countered by applying the renumbering technique:

The index definition above instructs the bitmap index to extract the unique keys from uniqueId attribute, convert every extracted non-negative value to long (LONG) and assign an internal sequential unique long ID based on that extracted and then converted unique value. The widening conversion is applied to the extracted values, if necessary.

This long-to-long mapping is performed more efficiently than the general object-to-long mapping done for the simple index definitions. Basically, the following simple bitmap index definition:

```
<index type="BITMAP">
<attributes>
<attribute>age</attribute>
</attributes>
</index>
```

Is equivalent to the following full-form definition:

Which indexes age attribute, uses IMap entry keys (\_\_key) interpreted as Java objects (OBJECT) to assign internal unique long IDs.

The full-form definition syntax is defined as follows:

```
<index type="BITMAP">
        <attributes>
            <attribute><attr></attribute>
            <attribute><attr></attributes>
            <bitmap-index-options>
            <unique-key><key></unique-key>
            <unique-key-transformation></unique-key-transformation>
            </bitmap-index-options>
            </bitmap-index-options>
            </bitmap-index-options>
            </bitmap-index-options>
            </bitmap-index-options>
            </bitmap-index-options>
            </bitmap-index-options>
            <//bitmap-index-options>
            <//bitmap-index-options>
```

The following are the parameter descriptions:

- <attr>: Specifies the attribute index.
- <key>: Specifies the attribute to use as a unique key source for internal unique long ID assignment.
- <transformation>: Specifies the transformation to be applied to unique keys to generate unique long IDs from them. The following transformations are supported:
  - **OBJECT**: Object-to-long transformation. Each extracted unique key value is interpreted as a Java object instance. Internally, an object-to-long hash table is used to establish the mapping from unique keys to unique IDs. Good as a general-purpose transformation.
  - LONG: Long-to-long transformation. Each extracted unique key value is interpreted as a nonnegative long value, the widening conversion from byte, short and int is performed, if necessary. Internally, a long-to-long hash table is used to establish the mapping from unique keys to unique IDs, which is more efficient than the object-to-long hash table. It is good for sparse/random unique integer-valued keys renumbering to raise the IDs density and to make the bitmap index more memory-efficient as a result.
  - RAW: Raw transformation. Each extracted unique key value is interpreted as a non-negative long value, the widening conversion from byte, short and int is performed, if necessary. Internally, no hash table of any kind is used to establish the mapping from unique keys to unique IDs, the raw extracted keys are used directly as IDs. It is good for dense unique integer-valued keys, and it has the best performance in terms of time and memory.

The regular dotted attribute path syntax is supported for <attr> and <key>:

```
<index type="BITMAP">
    <attributes>
        <attribute>name.first</attribute>
    </attributes>
</index>
<index type="BITMAP">
    <attributes>
        <attribute>name.first</attribute>
    </attributes>
    <br/><bitmap-index-options>
        <unique-key>__key.id</unique-key>
    </bitmap-index-options>
</index>
<index type="BITMAP">
    <attributes>
        <attribute>name.first</attribute>
    </attributes>
    <br/>ditmap-index-options>
        <unique-key>id.external</unique-key>
    </bitmap-index-options>
</index>
. . .
```

Collection and array indexing is also possible using the regular syntax:

See Indexing in Collections and Arrays section for more details.

#### **Bitmap Index Querying**

Bitmap index matching and selection for queries are performed automatically. No special treatment is required. The querying can be performed using the regular IMap querying methods: IMap.values(Predicate), IMap.entrySet(Predicate), etc.

#### **Copying Indexes**

The underlying data structures used by the indexes need to copy the query results to make sure

that the results are correct. This copying process is performed either when reading the index from the data structure (on-read) or writing to it (on-write).

On-read copying means that, for each index-read operation, the result of the query is copied before it is sent to the caller. Depending on the query result's size, this type of index copying may be slower since the result is stored in a map, i.e., all entries need to have the hash calculated before being stored. Unlike the index-read operations, each index-write operation is fast, since there is no copying. So, this option can be preferred in index-write intensive cases.

On-write copying means that each index-write operation completely copies the underlying map to provide the copy-on-write semantics and this may be a slow operation depending on the index size. Unlike index-write operations, each index-read operation is fast since the operation only includes accessing the map that stores the results and returning them to the caller.

Another option is never copying the results of a query to a separate map. This means the results backed by the underlying index-map can change after the query has been executed (such as an entry might have been added or removed from an index, or it might have been remapped). This option can be preferred if you expect "mostly correct" results, i.e., if it is not a problem when some entries returned in the query result set do not match the initial query criteria. This is the fastest option since there is no copying.

You can set one these options using the system property hazelcast.index.copy.behavior. The following values, which are explained in the above paragraphs, can be set:

- COPY\_ON\_READ (the default value)
- COPY\_ON\_WRITE
- NEVER



Usage of this system property is supported for BINARY and OBJECT in-memory formats. Only in Hazelcast 3.8.7, it is also supported for NATIVE in-memory format.

#### Indexing Attributes with ValueExtractor

You can also define custom attributes that may be referenced in predicates, queries and indexes. Custom attributes can be defined by implementing a ValueExtractor. See the Custom Attributes section for details.

#### Using "this" as an Attribute

You can use the keyword this as an attribute name while adding an index or creating a predicate. A basic usage is shown below.

```
map.addIndex(new IndexConfig(IndexType.SORTED, "this"));
Predicate<Integer, Integer> lessEqual = Predicates.between("this", 12, 20);
```

Another basic example using SQL predicate is shown below.

```
Predicates.sql("this = 'jones'")
Predicates.sql("this.age > 33")
```

The special attribute this acts on the value of a map entry. Typically, you do not need to specify it while accessing a property of an entry's value, since its presence is implicitly assumed if the special attribute \_\_key is not specified.

## 11.1.8. Configuring Query Thread Pool

You can change the size of thread pool dedicated to query operations using the pool-size property. Each query consumes a single thread from a Generic Operations ThreadPool on each Hazelcast member - let's call it the query-orchestrating thread. That thread is blocked throughout the whole execution-span of a query on the member.

The query-orchestrating thread uses the threads from the query-thread pool in the following cases:

- if you run a PagingPredicate (since each page runs as a separate task)
- if you set the system property hazelcast.query.predicate.parallel.evaluation to true (since the predicates are evaluated in parallel)

See the Filtering with Paging Predicates section and System Properties appendix for information on paging predicates and for description of the above system property.

Below is an example of that declarative configuration.

```
<hazelcast>
...
<executor-service name="hz:query">
<pool-size>100</pool-size>
</executor-service>
...
</hazelcast>
```

Below is the equivalent programmatic configuration.

```
Config cfg = new Config();
cfg.getExecutorConfig("hz:query").setPoolSize(100);
```

#### **Query Requests from Clients**

When dealing with the query requests coming from the clients to your members, Hazelcast offers the following system properties to tune your thread pools:

 hazelcast.clientengine.thread.count which is the number of threads to process non-partitionaware client requests, like map.size() and executor tasks. Its default value is the number of cores multiplied by 20. • hazelcast.clientengine.query.thread.count which is the number of threads to process query requests coming from the clients. Its default value is the number of cores.

If there are a lot of query request from the clients, you may want to increase the value of hazelcast.clientengine.query.thread.count. In addition to this tuning, you may also consider increasing the value of hazelcast.clientengine.thread.count if the CPU load in your system is not high and there is plenty of free memory.

## **11.2. Querying in Collections and Arrays**

Hazelcast allows querying in collections and arrays. Querying in collections and arrays is compatible with all Hazelcast serialization methods, including the Portable serialization.

Let's have a look at the following data structure expressed in pseudo-code:

```
class Motorbike {
    Wheel[] wheels;
}
class Wheel {
    String name;
}
```

In order to query a single element of a collection/array, you can execute the following query:

```
// it matches all motorbikes where the zero wheel's name is 'front-wheel'
Predicate p = Predicates.equal("wheels[0].name", "front-wheel");
Collection<Motorbike> result = map.values(p);
```

It is also possible to query a collection/array using the any semantic as shown below:

```
// it matches all motorbikes where any wheel's name is 'front-wheel'
Predicate p = Predicates.equal("wheels[any].name", "front-wheel");
Collection<Motorbike> result = map.values(p);
```

The exact same query may be executed using the SQL predicate as shown below:

```
Predicate p = Predicates.sql("wheels[any].name = 'front-wheel'");
Collection<Motorbike> result = map.values(p);
```

[] notation applies to both collections and arrays.

Hazelcast requires all elements of a collection to have the same type. Considering and expanding the above example:

- If you have a wheels collection attribute, all of its elements must be of the Wheel type, subclasses of Wheel are not allowed.
- Let's say you have added a seats collection attribute, which is a Seat object. Then all of its elements must of this concrete Seat type.

So, you may have collections of different types in your map. However, each collection's elements must be of the same concrete type within that collection attribute.

Consider custom attribute extractors if it is impossible or undesirable to reduce the variety of types to a single type. See the Custom Attributes section for information on them.

### 11.2.1. Indexing in Collections and Arrays

You can also create an index using a query in collections and arrays.

Please note that in order to leverage the index, the attribute name used in the query has to be the same as the one used in the index definition.

Let's assume you have the following index definition:

```
<hazelcast>
...
<indexes>
<index type="HASH">
<attributes>
<attribute>wheels[any].name</attribute>
</attributes>
</index>
</index>
...
</hazelcast>
```

The following query uses the index:

Predicate p = Predicates.equal("wheels[any].name", "front-wheel");

The following query, however, does NOT leverage the index, since it does not use exactly the same attribute name that was used in the index:

```
Predicates.equal("wheels[0].name", "front-wheel")
```



In order to use the index in the case mentioned above, you have to create another index, as shown below:

```
<hazelcast>
....
<indexes>
<index type="HASH">
<attributes>
<attributes>
<attribute>wheels[0].name</attribute>
</attributes>
</index>
</indexes>
....
</hazelcast>
```

#### 11.2.2. Corner cases

Handling of corner cases may be a bit different than in a programming language like Java.

Let's have a look at the following examples in order to understand the differences. To make the analysis simpler, let's assume that there is only one Motorbike object stored in a Hazelcast Map.

Id	Query	Data State	Extract ion Result	Match
1	<pre>Predicates.equal("wheels[7].name", "front- wheel")</pre>	<pre>wheels.size() == 1</pre>	null	No
2	<pre>Predicates.equal("wheels[7].name", null)</pre>	<pre>wheels.size() == 1</pre>	null	Yes
3	<pre>Predicates.equal("wheels[0].name", "front- wheel")</pre>	<pre>wheels[0].name == null</pre>	null	No
4	<pre>Predicates.equal("wheels[0].name", null)</pre>	<pre>wheels[0].name == null</pre>	null	Yes
5	<pre>Predicates.equal("wheels[0].name", "front- wheel")</pre>	wheels[0] == null	null	No
6	<pre>Predicates.equal("wheels[0].name", null)</pre>	<pre>wheels[0] == null</pre>	null	Yes
7	<pre>Predicates.equal("wheels[0].name", "front- wheel")</pre>	wheels == null	null	No
8	<pre>Predicates.equal("wheels[0].name", null)</pre>	wheels == null	null	Yes

As you can see, **no** NullPointerExceptions or IndexOutOfBoundExceptions are thrown in the extraction process, even though parts of the expression are null.

Looking at examples 4, 6 and 8, we can also easily notice that it is impossible to distinguish which part of the expression was null. If we execute the following query wheels[1].name = null, it may be evaluated to true because:

- wheels collection/array is null
- index == 1 is out of bound

• name attribute of the wheels[1] object is null.

In order to make the query unambiguous, extra conditions would have to be added, e.g., wheels != null AND wheels[1].name = null.

## **11.3. Custom Attributes**

It is possible to define a custom attribute that may be referenced in predicates, queries and indexes.

A custom attribute is a "synthetic" attribute that does not exist as a field or a getter in the object that it is extracted from. Thus, it is necessary to define the policy on how the attribute is supposed to be extracted. Currently the only way to extract a custom attribute is to implement a com.hazelcast.query.extractor.ValueExtractor that encompasses the extraction logic.

Custom Attributes are compatible with all Hazelcast serialization methods, including the Portable serialization.

### 11.3.1. Implementing a ValueExtractor

In order to implement a ValueExtractor, implement the com.hazelcast.query.extractor.ValueExtractor interface and the extract() method. This method does not return any values since the extracted value is collected by the ValueCollector. In order to return multiple results from a single extraction, invoke the ValueCollector.collect() method multiple times, so that the collector collects all results.

See the ValueExtractor and ValueCollector Javadocs.

#### ValueExtractor with Portable Serialization

Portable serialization is a special kind of serialization where there is no need to have the class of the serialized object on the classpath in order to read its attributes. That is the reason why the target object passed to the ValueExtractor.extract() method is not of the exact type that has been stored. Instead, an instance of a com.hazelcast.query.extractor.ValueReader is passed. ValueReader enables reading the attributes of a Portable object in a generic and type-agnostic way. It contains two methods:

- read(String path, ValueCollector<T> collector) enables passing all results directly to the ValueCollector.
- read(String path, ValueCallback<T> callback) enables filtering, transforming and grouping the result of the read operation and manually passing it to the ValueCollector.

See the ValueReader Javadoc.

#### **Returning Multiple Values from a Single Extraction**

It sounds counter-intuitive, but a single extraction may return multiple values when arrays or collections are involved. Let's have a look at the following data structure in pseudo-code:

```
class Motorbike {
    Wheel[] wheel;
}
class Wheel {
    String name;
}
```

Let's assume that we want to extract the names of all wheels from a single motorbike object. Each motorbike has two wheels so there are two names for each bike. In order to return both values from the extraction operation, collect them separately using the ValueCollector. Collecting multiple values in this way allows you to operate on these multiple values as if they were single values during the evaluation of the predicates.

Let's assume that we registered a custom extractor with the name wheelName and executed the following query: wheelName = front-wheel.

The extraction may return up to two wheel names for each Motorbike since each Motorbike has up to two wheels. In such a case, it is enough if a single value evaluates the predicate's condition to true to return a match, so it returns a Motorbike if "any" of the wheels matches the expression.

### **11.3.2. Extraction Arguments**

A ValueExtractor may use a custom argument if it is specified in the query. The custom argument may be passed within the square brackets located after the name of the custom attribute, e.g., customAttribute[argument].

Let's have a look at the following query: currency[incoming] == EUR The currency is a custom attribute that uses a com.test.CurrencyExtractor for extraction.

The string incoming is an argument that is passed to the ArgumentParser during the extraction. The parser parses the string according to its custom logic and it returns a parsed object. The parsed object may be a single object, array, collection, or any arbitrary object. It is up to the ValueExtractor implementation to understand the semantics of the parsed argument object.

For now it is **not** possible to register a custom ArgumentParser, thus a default parser is used. It follows a pass-through semantic, which means that the string located in the square brackets is passed "as is" to the ValueExtractor.extract() method.

Please note that using square brackets within the argument string are not allowed.

### 11.3.3. Configuring a Custom Attribute Programmatically

The following snippet demonstrates how to define a custom attribute using a ValueExtractor.

```
AttributeConfig attributeConfig = new AttributeConfig();
attributeConfig.setName("currency");
attributeConfig.setExtractorClassName("com.bank.CurrencyExtractor");
MapConfig mapConfig = new MapConfig();
mapConfig.addAttributeConfig(attributeConfig);
```

currency is the name of the custom attribute that will be extracted using the CurrencyExtractor class.

Keep in mind that an extractor may not be added after the map has been instantiated. All extractors have to be defined upfront in the map's initial configuration.

### 11.3.4. Configuring a Custom Attribute Declaratively

The following snippet demonstrates how to define a custom attribute in the Hazelcast XML Configuration.

Analogous to the example above, **currency** is the name of the custom attribute that will be extracted using the **CurrencyExtractor** class.

Please note that an attribute name may begin with an ASCII letter [A-Za-z] or digit [0-9] and may contain ASCII letters [A-Za-z], digits [0-9] or underscores later on.

### **11.3.5. Indexing Custom Attributes**

You can create an index using a custom attribute.

The name of the attribute used in the index definition has to match the one used in the attributes configuration.

Defining indexes with extraction arguments is allowed, as shown in the example below:

```
<hazelcast>
    . . .
    <indexes>
        <!-- custom attribute without an extraction argument -->
        <index>
            <attributes>
                <attribute>currency</attribute>
            </attributes>
        </index>
        <!-- custom attribute using an extraction argument -->
        <index>
            <attributes>
                <attribute>currency[incoming]</attribute>
            </attributes>
        </index>
    </indexes>
    . . .
</hazelcast>
```

## **11.4. Aggregations**

Aggregations allow to compute a value of some function (e.g sum or max) over the stored map entries. The computation is performed in a fully distributed manner, so no data other than the computed function value is transferred to a caller, making the computation fast.



If the in-memory format of your data is NATIVE, aggregations always run on the partition threads. If the data is of type BINARY or OBJECT, they also mostly run on the partition threads, however, they may run on the separate query threads to avoid blocking partition threads (if there are no ongoing migrations).

## 11.4.1. Aggregator API

The aggregation is split into three phases represented by three methods:

- 1. accumulate()
- 2. combine()
- 3. aggregate()

There are also the following callbacks:

- onAccumulationFinished() called when the accumulation phase finishes
- onCombinationFinished() called when the combination phase finishes

These callbacks enable releasing the state that might have been initialized and stored in the Aggregator - to reduce the network traffic.

Each phase is described below. See also the Aggregator Javadoc for the API's details.

#### Accumulation:

During the accumulation phase each Aggregator accumulates all entries passed to it by the query engine. It accumulates only those pieces of information that are required to calculate the aggregation result in the last phase - that's implementation specific.

In case of the **DoubleAverage** aggregation the Aggregator would accumulate:

- the sum of the elements it accumulated
- the count of the elements it accumulated

#### **Combination:**

Since aggregation is executed in parallel on each partition of the cluster, the results need to be combined after the accumulation phase in order to be able to calculate the final result.

In case of the DoubleAverage aggregation, the aggregator would sum up all the sums of the elements and all the counts.

#### Aggregation:

Aggregation is the last phase that calculates the final result from the results accumulated and combined in the preceding phases.

In case of the DoubleAverage aggregation, the Aggregator would just divide the sum of the elements by their count (if non-zero).

### **11.4.2. Aggregations and Map Interfaces**

Aggregations are available on com.hazelcast.core.IMap only. IMap offers the method aggregate to apply the aggregation logic on the map entries. This method can be called with or without a predicate. You can refer to its Javadoc to see the method details.

### 11.4.3. Example Implementation

Here's an example implementation of the Aggregator:

```
private static void simpleCustomAverageAggregation(IMap<String, FAEmployee> employees)
{
    System.out.println("Calculating salary average");
    double avgSalary = employees.aggregate(new Aggregator<Map.Entry<String,</pre>
FAEmployee>, Double>() {
        protected long sum;
        protected long count;
        @Override
        public void accumulate(Map.Entry<String, FAEmployee> entry) {
            count++;
            sum += entry.getValue().getSalaryPerMonth();
        }
        @Override
        public void combine(Aggregator aggregator) {
            this.sum += this.getClass().cast(aggregator).sum;
            this.count += this.getClass().cast(aggregator).count;
        }
        @Override
        public Double aggregate() {
            if (count == 0) {
                return null;
            }
            return ((double) sum / (double) count);
        }
   });
    System.out.println("Overall average salary: " + avgSalary);
    System.out.println("\n");
}
```

As you can see:

- the accumulate() method calculates the sum and count of the elements
- the combine() method combines the results from all the accumulations
- the aggregate() method calculates the final result.

### 11.4.4. Built-In Aggregations

The com.hazelcast.aggregation.Aggregators class provides a wide variety of built-in Aggregators. The full list is presented below:

• count

- distinct
- bigDecimal sum/avg/min/max
- bigInteger sum/avg/min/max
- double sum/avg/min/max
- integer sum/avg/min/max
- long sum/avg/min/max
- number avg
- comparable min/max
- fixedPointSum, floatingPointSum

To use the any of these Aggregators, instantiate them using the Aggregators factory class.

Each built-in Aggregator can also navigate to an attribute of the object passed to the accumulate() method (via reflection). For example, Aggregators.distinct("address.city") extracts the address.city attribute from the object passed to the Aggregator and accumulate the extracted value.

## **11.4.5. Configuration Options**

On each partition, after the entries have been passed to the aggregator, the accumulation runs in parallel. It means that each aggregator is cloned and receives a sub-set of the entries received from a partition. Then, it runs the accumulation phase in all of the cloned aggregators - at the end, the result is combined into a single accumulation result. It speeds up the processing by at least the factor of two - even in case of simple aggregations. If the accumulation logic is more "heavy", the speed-up may be more significant.

In order to switch the accumulation into a sequential mode just set the hazelcast.aggregation.accumulation.parallel.evaluation property to false (it's set to true by default).

## 11.5. Projections

There are cases where instead of sending all the data returned by a query from a member, you want to transform (strip down) each result object in order to avoid redundant network traffic.

For example, you select all employees based on some criteria, but you just want to return their name instead of the whole Employee object. It is easily doable with the Projection API.

### 11.5.1. Projection API

The Projection API provides the method transform() which is called on each result object. Its result is then gathered as the final query result entity. You can refer to the Projection Javadoc for the API's details.

#### **Projections and Map Interfaces**

Projections are available on com.hazelcast.core.IMap only. IMap offers the method project to apply

the projection logic on the map entries. This method can be called with or without a predicate. See its Javadoc to see the method details.

### 11.5.2. Example implementation

Let's consider the following domain object stored in an IMap:

```
public class Employee implements Serializable {
    private String name;
    public Employee() {
        }
        public String getName() {
            return name;
        }
        public void setName(String firstName) {
            this.name = name;
        }
}
```

To return just the names of the Employees, you can run the query in the following way:

```
Collection<String> names = employees.project(new Projection<Map.Entry<String,
Employee>, String>() {
    @Override
    public String transform(Map.Entry<String, Employee> entry) {
        return entry.getValue().getName();
    }
}, somePredicate);
```

## 11.5.3. Built-In Projections

The com.hazelcast.projection.Projections class provides two built-in Projections:

- singleAttribute
- multiAttribute

The singleAttribute Projection enables extracting a single attribute from an object (via reflection). For example, Projection.singleAttribute("address.city") extracts the address.city attribute from the object passed to the Projection.

The multiAttribute Projection enables extracting multiples attributes from an object (via reflection). For example, Projection.multiAttribute("address.city", "postalAddress.city") extracts both attributes from the object passed to the Projection and return them in an Object[] array.

## 11.6. Continuous Query Cache

A continuous query cache is used to cache the result of a continuous query. After the construction of a continuous query cache, all changes on IMap are asynchronously reflected to this cache via events. This makes this cache as an asynchronously updated view of IMap. You can create a continuous query cache either on the client or member.

## 11.6.1. Keeping Query Results Local and Ready

A continuous query cache is beneficial when you need to query the distributed IMap data in a very frequent and fast way. By using a continuous query cache, the result of the query will always be ready and local to the application.

### 11.6.2. Accessing Continuous Query Cache from Member

The following code snippet shows how you can access a continuous query cache from a member.

```
QueryCacheConfig queryCacheConfig = new QueryCacheConfig("cache-name");
queryCacheConfig.getPredicateConfig().setImplementation(new OddKeysPredicate());
MapConfig mapConfig = new MapConfig("map-name");
mapConfig.addQueryCacheConfig(queryCacheConfig);
Config config = new Config();
config.addMapConfig(mapConfig);
HazelcastInstance node = Hazelcast.newHazelcastInstance(config);
IMap<Integer, String> map = (IMap) node.getMap("map-name");
```

## 11.6.3. Accessing Continuous Query Cache from Client Side

The following code snippet shows how you can access a continuous query cache from the client side. The difference in this code from the member side code above is that you configure and instantiate a client instance instead of a member instance.

```
QueryCacheConfig queryCacheConfig = new QueryCacheConfig("cache-name");
queryCacheConfig.getPredicateConfig().setImplementation(new OddKeysPredicate());
ClientConfig clientConfig = new ClientConfig();
clientConfig.addQueryCacheConfig("map-name", queryCacheConfig);
HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);
IMap<Integer, Integer> clientMap = (IMap) client.getMap("map-name");
QueryCache<Integer, Integer> cache = clientMap.getQueryCache("cache-name");
```

## 11.6.4. Features of Continuous Query Cache

The following features of continuous query cache are valid for both the member and client:

- The initial query that is run on the existing IMap data during the continuous query cache construction can be enabled/disabled according to the supplied predicate via QueryCacheConfig.setPopulate().
- Continuous query cache allows you to run queries with indexes and perform event batching and coalescing.
- A continuous query cache is evictable. Note that a continuous query cache has a default maximum capacity of 10000. If you need a non-evictable cache, you should configure the eviction via QueryCacheConfig.setEvictionConfig().
- A listener can be added to a continuous query cache using QueryCache.addEntryListener().
- IMap events are reflected in continuous query cache in the same order as they were generated on map entries. Since events are created on entries stored in partitions, ordering of events is maintained based on the ordering within the partition. You can add listeners to capture lost events using EventLostListener and you can recover lost events with the method QueryCache.tryRecover(). Recovery of lost events largely depends on the size of the buffer on Hazelcast members. Default buffer size is 16 per partition, i.e., 16 events per partition can be maintained in the buffer. If the event generation is high, setting the buffer size to a higher number provides better chances of recovering lost events. You can set buffer size with QueryCacheConfig.setBufferSize(). You can use the following example code for a recovery case.

```
QueryCache queryCache = map.getQueryCache("cache-name", Predicates.sql("this > 20"
), true);
queryCache.addEntryListener(new EventLostListener() {
    @Override
    public void eventLost(EventLostEvent event) {
        queryCache.tryRecover();
        }
}, false);
```

• You can populate a continuous query cache with only the keys of its entries and retrieve the subsequent values directly via QueryCache.get() from the underlying IMap. This helps to decrease the initial population time when the values are very large.

## 11.6.5. Configuring Continuous Query Cache

You can configure continuous query cache declaratively or programmatically; the latter is mostly explained in the previous section. The parent configuration element is <query-caches> which should be placed within your <map> configuration. You can create your query caches using the <query-cache> sub-element under <query-caches>.

The following is an example declarative configuration.



Continuous query caches have the following configuration elements:

- name: Name of your continuous query cache.
- include-value: Specifies whether the value will be cached too. Its default value is true.
- predicate: Predicate to filter events which are applied to the query cache.
- entry-listeners: Adds listeners (listener classes) for your query cache entries. See the Registering Map Listeners section.
- in-memory-format: Type of the data to be stored in your query cache. See the Setting In-Memory Format section. Its default value is BINARY.
- **populate**: Specifies whether the initial population of your query cache is enabled. Its default value is true.
- coalesce: Specifies whether the coalescing of your query cache is enabled. Its default value is false.
- delay-seconds: Minimum time in seconds that an event waits in the member's buffer. Its default

value is 0.

- batch-size: Batch size used to determine the number of events sent in a batch to your query cache. Its default value is 1.
- **buffer-size**: Maximum number of events which can be stored in a partition buffer. Its default value is 16.
- eviction: Configuration for the eviction of your query cache. See the Configuring Map Eviction section.
- indexes: Indexes for your query cache defined by using this element's <index> sub-elements. See the Configuring IMap Indexes section.

Please take the following configuration considerations and publishing logic into account:

If delay-seconds is equal to or smaller than **0**, then batch-size loses its function. Each time there is an event, all the entries in the buffer are pushed to the subscriber.

If delay-seconds is bigger than **0**, the following logic applies:

- If coalesce is set to **true**, the buffer is checked for an event with the same key; if so, it is overridden by the current event. Then:
  - The current size of the buffer is checked: if the current size of the buffer is equal to or larger than batch-size, then the events counted as much as the batch-size are pushed to the subscriber. Otherwise, no events are sent.
  - After finishing with checking batch-size, the delay-seconds is checked. The buffer is scanned from the oldest to youngest entries; all the entries that are older than delay-seconds are pushed to the subscriber.

## **11.7. MapReduce Deprecation and Removal**

This section informs Hazelcast users about the MapReduce deprecation and removal, its motivation and replacements.

## 11.7.1. Motivation

We've decided to deprecate the MapReduce framework in Hazelcast IMDG 3.8. MapReduce support was completely removed in Hazelcast IMDG 4.0. The MapReduce framework provided the distributed computing model and it was used to back the old Aggregations system. Unfortunately the implementation didn't live up to the expectations and adoption wasn't high, so it never got out of Beta status. Apart from that the current shift in development away from M/R-like processing to a more near-realtime, streaming approach left us with the decision to deprecate and finally remove the MapReduce framework from Hazelcast IMDG. With that said, we want to introduce the successors and replacements; fast Aggregations on top of Query infrastructure and the Hazelcast Jet distributed computing platform.

## **11.7.2. Built-In Aggregations**

MapReduce is a very powerful tool, however it's demanding in terms of space, time and bandwidth.

We realized that we don't need so much power when we simply want to find out a simple metric such as the number of entries matching a predicate. Therefore, the built-in aggregations were rebuilt on top of the existing Query infrastructure (count, sum, min, max, mean, variance) which automatically leverages any matching query index. The aggregations are computed in tho phases:

- 1st phase: on each member (scatter)
- 2nd phase: one member aggregates responses from members (gather)

It is not as flexible as a full-blown M/R system due to the 2nd phase being single-member and the input can be massive in some use cases. The member doing the 2nd step needs enough capacity to hold all intermediate results from all members from the 1st step, but in practice it is sufficient for many aggregation tasks like "find average" or "find highest" and other common examples.

The benefits are:

- improved performance
- simplified API
- utilization of existing indexes.

See the Aggregations section for examples. If you need a more powerful tool like MapReduce, then there is Hazelcast Jet. See its reference here and website for more information.

## 11.7.3. Jet Compared with New Aggregations

Hazelcast has native support for aggregation operations on the contents of its distributed data structures. They operate on the assumption that the aggregating function is commutative and associative, which allows the two-tiered approach where first the local data is aggregated, then all the local subresults sent to one member, where they are combined and returned to the user. This approach works quite well as long as the result is of manageable size. Many interesting aggregations produce an O(1) result and for those, the native aggregations are a good match.

The main area where native aggregations may not be sufficient are the operations that group the data by key and produce results of size O (keyCount). The architecture of Hazelcast aggregations is not well adapted to this use case, although it still works even for moderately-sized results (up to 100 MB, as a ballpark figure). Beyond these numbers, and whenever something more than a single aggregation step is needed, Jet becomes the preferred choice. In the mentioned use case Jet helps because it doesn't send the entire hashtables in serialized form and materialize all the results on the user's machine, but rather streams the key-value pairs directly into a target IMap. Since it is a distributed structure, it doesn't focus its load on a single member.

Jet's DAG paradigm offers much more than the basic map-reduce-combine cascade. Among other setups, it can compose several such cascades and also perform co-grouping, joining and many other operations in complex combinations.

# 12. CP Subsystem



**CP** Subsystem operates in *the unsafe mode* by default without the strong consistency guarantee. See the CP Subsystem Unsafe Mode section for more information. You should set a positive number to the CP member count configuration to enable CP Subsystem and use it with the strong consistency guarantee. See the CP Subsystem Configuration section for details.

CP Subsystem is a component of a Hazelcast cluster that builds a strongly consistent layer for a set of distributed data structures. Its APIs can be used for implementing distributed coordination use cases, such as leader election, distributed locking, synchronization, and metadata management. It is accessed via HazelcastInstance.getCPSubsystem(). Its data structures are *CP* with respect to the *CAP* principle, i.e., they always maintain linearizability and prefer consistency over availability during network partitions. Besides network partitions, CP Subsystem withstands server and client failures.

Currently, CP Subsystem contains only the implementations of Hazelcast's concurrency APIs. Since these APIs do not maintain large states, all members of a Hazelcast cluster do not necessarily take part in CP Subsystem. The number of Hazelcast members that take part in CP Subsystem is specified with CPSubsystemConfig.setCPMemberCount(int). Say that it is configured as N. Then, when a Hazelcast cluster starts, the first N members form CP Subsystem. These members are called *CP members* and they can also contain data for the other regular *AP* Hazelcast data structures, such as IMap, ISet.

Data structures in CP Subsystem run in *CP groups*. Each CP group elects its own Raft leader and runs the Raft consensus algorithm independently. CP Subsystem runs 2 CP groups by default:

- The first one is *the METADATA CP group* which is an internal CP group responsible for managing CP members and CP groups. It is initialized during cluster startup if CP Subsystem is enabled via CPSubsystemConfig.setCPMemberCount(int).
- The second CP group is *the DEFAULT CP group*, whose name is given in CPGroup.DEFAULT\_GROUP\_NAME. If a group name is not specified while creating a CP data structure proxy, that data structure is mapped to *the DEFAULT CP group*. For instance, when a CP IAtomicLong instance is created via CPSubsystem.getAtomicLong("myAtomicLong"), it is initialized on *the DEFAULT CP group*.

Besides these 2 predefined CP groups, custom CP groups can be created at run-time while fetching the CP data structure proxies. For instance, if a CP IAtomicLong is created by calling .getAtomicLong("myAtomicLong@myGroup"), first a new CP group is created with the name myGroup and then myAtomicLong is initialized on this custom CP group.

This design implies that each CP member can participate to more than one CP group. CP Subsystem runs a periodic background task to ensure that each CP member performs the Raft leadership role for roughly equal number of CP groups. For instance, if there are 3 CP members and 3 CP groups, each CP member becomes Raft leader for only 1 CP group. If one more CP group is created, then one of the CP members gets the Raft leader role for 2 CP groups. This is done because Raft is a leader-based consensus algorithm. A Raft leader node becomes responsible for handling incoming requests from callers and replicating them to follower nodes. If a CP member gets the Raft leadership role for too many CP groups compared to other CP members, it can turn into a bottleneck.

CP member count of CP groups are specified via CPSubsystemConfig.setGroupSize(int). Please note

that this configuration does not have to be the same with the CP member count. Namely, the number of CP members in CP Subsystem can be larger than the configured CP group size. CP groups usually consist of an odd number of CP members between 3 and 7. Operations are committed and executed only after they are successfully replicated to the majority of CP members in a CP group. An odd number of CP members is more advantageous to an even number because of the quorum or majority calculations. For a CP group of N members, the majority is calculated as N / 2 + 1. For instance, in a CP group of 5 CP members, operations are committed when they are replicated to at least 3 CP members. This CP group can tolerate the failure of 2 CP members and remain available. However, if we run a CP group with 6 CP members, it can still tolerate the failure of 2 CP members because the majority of 6 is 4. Therefore, it does not improve the degree of fault tolerance compared to 5 CP members. In summary, CP subsystem remains available (and executes the operations) as long as the majority ((N/2) + 1) of the members are alive.

CP Subsystem achieves horizontal scalability thanks to all of the aforementioned CP group management capabilities. You can scale out the throughput and memory capacity by distributing your CP data structures to multiple CP groups, i.e., manual partitioning / sharding, and distributing those CP groups over CP members, i.e., choosing a CP group size that is smaller than the CP member count configuration. Nevertheless, the current set of CP data structures has quite low memory overheads. Moreover, related to the Raft consensus algorithm, each CP group makes use of internal heartbeat RPCs to maintain authority of the Raft leader and help lagging CP group members to make progress. Last, the new CP lock and semaphore implementations rely on a brand new session mechanism. In a nutshell, a Hazelcast server or a client starts a new session on the corresponding CP group when it makes its very first lock or semaphore acquire request, and then periodically commits session heartbeats to this CP group in order to indicate its liveliness. It means that if CP locks and semaphores are distributed to multiple CP groups, there will be a session management overhead on each CP group. See the CP Sessions section for more details. For these reasons, we recommend developers to use a minimal number of CP groups. For most use cases, the DEFAULT CP group should be sufficient to maintain all CP data structure instances. Custom CP groups is recommended only when you benchmark your deployment and decide that performance of the DEFAULT CP group is not sufficient for your workload.

By default, CP Subsystem works only in memory without persisting any state to disk. It means that a crashed CP member is not able to join to the cluster back by restoring its previous state. Therefore, crashed CP members create a danger for gradually losing majority of CP groups and eventually cause the total loss of availability of CP Subsystem. To prevent such situations, crashed CP members can be removed from CP Subsystem and replaced in CP groups with other available CP members. This flexibility provides a good degree of fault tolerance at run-time. See the CP Subsystem Configuration section and CP Subsystem Management section for more details. Moreover, CP Subsystem Persistence enables more robustness. When it is enabled, CP members persist their local state to stable storage and can restore their state after crashes. See the CP Subsystem Persistence section for more details.

#### **API Code Sample:**

```
CPSubsystem cpSubsystem = hazelcastInstance.getCPSubsystem();
IAtomicLong atomicLong = cpSubsystem.getAtomicLong(name);
IAtomicReference atomicRef = cpSubsystem.getAtomicReference(name);
FencedLock lock = cpSubsystem.getLock(name);
ISemaphore semaphore = cpSubsystem.getSemaphore(name);
ICountDownLatch latch = cpSubsystem.getCountDownLatch(name);
```

The CP data structure proxies differ from the other data Hazelcast structure proxies in two aspects:

- An internal commit is performed on *the METADATA CP group* every time you fetch a proxy from this interface. Hence, the callers should cache the returned proxy objects.
- If you call the DistributedObject.destroy() method on a CP data structure proxy, that data structure is terminated on the underlying CP group and cannot be reinitialized until the CP group is force-destroyed via CPSubsystemManagementService.forceDestroyCPGroup(String). For this reason, please make sure that you are completely done with a CP data structure before destroying its proxy.

## **12.1. CP Discovery Process**

CP Subsystem runs a discovery process on cluster startup. When CP Subsystem is enabled by setting a positive value to CPSubsystemConfig.setCPMemberCount(int), say N, the first N members in the Hazelcast cluster member list initiate this discovery process. Other Hazelcast members skip this step. The CP discovery process runs out of the box on top of Hazelcast's cluster member list without requiring any custom configuration for different environments. It is completed when each one of the first N Hazelcast members initializes its local CP member list and commits it to *the METADATA CP group*. A soon-to-be CP member terminates itself if any of the following conditions occur before the CP discovery process is completed:

- Any Hazelcast member leaves the cluster
- The local Hazelcast member commits a CP member list which is different from other members' committed CP member lists
- The local Hazelcast member fails to commit its discovered CP member list for any reason.

When CP Subsystem is reset via CPSubsystemManagementService.reset(), the CP discovery process is triggered again. However, it does not terminate Hazelcast members if the new CP discovery round fails for any of the aforementioned reasons, because Hazelcast members are likely to contain data for AP data structures and their termination can cause data loss. Hence, you need to observe the cluster and check if the CP discovery process completes successfully on the CP Subsystem reset. See



the CP Subsystem Management APIs section for more details.

You can use the CPSubsystemManagementService.awaitUntilDiscoveryCompleted(timeout, timeUnit) API to wait until the CP discovery process is completed.

## 12.2. CP Subsystem Persistence

#### Hazelcast IMDG Enterprise

#### 12.2.1. CP Subsystem Persistence Overview

CP Subsystem Persistence enables CP members to recover from crash scenarios. This capability significantly improves the overall reliability of CP Subsystem. When it is enabled via CPSubsystemConfig.setPersistenceEnabled(boolean), CP members persist their local state to stable storage. When you restart the crashed CP members, they restore their local state and resume working as if they have never crashed. CP Subsystem Persistence enables you to handle single or multiple CP member crashes, or even whole cluster crashes and guarantees that committed operations are not lost after recovery. In other words, CP members are available after recovery, CP Subsystem remains operational.

Please see the CP Subsystem Configuration section for the configuration options of CP Subsystem Persistence.

When CP Subsystem Persistence is enabled, all Hazelcast cluster members create a sub-directory under the base persistence directory which is specified via CPSubsystemConfig.getBaseDir(). This means that AP Hazelcast members, which are the ones not marked as CP members during the CP discovery process, create their persistence directories as well. Those members persist only the information that they are not CP members. This is done because when a Hazelcast member starts with CP Subsystem Persistence enabled, it checks if there is a CP persistence directory belonging to itself. If it founds one, it skips the CP discovery process and initializes its CP member identity from the persisted data. If it was an AP member before shutdown or crash, it restores this information and starts as an AP member. Otherwise, it could think that the CP discovery process has not been executed and trigger it, which would break CP Subsystem.



In light of this information, if you have both CP and AP members in your cluster when CP Subsystem Persistence is enabled, and if you want to perform a clusterwide restart, you need to ensure that AP members are also restarted with their CP persistence directories.

You can check the code sample below to see how CP Subsystem Persistence works in general. In this code sample, we configure CP Subsystem with 3 CP members and also enable CP Subsystem Persistence. We start 3 Hazelcast members with this configuration and update a CP IAtomicLong instance. Each member creates a sub-directory for itself inside the default base CP Subsystem Persistence directory and stores its local CP state there. Then, we terminate two of these members as if they crash and restart only 1 of them back. When we fetch the same IAtomicLong instance from the restarted members and get its current value, we see that it returns the update that we made before terminating these members. Please note that we make sure that we have the majority of CP

members alive to keep CP Subsystem available after restart.

```
Config config = new Config();
config.setLicenseKey("your-license-key");
NetworkConfig networkConfig = config.getNetworkConfig();
JoinConfig join = networkConfig.getJoin();
join.getMulticastConfig().setEnabled(false);
TcpIpConfig tcpIpConfig = join.getTcpIpConfig();
tcpIpConfig.setEnabled(true);
tcpIpConfig.addMember("127.0.0.1");
// config.getCPSubsystemConfig().setCPMemberCount(3).setPersistenceEnabled(true);
HazelcastInstance instance1 = Hazelcast.newHazelcastInstance(config);
HazelcastInstance instance2 = Hazelcast.newHazelcastInstance(config);
HazelcastInstance instance3 = Hazelcast.newHazelcastInstance(config);
IAtomicLong counter = instance1.getCPSubsystem().getAtomicLong("counter");
counter.set(0);
counter.incrementAndGet();
instance1.getLifecycleService().terminate();
instance2.getLifecycleService().terminate();
instance1 = Hazelcast.newHazelcastInstance(config);
counter = instance1.getCPSubsystem().getAtomicLong("counter");
long val = counter.get();
assert val == 1L;
```

### 12.2.2. CP Subsystem Persistence Behavior During CP Subsystem Reset

If the majority of CP members are permanently lost, CP Subsystem becomes unavailable. There is no solution to recover from this failure case with strong consistency guarantee. CP Subsystem Management API contains a method to delete all CP Subsystem state on the remaining CP members and start from scratch. CPSubsystemManagementService.reset() wipes and resets the whole CP Subsystem state and initializes it as if the Hazelcast cluster is starting up for the first time. This method deletes the persisted CP member states as well.

### 12.2.3. Interaction with Hot Restart Persistence

Hazelcast offers another persistence capability which is called Hot Restart Persistence. Hot Restart Persistence is used for restarting a cluster with large AP data after a planned cluster shutdown or a whole cluster-wide crash. Please note that CP Subsystem Persistence and Hot Restart Persistence are separate features with different behaviors and reliability guarantees. For instance, CP Subsystem Persistence guarantees that committed operations will be restored and the linearizability semantics of the CP Subsystem data structures will be preserved on restarts. However, Hot Restart Persistence may lose some of the acknowledged updates on AP data structures, based on how you configure the fsync behavior for your persisted AP data structures. Moreover, if you store AP and CP data in a single Hazelcast cluster and use both of the persistence features, Hazelcast member restarts or cluster restarts can fail because of the Hot Restart Persistence recovery semantics, even if the CP Subsystem Persistence recovery procedure is successful, or vice-versa.

## 12.3. CP Member Shutdown



Please read this part carefully to notice the behavioral difference in the CP member shutdown process when CP Subsystem Persistence is enabled and disabled.

There is a significant behavioral difference during the CP member shutdown when CP Subsystem Persistence is enabled and disabled. When disabled (the default mode in which CP Subsystem works only in memory), a shutting down CP member is replaced with other available CP members in all of its CP groups in order not to decrease or more importantly not to lose majorities of CP groups. It is because CP members keep their local state only in memory when CP Subsystem Persistence is disabled, hence a shut-down CP member cannot join back with its CP identity and state, hence it is better to remove it from CP Subsystem to not to harm availability of CP groups. If there is no other available CP member to replace a shutting down CP member in a CP group, that CP group's size is reduced by 1 and its majority value is recalculated. On the other hand, when CP Subsystem Persistence is enabled, a shut-down CP member can come back by restoring its CP state. Therefore, it is not automatically removed from CP Subsystem when CP Subsystem Persistence is enabled. It is up to you to remove shut-down CP members via CPSubsystemManagementService.removeCPMember(String) if they will not come back.

In summary, CP member shutdown behavior is as follows:

- When CP Subsystem Persistence is disabled (the default mode), shutting down CP members are removed from CP Subsystem and the CP group majority values are recalculated.
- When CP Subsystem Persistence is enabled, shutting down CP members are still kept in CP Subsystem so they will be a part of the CP group majority calculations.

Moreover, there is a subtle point about concurrent shutdown of CP members when CP Subsystem Persistence is disabled. If there are N CP members in CP Subsystem, HazelcastInstance.shutdown() can be called on N-2 CP members concurrently. Once these N-2 CP members complete their shutdown, the remaining 2 CP members must be shut down serially. Even though the shutdown API can be called concurrently on multiple members, *the METADATA CP* group handles shutdown requests serially. Therefore, it would be simpler to shut down CP members one by one, by calling HazelcastInstance.shutdown() on the next CP member once the current CP member completes its shutdown. This rule does not apply when CP Subsystem Persistence is enabled so you can shut down your CP members concurrently if you enabled CP Subsystem Persistence. It is enough for users to recall this rule while shutting down CP members when CP Subsystem Persistence is disabled. If interested, you can read the rest of this paragraph to learn the reasoning behind this rule. Each shutdown request internally requires a Raft commit to *the METADATA CP group* when CP Subsystem Persistence is disabled. A CP member proceeds to shutdown after it receives a response of this commit. To be able to perform a Raft commit, *the METADATA CP group* must have its

majority up and running. When only 2 CP members are left after graceful shutdowns, the majority of *the METADATA CP group* becomes 2. If the last 2 CP members shut down concurrently, one of them is likely to perform its Raft commit faster than the other one and leave the cluster before the other CP member completes its Raft commit. In this case, the last CP member waits for a response of its commit attempt on *the METADATA CP group*, and times out eventually. This situation causes an unnecessary delay on the shutdown process of the last CP member. On the other hand, when the last 2 CP members shut down serially, the N-1th member receives the response of its commit after its shutdown request is committed also on the last CP member. Then, the last CP member checks its local data to notice that it is the last CP member alive, and proceeds its shutdown without attempting a Raft commit on *the METADATA CP group*.

## 12.4. CP Subsystem's Fault Tolerance Capabilities

CP Subsystem's fault tolerance capabilities are summarized in this section. For the sake of simplicity, let's assume that both the CP member count and CP group size configurations are configured as the same and we use only *the DEFAULT CP group*. In the list below, "a permanent crash" means that a CP member either crashes while CP Subsystem Persistence is disabled, hence it cannot be recovered with its CP identity and data, or it crashes while CP Subsystem Persistence is enabled but its CP data cannot be recovered, for instance, due to a total server crash or a disk failure.

- If a CP member leaves the Hazelcast cluster, it is not automatically removed from CP Subsystem because CP Subsystem cannot certainly determine if that member has actually crashed or just disconnected from the cluster. Therefore, absent CP members are still considered in majority calculations and cause a danger for the availability of CP Subsystem. If you know for sure that an absent CP member is crashed, you can remove that CP member from CP Subsystem via CPSubsystemManagementService.removeCPMember(String). This API call removes the given CP member from all CP groups and recalculates their majority values. If there is another available CP member in CP Subsystem, the removed CP member is replaced with that one, or you can promote an AP member of the Hazelcast cluster to the CP role via CPSubsystemManagementService.promoteToCPMember().
- There might be a small window of unavailability after a CP member crash even if the majority of CP members are still online. For instance, if a crashed CP member is the Raft leader for some CP groups, those CP groups run a new leader election round to elect a new leader among remaining CP group members. CP Subsystem API calls that internally hit those CP groups are retried until they have new Raft leaders. If a failed CP member has the Raft follower role, it causes a very minimal disruption since Raft leaders are still able to replicate and commit operations with the majority of their CP group members.
- If a crashed CP member is restarted after it is removed from CP Subsystem, its behavior depends on whether CP Subsystem Persistence is enabled or disabled. If enabled, a restarted CP member is not able to restore its CP data from disk because after it joins back to the cluster it notices that it is no longer a CP member. Because of that, it fails its startup process and prints an error message. The only thing to do in this case is manually delete its CP persistence directory since its data is no longer useful. On the other hand, if CP Subsystem Persistence is disabled, a failed CP member cannot remember anything related to its previous CP identity, hence it restarts as a new AP member.

- A CP member can encounter a network issue and disconnect from the cluster. If you remove this CP member from CP Subsystem even though it is actually alive but only disconnected, you should terminate it to prevent any accidental communication with the other CP members in CP Subsystem.
- If a network partition occurs, behavior of CP Subsystem depends on how CP members are divided in different sides of the network partition and to which sides Hazelcast clients are connected. Each CP group remains available on the side that contains the majority of its CP members. If a Raft leader falls into the minority side, its CP group elects a new Raft leader on the other side and callers that are talking to the majority side continue to make successful API calls on CP Subsystem. However, callers that are talking to the minority side fail with operation timeouts. When the network problem is resolved, CP members reconnect to each other and CP groups continue their operation normally.
- CP Subsystem can tolerate failure of the minority of CP members (less than N / 2 + 1) for availability. If N / 2 + 1 or more CP members crash, CP Subsystem loses its availability. If CP Subsystem Persistence is enabled and the majority of CP members become online by successfully restarting some of failed CP members, CP Subsystem regains its availability back. Otherwise, it means that CP Subsystem has lost its majority irrevocably. In this case, the only solution is to wipe-out the whole CP Subsystem state by performing a force-reset via CPSubsystemManagementService.reset().

When CPSubsystemConfig.getCPMemberCount() is greater than CPSubsystemConfig.getGroupSize(), CP groups are formed by selecting a subset of CP members. In this case, each CP group can have a different set of CP members, therefore different fault tolerance and availability conditions. In the following list, CP Subsystem's additional fault tolerance capabilities are discussed for this configuration case.

- When the majority of a *non-METADATA CP group* permanently crash, that CP group cannot make progress anymore, even though other CP groups in CP Subsystem are running fine. Even a new CP member cannot join to this CP group, because membership changes also go through the Raft consensus algorithm. For this reason, the only option is to force-destroy this CP group via CPSubsystemManagementService.forceDestroyCPGroup(String). When this API is called, the CP group is terminated non-gracefully without the Raft mechanics. After this API call, all existing CP data structure proxies that talk to this CP group fail with CPGroupDestroyedException. However, if a new proxy is created afterwards, then this CP group is re-created from scratch with a new set of CP members. Losing majority of a *non-METADATA CP group* can be likened to partition-loss scenario of AP Hazelcast. Please note that *non-METADATA CP groups* that have lost their majority must be force-destroyed immediately, because they can block *the METADATA CP group* to perform membership changes on CP Subsystem.
- If the majority of *the METADATA CP group* permanently crash, unfortunately it is equivalent to the permanent crash of the majority CP members of the whole CP Subsystem, even though other CP groups are running fine. In fact, existing CP groups continue serving to incoming requests, but since the *METADATA CP group* is not available anymore, no management tasks can be performed on CP Subsystem. For instance, a new CP group cannot be created. In this case, the only solution is to wipe-out the whole CP Subsystem state by performing a force-reset via CPSubsystemManagementService.reset().

See CP Subsystem Management APIs section for more details.

## 12.5. CP Sessions

For CP data structures that involve resource ownership management, such as Locks or Semaphores, sessions are required to keep track of liveliness of callers. In this context, *caller* means an entity that uses CP Subsystem APIs. It can be either a Hazelcast member or a client. A caller initially creates a session before sending its very first session based request to the CP group, such as a Lock / Semaphore acquire. After creating a session on the CP group, the caller stores its session ID locally and sends it alongside its session-based operations. A single session is used for all lock and semaphore proxies of the caller. When a CP group receives a session-based operation, it checks the validity of the session using the session ID information available in the operation. A session is valid if it is still open in the CP group. An operation with a valid session ID is accepted as a new session heartbeat. While a caller is idle, in other words, it does not send any session based operation to the CP group for a while, it commits periodic heartbeats to the CP group in the background in order to its session alive. This interval is specified keep in CPSubsystemConfig.getSessionHeartbeatIntervalSeconds().

A session is closed when the caller does not touch the session during a predefined duration. In this case, the caller is assumed to be crashed and all its resources are released automatically. This duration is specified in CPSubsystemConfig.getSessionTimeToLiveSeconds(). See the CP Subsystem Configuration section for recommendations to choose a reasonable session time-to-live duration.

Sessions offer a trade-off between liveliness and safety. If you set a very small value using CPSubsystemConfig.setSessionTimeToLiveSeconds(int), then a session owner could be considered crashed very quickly and its resources can be released prematurely. On the other hand, if you set a large value, a session could be kept alive for an unnecessarily long duration even if its owner actually crashes. However, it is a safer approach to not to use a small session time-to-live duration. If a session owner is known to be crashed, its session could be closed manually via CPSessionManagementService.forceCloseSession(String, long).

See the CP Subsystem Configuration section for more details.

## 12.6. FencedLock

FencedLock is a linearizable & distributed & reentrant implementation of j.u.c.locks.Lock. FencedLock is accessed via CPSubsystem.getLock(String). It is CP with respect to the CAP principle. It works on top of the Raft consensus algorithm. It offers linearizability during crash-stop failures and network partitions. If a network partition occurs, it remains available on at most one side of the partition.

FencedLock works on top of CP sessions. Please see CP Sessions section for more information about CP sessions.

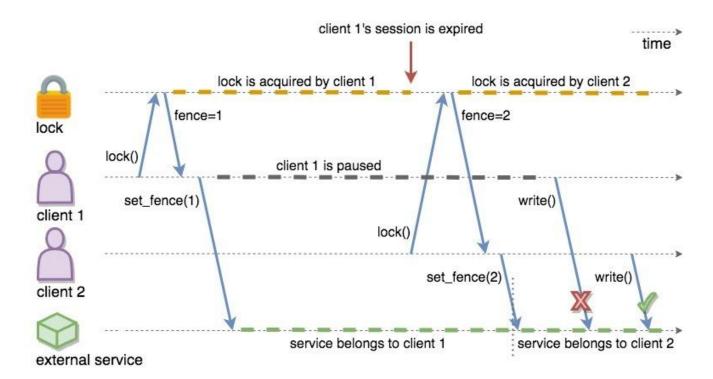
By default, FencedLock is reentrant. Once a caller acquires the lock, it can acquire the lock reentrantly as many times as it wants in a linearizable manner. You can configure the reentrancy behavior via FencedLockConfig. For instance, reentrancy can be disabled and FencedLock can work as a non-reentrant mutex. You can also set a custom reentrancy limit. When the reentrancy limit is does not block lock already reached, FencedLock а call. Instead, it fails with LockAcquireLimitReachedException or a specified return value. Please check the locking methods to

see details about the behavior and FencedLock Configuration section for the configuration.

Distributed locks are unfortunately **not equivalent** to single-node mutexes because of the complexities in distributed systems, such as uncertain communication patterns, and independent and partial failures. In an asynchronous network, no lock service can guarantee mutual exclusion, because there is no way to distinguish between a slow and a crashed process. Consider the following scenario, where a Hazelcast client acquires a FencedLock, then hits a long GC pause. Since it will not be able to commit session heartbeats while paused, its CP session will be eventually closed. After this moment, another Hazelcast client can acquire this lock. If the first client wakes up again, it may not immediately notice that it has lost ownership of the lock. In this case, multiple clients think they hold the lock. If they attempt to perform an operation on a shared resource, they can break the system. To prevent such situations, you can choose to use an infinite session timeout, but this time probably you are going to deal with liveliness issues. For the scenario above, even if the first client actually crashes, the requests sent by two clients can be reordered in the network and hit the external resource in the reverse order.

There is a simple solution for this problem. Lock holders are ordered by a monotonic fencing token, which increments each time the lock is assigned to a new owner. This fencing token can be passed to external services or resources to ensure sequential execution of the side effects performed by lock holders.

The following diagram illustrates the idea. Client-1 acquires the lock first and receives 1 as its fencing token. Then, it passes this token to the external service, which is our shared resource in this scenario. Just after that, Client-1 hits a long GC pause and eventually loses ownership of the lock because it misses to commit CP session heartbeats. Then, Client-2 chimes in and acquires the lock. Similar to Client-1, Client-2 passes its fencing token to the external service. After that, once Client-1 comes back alive, its write request will be rejected by the external service, and only Client-2 will be able to safely talk to it.



You can read more about the fencing token idea in Martin Kleppmann's How to do distributed

locking blog post and Google's Chubby paper. FencedLock integrates this idea with the j.u.c.locks.Lock abstraction, excluding j.u.c.locks.Condition.newCondition() is not implemented and throws UnsupportedOperationException.

All of the API methods in the new FencedLock abstraction offer exactly-once execution semantics. For instance, even if a lock() call is internally retried because of a crashed CP member, the lock is acquired only once. The same rule also applies to the other methods in the API.

## 12.7. Configuration

## 12.7.1. CP Subsystem Configuration

cp-member-count: Number of CP members to initialize CP Subsystem. It is 0 by default, meaning that CP Subsystem is disabled. CP Subsystem is enabled when a positive value is set. After CP Subsystem is initialized successfully, more CP members can be added at run-time and the number of active CP members can go beyond the configured CP member count. The number of CP members can be smaller than the total size of the Hazelcast cluster. For instance, you can run 5 CP members in a Hazelcast cluster of 20 members.

If set, must be greater than or equal to group-size.

• group-size: Number of CP members to form CP groups. If set, it must be an odd number between 3 and 7. Otherwise, cp-member-count is respected while forming CP groups.

If set, must be smaller than or equal to cpMemberCount.

• session-time-to-live-seconds: Duration for a CP session to be kept alive after the last received heartbeat. A CP session is closed if no session heartbeat is received during this duration. Session TTL must be decided wisely. If a very low value is set, a CP session can be closed prematurely if its owner Hazelcast instance temporarily loses connectivity to CP Subsystem because of a network partition or a GC pause. In such an occasion, all CP resources of this Hazelcast instance, such as FencedLock or ISemaphore, are released. On the other hand, if a very large value is set, CP resources can remain assigned to an actually crashed Hazelcast instance for too long and liveliness problems can occur. CP Subsystem offers an API in CPSessionManagementService to deal with liveliness issues related to CP sessions. In order to prevent premature session expires, session TTL configuration can be set а relatively large value and CPSessionManagementService.forceCloseSession(String, long) can be manually called to close CP session of a crashed Hazelcast instance.

Must be greater than session-heartbeat-interval-seconds, and smaller than or equal to missingcp-member-auto-removal-seconds.

Default value is 300 seconds.

• session-heartbeat-interval-seconds: Interval for the periodically-committed CP session heartbeats. A CP session is started on a CP group with the first session-based request of a Hazelcast instance. After that moment, heartbeats are periodically committed to the CP group.

Must be smaller than session-time-to-live-seconds.

Default value is <mark>5</mark> seconds.

• missing-cp-member-auto-removal-seconds: Duration to wait before automatically removing a missing CP member from CP Subsystem. When a CP member leaves the Hazelcast cluster, it is not automatically removed from CP Subsystem, since it could be still alive and left the cluster because of a network partition. On the other hand, if a missing CP member is actually crashed, it creates a danger for its CP groups, because it will be still part of majority calculations. This situation could lead to losing majority of CP groups if multiple CP members leave the cluster over time.

With the default configuration, missing CP members are automatically removed from CP Subsystem after 4 hours. This feature is very useful in terms of fault tolerance when CP member count is also configured to be larger than group size. In this case, a missing CP member will be safely replaced in its CP groups with other available CP members in CP Subsystem. This configuration also implies that no network partition is expected to be longer than the configured duration.

If a missing CP member comes back alive after it is automatically removed from CP Subsystem with this feature, that CP member must be terminated manually.

Must be greater than or equal to session-time-to-live-seconds.

Default value is 14400 seconds (4 hours).

• fail-on-indeterminate-operation-state: Offers a choice between at-least-once and at-most-once execution of the operations on top of the Raft consensus algorithm. It is disabled by default and offers at-least-once execution guarantee. If enabled, it switches to at-most-once execution guarantee. When you invoke an API method on a CP data structure proxy, it replicates an internal operation to the corresponding CP group. After this operation is committed to majority of this CP group by the Raft leader node, it sends a response for the public API call. If a failure causes loss of the response, then the calling side cannot determine if the operation is committed on the CP group or not. In this case, if this configuration is disabled, the operation is replicated again to the CP group, and hence could be committed multiple times. If it is enabled, the public API call fails with IndeterminateOperationStateException.

Default value is false.

• persistence-enabled: Specifies whether CP Subsystem Persistence is globally enabled for CP groups created in CP Subsystem. If enabled, CP members persist their local CP data to stable storage and can recover from crashes.

Default value is false.

• **base-dir**: Specifies the parent directory where CP data is stored. You can use the default value, or you can specify the value of another folder, but it is mandatory that **base-dir** element has a value. This directory is created automatically if it does not exist.

base-dir is used as the parent directory, and a unique directory is created inside base-dir for each CP member which uses the same base-dir. That means, base-dir is shared among multiple CP members safely. This is especially useful for cloud environments where CP members generally use a shared filesystem.

Default value is cp-data.

• data-load-timeout-seconds: Timeout duration for CP members to restore their data from disk. A CP member fails its startup if it cannot complete its CP data restore process in the configured duration.

Default value is 120 seconds.

### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <cp-subsystem>
        <cp-member-count>7</cp-member-count>
        <group-size>3</group-size>
        <session-time-to-live-seconds>300</session-time-to-live-seconds>
        <session-heartbeat-interval-seconds>5</session-heartbeat-interval-seconds>
        <missing-cp-member-auto-removal-seconds>14400</missing-cp-member-auto-removal-
seconds>
        <fail-on-indeterminate-operation-state>false</fail-on-indeterminate-operation-
state>
        <persistence-enabled>true</persistence-enabled>
        <base-dir>/custom-cp-dir</base-dir>
    </cp-subsystem>
    . . .
</hazelcast>
```

## **Programmatic Configuration:**

```
config.getCPSubsystemConfig()
    .setCPMemberCount(7)
    .setGroupSize(3)
    .setSessionTimeToLiveSeconds(300)
    .setSessionHeartbeatIntervalSeconds(5)
    .setMissingCPMemberAutoRemovalSeconds(14400)
    .setFailOnIndeterminateOperationState(false);
```

# 12.7.2. FencedLock Configuration

- name: Name of the FencedLock.
- lock-acquire-limit: Maximum number of reentrant lock acquires. Once a caller acquires the lock this many times, it will not be able to acquire the lock again, until it makes at least one unlock() call.

By default, no upper bound is set for the number of reentrant lock acquires, which means that once a caller acquires a FencedLock, all of its further lock() calls will succeed. However, for

instance, if you set lock-acquire-limit to 2, once a caller acquires the lock, it will be able to acquire it once more, but its third lock() call will not succeed.

If lock-acquire-limit is set to 1, then the lock becomes non-reentrant.

0 means there is no upper bound for the number of reentrant lock acquires.

Default value is 0.

### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <cp-subsystem>
        . . .
        <locks>
            <fenced-lock>
                <name>reentrant-lock</name>
                <lock-acquire-limit>0</lock-acquire-limit>
            </fenced-lock>
            <fenced-lock>
                <name>limited-reentrant-lock</name>
                <lock-acquire-limit>10</lock-acquire-limit>
            </fenced-lock>
            <fenced-lock>
                <name>non-reentrant-lock</name>
                <lock-acquire-limit>1</lock-acquire-limit>
            </fenced-lock>
        </locks>
    </cp-subsystem>
    . . .
</hazelcast>
```

#### **Programmatic Configuration:**

```
config.getCPSubsystemConfig()
    .addLockConfig(new FencedLockConfig("reentrant-lock", 0))
    .addLockConfig(new FencedLockConfig("limited-reentrant-lock", 10))
    .addLockConfig(new FencedLockConfig("non-reentrant-lock", 1));
```

## 12.7.3. Semaphore Configuration

- name: Name of the CP ISemaphore.
- jdk-compatible: Enables / disables JDK compatibility of the CP ISemaphore. When it is JDK compatible, just as in the j.u.c.Semaphore.release() method, a permit can be released without acquiring it first, because acquired permits are not bound to threads. However, there is no autocleanup of the acquired permits upon Hazelcast server / client failures. If a permit holder fails, its permits must be released manually. When JDK compatibility is disabled, a HazelcastInstance

must acquire permits before releasing them and it cannot release a permit that it has not acquired. It means, you can acquire a permit from one thread and release it from another thread using the same HazelcastInstance, but not different HazelcastInstances. In this mode, acquired permits are automatically released upon failure of the holder HazelcastInstance. So there is a minor behavioral difference to the j.u.c.Semaphore.release() method.

JDK compatibility is disabled by default.

• initial-permits: Number of permits to initialize the Semaphore. If a positive value is set, the Semaphore is initialized with the given number of permits.

Default value is 0.

### **Declarative Configuration:**

<hazelcast></hazelcast>
<cp-subsystem></cp-subsystem>
<semaphores></semaphores>
<cp-semaphore></cp-semaphore>
<pre></pre>
<jdk-compatible>true</jdk-compatible>
<cp-semaphore></cp-semaphore>
<pre><name>another-semaphore</name></pre>
<pre><jdk-compatible>false</jdk-compatible></pre>
<initial-permits>5</initial-permits>

## **Programmatic Configuration:**

```
config.getCPSubsystemConfig()
    .addSemaphoreConfig(new SemaphoreConfig("jdk-compatible-semaphore", true, 0))
    .addSemaphoreConfig(new SemaphoreConfig("another-semaphore", false, 5));
```

# 12.7.4. Raft Algorithm Configuration



These parameters tune specific parameters of Hazelcast's Raft consensus algorithm implementation and are only for power users.

• leader-election-timeout-in-millis: Leader election timeout in milliseconds. If a candidate cannot win the majority of the votes in time, a new election round is initiated.

Default value is 2000 milliseconds.

• leader-heartbeat-period-in-millis: Duration in milliseconds for a Raft leader node to send periodic heartbeat messages to its followers in order to denote its liveliness. Periodic heartbeat messages are actually append entries requests and can contain log entries for the lagging followers. If a too small value is set, heartbeat messages are sent from Raft leaders to followers too frequently and it can cause an unnecessary usage of CPU and network.

Default value is 5000 milliseconds.

• max-missed-leader-heartbeat-count: Maximum number of missed Raft leader heartbeats for a follower to trigger a new leader election round. For instance, if leader-heartbeat-period-in-millis is 1 second and this value is set to 5, then a follower triggers a new leader election round if 5 seconds pass after the last heartbeat message of the current Raft leader node. If this duration is too small, new leader election rounds can be triggered unnecessarily if the current Raft leader temporarily slows down or a network congestion occurs. If it is too large, it takes longer to detect failures of Raft leaders.

Default value is 5.

• append-request-max-entry-count: Maximum number of Raft log entries that can be sent as a batch in a single append entries request. In Hazelcast's Raft consensus algorithm implementation, a Raft leader maintains a separate replication pipeline for each follower. It sends a new batch of Raft log entries to a follower after the follower acknowledges the last append entries request sent by the leader.

Default value is 100.

• commit-index-advance-count-to-snapshot: Number of new commits to initiate a new snapshot after the last snapshot taken by the local Raft node. This value must be configured wisely as it effects performance of the system in multiple ways. If a small value is set, it means that snapshots are taken too frequently and Raft nodes keep a very short Raft log. If snapshots are large and CP Subsystem Persistence is enabled, this can create an unnecessary overhead on I/O performance. Moreover, a Raft leader can send too many snapshots to followers and this can create an unnecessary overhead on network. On the other hand, if a very large value is set, it can create a memory overhead since Raft log entries are going to be kept in memory until the next snapshot.

Default value is 10000.

• uncommitted-entry-count-to-reject-new-appends: Maximum number of uncommitted log entries in the leader's Raft log before temporarily rejecting new requests of callers. Since Raft leaders send log entries to followers in batches, they accumulate incoming requests in order to improve the throughput. You can configure this field by considering your degree of concurrency in your callers. For instance, if you have at most 1000 threads sending requests to a Raft leader, you can set this field to 1000 so that callers do not get retry responses unnecessarily.

Default value is 100.

• append-request-backoff-timeout-in-millis: Timeout duration in milliseconds to apply backoff on

append entries requests. After a Raft leader sends an append entries request to a follower, it will not send a subsequent append entries request either until the follower responds or this timeout occurs. Backoff durations are increased exponentially if followers remain unresponsive.

Default value is 100 milliseconds.

### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <cp-subsystem>
        . . .
        <raft-algorithm>
            <leader-election-timeout-in-millis>2000</leader-election-timeout-in-
millis>
            <leader-heartbeat-period-in-millis>5000</leader-heartbeat-period-in-
millis>
            <max-missed-leader-heartbeat-count>5</max-missed-leader-heartbeat-count>
            <append-request-max-entry-count>100</append-request-max-entry-count>
            <commit-index-advance-count-to-snapshot>10000</commit-index-advance-count-
to-snapshot>
            <uncommitted-entry-count-to-reject-new-appends>200</uncommitted-entry-
count-to-reject-new-appends>
            <append-request-backoff-timeout-in-millis>250</append-request-backoff-
timeout-in-millis>
        </raft-algorithm>
        . . .
    </cp-subsystem>
    . . .
</hazelcast>
```

#### **Programmatic Configuration:**

```
config.getCPSubsystemConfig()
   .getRaftAlgorithmConfig()
   .setLeaderElectionTimeoutInMillis(2000)
   .setLeaderHeartbeatPeriodInMillis(5000)
   .setMaxMissedLeaderHeartbeatCount(5)
   .setAppendRequestMaxEntryCount(50)
   .setAppendRequestMaxEntryCount(1000)
   .setUncommittedEntryCountToRejectNewAppends(200)
   .setAppendRequestBackoffTimeoutInMillis(250);
```

# 12.8. CP Subsystem Unsafe Mode

When CP Subsystem is not enabled, that means CPSubsystemConfig.getCPMemberCount() is 0, CP data

structures operate in *the unsafe mode*. In this mode, they use Hazelcast's partitioning and lazy replication mechanisms instead of CP Subsystem's consensus mechanism. For more information about the lazy replication mechanism of Hazelcast, see the Consistency and Replication Model chapter.

The unsafe mode provides weaker consistency guarantees compared to when CP Subsystem is enabled. For example, when you increment an IAtomicLong or acquire a FencedLock, just before crash of a member, even though you receive a success response, the write operation (increment of IAtomicLong or acquire of FencedLock) can be lost (which cannot happen when CP Subsystem is enabled). For this reason, the unsafe mode is not recommended for use-cases requiring strong consistency. It is more suitable for development or testing. You should take this limitation into consideration if you use CP Subsystem in production with the unsafe mode.



CP Subsystem Management APIs are not available in the unsafe mode.

In *the unsafe mode*, split-brain protection is not supported.

# 12.9. CP Subsystem Management

Unlike the dynamic nature of Hazelcast clusters, CP Subsystem requires manual intervention while expanding/shrinking its size, or when a CP member crashes or becomes unreachable. When a CP member becomes unreachable, it is not automatically removed from CP Subsystem because it could be still alive and partitioned away.

Moreover, by default CP Subsystem works in memory without persisting any state to disk. It means that a crashed CP member will not be able to recover by reloading its previous state. Therefore, crashed CP members create a danger for gradually losing the majority of CP groups and eventually total loss of the availability of СР Subsystem. То prevent such situations, CPSubsystemManagementService offers a set of APIs. In addition, CP Subsystem Persistence can be enabled to make CP members persist their local CP state to stable storage. Please see CP Subsystem Persistence section for more details.

CP Subsystem relies on Hazelcast's failure detectors to test reachability of CP members. Before removing a CP member from CP Subsystem, please make sure that it is declared as unreachable by Hazelcast's failure detector and removed from Hazelcast cluster's member list.

CP member additions and removals are internally handled by performing a single membership change at a time. When multiple CP members are shutting down concurrently, their shutdown process is executed serially. When a CP membership change is triggered, *the METADATA CP group* creates a membership change plan for CP groups. Then, the scheduled changes are applied to the CP groups one by one. After all CP group member removals are done, the shutting down CP member is removed from the active CP members list and its shutdown process is completed. A shutdown CP member is automatically replaced with another available CP member in all of its CP groups, including *the METADATA CP group*, in order not to decrease or more importantly not to lose the majority of CP groups. If there is no available CP member to replace a shutting down CP member in a CP group, that group's size is reduced by 1 and its majority value is recalculated. Please note that this behavior is when CP Subsystem Persistence is disabled. When CP Subsystem Persistence is enabled, shut-down CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are not automatically removed from the active CP members are

members list and they are still considered as part of CP groups and majority calculations, because they can come back by restoring their local CP state from stable storage. If you know that a shutdown CP member will not be restarted, you need to remove that member from CP Subsystem via CPSubsystemManagementService.removeCPMember(String).

A new CP member can be added to CP Subsystem to either increase the number of available CP members for new CP groups or to fill the missing slots in existing CP groups. After the initial Hazelcast cluster startup is done, an existing Hazelcast member can be be promoted to the CP member role. This new CP member automatically joins to CP groups that have missing members, and majority values of these CP groups are recalculated.

## 12.9.1. CP Subsystem Management APIs

You can access the CP Subsystem management APIs using the Java API or REST interface. To communicate with the REST interface there are two options; one is to access REST endpoint URL directly or using the cp-subsystem.sh shell script, which comes with the Hazelcast package.



The cp-cluster.sh script uses curl command, and curl must be installed to be able to use the script.

#### • Get Local CP Member:

Returns the local CP member if this Hazelcast member is a part of CP Subsystem.

Java API

CPMember localMember = cpSubsystem.getLocalCPMember();

REST API

```
> curl http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/members/local
OR
> sh cp-subsystem.sh -o get-local-member --address 127.0.0.1 --port 5701
+
Sample Response:
{
    "uuid": "6428d7fd-6079-48b2-902c-bdf6a376051e",
    "address": "[127.0.0.1]:5701"
}
```

#### • Get CP Groups:

Returns the list of active CP groups.

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<Collection<CPGroupId>> future = managementService.getCPGroupIds();
Collection<CPGroupId> groups = future.toCompletableFuture().get();
```

REST API

```
> curl http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/groups
OR
> sh cp-subsystem.sh -o get-groups --address 127.0.0.1 --port 5701
+
Sample Response:
[{
        "name": "METADATA",
        "id": 0
}, {
        "name": "atomics",
        "id": 8
}, {
        "name": "locks",
        "id": 14
}]
```

#### • Get a single CP Group:

Returns the active CP group with the given name. There can be at most one active CP group with a given name.

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<CPGroup> future = managementService.getCPGroup(groupName);
CPGroup group = future.toCompletableFuture().get();
```

```
> curl http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/groups/${CPGROUP_NAME}
OR
> sh cp-subsystem.sh -o get-group --group ${CPGROUP_NAME} --address 127.0.0.1
--port 5701
+
Sample Response:
{
    "id": {
        "name": "locks",
        "id": 14
    },
    "status": "ACTIVE",
    "members": [{
        "uuid": "33f84b0f-46ba-4a41-9e0a-29ee284c1c2a",
        "address": "[127.0.0.1]:5703"
    }, {
        "uuid": "59ca804c-312c-4cd6-95ff-906b2db13acb",
        "address": "[127.0.0.1]:5704"
    }, {
        "uuid": "777ff6ea-b8a3-478d-9642-47d1db019b37",
        "address": "[127.0.0.1]:5705"
    }, {
        "uuid": "c7856e0f-25d2-4717-9919-88fb3ecb3384",
        "address": "[127.0.0.1]:5702"
    }, {
        "uuid": "c6229b44-8976-4602-bb57-d13cf743ccef",
        "address": "[127.0.0.1]:5701"
    }]
}
```

#### • Get CP Members:

Returns the list of active CP members in the cluster.

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<Collection<CPMember>> future = managementService.getCPMembers();
Collection<CPMember> members = future.toCompletableFuture().get();
```

```
> curl http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/members
OR
> sh cp-subsystem.sh -o get-members --address 127.0.0.1 --port 5701
+
Sample Response:
[{
    "uuid": "33f84b0f-46ba-4a41-9e0a-29ee284c1c2a",
    "address": "[127.0.0.1]:5703"
}, {
    "uuid": "59ca804c-312c-4cd6-95ff-906b2db13acb",
    "address": "[127.0.0.1]:5704"
}, {
    "uuid": "777ff6ea-b8a3-478d-9642-47d1db019b37",
    "address": "[127.0.0.1]:5705"
}, {
    "uuid": "c6229b44-8976-4602-bb57-d13cf743ccef",
    "address": "[127.0.0.1]:5701"
}, {
    "uuid": "c7856e0f-25d2-4717-9919-88fb3ecb3384",
    "address": "[127.0.0.1]:5702"
}]
```

#### • Force Destroy a CP Group:

Unconditionally destroys the given active CP group without using the Raft algorithm mechanics. This method must be used only when a CP group loses its majority and cannot make progress anymore. Normally, membership changes in CP groups, such as CP member promotion or removal, are done via the Raft consensus algorithm. However, when a CP group permanently loses its majority, it will not be able to commit any new operation. Therefore, this method ungracefully terminates the remaining members of the given CP group on the remaining CP group members. It also performs a Raft commit to *the METADATA CP group* in order to update the status of the destroyed group. Once a CP group is destroyed, all CP data structure proxies created before the destroy fails with CPGroupDestroyedException. However, if a new proxy is created afterwards, then this CP group is re-created from scratch with a new set of CP members.

This method is idempotent. It has no effect if the given CP group is already destroyed.

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<Void> future = managementService.forceDestroyCPGroup(groupName);
future.toCompletableFuture().get();
```

```
> curl -X POST --data "${GROUPNAME}&${PASSWORD}"
http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/groups/${CPGROUP_NAME}/remove
OR
> sh cp-subsystem.sh -o force-destroy-group --group ${CPGROUP_NAME} --address
127.0.0.1 --port 5701 --groupname ${GROUPNAME} --password ${PASSWORD}
```

#### • Remove a CP Member:

Removes the given unreachable CP member from the active CP members list and all CP groups it belongs to. If any other active CP member is available, it replaces the removed CP member in its CP groups. Otherwise, CP groups which the removed CP member is a member of shrinks and their majority values are recalculated.



Before removing a CP member from CP Subsystem, please make sure that it is declared as unreachable by Hazelcast's failure detector and removed from Hazelcast's member list. The behavior is undefined when a running CP member is removed from CP Subsystem.

Java API

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<Void> future = managementService.removeCPMember(memberUUID);
future.toCompletableFuture().get();
```

REST API

```
> curl -X POST --data "${GROUPNAME}&${PASSWORD}"
http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/members/${CPMEMBER_UUID}/remove
OR
> sh cp-subsystem.sh -o remove-member --member ${CPMEMBER_UUID} --address 127.0.0.1
--port 5701 --groupname ${GROUPNAME} --password ${PASSWORD}
```

#### • Promote Local Member to a CP Member

Promotes the local Hazelcast member to the CP member. If the local member is already in the active CP members list, i.e., it is already a CP member, then this method has no effect. When the local member is promoted to the CP role, its member UUID is assigned as CP member UUID. The promoted CP member will be added to the CP groups that have missing members, i.e., whose current size is smaller than CPSubsystemConfig.getGroupSize().

```
Java API
```

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<Void> future = managementService.promoteToCPMember();
future.toCompletableFuture().get();
```

REST API

```
> curl -X POST --data "${GROUPNAME}&${PASSWORD}"
http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/members
OR
> sh cp-subsystem.sh -o promote-member --address 127.0.0.1 --port 5701 --groupname
${GROUPNAME} --password ${PASSWORD}
```

## • Wipe and Reset CP Subsystem

Wipes and resets the whole CP Subsystem state and initializes it as if the Hazelcast cluster is starting up initially. This method must be used only when *the METADATA CP group* loses its majority and cannot make progress anymore.

After this method is called, all CP state and data are wiped and CP members start with empty state.

This method can be invoked only from the Hazelcast master member, which is the first member in the Hazelcast cluster member list. Moreover, the Hazelcast cluster must have at least CPSubsystemConfig.getCPMemberCount() members.

This method must not be called while there are membership changes in the Hazelcast cluster. Before calling this method, please make sure that there is no new member joining and all existing Hazelcast members have seen the same member list.

To be able to use this method, the initial CP member count of CP Subsystem, which is defined by CPSubsystemConfig.getCPMemberCount(), must be satisfied. For instance, if CPSubsystemConfig.getCPMemberCount() is 5 and only 1 CP member is alive, when this method is called, 4 additional AP Hazelcast members should exist in the cluster, or new Hazelcast members must be started.

This method also deletes all data written by CP Subsystem Persistence.

This method triggers a new CP discovery process round. However, if the new CP discovery round fails for any reason, Hazelcast members are not terminated, because Hazelcast members are likely to contain data for AP data structures and their termination can cause data loss. Hence, you need to observe the cluster and check if the CP discovery process completes successfully.



This method is **NOT** idempotent and multiple invocations can break the whole system! After calling this API, you must observe the system to see if the reset process is successfully completed or failed before making another call.



This method deletes all CP data written by CP Subsystem Persistence.

Java API

```
CPSubsystemManagementService managementService = cpSubsystem
.getCPSubsystemManagementService();
CompletionStage<Void> future = managementService.reset();
future.toCompletableFuture().get();
```

REST API

```
> curl -X POST --data "${GROUPNAME}&${PASSWORD}"
http://127.0.0.1:5701/hazelcast/rest/cp-subsystem/reset
OR
> sh cp-subsystem.sh -o reset --address 127.0.0.1 --port 5701 --groupname
${GROUPNAME} --password ${PASSWORD}
```

## 12.9.2. Session Management API

There are two management API methods for session management.

• Get CP Group Sessions:

Returns all CP sessions that are currently active in a CP group.

```
CPSessionManagementService sessionManagementService = cpSubsystem
.getCPSessionManagementService();
CompletionStage<Collection<CPSession>> future = sessionManagementService
.getAllSessions(groupName);
Collection<CPSession> sessions = future.toCompletableFuture().get();
```

```
> curl http://127.0.0.1:5701/hazelcast/rest/cp-
subsystem/groups/${CPGROUP_NAME}/sessions
OR
> sh cp-subsystem.sh -o get-sessions --group ${CPGROUP NAME} --address 127.0.0.1
--port 5701
+
Sample Response:
[{
    "id": 1,
    "creationTime": 1549008095530,
    "expirationTime": 1549008766630,
    "version": 73,
    "endpoint": "[127.0.0.1]:5701",
    "endpointType": "SERVER",
    "endpointName": "hz-member-1"
}, {
    "id": 2,
    "creationTime": 1549008115419,
    "expirationTime": 1549008765425,
    "version": 71,
    "endpoint": "[127.0.0.1]:5702",
    "endpointType": "SERVER",
    "endpointName": "hz-member-2"
}]
```

#### • Force Close a Session:

If a Hazelcast instance that owns a CP session crashes, its CP session is not terminated immediately. Instead, the session is closed after CPSubsystemConfig.getSessionTimeToLiveSeconds() passes. If it is known for sure that the session owner is not partitioned and definitely crashed, this method can be used for closing the session and releasing its resources immediately.

```
CPSessionManagementService sessionManagementService = cpSubsystem
.getCPSessionManagementService();
CompletionStage<Boolean> future = sessionManagementService.forceCloseSession
(groupName, sessionId);
future.toCompletableFuture().get();
```

```
> curl -X POST --data "${GROUPNAME}&${PASSWORD}"
http://127.0.0.1:5701/hazelcast/rest/cp-
subsystem/groups/${CPGROUP_NAME}/sessions/${CP_SESSION_ID}/remove
OR
> sh cp-subsystem.sh -o force-close-session --group ${CPGROUP_NAME} --session-id
${CP_SESSION_ID} --address 127.0.0.1 --port 5701 --groupname ${GROUPNAME}
--password ${PASSWORD}
```

# **13. Transactions**

This chapter explains the usage of Hazelcast in a transactional context. It describes the Hazelcast transaction types and how they work, how to provide XA (e**X**tended **A**rchiteture) transactions and how to integrate Hazelcast with J2EE containers.

# 13.1. Creating a Transaction Interface

You create a TransactionContext object to begin, commit and rollback a transaction. You can obtain transaction-aware instances of queues, maps, sets, lists and multimaps via TransactionContext, work with them and commit/rollback in one shot. You can see the TransactionContext API here.

Hazelcast supports two types of transactions: ONE\_PHASE and TWO\_PHASE. The type of transaction controls what happens when a member crashes while a transaction is committing. The default behavior is TWO\_PHASE.

- **ONE\_PHASE**: By selecting this transaction type, you execute the transactions with a single phase that is committing the changes. Since a preparing phase does not exist, the conflicts are not detected. When a conflict happens while committing the changes, e.g., due to a member crash, not all the changes are written and this leaves the system in an inconsistent state.
- **TWO\_PHASE**: When you select this transaction type, Hazelcast first tries to execute the prepare phase. This phase fails if there are any conflicts. Once the prepare phase is successful, Hazelcast executes the commit phase (writing the changes). Before TWO\_PHASE commits, Hazelcast copies the commit log to other members, so in case of a member failure, another member can complete the commit.

```
public class TransactionalMember {
    public static void main(String[] args) throws Exception {
        HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
        TransactionOptions options = new TransactionOptions()
                .setTransactionType( TransactionOptions.TransactionType.ONE_PHASE );
        TransactionContext context = hazelcastInstance.newTransactionContext( options
);
        context.beginTransaction();
        TransactionalQueue queue = context.getQueue( "myqueue" );
        TransactionalMap map = context.getMap( "mymap" );
        TransactionalSet set = context.getSet( "myset" );
        try {
            Object obj = queue.poll();
            //process obj
            map.put( "1", "value1" );
            set.add( "value" );
            //do other things
            context.commitTransaction();
        } catch ( Throwable t ) {
            context.rollbackTransaction();
        }
   }
}
```

In a transaction, operations are not executed immediately. Their changes are local to the TransactionContext until committed. However, they ensure the changes via locks.

For the above example, when map.put is executed, no data is put in the map but the key is locked against changes. While committing, operations are executed, the value is put to the map and the key is unlocked.

The isolation level in Hazelcast Transactions is READ\_COMMITTED on the level of a single partition. If you are in a transaction, you can read the data in your transaction and the data that is already committed. If you are not in a transaction, you can only read the committed data.



The REPEATABLE\_READ isolation level can also be exercised using the method getForUpdate() of TransactionalMap.



The isolation levels might be broken if the objects involved in the transaction span multiple partitions. A reader which is not in a transaction can then temporarily observe partially committed data.

# 13.1.1. Queue/Set/List vs. Map/Multimap

Hazelcast implements queue/set/list operations differently than map/multimap operations. For queue operations (offer, poll), offered and/or polled objects are copied to the owner member in order to safely commit/rollback. For map/multimap, Hazelcast first acquires the locks for the write operations (put, remove) and holds the differences (what is added/removed/updated) locally for each transaction. When the transaction is set to commit, Hazelcast releases the locks and apply the differences. When rolling back, Hazelcast releases the locks and discard the differences.

MapStore and QueueStore do not participate in transactions. Hazelcast suppresses exceptions thrown by the store in a transaction. See the XA Transactions section for further information.

# 13.1.2. ONE\_PHASE vs. TWO\_PHASE

As discussed in Creating a Transaction Interface, when you choose ONE\_PHASE as the transaction type, Hazelcast tracks all changes you make locally in a commit log, i.e., a list of changes. In this case, all the other members are asked to agree that the commit can succeed and once they agree, Hazelcast starts to write the changes. However, if the member that initiates the commit crashes after it has written to at least one member (but has not completed writing to all other members), your system may be left in an inconsistent state.

On the other hand, if you choose TWO\_PHASE as the transaction type, the commit log is again tracked locally but it is copied to another cluster member. Therefore, when a failure happens, e.g., the member initiating the commit crashes, you still have the commit log in another member and that member can complete the commit. However, copying the commit log to another member makes the TWO\_PHASE approach slow.

Consequently, it is recommended that you choose ONE\_PHASE as the transaction type if you want better performance, and that you choose TWO\_PHASE if reliability of your system is more important than the performance.



It should be noted that in split-brain situations or during a member failure, Hazelcast might not be able to always hold ACID guarantees.

# **13.2. Providing XA Transactions**

XA describes the interface between the global transaction manager and the local resource manager. XA allows multiple resources (such as databases, application servers, message queues and transactional caches) to be accessed within the same transaction, thereby preserving the ACID properties across applications. XA uses a two-phase commit to ensure that all resources either commit or rollback any particular transaction consistently (all do the same).

When you implement the XAResource interface, Hazelcast provides XA transactions. You can obtain the HazelcastXAResource instance via the HazelcastInstance getXAResource method. You can see the HazelcastXAResource API here.

Below is example code that uses JTA API for transaction management.

```
cleanAtomikosLogs();
HazelcastInstance instance = Hazelcast.newHazelcastInstance();
HazelcastXAResource xaResource = instance.getXAResource();
UserTransactionManager tm = new UserTransactionManager();
tm.begin();
Transaction transaction = tm.getTransaction();
transaction.enlistResource(xaResource);
TransactionContext context = xaResource.getTransactionContext();
TransactionalMap<Object, Object> map = context.getMap("map");
map.put("key", "val");
transaction.delistResource(xaResource, XAResource.TMSUCCESS);
tm.commit();
IMap<Object, Object> m = instance.getMap("map");
Object val = m.get("key");
System.out.println("value: " + val);
cleanAtomikosLogs();
Hazelcast.shutdownAll();
```

# 14. Hazelcast JCache

This chapter describes the basics of JCache, the standardized Java caching layer API. The JCache caching API is specified by the Java Community Process (JCP) as Java Specification Request (JSR) 107.

Caching keeps data in memory that either are slow to calculate/process or originate from another underlying backend system. Caching is used to prevent additional request round trips for frequently used data. In both cases, caching can be used to gain performance or decrease application latencies.

# 14.1. JCache Overview

Hazelcast offers a specification-compliant JCache implementation. To show our commitment to this important specification that the Java world was waiting for over a decade, we did not just provide a simple wrapper around our existing APIs; we implemented a caching structure from the ground up to optimize the behavior to the needs of JCache. The Hazelcast JCache implementation is 100% TCK (Technology Compatibility Kit) compliant and therefore passes all specification requirements.

In addition to the given specification, we added some features like asynchronous versions of almost all operations to give the user extra power.

This chapter gives a basic understanding of how to configure your application and how to setup

Hazelcast to be your JCache provider. It also shows examples of basic JCache usage as well as the additionally offered features that are not part of JSR-107. To gain a full understanding of the JCache functionality and provided guarantees of different operations, read the specification document (which is also the main documentation for functionality) at the specification page of JSR-107.

# 14.1.1. Supported JCache Versions

The following versions of the JCache specification have been released:

- The original release, version 1.0.0, was released in March 2014. Hazelcast versions 3.3.1 up to 3.9.2 (included) implement version 1.0.0 of the JCache specification.
- A maintenance release, version 1.1.0 was released in December 2017. Hazelcast version 3.9.3 and higher implement JCache specification version 1.1.0.
- A patch release, version 1.1.1 was released in May 2019. Hazelcast version 3.12.1 and higher implement JCache 1.1.1.

JCache 1.1.x versions are backwards compatible with JCache 1.0.0. As maintenance releases, JCache 1.1.x versions introduce clarifications and bug fixes in the specification, reference implementation and TCK, without introducing any additional features.

# 14.1.2. Upgrading from JCache 1.1.0 to 1.1.1

JCache 1.1.1 is a bug-fix-only release. There are no behavioral differences between the JCache 1.1.0 and 1.1.1 specifications.

# 14.1.3. Upgrading from JCache 1.0.0 to 1.1.0

When upgrading from a Hazelcast version which implements JCache 1.0.0 to a version that implements version 1.1.0 of the specification, some behavioral differences must be taken into account:

- Invoking CacheManager.getCacheNames on a closed CacheManager returns an empty iterator under JCache 1.0.0. While under JCache 1.1.0, it throws IllegalStateException.
- Runtime type checking is removed from CacheManager.getCache(String), so when using JCache 1.1.0 one may obtain a Cache by name even when its configured key/value types are not known.
- Statistics effects of Cache.putIfAbsent on misses and hits are properly applied when using JCache 1.1.0, while under JCache 1.0.0 misses and hits were not updated.

Note that these behavioral differences apply on the Hazelcast member that executes the operation. Thus when performing a rolling member upgrade from a JCache 1.0.0-compliant Hazelcast version to a newer Hazelcast version that supports JCache 1.1.0, operations executed on the new members exhibit JCache 1.1.0 behavior while those executed on old members implement JCache 1.0.0 behavior.

The complete list of issues addressed in JCache specification version 1.1.0 is available on Github.

# 14.2. JCache Setup and Configuration

This section shows what is necessary to provide the JCache API and the Hazelcast JCache implementation for your application. In addition, it demonstrates the different configuration options and describes the configuration properties.

## 14.2.1. Setting up Your Application

To provide your application with this JCache functionality, your application needs the JCache API inside its classpath. This API is the bridge between the specified JCache standard and the implementation provided by Hazelcast.

The method of integrating the JCache API JAR into the application classpath depends on the build system used. For Maven, Gradle, SBT, Ivy and many other build systems, all using Maven-based dependency repositories, perform the integration by adding the Maven coordinates to the build descriptor.

As already mentioned, you have to add JCache coordinates next to the default Hazelcast coordinates that might be already part of the application.

For Maven users, the coordinates look like the following code:

```
<dependency>
<groupId>javax.cache</groupId>
<artifactId>cache-api</artifactId>
<version>1.1.1</version>
</dependency>
```

With other build systems, you might need to describe the coordinates in a different way.

## Activating Hazelcast as JCache Provider

To activate Hazelcast as the JCache provider implementation, add either hazelcast-all.jar or hazelcast.jar to the classpath (if not already available) by either one of the following Maven snippets.

If you use hazelcast-all.jar:

If you use hazelcast.jar:

```
<dependency>
<groupId>com.hazelcast</groupId>
<artifactId>hazelcast</artifactId>
<version>4.0.3</version>
</dependency>
```

The users of other build systems have to adjust the definition of the dependency to their needs.

#### **Connecting Clients to Remote Member**

When you want to use Hazelcast clients to connect to a remote cluster, you do not need to perform any additional steps; having hazelcast as a dependency does the work since it contains the client libraries, too:

```
<dependency>
<groupId>com.hazelcast</groupId>
<artifactId>hazelcast</artifactId>
<version>4.0.3</version>
</dependency>
```

For other build systems, for instance, ANT, the users have to download these dependencies from either the JSR-107 specification and Hazelcast community website (hazelcast.org) or from the Maven repository search page (maven.org).

# 14.2.2. Example JCache Application

Before moving on to configuration, let's have a look at a basic introductory example. The following code shows how to use the Hazelcast JCache integration inside an application in an easy but typesafe way.

```
// Retrieve the CachingProvider which is automatically backed by
// the chosen Hazelcast member or client provider.
CachingProvider cachingProvider = Caching.getCachingProvider();
// Create a CacheManager.
CacheManager cacheManager = cachingProvider.getCacheManager();
// Create a simple but typesafe configuration for the cache.
CompleteConfiguration<String, String> config =
        new MutableConfiguration<String, String>()
                .setTypes( String.class, String.class );
// Create and get the cache.
Cache<String, String> cache = cacheManager.createCache( "example", config );
// Alternatively to request an already existing cache:
// Cache<String, String> cache = cacheManager
       .getCache( name, String.class, String.class );
//
// Put a value into the cache.
cache.put( "world", "Hello World" );
// Retrieve the value again from the cache.
String value = cache.get( "world" );
// Print the value 'Hello World'.
System.out.println( value );
```

Although the example is simple, let's go through the code lines one by one.

## Getting the Hazelcast JCache Implementation

First of all, we retrieve the javax.cache.spi.CachingProvider using the static method from javax.cache.Caching.getCachingManager(), which automatically picks up Hazelcast as the underlying JCache implementation, if available in the classpath. This way, the Hazelcast implementation of a CachingProvider automatically starts a new Hazelcast member or client (depending on the chosen provider type) and pick up the configuration from either the command line parameter or from the classpath. We will show how to use an existing HazelcastInstance later in this chapter; for now, we keep it simple.

## Setting up the JCache Entry Point

In the next line, we ask the CachingProvider to return a javax.cache.CacheManager. This is the general application's entry point into JCache. The CacheManager creates and manages named caches.

## Configuring the Cache Before Creating It

The next few lines create a simple javax.cache.configuration.MutableConfiguration to configure the cache before actually creating it. In this case, we only configure the key and value types to make the cache typesafe which is highly recommended and checked on retrieval of the cache.

#### **Creating the Cache**

To create the cache, we call javax.cache.CacheManager.createCache() with a name for the cache and the previously created configuration; the call returns the created cache. If you need to retrieve a previously created cache, you can use the corresponding method overload javax.cache.CacheManager.getCache(). If the cache was created using type parameters, you must retrieve the cache afterward using the type checking version of getCache.

#### get, put and getAndPut

The following lines are simple put and get calls from the java.util.Map interface. The javax.cache.Cache.put() has a void return type and does not return the previously assigned value of the key. To imitate the java.util.Map.put() method, the JCache cache has a method called getAndPut.

## 14.2.3. Configuring for JCache

Hazelcast JCache provides two different methods for cache configuration:

- declaratively: using hazelcast.xml or hazelcast-client.xml
- programmatically: the typical Hazelcast way, using the Config API seen above

#### **Declarative Configuration**

You can declare your JCache cache configuration using the hazelcast.xml or hazelcast-client.xml configuration files. Using this declarative configuration makes creating the javax.cache.Cache fully transparent and automatically ensures internal thread safety. You do not need a call to javax.cache.Cache.createCache() in this case: you can retrieve the cache using javax.cache.Cache.getCache() overloads and by passing in the name defined in the configuration for the cache.

To retrieve the cache that you defined in the declaration files, you only need to perform a simple call (example below) because the cache is created automatically by the implementation.

```
CachingProvider cachingProvider = Caching.getCachingProvider();
CacheManager cacheManager = cachingProvider.getCacheManager();
Cache<Object, Object> cache = cacheManager
   .getCache( "default", Object.class, Object.class );
```

Note that this section only describes the JCache provided standard properties. For the Hazelcast specific properties, see the ICache Configuration section.

```
<hazelcast>
    . . .
    <cache name="default">
        <key-type class-name="java.lang.Object" />
        <value-type class-name="java.lang.Object" />
        <statistics-enabled>false</statistics-enabled>
        <management-enabled>false</management-enabled>
        <read-through>true</read-through>
        <write-through>true</write-through>
        <cache-loader-factory
            class-name="com.example.cache.MyCacheLoaderFactory" />
        <cache-writer-factory
            class-name="com.example.cache.MyCacheWriterFactory" />
        <expiry-policy-factory
            class-name="com.example.cache.MyExpiryPolicyFactory" />
        <cache-entry-listeners>
            <cache-entry-listener old-value-required="false" synchronous="false">
                <cache-entry-listener-factory
                    class-name="com.example.cache.MyEntryListenerFactory" />
                <cache-entry-event-filter-factory
                    class-name="com.example.cache.MyEntryEventFilterFactory" />
            </cache-entry-listener>
        </cache-entry-listeners>
    </cache>
    . . .
</hazelcast>
```

- key-type#class-name: Fully qualified class name of the cache key type. Its default value is java.lang.Object.
- value-type#class-name: Fully qualified class name of the cache value type. Its default value is java.lang.Object.
- **statistics-enabled**: If set to true, statistics like cache hits and misses are collected. Its default value is false.
- management-enabled: If set to true, JMX beans are enabled and collected statistics are provided. It doesn't automatically enable statistics collection. Its default value is false.
- read-through: If set to true, enables read-through behavior of the cache to an underlying configured javax.cache.integration.CacheLoader which is also known as lazy-loading. Its default value is false.
- write-through: If set to true, enables write-through behavior of the cache to an underlying configured javax.cache.integration.CacheWriter which passes any changed value to the external backend resource. Its default value is false.
- cache-loader-factory#class-name: Fully qualified class name of the javax.cache.configuration.Factory implementation providing a javax.cache.integration.CacheLoader instance to the cache.
- cache-writer-factory#class-name: Fully qualified class name of the

javax.cache.configuration.Factory implementation providing a
javax.cache.integration.CacheWriter instance to the cache.

- expiry-policy-factory#-class-name: Fully qualified class name of the javax.cache.configuration.Factory implementation providing a javax.cache.expiry.ExpiryPolicy instance to the cache.
- cache-entry-listener: A set of attributes and elements, explained below, to describe a javax.cache.event.CacheEntryListener.
  - cache-entry-listener#old-value-required: If set to true, previously assigned values for the affected keys are sent to the javax.cache.event.CacheEntryListener implementation. Setting this attribute to true creates additional traffic. Its default value is false.
  - cache-entry-listener#synchronous: If set to true, the javax.cache.event.CacheEntryListener implementation is called in a synchronous manner. Its default value is false.
  - cache-entry-listener/entry-listener-factory#class-name: Fully qualified class name of the javax.cache.configuration.Factory implementation providing a javax.cache.event.CacheEntryListener instance.
  - cache-entry-listener/entry-event-filter-factory#class-name: Fully qualified class name of the javax.cache.configuration.Factory implementation providing a javax.cache.event.CacheEntryEventFilter instance.



The JMX MBeans provided by Hazelcast JCache show statistics of the local member only. To show the cluster-wide statistics, the user should collect statistic information from all members and accumulate them to the overall statistics.

#### **Programmatic Configuration**

To configure the JCache programmatically:

- either instantiate javax.cache.configuration.MutableConfiguration if you will use only the JCache standard configuration,
- or instantiate com.hazelcast.config.CacheConfig for a deeper Hazelcast integration.

com.hazelcast.config.CacheConfig offers additional options that are specific to Hazelcast, such as asynchronous and synchronous backup counts. Both classes share the same supertype interface javax.cache.configuration.CompleteConfiguration which is part of the JCache standard.



To stay vendor independent, try to keep your code as near as possible to the standard JCache API. We recommend that you use declarative configuration and that you use the javax.cache.configuration.Configuration or javax.cache.configuration.CompleteConfiguration interfaces in your code only when you need to pass the configuration instance throughout your code.

If you don't need to configure Hazelcast specific properties, we recommend that you instantiate javax.cache.configuration.MutableConfiguration and that you use the setters to configure Hazelcast as shown in the example in the Example JCache Application section. Since the configurable properties are the same as the ones explained in the JCache Declarative Configuration section, they

are not mentioned here. For Hazelcast specific properties, please read the ICache Configuration section section.

# 14.3. JCache Providers

Use JCache providers to create caches for a specification compliant implementation. Those providers abstract the platform specific behavior and bindings and provide the different JCache required features.

Hazelcast has two types of providers. Depending on your application setup and the cluster topology, you can use the Client Provider (used by Hazelcast clients) or the Server Provider (used by cluster members).

For more information on cluster topologies and Hazelcast clients, see the Hazelcast Topology section.

# 14.3.1. Configuring JCache Provider

Configure the JCache javax.cache.spi.CachingProvider by either specifying the provider at the command line or by declaring the provider inside the Hazelcast configuration XML file. For more information on setting properties in this XML configuration file, see the JCache Declarative Configuration section.

Hazelcast implements a delegating CachingProvider that can automatically be configured for either client or member mode and that delegates to the real underlying implementation based on the user's choice. Hazelcast recommends that you use this CachingProvider implementation.

The delegating `CachingProvider`s fully qualified class name is

```
com.hazelcast.cache.HazelcastCachingProvider
```

To configure the delegating provider at the command line, add the following parameter to the Java startup call, depending on the chosen provider:

-Dhazelcast.jcache.provider.type=[client|server]

By default, the delegating CachingProvider is automatically picked up by the JCache SPI and provided as shown above. In cases where multiple javax.cache.spi.CachingProvider implementations reside on the classpath (like in some Application Server scenarios), you can select a CachingProvider by explicitly calling Caching.getCachingProvider() overloads and providing them using the canonical class name of the provider to be used. The class names of member and client providers provided by Hazelcast are mentioned in the following two subsections.



Hazelcast advises that you use the Caching.getCachingProvider() overloads to select a CachingProvider explicitly. This ensures that uploading to later environments or Application Server versions doesn't result in unexpected behavior like choosing a wrong CachingProvider.

## 14.3.2. Configuring JCache with Client Provider

For cluster topologies where Hazelcast light clients are used to connect to a remote Hazelcast cluster, use the Client Provider to configure JCache.

The Client Provider provides the same features as the Server Provider. However, it does not hold data on its own but instead delegates requests and calls to the remotely connected cluster.

The Client Provider can connect to multiple clusters at the same time. This can be achieved by scoping the client side CacheManager with different Hazelcast configuration files. For more information, see Scoping to Join Clusters.

To request this CachingProvider using Caching.getCachingProvider(String) or Caching.getCachingProvider(String, ClassLoader), use the following fully qualified class name:

com.hazelcast.client.cache.impl.HazelcastClientCachingProvider

## 14.3.3. Configuring JCache with Server Provider

If a Hazelcast member is embedded into an application directly and the Hazelcast client is not used, the Server Provider is required. In this case, the member itself becomes a part of the distributed cache and requests and operations are distributed directly across the cluster by its given key.

The Server Provider provides the same features as the Client provider, but it keeps data in the local Hazelcast member and also distributes non-owned keys to other direct cluster members.

Like the Client Provider, the Server Provider can connect to multiple clusters at the same time. This can be achieved by scoping the client side CacheManager with different Hazelcast configuration files. For more information, see Scoping to Join Clusters.

To request this CachingProvider using Caching.getCachingProvider(String) or Caching.getCachingProvider(String, ClassLoader), use the following fully qualified class name:

com.hazelcast.cache.impl.HazelcastServerCachingProvider

# 14.4. JCache API

This section explains the JCache API by providing simple examples and use cases. While walking through the examples, we will have a look at a couple of the standard API classes and see how these classes are used.

# 14.4.1. JCache API Application Example

The code in this subsection creates a small account application by providing a caching layer over an imagined database abstraction. The database layer is simulated using a single demo data in a simple DAO interface. To show the difference between the "database" access and retrieving values from the cache, a small waiting time is used in the DAO implementation to simulate network and database latency.

## **Creating User Class Example**

Before we implement the JCache caching layer, let's have a quick look at some basic classes we need for this example.

The User class is the representation of a user table in the database. To keep it simple, it has just two properties: userId and username.

```
public class User implements Serializable {
    private int userId;
    private String username;
    public User() {
    }
```

## **Creating DAO Interface Example**

The DAO interface is also kept easy in this example. It provides a simple method to retrieve (find) a user by its userId.

```
public interface UserDao {
    User findUserById(int userId);
    boolean storeUser(int userId, User user);
    boolean removeUser(int userId);
    Collection<Integer> allUserIds();
}
```

## **Configuring JCache Example**

To show most of the standard features, the configuration example is a little more complex.

```
// Create javax.cache.configuration.CompleteConfiguration subclass
CompleteConfiguration<Integer, User> config =
    new MutableConfiguration<Integer, User>()
        // Configure the cache to be typesafe
        .setTypes( Integer.class, User.class )
        // Configure to expire entries 30 secs after creation in the cache
        .setExpiryPolicyFactory( FactoryBuilder.factoryOf(
            new AccessedExpiryPolicy( new Duration( TimeUnit.SECONDS, 30 ) )
        ))
        // Configure read-through of the underlying store
        .setReadThrough( true )
        // Configure write-through to the underlying store
        .setWriteThrough( true )
        // Configure the javax.cache.integration.CacheLoader
        .setCacheLoaderFactory( FactoryBuilder.factoryOf(
            new UserCacheLoader( userDao )
        ))
        // Configure the javax.cache.integration.CacheWriter
        .setCacheWriterFactory( FactoryBuilder.factoryOf(
            new UserCacheWriter( userDao )
        ))
        // Configure the javax.cache.event.CacheEntryListener with no
        // javax.cache.event.CacheEntryEventFilter, to include old value
        // and to be executed synchronously
        .addCacheEntryListenerConfiguration(
            new MutableCacheEntryListenerConfiguration<Integer, User>(
                new UserCacheEntryListenerFactory(),
                null, true, true
            )
        );
```

Let's go through this configuration line by line.

## Setting the Cache Type and Expire Policy

First, we set the expected types for the cache, which is already known from the previous example. On the next line, a javax.cache.expiry.ExpiryPolicy is configured. Almost all integration ExpiryPolicy implementations are configured using javax.cache.configuration.Factory instances. Factory and FactoryBuilder are explained later in this chapter.

## Configuring Read-Through and Write-Through

The next two lines configure the thread that are read-through and write-through to the underlying backend resource that is configured over the next few lines. The JCache API offers javax.cache.integration.CacheLoader and javax.cache.integration.CacheWriter to implement adapter classes to any kind of backend resource, e.g., JPA, JDBC, or any other backend technology implementable in Java. The interface provides the typical CRUD operations like create, get, update, delete and some bulk operation versions of those common operations. We will look into the implementation of those implementations later.

#### **Configuring Entry Listeners**

The last configuration setting defines entry listeners based on sub-interfaces of javax.cache.event.CacheEntryListener. This config use does not а javax.cache.event.CacheEntryEventFilter since the listener is meant to be fired on every change that happens on the cache. Again we will look in the implementation of the listener in later in this chapter.

### Full Example Code

A full running example that is presented in this subsection is available in the code samples repository. The application is built to be a command line app. It offers a small shell to accept different commands. After startup, you can enter help to see all available commands and their descriptions.

## 14.4.2. JCache Base Classes

In the Example JCache Application section, we have already seen a couple of the base classes and explained how those work. The following are quick descriptions of them:

### javax.cache.Caching:

The access point into the JCache API. It retrieves the general CachingProvider backed by any compliant JCache implementation, such as Hazelcast JCache.

#### javax.cache.spi.CachingProvider:

The SPI that is implemented to bridge between the JCache API and the implementation itself. Hazelcast members and clients use different providers chosen as seen in the Configuring JCache Provider section which enable the JCache API to interact with Hazelcast clusters.

When a javax.cache.spi.CachingProvider.getCacheManager() overload that takes a java.lang.ClassLoader argument is used, this classloader will be a part of the scope of the created java.cache.Cache, and it is not possible to retrieve it on other members. We advise not to use those overloads, as they are not meant to be used in distributed environments!

#### javax.cache.CacheManager:

The CacheManager provides the capability to create new and manage existing JCache caches.



A javax.cache.Cache instance created with key and value types in the configuration provides a type checking of those types at retrieval of the cache. For that reason, all non-types retrieval methods like getCache throw an exception because types cannot be checked.

#### javax.cache.configuration.Configuration, javax.cache.configuration.MutableConfiguration:

These two classes are used to configure a cache prior to retrieving it from a CacheManager. The Configuration interface, therefore, acts as a common super type for all compatible configuration classes such as MutableConfiguration.

Hazelcast itself offers a special implementation (com.hazelcast.config.CacheConfig) of the Configuration interface which offers more options on the specific Hazelcast properties that can be set to configure features like synchronous and asynchronous backups counts or selecting the underlying in-memory format of the cache. For more information on this configuration class, see the reference in the JCache Programmatic Configuration section.

#### javax.cache.Cache:

This interface represents the cache instance itself. It is comparable to java.util.Map but offers special operations dedicated to the caching use case. Therefore, for example javax.cache.Cache.put(), unlike java.util.Map.put(), does not return the old value previously assigned to the given key.



Bulk operations on the Cache interface guarantee atomicity per entry but not over all given keys in the same bulk operations since no transactional behavior is applied over the whole batch process.

## 14.4.3. Implementing Factory and FactoryBuilder

The javax.cache.configuration.Factory implementations configure features like CacheEntryListener, ExpiryPolicy and CacheLoaders or CacheWriters. These factory implementations are required to distribute the different features to members in a cluster environment like Hazelcast. Therefore, these factory implementations have to be serializable.

Factory implementations are easy to do, as they follow the default Provider- or Factory-Pattern. The example class UserCacheEntryListenerFactory shown below implements a custom JCache Factory.

```
public class UserCacheEntryListenerFactory implements Factory<CacheEntryListener
<Integer, User>> {
    @Override
    public CacheEntryListener<Integer, User> create() {
        // just create a new listener instance
        return new UserCacheEntryListener();
    }
}
```

To simplify the process for the users, JCache API offers a set of helper methods collected in javax.cache. configuration.FactoryBuilder. In the above configuration example, FactoryBuilder.factoryOf() creates a singleton factory for the given instance.

## 14.4.4. Implementing CacheLoader

javax.cache.integration.CacheLoader loads cache entries from any external backend resource.

#### Cache read-through

If the cache is configured to be read-through, then CacheLoader.load() is called transparently from

the cache when the key or the value is not yet found in the cache. If no value is found for a given key, it returns null.

If the cache is not configured to be read-through, nothing is loaded automatically. The user code must call javax.cache.loadAll() to load data for the given set of keys into the cache.

For the bulk load operation (loadAll()), some keys may not be found in the returned result set. In this case, a javax.cache.integration.CompletionListener parameter can be used as an asynchronous callback after all the key-value pairs are loaded because loading many key-value pairs can take lots of time.

### CacheLoader Example

Let's look at the UserCacheLoader implementation. This implementation is quite straight forward.

- It implements CacheLoader.
- It overrides the load method to compute or retrieve the value corresponding to key.
- It overrides the loadAll method to compute or retrieve the values corresponding to keys.

An important note is that any kind of exception has to be wrapped into javax.cache.integration.CacheLoaderException.

```
public class UserCacheLoader implements CacheLoader<Integer, User>, Serializable {
    private final UserDao userDao;
    public UserCacheLoader(UserDao userDao) {
        // store the dao instance created externally
        this.userDao = userDao;
    }
    @Override
    public User load(Integer key) throws CacheLoaderException {
        // just call through into the dao
        return userDao.findUserById(key);
    }
    @Override
    public Map<Integer, User> loadAll(Iterable<? extends Integer> keys) throws
CacheLoaderException {
       // create the resulting map
        Map<Integer, User> loaded = new HashMap<Integer, User>();
        // for every key in the given set of keys
        for (Integer key : keys) {
            // try to retrieve the user
            User user = userDao.findUserById(key);
            // if user is not found do not add the key to the result set
            if (user != null) {
                loaded.put(key, user);
            }
        }
        return loaded;
   }
}
```

## 14.4.5. CacheWriter

You use a javax.cache.integration.CacheWriter to update an external backend resource. If the cache is configured to be write-through, this process is executed transparently to the user's code. Otherwise, there is currently no way to trigger writing changed entries to the external resource to a user-defined point in time.

If bulk operations throw an exception, java.util.Collection has to be cleaned of all successfully written keys so the cache implementation can determine what keys are written and can be applied to the cache state.

The following example performs the following tasks:

- It implements CacheWriter.
- It overrides the write method to write the specified entry to the underlying store.

- It overrides the writeAll method to write the specified entires to the underlying store.
- It overrides the delete method to delete the key entry from the store.
- It overrides the deleteAll method to delete the data and keys from the underlying store for the given collection of keys, if present.

```
public class UserCacheWriter implements CacheWriter<Integer, User>, Serializable {
    private final UserDao userDao;
    public UserCacheWriter(UserDao userDao) {
        // store the dao instance created externally
        this.userDao = userDao;
   }
    @Override
    public void write(Cache.Entry<? extends Integer, ? extends User> entry) throws
CacheWriterException {
       // store the user using the dao
        userDao.storeUser(entry.getKey(), entry.getValue());
    }
   @Override
    public void writeAll(Collection<Cache.Entry<? extends Integer, ? extends User>>
entries) throws CacheWriterException {
       // retrieve the iterator to clean up the collection from written keys in case
of an exception
        Iterator<Cache.Entry<? extends Integer, ? extends User>> iterator = entries
.iterator();
        while (iterator.hasNext()) {
            // write entry using dao
            write(iterator.next());
            // remove from collection of keys
            iterator.remove();
        }
    }
    @Override
    public void delete(Object key) throws CacheWriterException {
        // test for key type
        if (!(key instanceof Integer)) {
            throw new CacheWriterException("Illegal key type");
        }
        // remove user using dao
        userDao.removeUser((Integer) key);
   }
    @Override
    public void deleteAll(Collection<?> keys) throws CacheWriterException {
        // retrieve the iterator to clean up the collection from written keys in case
```

```
of an exception
    Iterator<?> iterator = keys.iterator();
    while (iterator.hasNext()) {
        // write entry using dao
        delete(iterator.next());
        // remove from collection of keys
        iterator.remove();
     }
   }
}
```

Again, the implementation is pretty straightforward and also as above all exceptions thrown by the external resource, like java.sql.SQLException has to be wrapped into a javax.cache.integration.CacheWriterException. Note this is a different exception from the one thrown by CacheLoader.

# 14.4.6. Implementing EntryProcessor

With javax.cache.processor.EntryProcessor, you can apply an atomic function to a cache entry. In a distributed environment like Hazelcast, you can move the mutating function to the member that owns the key. If the value object is big, it might prevent traffic by sending the object to the mutator and sending it back to the owner to update it.

By default, Hazelcast JCache sends the complete changed value to the backup partition. Again, this can cause a lot of traffic if the object is big. The Hazelcast ICache extension can also prevent this. Further information is available at Implementing BackupAwareEntryProcessor.

An arbitrary number of arguments can be passed to the Cache.invoke() and Cache.invokeAll() methods. All of those arguments need to be fully serializable because in a distributed environment like Hazelcast, it is very likely that these arguments have to be passed around the cluster.

The following example performs the following tasks.

- It implements EntryProcessor.
- It overrides the process method to process an entry.

```
public class UserUpdateEntryProcessor implements EntryProcessor<Integer, User, User> {
    @Override
    public User process(MutableEntry<Integer, User> entry, Object... arguments) throws
EntryProcessorException {
        // test arguments length
       if (arguments.length < 1) {</pre>
            throw new EntryProcessorException("One argument needed: username");
        }
        // get first argument and test for String type
        Object argument = arguments[0];
        if (!(argument instanceof String)) {
            throw new EntryProcessorException("First argument has wrong type, required
java.lang.String");
        }
        // retrieve the value from the MutableEntry
       User user = entry.getValue();
        // retrieve the new username from the first argument
        String newUsername = (String) arguments[0];
        // set the new username
        user.setUsername(newUsername);
        // set the changed user to mark the entry as dirty
        entry.setValue(user);
        // return the changed user to return it to the caller
        return user;
   }
}
```

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By executing the bulk Cache.invokeAll() operation, atomicity is only guaranteed for a single cache entry. No transactional rules are applied to the bulk operation.



JCache EntryProcessor implementations are not allowed to call javax.cache.Cache methods. This prevents operations from deadlocking between different calls.

In addition, when using a Cache.invokeAll() method, a java.util.Map is returned that maps the key to its javax.cache.processor.EntryProcessorResult, which itself wraps the actual result or a thrown javax.cache.processor.EntryProcessorException.

## 14.4.7. CacheEntryListener

The javax.cache.event.CacheEntryListener implementation is straight forward. CacheEntryListener is a super-interface that is used as a marker for listener classes in JCache. The specification brings a

set of sub-interfaces.

- CacheEntryCreatedListener: Fires after a cache entry is added (even on read-through by a CacheLoader) to the cache.
- CacheEntryUpdatedListener: Fires after an already existing cache entry updates.
- CacheEntryRemovedListener: Fires after a cache entry was removed (not expired) from the cache.
- CacheEntryExpiredListener: Fires after a cache entry has been expired. Expiry does not have to be a parallel process-- Hazelcast JCache implementation detects and removes expired entries periodically. Therefore, the expiration event may not be fired as soon as the entry expires. See ExpiryPolicy for details.

To configure CacheEntryListener, add a javax.cache.configuration.CacheEntryListenerConfiguration instance to the JCache configuration class, as seen in the above example configuration. In addition, listeners can be configured to be executed synchronously (blocking the calling thread) or asynchronously (fully running in parallel).

In this example application, the listener is implemented to print event information on the console. That visualizes what is going on in the cache. This application performs the following tasks:

- It implements the CacheEntryCreatedListener.onCreated method to call after an entry is created.
- It implements the CacheEntryUpdatedListener.onUpdated method to call after an entry is updated.
- It implements the CacheEntryRemovedListener.onRemoved method to call after an entry is removed.
- It implements the CacheEntryExpiredListener.onExpired method to call after an entry expires.
- It implements printEvents to print event information on the console.

```
class UserCacheEntryListener implements CacheEntryCreatedListener<Integer, User>,
        CacheEntryUpdatedListener<Integer, User>,
        CacheEntryRemovedListener<Integer, User>,
        CacheEntryExpiredListener<Integer, User> {
    @Override
    public void onCreated(Iterable<CacheEntryEvent<? extends Integer, ? extends User>>
cacheEntryEvents)
            throws CacheEntryListenerException {
        printEvents(cacheEntryEvents);
   }
    @Override
    public void onUpdated(Iterable<CacheEntryEvent<? extends Integer, ? extends User>>
cacheEntryEvents)
            throws CacheEntryListenerException {
        printEvents(cacheEntryEvents);
    }
    @Override
    public void onRemoved(Iterable<CacheEntryEvent<? extends Integer, ? extends User>>
cacheEntryEvents)
            throws CacheEntryListenerException {
        printEvents(cacheEntryEvents);
    }
    @Override
    public void onExpired(Iterable<CacheEntryEvent<? extends Integer, ? extends User>>
cacheEntryEvents)
            throws CacheEntryListenerException {
        printEvents(cacheEntryEvents);
   }
    private void printEvents(Iterable<CacheEntryEvent<? extends Integer, ? extends</pre>
User>> cacheEntryEvents) {
        for (CacheEntryEvent<? extends Integer, ? extends User> event :
cacheEntryEvents) {
            System.out.println(event.getEventType());
        }
    }
}
```

## 14.4.8. ExpiryPolicy

In JCache, javax.cache.expiry.ExpiryPolicy implementations are used to automatically expire cache

entries based on different rules.

JCache does not require expired entries to be removed from the cache immediately. It only enforces that expired entries are not returned from cache. Therefore, exact time of removal is implementation specific. Hazelcast complies JCache by checking the entries for expiration at the time of get operations (lazy expiration). In addition to that, Hazelcast uses a periodic task to detect and remove expired entries as soon as possible (eager expiration). Thanks to eager expiry, all expired entries are removed from the memory eventually even when they are not touched again. So the space used by such entries are released as well.

For a detailed explanation of interaction between expiry policies and JCache API, see the table in the Expiry Policies section of JCache documentation.

Expiry timeouts are defined using javax.cache.expiry.Duration, which is a pair of java.util.concurrent.TimeUnit, that describes a time unit and a long, defining the timeout value. The minimum allowed TimeUnit is TimeUnit.MILLISECONDS. The long value durationAmount must be equal or greater than zero. A value of zero (or Duration.ZERO) indicates that the cache entry expires immediately.

By default, JCache delivers a set of predefined expiry strategies in the standard API.

- AccessedExpiryPolicy: Expires after a given set of time measured from creation of the cache entry. The expiry timeout is updated on accessing the key.
- CreatedExpiryPolicy: Expires after a given set of time measured from creation of the cache entry. The expiry timeout is never updated.
- EternalExpiryPolicy: Never expires. This is the default behavior, similar to ExpiryPolicy being set to null.
- ModifiedExpiryPolicy: Expires after a given set of time measured from creation of the cache entry. The expiry timeout is updated on updating the key.
- TouchedExpiryPolicy: Expires after a given set of time measured from creation of the cache entry. The expiry timeout is updated on accessing or updating the key.

Because EternalExpiryPolicy does not expire cache entries, it is still possible to evict values from memory if an underlying CacheLoader is defined.

## 14.5. JCache - Hazelcast Instance Integration

You can retrieve javax.cache.Cache instances using the interface ICacheManager of HazelcastInstance. This interface has the method getCache(String name) where name is the prefixed cache name. The prefixes in the cache name are URI and classloader prefixes, which are optional.

If you create a cache through a ICacheManager which has its own specified URI scope (and/or specified classloader), it must be prepended to the pure cache name as a prefix while retrieving the cache through getCache(String name). Prefix generation for full cache name is exposed through com.hazelcast.cache.CacheUtil.getPrefixedCacheName(String name, java.net.URI uri, ClassLoader classloader). If the URI scope and classloader is not specified, the pure cache name can be used directly while retrieving cache over ICacheManager.

If you have a cache which is not created, but is defined/exists (cache is specified in Hazelcast configuration but not created yet), you can retrieve this cache by its name. This also triggers cache creation before retrieving it. This retrieval is supported through HazelcastInstance. However, HazelcastInstance does not support creating a cache by specifying configuration; this is supported by Hazelcast's ICacheManager as it is.



If a valid (rather than **1.0.0-PFD** or **0.x** versions) JCache library does not exist on the classpath, IllegalStateException is thrown.

## 14.5.1. JCache and Hazelcast Instance Awareness

HazelcastInstance is injected into the following cache API interfaces (provided by javax.cache.Cache and com.hazelcast.cache.ICache) if they implement HazelcastInstanceAware interface:

- ExpiryPolicyFactory and ExpiryPolicy [provided by javax.cache.Cache]
- CacheLoaderFactory and CacheLoader [provided by javax.cache.Cache]
- CacheWriteFactory and CacheWriter [provided by javax.cache.Cache]
- EntryProcessor [provided by javax.cache.Cache]
- CacheEntryListener (CacheEntryCreatedListener, CacheEntryUpdatedListener, CacheEntryRemovedListener, CacheEntryExpiredListener) [provided by javax.cache.Cache]
- CacheEntryEventFilter [provided by javax.cache.Cache]
- CompletionListener [provided by javax.cache.Cache]
- CachePartitionLostListener [provided by com.hazelcast.cache.ICache]

## 14.6. Hazelcast JCache Extension - ICache

Hazelcast provides extension methods to Cache API through the interface com.hazelcast.cache.ICache.

It has two sets of extensions:

- Asynchronous version of all cache operations. See Async Operations.
- Cache operations with custom ExpiryPolicy parameter to apply on that specific operation. See Custom ExpiryPolicy.

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ICache data structure can also be used by Hazelcast Jet for Real-Time Stream Processing (by enabling the Event Journal on your cache) and Fast Batch Processing. Hazelcast Jet uses ICache as a source (reads data from ICache) and as a sink (writes data to ICache). See the Fast Batch Processing and Real-Time Stream Processing use cases for Hazelcast Jet. See also here in the Hazelcast Jet Reference Manual to learn how Jet uses ICache, i.e., how it can read from and write to ICache.

## 14.6.1. Scoping to Join Clusters

A CacheManager, started either as a client or as an embedded member, can be configured to start a new Hazelcast instance or reuse an already existing one to connect to a Hazelcast cluster. To achieve this, request а CacheManager by passing а java.net.URI instance to CachingProvider.getCacheManager(). The java.net.URI instance must point to either a Hazelcast configuration or to the name of a named com.hazelcast.core.HazelcastInstance instance. In addition to the above, the same can be achieved by passing Hazelcast-specific properties to CachingProvider.getCacheManager(URI, ClassLoader, Properties) as detailed in the sections that follow.



Multiple requests for the same java.net.URI result in returning a CacheManager instance that shares the same HazelcastInstance as the CacheManager returned by the previous call.

#### Examples

The following examples illustrate how HazelcastInstances are created or reused during the creation of a new CacheManager. Complete reference on the HazelcastInstance lookup mechanism is provided in the sections that follow.

#### Starting the Default CacheManager

Assuming no other HazelcastInstance exists in the same JVM, the cacheManager below starts a new HazelcastInstance, configured according to the configuration lookup rules as defined for Hazelcast.newHazelcastInstance() in case of an embedded member or HazelcastClient.newHazelcastClient() for a client-side CacheManager.

```
CachingProvider caching = Caching.getCachingProvider();
CacheManager cacheManager = caching.getCacheManager();
```

#### Reusing Existing HazelcastInstance with the Default CacheManager

When using both Hazelcast-specific features and JCache, a HazelcastInstance might be already available to your JCache configuration. By configuring an instance name in hazelcast.xml in the classpath root, the CacheManager locates the existing instance by name and reuses it.

• hazelcast.xml:

```
<hazelcast>
...
<instance-name>hz-member-1</instance-name>
...
</hazelcast>
```

• HazelcastInstance & CacheManager startup:

```
// start hazelcast, configured with default hazelcast.xml
HazelcastInstance hz = Hazelcast.newHazelcastInstance();
// start the default CacheManager -- it locates the default hazelcast.xml
configuration
// and identify the existing HazelcastInstance by its name
CachingProvider caching = Caching.getCachingProvider();
CacheManager cacheManager = caching.getCacheManager();
```

Starting a CacheManager with a New HazelcastInstance Configured with a Non-default Configuration File

Given a configuration file named hazelcast-jcache.xml in the package com.domain, a CacheManager can be configured to start a new HazelcastInstance:

• By passing the URI to the configuration file as the CacheManager's `URI:

CachingProvider caching = Caching.getCachingProvider(); CacheManager cacheManager = caching.getCacheManager(new URI( "classpath:com/domain/hazelcast-jcache.xml"), null);

• By specifying the configuration file location as a property:

```
Properties properties = HazelcastCachingProvider.propertiesByLocation(
    "classpath:com/domain/aaa-hazelcast.xml");
CachingProvider caching = Caching.getCachingProvider();
CacheManager cacheManager = caching.getCacheManager(new URI("any-uri-will-do"),
null, properties);
```

Note that if the Hazelcast configuration file does specify an instance name, then any CacheManagers referencing the same configuration file locates by name and reuses the same HazelcastInstance.

Reusing an Existing Named HazelcastInstance

Assuming a HazelcastInstance named hc-instance is already started, it can be used as the HazelcastInstance to back a CacheManager:

• By using the instance's name as the CacheManager's `URI:

```
CachingProvider caching = Caching.getCachingProvider();
CacheManager cacheManager = caching.getCacheManager(new URI("hc-instance"), null);
```

• By specifying the instance name as a property:

```
Properties properties = HazelcastCachingProvider.propertiesByInstanceName("hc-
instance");
CachingProvider caching = Caching.getCachingProvider();
CacheManager cacheManager = caching.getCacheManager(new URI("any-uri-will-do"),
null, properties);
```

#### **Applying Configuration Scope**

To connect or join different clusters, apply a configuration scope to the CacheManager. If the same URI is used to request a CacheManager that was created previously, those CacheManagers share the same underlying HazelcastInstance.

To apply configuration scope you can do either one of the following:

- the configuration pass the path to file using the location property HazelcastCachingProvider#HAZELCAST CONFIG LOCATION (which resolves to hazelcast.config.location) as a mapping inside a java.util.Properties instance to the CachingProvider.getCacheManager(uri, classLoader, properties) call.
- use directly the configuration path as the CacheManager's URI.

If both HazelcastCachingProvider#HAZELCAST\_CONFIG\_LOCATION property is set and the CacheManager URI resolves to a valid config file location, then the property value is used to obtain the configuration for the HazelcastInstance the first time a CacheManager is created for the given URI.

Here is an example of using configuration scope:

```
CachingProvider cachingProvider = Caching.getCachingProvider();
// Create Properties instance pointing to a Hazelcast config file
Properties properties = new Properties();
// "scope-hazelcast.xml" resides in package com.domain.config
properties.setProperty( HazelcastCachingProvider.HAZELCAST_CONFIG_LOCATION,
        "classpath:com/domain/config/scoped-hazelcast.xml" );
URI cacheManagerName = new URI( "my-cache-manager" );
CacheManager cacheManager = cachingProvider
        .getCacheManager( cacheManagerName, null, properties );
```

Here is an example using HazelcastCachingProvider.propertiesByLocation() helper method:

```
CachingProvider cachingProvider = Caching.getCachingProvider();
// Create Properties instance pointing to a Hazelcast config file in root package
String configFile = "classpath:scoped-hazelcast.xml";
Properties properties = HazelcastCachingProvider
    .propertiesByLocation( configFile );
URI cacheManagerName = new URI( "my-cache-manager" );
CacheManager cacheManager = cachingProvider
    .getCacheManager( cacheManagerName, null, properties );
```

The retrieved CacheManager is scoped to use the HazelcastInstance that was just created and configured using the given XML configuration file.

Available protocols for config file URL include classpath to point to a classpath location, file to point to a filesystem location and http and https for remote web locations. In addition, everything that does not specify a protocol is recognized as a placeholder that can be configured using a system property.

```
String configFile = "my-placeholder";
Properties properties = HazelcastCachingProvider
   .propertiesByLocation( configFile );
```

You can set this on the command line:

-Dmy-placeholder=classpath:my-configs/scoped-hazelcast.xml

You should consider the following rules about the Hazelcast instance name when you specify the configuration file location using HazelcastCachingProvider#HAZELCAST\_CONFIG\_LOCATION (which resolves to hazelcast.config.location):

- If you also specified the HazelcastCachingProvider#HAZELCAST\_INSTANCE\_NAME (which resolves to hazelcast.instance.name) property, this property is used as the instance name even though you configured the instance name in the configuration file.
- If you do not specify HazelcastCachingProvider#HAZELCAST\_INSTANCE\_NAME but you configure the instance name in the configuration file using the element <instance-name>, then this element's value is used as the instance name.
- If you do not specify an instance name via property or in the configuration file, the URL of the configuration file location is used as the instance name.



No check is performed to prevent creating multiple CacheManagers with the same cluster configuration on different configuration files. If the same cluster is referred from different configuration files, multiple cluster members or clients are created.



The configuration file location will not be a part of the resulting identity of the CacheManager. An attempt to create a CacheManager with a different set of properties but an already used name results in an undefined behavior.

#### Binding to a Named Instance

You can bind CacheManager to an existing and named HazelcastInstance instance. If the instanceName is specified in com.hazelcast.config.Config, it can be used directly by passing it to CachingProvider implementation. Otherwise (instanceName not set or instance is a client instance) you must get the instance name from the HazelcastInstance instance via the String getName() method to pass the CachingProvider implementation. Please note that instanceName is not configurable for the client side HazelcastInstance instance and is auto-generated by using cluster name (if it is specified). In general, String getName() method over HazelcastInstance is safer and the preferable way to get the name of the instance. Multiple CacheManagers created using an equal java.net.URI share the same HazelcastInstance.

A named scope is applied nearly the same way as the configuration scope. Pass the instance name using:

- either the property HazelcastCachingProvider#HAZELCAST\_INSTANCE\_NAME (which resolves to hazelcast.instance.name) as a mapping inside a java.util.Properties instance to the CachingProvider.getCacheManager(uri, classLoader, properties) call.
- or use the instance name when specifying the CacheManager's `URI.

If a valid instance name is provided both as property and as URI, then the property value takes precedence and is used to resolve the HazelcastInstance the first time a CacheManager is created for the given URI.

Here is an example of Named Instance Scope with specified name:

Here is an example of Named Instance Scope with specified name passed as URI of the CacheManager:

```
Config config = new Config();
config.setInstanceName( "my-named-hazelcast-instance" );
// Create a named HazelcastInstance
Hazelcast.newHazelcastInstance( config );
CachingProvider cachingProvider = Caching.getCachingProvider();
URI cacheManagerName = new URI( "my-named-hazelcast-instance" );
CacheManager cacheManager = cachingProvider
   .getCacheManager( cacheManagerName, null);
```

Here is an example of Named Instance Scope with auto-generated name:

Here is an example of Named Instance Scope with auto-generated name on client instance:

Here is an example using HazelcastCachingProvider.propertiesByInstanceName() method:

```
Config config = new Config();
config.setInstanceName( "my-named-hazelcast-instance" );
// Create a named HazelcastInstance
Hazelcast.newHazelcastInstance( config );
CachingProvider cachingProvider = Caching.getCachingProvider();
// Create Properties instance pointing to a named HazelcastInstance
Properties properties = HazelcastCachingProvider
    .propertiesByInstanceName( "my-named-hazelcast-instance" );
URI cacheManagerName = new URI( "my-cache-manager" );
CacheManager cacheManager = cachingProvider
    .getCacheManager( cacheManagerName, null, properties );
```



The instanceName will not be a part of the resulting identity of the CacheManager. An attempt to create a CacheManager with a different set of properties but an already used name will result in undefined behavior.

#### Binding to an Existing Hazelcast Instance Object

When an existing HazelcastInstance object is available, it can be passed to the CacheManager by setting the property HazelcastCachingProvider#HAZELCAST\_INSTANCE\_ITSELF:

## 14.6.2. Namespacing

The java.net.URIs that don't use the above-mentioned Hazelcast-specific schemes are recognized as namespacing. Those CacheManagers share the same underlying default HazelcastInstance created (or set) by the CachingProvider, but they cache with the same names and different namespaces on the CacheManager level, and therefore they won't share the same data. This is useful where multiple applications might share the same Hazelcast JCache implementation, e.g., on application or OSGi servers, but are developed by independent teams. To prevent interfering on caches using the same name, every application can use its own namespace when retrieving the CacheManager.

Here is an example of using namespacing.

```
CachingProvider cachingProvider = Caching.getCachingProvider();
URI nsApp1 = new URI( "application-1" );
CacheManager cacheManagerApp1 = cachingProvider.getCacheManager( nsApp1, null );
URI nsApp2 = new URI( "application-2" );
CacheManager cacheManagerApp2 = cachingProvider.getCacheManager( nsApp2, null );
```

That way both applications share the same HazelcastInstance instance but not the same caches.

## 14.6.3. Retrieving an ICache Instance

Besides Scoping to Join Clusters and Namespacing, which are implemented using the URI feature of the specification, all other extended operations are required to retrieve the com.hazelcast.cache.ICache interface instance from the JCache javax.cache.Cache instance. For Hazelcast, both interfaces are implemented on the same object instance. It is recommended that you stay with the specification method to retrieve the ICache version, since ICache might be subject to change without notification.

To retrieve or unwrap the ICache instance, you can execute the following code example:

```
CachingProvider cachingProvider = Caching.getCachingProvider();
CacheManager cacheManager = cachingProvider.getCacheManager();
Cache<Object, Object> cache = cacheManager.getCache( ... );
ICache<Object, Object> unwrappedCache = cache.unwrap( ICache.class );
```

After unwrapping the Cache instance into an ICache instance, you have access to all of the following operations, e.g., ICache Async Methods and ICache Convenience Methods.

## 14.6.4. ICache Configuration

As mentioned in the JCache Declarative Configuration section, the Hazelcast ICache extension offers additional configuration properties over the default JCache configuration. These additional properties include internal storage format, backup counts, eviction policy and split-brain protection reference.

The declarative configuration for ICache is a superset of the previously discussed JCache configuration:

```
<hazelcast>
    . . .
    <cache>
        <!-- ... default cache configuration goes here ... -->
        <backup-count>1</backup-count>
        <async-backup-count>1</async-backup-count>
        <in-memory-format>BINARY</in-memory-format>
        <eviction size="10000" max-size-policy="ENTRY_COUNT" eviction-policy="LRU" />
        <partition-lost-listeners>
            <partition-lost-listener>CachePartitionLostListenerImpl</partition-lost-</pre>
listener>
        </partition-lost-listeners>
        <split-brain-protection-ref>split-brain-protection-name</split-brain-
protection-ref>
        <disable-per-entry-invalidation-events>true</disable-per-entry-invalidation-</pre>
events>
   </cache>
    . . .
</hazelcast>
```

- backup-count: Number of synchronous backups. Those backups are executed before the mutating cache operation is finished. The mutating operation is blocked. Its default value is 1.
- async-backup-count: Number of asynchronous backups. Those backups are executed asynchronously so the mutating operation is not blocked and it is done immediately. Its default value is 0.
- in-memory-format: Internal storage format. For more information, see the in-memory format section. Its default value is BINARY.

- eviction: Defines the used eviction strategies and sizes for the cache. For more information on eviction, see the JCache Eviction section.
  - size: Maximum number of records or maximum size in bytes depending on the max-size-policy property. Size can be any integer between 0 and Integer.MAX\_VALUE. The default max-size-policy is ENTRY\_COUNT and its default size is 10.000.
  - max-size-policy: Maximum size. If maximum size is reached, the cache is evicted based on the eviction policy. Default max-size-policy is ENTRY\_COUNT and its default size is 10.000. The following eviction policies are available:
    - ENTRY\_COUNT: Maximum number of the entries in cache. Based on this number, Hazelcast calculates an approximate maximum size for each partition. See the Eviction Algorithm section for more details. Available on heap based cache record store only.
    - USED\_NATIVE\_MEMORY\_SIZE: Maximum used native memory size in megabytes per cache for each Hazelcast instance. Available on High-Density Memory cache record store only.
    - USED\_NATIVE\_MEMORY\_PERCENTAGE: Maximum used native memory size percentage per cache for each Hazelcast instance. Available on High-Density Memory cache record store only.
    - FREE\_NATIVE\_MEMORY\_SIZE: Minimum free native memory size in megabytes for each Hazelcast instance. Available on High-Density Memory cache record store only.
    - FREE\_NATIVE\_MEMORY\_PERCENTAGE: Minimum free native memory size percentage for each Hazelcast instance. Available on High-Density Memory cache record store only.
  - eviction-policy: Eviction policy that compares values to find the best matching eviction candidate. Its default value is LRU.
    - LRU: Less Recently Used finds the best eviction candidate based on the lastAccessTime.
    - LFU: Less Frequently Used finds the best eviction candidate based on the number of hits.
- partition-lost-listeners : Defines listeners for dispatching partition lost events for the cache. For more information, see the ICache Partition Lost Listener section.
- **split-brain-protection-ref** : Name of the split-brain protection configuration that you want this cache to use.
- disable-per-entry-invalidation-events : Disables invalidation events for each entry; but fullflush invalidation events are still enabled. Full-flush invalidation means the invalidation of events for all entries when clear is called. Its default value is false.

Since javax.cache.configuration.MutableConfiguration misses the above additional configuration properties, Hazelcast ICache extension provides an extended configuration class called com.hazelcast.config.CacheConfig. This class is an implementation of javax.cache.configuration.CompleteConfiguration and all the properties shown above can be configured using its corresponding setter methods.



ICache can be configured only programmatically on the client side.

## 14.6.5. ICache Async Methods

As another addition of Hazelcast ICache over the normal JCache specification, Hazelcast provides

asynchronous versions of almost all methods, returning a java.util.concurrent.CompletionStage. By using these methods and the returned objects, you can use JCache in a reactive way by registering dependent computation stages on the returned CompletionStage to prevent blocking the current thread.

The asynchronous versions of the methods append the phrase Async to the method name. The example code below uses the method putAsync().

```
ICache<Integer, String> unwrappedCache = cache.unwrap(ICache.class);
CompletionStage<String> stage = unwrappedCache.getAndPutAsync(1, "value");
stage.thenAcceptAsync(v -> System.out.println("Previous value: " + v));
```

Following methods are available in asynchronous versions:

- get(key):
  - o getAsync(key)
  - o getAsync(key, expiryPolicy)
- put(key, value):
  - o putAsync(key, value)
  - o putAsync(key, value, expiryPolicy)
- putIfAbsent(key, value):
  - o putIfAbsentAsync(key, value)
  - o putIfAbsentAsync(key, value, expiryPolicy)
- getAndPut(key, value):
  - 。 getAndPutAsync(key, value)
  - 。 getAndPutAsync(key, value, expiryPolicy)
- remove(key):
  - o removeAsync(key)
- remove(key, value):
  - 。 removeAsync(key, value)
- getAndRemove(key):
  - 。 getAndRemoveAsync(key)
- replace(key, value):
  - o replaceAsync(key, value)
  - o replaceAsync(key, value, expiryPolicy)
- replace(key, oldValue, newValue):
  - 。 replaceAsync(key, oldValue, newValue)
  - 。 replaceAsync(key, oldValue, newValue, expiryPolicy)
- getAndReplace(key, value):
  - 。 getAndReplaceAsync(key, value)
  - 。 getAndReplaceAsync(key, value, expiryPolicy)

The methods with a given javax.cache.expiry.ExpiryPolicy are further discussed in the Defining a Custom ExpiryPolicy.



Asynchronous versions of the methods are not compatible with synchronous events.

## 14.6.6. Defining a Custom ExpiryPolicy

The JCache specification has an option to configure a single ExpiryPolicy per cache. Hazelcast ICache extension offers the possibility to define a custom ExpiryPolicy per key by providing a set of method overloads with an expirePolicy parameter, as in the list of asynchronous methods in the Async Methods section. This means that you can pass custom expiry policies to a cache operation.

Here is how an ExpiryPolicy is set on JCache configuration:

```
CompleteConfiguration<String, String> config =
    new MutableConfiguration<String, String>()
    .setExpiryPolicyFactory(
        AccessedExpiryPolicy.factoryOf( Duration.ONE_MINUTE )
    );
```

To pass a custom ExpiryPolicy, a set of overloads is provided. You can use them as shown in the following code example.

```
ICache<Integer, String> unwrappedCache = cache.unwrap( ICache.class );
unwrappedCache.put( 1, "value", new AccessedExpiryPolicy( Duration.ONE_DAY ) );
```

The ExpiryPolicy instance can be pre-created, cached and re-used, but only for each cache instance. This is because ExpiryPolicy implementations can be marked as java.io.Closeable. The following list shows the provided method overloads over javax.cache.Cache by com.hazelcast.cache.ICache featuring the ExpiryPolicy parameter:

- get(key):
  - o get(key, expiryPolicy)
- getAll(keys):
  - 。 getAll(keys, expirePolicy)
- put(key, value):
  - o put(key, value, expirePolicy)
- getAndPut(key, value):
  - 。getAndPut(key, value, expirePolicy)
- putAll(map):
  - o putAll(map, expirePolicy)
- putIfAbsent(key, value):
  - o putIfAbsent(key, value, expirePolicy)

- replace(key, value):
  - 。 replace(key, value, expirePolicy)
- replace(key, oldValue, newValue):
  - 。 replace(key, oldValue, newValue, expirePolicy)
- getAndReplace(key, value):
  - 。 getAndReplace(key, value, expirePolicy)

Asynchronous method overloads are not listed here. See the ICache Async Methods section for the list of asynchronous method overloads.

ICache also offers setExpiryPolicy(key, expirePolicy) method to associate certain keys with custom expiry policies. Per key expiry policies defined by this method take precedence over cache policies, but they are overridden by the expiry policies specified in above mentioned overloaded methods.

## 14.6.7. JCache Eviction

Caches are generally not expected to grow to an infinite size. Implementing an expiry policy is one way you can prevent infinite growth, but sometimes it is hard to define a meaningful expiration timeout. Therefore, Hazelcast JCache provides the eviction feature. Eviction offers the possibility of removing entries based on the cache size or amount of used memory (Hazelcast IMDG Enterprise Only) and not based on timeouts.

#### **Eviction and Runtime**

Since a cache is designed for high throughput and fast reads, Hazelcast put a lot of effort into designing the eviction system to be as predictable as possible. All built-in implementations provide an amortized O(1) runtime. The default operation runtime is rendered as O(1), but it can be faster than the normal runtime cost if the algorithm finds an expired entry while sampling.

#### **Cache Types**

Most importantly, typical production systems have two common types of caches:

- **Reference Caches**: Caches for reference data are normally small and are used to speed up the de-referencing as a lookup table. Those caches are commonly tend to be small and contain a previously known, fixed number of elements, e.g., states of the USA or abbreviations of elements.
- Active DataSet Caches: The other type of caches normally caches an active data set. These caches run to their maximum size and evict the oldest or not frequently used entries to keep in memory bounds. They sit in front of a database or HTML generators to cache the latest requested data.

Hazelcast JCache eviction supports both types of caches using a slightly different approach based on the configured maximum size of the cache. For detailed information, see the Eviction Algorithm section.

#### **Configuring Eviction Policies**

Hazelcast JCache provides two commonly known eviction policies, LRU and LFU, but loosens the rules for predictable runtime behavior. LRU, normally recognized as Least Recently Used, is implemented as Less Recently Used and LFU known as Least Frequently Used is implemented as Less Frequently Used. The details about this difference are explained in the Eviction Algorithm section.

Eviction Policies are configured by providing the corresponding abbreviation to the configuration as shown in the ICache Configuration section. As already mentioned, two built-in policies are available:

To configure the use of the LRU (Less Recently Used) policy:

```
<eviction size="10000" max-size-policy="ENTRY_COUNT" eviction-policy="LRU" />
```

And to configure the use of the LFU (Less Frequently Used) policy:

<eviction size="10000" max-size-policy="ENTRY\_COUNT" eviction-policy="LFU" />

The default eviction policy is LRU. Therefore, Hazelcast JCache does not offer the possibility of performing no eviction.

#### **Custom Eviction Policies**

Besides the out-of-the-box eviction policies LFU and LRU, you can also specify your custom eviction policies through the eviction configuration either programmatically or declaratively.

You can provide your com.hazelcast.cache.CacheEvictionPolicyComparator implementation to compare com.hazelcast.cache.CacheEntryViews. Supplied CacheEvictionPolicyComparator is used to compare cache entry views to select the one with higher priority to evict.

Here is an example for custom eviction policy comparator implementation for JCache:

```
public class MyCacheEvictionPolicyComparator
        implements CacheEvictionPolicyComparator<Long, String> {
    @Override
    public int compare(CacheEntryView<Long, String> e1, CacheEntryView<Long, String>
e2) {
        long id1 = e1.getKey();
        long id2 = e2.getKey();
        if (id1 > id2) {
            // first entry has higher priority to be evicted
            return -1;
        }
        if (id1 < id2) {
            // second entry has higher priority to be evicted
            return 1;
        }
        // both entries have same priority
        return 0;
    }
}
```

Custom eviction policy comparator can be specified through the eviction configuration by giving the full class name of the EvictionPolicyComparator (CacheEvictionPolicyComparator for JCache and its Near Cache) implementation or by specifying its instance itself.

#### **Programmatic Configuration:**

You can specify the full class name of custom EvictionPolicyComparator (CacheEvictionPolicyComparator for JCache and its Near Cache) implementation through EvictionConfig. This approach is useful when eviction configuration is specified on the client side and custom EvictionPolicyComparator implementation class itself does not exist at the client but at server side.

You can specify the custom EvictionPolicyComparator (CacheEvictionPolicyComparator for JCache and its Near Cache) instance itself directly through EvictionConfig.

#### **Declarative Configuration:**

You can specify the full class name of custom EvictionPolicyComparator (CacheEvictionPolicyComparator for JCache and its Near Cache) implementation in the <eviction> tag through comparator-class-name attribute in Hazelcast configuration XML file.

```
<hazelcast>
...
<cache name="cacheWithCustomEvictionPolicyComparator">
...
<cache name="cacheWithCustomEvictionPolicyComparator">
...
<cuche>
...
</cache>
...
</hazelcast>
```

#### **Declarative Configuration for Spring:**

You can specify the full class name of custom EvictionPolicyComparator (CacheEvictionPolicyComparator for JCache and its Near Cache) implementation in the <eviction> tag through comparator-class-name attribute in Hazelcast Spring configuration XML file.

You can specify the custom EvictionPolicyComparator (CacheEvictionPolicyComparator for JCache and its Near Cache) bean in the <eviction> tag by referencing through comparator-bean attribute in Hazelcast Spring configuration XML file

#### **Eviction Strategy**

Eviction strategies implement the logic of selecting one or more eviction candidates from the

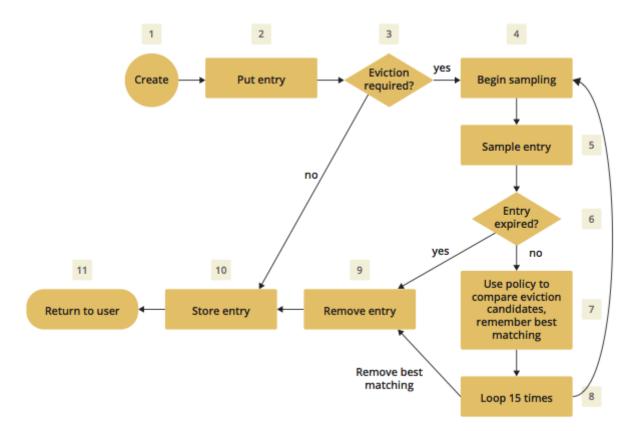
underlying storage implementation and passing them to the eviction policies. Hazelcast JCache provides an amortized O(1) cost implementation for this strategy to select a fixed number of samples from the current partition that it is executed against.

Thedefaultimplementationiscom.hazelcast.cache.impl.eviction.impl.strategy.sampling.SamplingBasedEvictionStrategywhich,as mentioned, samples 15 random elements. A detailed description of the algorithm will beexplained in the next section.

#### **Eviction Algorithm**

The Hazelcast JCache eviction algorithm is specially designed for the use case of high performance caches and with predictability in mind. The built-in implementations provide an amortized O(1) runtime and therefore provide a highly predictable runtime behavior which does not rely on any kind of background threads to handle the eviction. Therefore, the algorithm takes some assumptions into account to prevent network operations and concurrent accesses.

As an explanation of how the algorithm works, let's examine the following flowchart step by step.



- 1. A new cache is created. Without any special settings, the eviction is configured to kick in when the **cache** exceeds 10.000 elements and an LRU (Less Recently Used) policy is set up.
- 2. The user puts in a new entry, e.g., a key-value pair.
- 3. For every put, the eviction strategy evaluates the current cache size and decides if an eviction is necessary or not. If not, the entry is stored in step 10.
- 4. If eviction is required, a new sampling is started. The built-in sampler is implemented as a lazy iterator.

- 5. The sampling algorithm selects a random sample from the underlying data storage.
- 6. The eviction strategy tests whether the sampled entry is already expired (lazy expiration). If expired, the sampling stops and the entry is removed in step 9.
- 7. If not yet expired, the entry (eviction candidate) is compared to the last best matching candidate (based on the eviction policy) and the new best matching candidate is remembered.
- 8. The sampling is repeated 15 times and then the best matching eviction candidate is returned to the eviction strategy.
- 9. The expired or best matching eviction candidate is removed from the underlying data storage.
- 10. The new put entry is stored.
- 11. The put operation returns to the user.



Note that expiration based eviction does not only occur for the above scenario (Step 6). It is mentioned for the sake of explaining the eviction algorithm.

As seen in the flowchart, the general eviction operation is easy. As long as the cache does not reach its maximum capacity, or you execute updates (put/replace), no eviction is executed.

To prevent network operations and concurrent access, as mentioned earlier, the cache size is estimated based on the size of the currently handled partition. Due to the imbalanced partitions, the single partitions might start to evict earlier than the other partitions.

As mentioned in the Cache Types section, typically two types of caches are found in the production systems. For small caches, referred to as **Reference Caches**, the eviction algorithm has a special set of rules depending on the maximum configured cache size. See the **Reference Caches section** for details. The other type of cache is referred to as an **Active DataSet Cache**, which in most cases makes heavy use of the eviction to keep the most active data set in the memory. Those kinds of caches use a very simple but efficient way to estimate the cluster-wide cache size.

All of the following calculations have a well known set of fixed variables:

- GlobalCapacity: User defined maximum cache size (cluster-wide).
- PartitionCount: Number of partitions in the cluster (defaults to 271).
- BalancedPartitionSize: Number of elements in a balanced partition state, BalancedPartitionSize
   := GlobalCapacity / PartitionCount.
- Deviation: An approximated standard deviation (tests proofed it to be pretty near), Deviation := sqrt(BalancedPartitionSize).

#### **Reference Caches**

A Reference Cache is typically small and the number of elements to store in the reference caches is normally known prior to creating the cache. Typical examples of reference caches are lookup tables for abbreviations or the states of a country. They tend to have a fixed but small element number and the eviction is an unlikely event and rather undesirable behavior.

Since an imbalanced partition is a worse problem in small and mid-sized caches than in caches with millions of entries, the normal estimation rule (as discussed in a bit) is not applied to these

kinds of caches. To prevent unwanted eviction on the small and mid-sized caches, Hazelcast implements a special set of rules to estimate the cluster size.

To adjust the imbalance of partitions as found in the typical runtime, the actual calculated maximum cache size (known as the eviction threshold) is slightly higher than the user defined size. That means more elements can be stored into the cache than expected by the user. This needs to be taken into account especially for large objects, since those can easily exceed the expected memory consumption!

#### Small caches:

If a cache is configured with no more than 4.000 elements, this cache is considered to be a small cache. The actual partition size is derived from the number of elements (GlobalCapacity) and the deviation using the following formula:

```
MaxPartitionSize := Deviation * 5 + BalancedPartitionSize
```

This formula ends up with big partition sizes which, summed up, exceed the expected maximum cache size (set by the user). Since the small caches typically have a well known maximum number of elements, this is not a big issue. Only if the small caches are used for a use case other than as a reference cache, this needs to be taken into account.

#### **Mid-sized caches**

A mid-sized cache is defined as a cache with a maximum number of elements that is bigger than 4.000 but not bigger than 1.000.000 elements. The calculation of mid-sized caches is similar to that of the small caches but with a different multiplier. To calculate the maximum number of elements per partition, the following formula is used:

MaxPartitionSize := Deviation \* 3 + BalancedPartitionSize

#### **Active DataSet Caches**

For large caches, where the maximum cache size is bigger than 1.000.000 elements, there is no additional calculation needed. The maximum partition size is considered to be equal to BalancedPartitionSize since statistically big partitions are expected to almost balance themselves. Therefore, the formula is as easy as the following:

MaxPartitionSize := BalancedPartitionSize

#### **Cache Size Estimation**

As mentioned earlier, Hazelcast JCache provides an estimation algorithm to prevent cluster-wide network operations, concurrent access to other partitions and background tasks. It also offers a highly predictable operation runtime when the eviction is necessary.

The estimation algorithm is based on the previously calculated maximum partition size (see the

Reference Caches and Active DataSet Caches sections) and is calculated against the current partition only.

The algorithm to reckon the number of stored entries in the cache (cluster-wide) and decide if the eviction is necessary is shown in the following pseudo-code example:

RequiresEviction[Boolean] := CurrentPartitionSize >= MaxPartitionSize

## 14.6.8. JCache Near Cache

The Hazelcast JCache implementation supports a local Near Cache for remotely stored entries to increase the performance of local read operations. See the Near Cache section for a detailed explanation of the Near Cache feature and its configuration.



Near Cache for JCache is only available for clients, NOT members.

## 14.6.9. ICache Convenience Methods

In addition to the operations explained in ICache Async Methods and Defining a Custom ExpiryPolicy, Hazelcast ICache also provides a set of convenience methods. These methods are not part of the JCache specification.

- size(): Returns the total entry count of the distributed cache.
- destroy(): Destroys the cache and removes its data, which makes it different from the method javax.cache.Cache.close(); the close method closes the cache so no further operational methods (get, put, remove, etc. See Section 4.1.6 in JCache Specification which can be downloaded from here) can be executed on it data is not necessarily destroyed, if you get again the same Cache from the same CacheManager, the data will be there. In the case of destroy(), both the cache is destroyed and cache's data is removed.
- isDestroyed(): Determines whether the ICache instance is destroyed or not.
- getLocalCacheStatistics(): Returns a com.hazelcast.cache.CacheStatistics instance, both on Hazelcast members and clients, providing the same statistics data as the JMX beans.

See the ICache Javadoc to see all the methods provided by ICache.

### 14.6.10. Implementing BackupAwareEntryProcessor

Another feature, especially interesting for distributed environments like Hazelcast, is the JCache specified javax.cache.processor.EntryProcessor. For more general information, see the Implementing EntryProcessor section.

Since Hazelcast provides backups of cached entries on other members, the default way to backup an object changed by an EntryProcessor is to serialize the complete object and send it to the backup partition. This can be a huge network overhead for big objects.

Hazelcastoffersasub-interfaceforEntryProcessorcalledcom.hazelcast.cache.BackupAwareEntryProcessor.Thisallowsyoutocreateorpassanother

EntryProcessor to run on backup partitions and apply delta changes to the backup entries.

The backup partition EntryProcessor can either be the currently running processor (by returning this) or it can be a specialized EntryProcessor implementation (different from the currently running one) that does different operations or leaves out operations, e.g., sending emails.

If we again take the EntryProcessor example from the demonstration application provided in the Implementing EntryProcessor section, the changed code looks like the following snippet:

```
public class UserUpdateEntryProcessor
    implements BackupAwareEntryProcessor<Integer, User, User> {
    @Override
    public User process( MutableEntry<Integer, User> entry, Object... arguments )
        throws EntryProcessorException {
       // Test arguments length
        if ( arguments.length < 1 ) {</pre>
            throw new EntryProcessorException( "One argument needed: username" );
        }
        // Get first argument and test for String type
        Object argument = arguments[0];
        if ( !( argument instanceof String ) ) {
            throw new EntryProcessorException(
            "First argument has wrong type, required java.lang.String" );
        }
        // Retrieve the value from the MutableEntry
        User user = entry.getValue();
        // Retrieve the new username from the first argument
        String newUsername = ( String ) arguments[0];
        // Set the new username
        user.setUsername( newUsername );
       // Set the changed user to mark the entry as dirty
        entry.setValue( user );
        // Return the changed user to return it to the caller
        return user;
   }
    public EntryProcessor<Integer, User, User> createBackupEntryProcessor() {
        return this;
    }
}
```

You can use the additional method BackupAwareEntryProcessor.createBackupEntryProcessor() to

create or return the EntryProcessor implementation to run on the backup partition (in the example above, the same processor again).



For the backup runs, the returned value from the backup processor is ignored and not returned to the user.

### 14.6.11. ICache Partition Lost Listener

You can listen to CachePartitionLostEvent instances by registering an implementation of CachePartitionLostListener, which is also a sub-interface of java.util.EventListener from ICache.

Let's consider the following example code:

```
public class PartitionLostListenerUsage {
    public static void main(String[] args) {
        String cacheName1 = "myCache1";
        CachingProvider cachingProvider = Caching.getCachingProvider();
        CacheManager cacheManager = cachingProvider.getCacheManager();
        CacheConfig<Integer, String> config1 = new CacheConfig<Integer, String>();
        Cache<Integer, String> cache1 = cacheManager.createCache(cacheName1, config1);
        ICache<Object, Object> unwrappedCache = cache1.unwrap( ICache.class );
        unwrappedCache.addPartitionLostListener(new CachePartitionLostListener() {
            @Override
            public void partitionLost(CachePartitionLostEvent event) {
                System.out.println(event);
            }
       });
   }
}
```

Within this example code, a CachePartitionLostListener implementation is registered to a cache and assumes that this cache is configured with one backup. For this particular cache and any of the partitions in the system, if the partition owner member and its first backup member crash simultaneously, the given CachePartitionLostListener receives a corresponding CachePartitionLostEvent. If only a single member crashes in the cluster, a CachePartitionLostEvent is not fired for this cache since backups for the partitions owned by the crashed member are kept on other members.

See the Partition Lost Listener section for more information about partition lost detection and partition lost events.

## 14.7. Testing for JCache Specification Compliance

Hazelcast JCache is fully compliant with the JSR 107 TCK (Technology Compatibility Kit), and therefore is officially a JCache implementation.

You can test Hazelcast JCache for compliance by executing the TCK. Just perform the instructions below:

- Checkout tag 1.1.1 of the TCK from https://github.com/jsr107/jsr107tck.
- Change the properties in pom.xml as shown below. Alternatively, you can set the values of these properties directly on the maven command line without editing any files as shown in the command line example below.
- Run the TCK using the command mvn clean install. This runs the tests using an embedded Hazelcast member.

```
<properties>
   <jcache.version>1.1.1</jcache.version>
   <project.build.sourceEncoding>UTF-8</project.build.sourceEncoding>
   <project.reporting.outputEncoding>UTF-8</project.reporting.outputEncoding>
   <CacheInvocationContextImpl>
       javax.cache.annotation.impl.cdi.CdiCacheKeyInvocationContextImpl
   </CacheInvocationContextImpl>
   <domain-lib-dir>${project.build.directory}/domainlib</domain-lib-dir>
   <domain-jar>domain.jar</domain-jar>
   <!-- Change the following properties on the command line
      to override with the coordinates for your implementation-->
   <implementation-groupId>com.hazelcast</implementation-groupId>
   <implementation-artifactId>hazelcast</implementation-artifactId>
   <implementation-version>3.10</implementation-version>
   <!-- Change the following properties to your CacheManager and
      Cache implementation. Used by the unwrap tests. -->
   <CacheManagerImpl>
       com.hazelcast.client.cache.impl.HazelcastServerCacheManager
   </CacheManagerImpl>
   <CacheImpl>com.hazelcast.cache.ICache</CacheImpl>
   <CacheEntryImpl>
       com.hazelcast.cache.impl.CacheEntry
   </CacheEntryImpl>
   </properties>
```

Complete command line example:

```
$ git clone https://github.com/jsr107/jsr107tck
(clones JSR107 TCK repository to local directory jsr107tck)
$ cd jsr107tck
$ git checkout 1.1.1
(checkout 1.1.1 tag)
$ mvn -Dimplementation-groupId=com.hazelcast -Dimplementation-artifactId=hazelcast \
        -Dimplementation-version=3.10 \
        -DCacheManagerImpl=com.hazelcast.cache.impl.HazelcastServerCacheManager \
        -DCacheImpl=com.hazelcast.cache.impl.CacheEntry \
        clean install
```

See also the TCK 1.1.0 User Guide or TCK 1.0.0 User Guide for more information on the testing instructions.

# **15. Integrated Clustering**

In this chapter, we mention how Hazelcast is integrated with Hibernate 2nd level cache and Spring and how it helps with your Filter, Tomcat and Jetty based web session replications.

## 15.1. Integration with Hibernate Second Level Cache

Hazelcast provides its own distributed second level cache for your Hibernate entities, collections and queries. This feature is offered as a Hazelcast plugin. See <u>Hazelcast Hibernate 2LC</u> for details.

## **15.2. Web Session Replications**

Hazelcast can cluster your web sessions using Servlet Filter, Tomcat and Jetty based solutions.

See the following for more information on them:

- Filter Based Web Session Replication
- Tomcat Based Web Session Replication
- Jetty Based Web Session Replication

## 15.3. Integration with Java EE

You can integrate Hazelcast into Java EE containers. This integration is offered as a Hazelcast plugin. See the Hazelcast JCA Resource Adapter section and its own GitHub repository here for information on configuring the resource adapter, Glassfish applications and JBoss web applications.

## 15.4. Integration with Spring

You can integrate Hazelcast with Spring and this section explains the configuration of Hazelcast within Spring context.

Supported Versions are Spring 2.5 and higher releases and the latest tested Spring version is 4.3.



Some old versions of Spring may require minor changes in the Hazelcast configuration. The code and configuration snippets provided in this section are tested using Spring 4.3.

## **15.4.1. Configuring Spring**

Code Sample: See our sample application for Spring Configuration.

#### **Enabling Spring Integration**

Classpath Configuration:



To enable Spring integration, either hazelcast-spring-4.0.3.jar or hazelcast-all-4.0.3.jar must be in the classpath.

If you use Maven, add the following lines to your pom.xml.

```
If you use hazelcast-all.jar:
```

```
<dependency>
<groupId>com.hazelcast</groupId>
<artifactId>hazelcast-all</artifactId>
<version>4.0.3</version>
</dependency>
```

#### If you use hazelcast-spring.jar:

```
<dependency>
<groupId>com.hazelcast</groupId>
<artifactId>hazelcast-spring</artifactId>
<version>4.0.3</version>
</dependency>
```

If you use other build systems, you have to adjust the definition of dependencies to your needs.

#### Troubleshooting

When the Spring Integration JARs are not correctly installed in the Java classpath, you may see either of the following exceptions:

org.xml.sax.SAXParseException; systemId: http://hazelcast.com/schema/spring/hazelcastspring.xsd; lineNumber: 2; columnNumber: 35; s4s-elt-character: Non-whitespace characters are not allowed in schema elements other than 'xs:appinfo' and 'xs:documentation'. Saw '301 Moved Permanently'.

org.springframework.beans.factory.parsing.BeanDefinitionParsingException: Configuration problem: Unable to locate Spring NamespaceHandler for XML schema namespace [http://www.hazelcast.com/schema/spring]

org.xml.sax.SAXParseException; lineNumber: 25; columnNumber: 33; schema\_reference.4:
Failed to read schema document 'http://www.hazelcast.com/schema/spring/hazelcastspring.xsd', because 1) could not find the document; 2) the document could not be
read; 3) the root element of the document is not <xsd:schema>.

In this case, please ensure that the required classes are in the classpath, as explained above.

#### Declaring Beans by Spring beans Namespace

#### Bean Declaration:

You can declare Hazelcast Objects using the default Spring **beans** namespace. Example code for a Hazelcast Instance declaration is listed below.

```
<bean id="instance" class="com.hazelcast.core.Hazelcast" factory-method=
"newHazelcastInstance">
        <constructor-arg>
        <bean class="com.hazelcast.config.Config">
            <property name="clusterName" value="dev"/>
            <!-- and so on ... -->
            </bean>
        </bean>
    </bean>
</bean>
</bean>
</bean>
```

#### **Declaring Beans by hazelcast Namespace**

Hazelcast has its own namespace **hazelcast** for bean definitions. You can easily add the namespace declaration **xmlns:hz="http://www.hazelcast.com/schema/spring"** to the **beans** element in the context file so that **hz** namespace shortcut can be used as a bean declaration.

Here is an example schema definition:

```
<beans xmlns="http://www.springframework.org/schema/beans"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:hz="http://www.hazelcast.com/schema/spring"
xsi:schemaLocation="http://www.springframework.org/schema/beans
http://www.springframework.org/schema/beans/spring-beans-4.3.xsd
http://www.hazelcast.com/schema/spring
http://www.hazelcast.com/schema/spring/hazelcast-spring.xsd">
```

#### Supported Configurations with hazelcast Namespace

• Configuring Hazelcast Instance

```
<hz:hazelcast id="instance">
    <hz:config>
        <hz:cluster-name name="dev"/>
        <hz:network port="5701" port-auto-increment="false">
            <hz:join>
                <hz:multicast enabled="false"
                    multicast-group="224.2.2.3"
                    multicast-port="54327"/>
                <hz:tcp-ip enabled="true">
                    <hz:members>10.10.1.2, 10.10.1.3</hz:members>
                </hz:tcp-ip>
            </hz:join>
        </hz:network>
        <hz:map name="map"
            backup-count="2"
            read-backup-data="true"
            merge-policy="com.hazelcast.spi.merge.PassThroughMergePolicy">
            <hz:eviction eviction-policy="NONE" size="0"/>
        </hz:map>
    </hz:config>
</hz:hazelcast>
```

• Configuring Hazelcast Client

```
<hz:client id="client">
<hz:cluster-name name="${cluster.name}"/>
<hz:network connection-timeout="1000"
redo-operation="true"
smart-routing="true">
<hz:member>10.10.1.2:5701</hz:member>
<hz:member>10.10.1.3:5701</hz:member>
</hz:network>
</hz:client>
```

• Hazelcast Supported Type Configurations and Examples

- 。 map
- 。 multiMap
- 。 replicatedmap
- 。queue
- 。 topic
- 。 reliableTopic
- 。set
- 。list
- 。 executorService
- 。 durableExecutorService
- 。 scheduledExecutorService
- 。ringbuffer
- 。 cardinalityEstimator
- . idGenerator
- 。 flakeIdGenerator
- 。 atomicLong
- 。 atomicReference
- 。 semaphore
- countDownLatch
- 。 lock

```
<hz:map id="map" instance-ref="client" name="map" lazy-init="true" />
<hz:multiMap id="multiMap" instance-ref="instance" name="multiMap"
   lazy-init="false" />
<hz:replicatedMap id="replicatedmap" instance-ref="instance"
   name="replicatedmap" lazy-init="false" />
<hz:queue id="queue" instance-ref="client" name="queue"
   lazy-init="true" depends-on="instance"/>
<hz:topic id="topic" instance-ref="instance" name="topic"
   depends-on="instance, client"/>
<hz:reliableTopic id="reliableTopic" instance-ref="instance" name="
reliableTopic"/>
<hz:set id="set" instance-ref="instance" name="set" />
<hz:list id="list" instance-ref="instance" name="list"/>
<hz:executorService id="executorService" instance-ref="client"
    name="executorService"/>
<hz:durableExecutorService id="durableExec" instance-ref="instance" name=
"durableExec"/>
<hz:scheduledExecutorService id="scheduledExec" instance-ref="instance" name=
"scheduledExec"/>
<hz:ringbuffer id="ringbuffer" instance-ref="instance" name="ringbuffer"/>
<hz:cardinalityEstimator id="cardinalityEstimator" instance-ref="instance" name
="cardinalityEstimator"/>
<hz:idGenerator id="idGenerator" instance-ref="instance"
    name="idGenerator"/>
<hz:flakeIdGenerator id="flakeIdGenerator" instance-ref="instance"
   name="flakeIdGenerator"/>
<hz:atomicLong id="atomicLong" instance-ref="instance" name="atomicLong"/>
<hz:atomicReference id="atomicReference" instance-ref="instance"
    name="atomicReference"/>
<hz:semaphore id="semaphore" instance-ref="instance" name="semaphore"/>
<hz:countDownLatch id="countDownLatch" instance-ref="instance"
    name="countDownLatch"/>
<hz:lock id="lock" instance-ref="instance" name="lock"/>
```

#### • Supported Spring Bean Attributes

Hazelcast also supports lazy-init, scope and depends-on bean attributes.

```
<hz:hazelcast id="instance" lazy-init="true" scope="singleton">
...
</hz:hazelcast>
<hz:client id="client" scope="prototype" depends-on="instance">
...
</hz:client>
```

#### • Configuring MapStore and NearCache

For map-store, you should set either the **class-name** or the **implementation** attribute.

## 15.4.2. Enabling SpringAware Objects

You can mark Hazelcast Distributed Objects with @SpringAware if the object wants to apply:

- bean properties
- factory callbacks such as ApplicationContextAware, BeanNameAware
- bean post-processing annotations such as InitializingBean, @PostConstruct.

Hazelcast Distributed ExecutorService, or more generally any Hazelcast managed object, can benefit from these features. To enable SpringAware objects, you must first configure HazelcastInstance using hazelcast namespace as explained in Configuring Spring and add <hz:spring-aware /> tag.

#### **SpringAware Examples**

- Configure a Hazelcast Instance via Spring Configuration and define **someBean** as Spring Bean.
- Add <hz:spring-aware /> to Hazelcast configuration to enable @SpringAware.

```
<beans xmlns="http://www.springframework.org/schema/beans"</pre>
       xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
       xmlns:context="http://www.springframework.org/schema/context"
       xmlns:hz="http://www.hazelcast.com/schema/spring"
       xsi:schemaLocation="http://www.springframework.org/schema/beans
                http://www.springframework.org/schema/beans/spring-beans-3.0.xsd
                http://www.springframework.org/schema/context
                http://www.springframework.org/schema/context/spring-context-
3.0.xsd
                http://www.hazelcast.com/schema/spring
                http://www.hazelcast.com/schema/spring/hazelcast-spring.xsd">
    <context:component-scan base-package="..."/>
    <hz:hazelcast id="instance">
        <hz:config>
            <hz:spring-aware />
            <hz:cluster-name name="dev"/>
            <hz:network port="5701" port-auto-increment="false">
                <hz:join>
                    <hz:multicast enabled="false" />
                    <hz:tcp-ip enabled="true">
                        <hz:members>10.10.1.2, 10.10.1.3</hz:members>
                    </hz:tcp-ip>
                </hz:join>
            </hz:network>
            . . .
        </hz:config>
    </hz:hazelcast>
    <bean id="someBean" class="com.hazelcast.examples.spring.SomeBean"</pre>
      scope="singleton" />
    . . .
</beans>
```

#### **Distributed Map SpringAware Example:**

• Create a class called SomeValue which contains Spring Bean definitions like ApplicationContext and SomeBean.

```
@SpringAware
@Component("someValue")
@Scope("prototype")
public class SomeValue implements Serializable, ApplicationContextAware {
    private transient ApplicationContext context;
    private transient SomeBean someBean;
    private transient boolean init = false;
    public void setApplicationContext( ApplicationContext applicationContext )
        throws BeansException {
        context = applicationContext;
    }
    @Autowired
    public void setSomeBean( SomeBean someBean) {
        this.someBean = someBean;
    }
    @PostConstruct
    public void init() {
        someBean.doSomethingUseful();
        init = true;
    }
}
```

• Get SomeValue Object from Context and put it into Hazelcast Distributed Map on the first member.

```
HazelcastInstance hazelcastInstance =
    (HazelcastInstance) context.getBean( "instance" );
SomeValue value = (SomeValue) context.getBean( "someValue" );
IMap<String, SomeValue> map = hazelcastInstance.getMap( "values" );
map.put( "key", value );
```

• Read SomeValue Object from Hazelcast Distributed Map and assert that init method is called since it is annotated with @PostConstruct.

```
HazelcastInstance hazelcastInstance =
    (HazelcastInstance) context.getBean( "instance" );
IMap<String, SomeValue> map = hazelcastInstance.getMap( "values" );
SomeValue value = map.get( "key" );
Assert.assertTrue( value.init );
```

#### **ExecutorService SpringAware Example:**

• Create a Callable Class called SomeTask which contains Spring Bean definitions like

ApplicationContext, SomeBean.

```
@SpringAware
public class SomeTask
    implements Callable<Long>, ApplicationContextAware, Serializable {
    private transient ApplicationContext context;
    private transient SomeBean someBean;
    public Long call() throws Exception {
        return someBean.value;
    }
    public void setApplicationContext( ApplicationContext applicationContext )
        throws BeansException {
        context = applicationContext;
    }
    @Autowired
    public void setSomeBean( SomeBean someBean ) {
        this.someBean = someBean;
    }
}
```

• Submit SomeTask to two Hazelcast Members and assert that someBean is autowired.

```
HazelcastInstance hazelcastInstance =
    (HazelcastInstance) context.getBean( "instance" );
SomeBean bean = (SomeBean) context.getBean( "someBean" );
Future<Long> f = hazelcastInstance.getExecutorService("executorService")
    .submit(new SomeTask());
Assert.assertEquals(bean.value, f.get().longValue());
// choose a member
Member member = hazelcastInstance.getCluster().getMembers().iterator().next();
Future<Long> f2 = (Future<Long>) hazelcast.getExecutorService("executorService")
    .submitToMember(new SomeTask(), member);
Assert.assertEquals(bean.value, f2.get().longValue());
```



Spring managed properties/fields are marked as transient.

## 15.4.3. Adding Caching to Spring

**Code Sample**: See the sample application for Spring Cache.

As of version 3.1, Spring Framework provides support for adding caching into an existing Spring

application. Spring 3.2 and later versions support JCache compliant caching providers. You can also use JCache caching backed by Hazelcast if your Spring version supports JCache.

## **Declarative Spring Cache Configuration**

```
<cache:annotation-driven cache-manager="cacheManager" />
<hz:hazelcast id="instance">
...
</hz:hazelcast>
<bean id="cacheManager" class="com.hazelcast.spring.cache.HazelcastCacheManager">
<constructor-arg ref="instance"/>
</bean>
```

Hazelcast uses its Map implementation for underlying cache. You can configure a map with your cache's name if you want to set additional configuration such as ttl.

```
<cache:annotation-driven cache-manager="cacheManager" />
<hz:hazelcast id="instance">
<hz:config>
....
<hz:map name="city" time-to-live-seconds="0" in-memory-format="BINARY" />
</hz:config>
</hz:hazelcast>
<bean id="cacheManager" class="com.hazelcast.spring.cache.HazelcastCacheManager">
<bean id="cacheManager" class="com.hazelcast.spring.cache.HazelcastCacheManager">
<bean id="cacheManager" class="com.hazelcast.spring.cache.HazelcastCacheManager">
</bean>
```

```
public interface IDummyBean {
    @Cacheable("city")
    String getCity();
}
```

## **Defining Timeouts for Cache Read Operation**

You can define a timeout value for the get operations from your Spring cache. This may be useful for some cases, such as SLA requirements. Hazelcast provides a property to specify this timeout: hazelcast.spring.cache.prop. This can be specified as a Java property (using -D) or you can add this property to your Spring properties file (usually named as application.properties).

An example usage is given below:

```
hazelcast.spring.cache.prop=defaultReadTimeout=2,cache1=10,cache2=20
```

The argument defaultReadTimeout applies to all of your Spring caches. If you want to define different timeout values for some specific Spring caches, you can provide them as a comma separated list as shown in the above example usage. The values are in milliseconds. If you want to have no timeout for a cache, simply set it to 0 or a negative value.

### Declarative Hazelcast JCache Based Caching Configuration

```
<cache:annotation-driven cache-manager="cacheManager" />
<hz:hazelcast id="instance">
...
</hz:hazelcast>
<hz:cache-manager id="hazelcast]CacheCacheManager" instance-ref="instance" name=
"hazelcast]CacheCacheManager"/>
<bean id="cacheManager" class="org.springframework.cache.jcache.JCacheCacheManager">
<constructor-arg ref="hazelcast]CacheCacheManager" />
</bean
```

You can use JCache implementation in both member and client mode. A cache manager should be bound to an instance. Instance can be referenced by instance-ref attribute or provided by hazelcast.instance.name property which is passed to CacheManager. Instance should be specified using one of these methods.



Instance name provided in properties overrides instance-ref attribute.

You can specify an URI for each cache manager with uri attribute.

```
<hz:cache-manager id="cacheManager2" name="cacheManager2" uri="testURI">
<hz:properties>
<hz:property name="hazelcast.instance.name">named-spring-hz-
instance</hz:property>
<hz:property name="testProperty">testValue</hz:property>
</hz:properties>
</hz:cache-manager>
```

## Annotation-Based Spring Cache Configuration

Annotation-Based Configuration does not require any XML definition. To perform Annotation-Based Configuration:

• Implement a CachingConfiguration class with related Annotations.

```
@Configuration
@EnableCaching
public class CachingConfiguration extends CachingConfigurerSupport {
    @Bean
    public CacheManager cacheManager() {
        ClientConfig config = new ClientConfig();
        HazelcastInstance client = HazelcastClient.newHazelcastClient(config);
        return new com.hazelcast.spring.cache.HazelcastCacheManager(client);
    }
    @Bean
    public KeyGenerator keyGenerator() {
        return null;
    }
}
```

• Launch Application Context and register CachingConfiguration.

```
AnnotationConfigApplicationContext context = new
AnnotationConfigApplicationContext();
context.register(CachingConfiguration.class);
context.refresh();
```

For more information about Spring Cache, see Spring Cache Abstraction.

## 15.4.4. Configuring Hibernate Second Level Cache

**Code Sample**: See the sample application for Hibernate 2nd Level Cache configuration.

If you are using Hibernate with Hazelcast as a second level cache provider, you can easily configure your LocalSessionFactoryBean to use a Hazelcast instance by passing Hazelcast instance name. That way, you can use the same HazelcastInstance as Hibernate L2 cache instance.

```
. . .
<bean id="sessionFactory"</pre>
       class="org.springframework.orm.hibernate3.LocalSessionFactoryBean"
       scope="singleton">
     <property name="dataSource" ref="dataSource"/>
     <property name="hibernateProperties"></property name="hibernateProperties">
          <props>
               . . .
               <prop key="hibernate.cache.region.factory class"</pre>
>com.hazelcast.hibernate.HazelcastLocalCacheRegionFactory</prop>
               <prop key="hibernate.cache.hazelcast.instance_name"></prop key="hibernate.cache.hazelcast.instance_name">
${hz.instance.name}</prop>
          </props>
     </property>
     . . .
</bean>
```

#### Hibernate RegionFactory Classes

- com.hazelcast.hibernate.HazelcastLocalCacheRegionFactory
- . com.hazelcast.hibernate.HazelcastCacheRegionFactory

See the Configuring RegionFactory section in the Hazelcast Hibernate GitHub repository for more information.

## 15.4.5. Configuring Hazelcast Transaction Manager

**Code Sample**: See the sample application for Hazelcast Transaction Manager in our code samples repository.

You can get rid of the boilerplate code to begin, commit or rollback transactions by using HazelcastTransactionManager which is a PlatformTransactionManager implementation to be used with Spring Transaction API.

#### **Example Configuration for Hazelcast Transaction Manager**

You need to register HazelcastTransactionManager as your transaction manager implementation and also you need to register ManagedTransactionalTaskContext to access transactional data structures within your service class.

```
<hz:hazelcast id="instance">
</hz:hazelcast>
. . .
<tx:annotation-driven transaction-manager="transactionManager"/>
<bean id="transactionManager" class=</pre>
"com.hazelcast.spring.transaction.HazelcastTransactionManager">
    <constructor-arg ref="instance"/>
</bean>
<bean id="transactionalContext" class=</pre>
"com.hazelcast.spring.transaction.ManagedTransactionalTaskContext">
    <constructor-arg ref="transactionManager"/>
</bean>
<bean id="YOUR_SERVICE" class="YOUR_SERVICE_CLASS">
    <property name="transactionalTaskContext" ref="transactionalContext"/>
</bean>
. . .
```

## **Example Transactional Method**

```
public class ServiceWithTransactionalMethod {
    private TransactionalTaskContext transactionalTaskContext;
    @Transactional
    public void transactionalPut(String key, String value) {
        transactionalTaskContext.getMap("testMap").put(key, value);
    }
    ....
}
```

After marking your method as Transactional either declaratively or by annotation and accessing the data structure through the TransactionalTaskContext, HazelcastTransactionManager begins, commits or rollbacks the transaction for you.

## **15.4.6. Best Practices**

Spring tries to create a new Map/Collection instance and fill the new instance by iterating and converting values of the original Map/Collection (IMap, IQueue, etc.) to required types when generic type parameters of the original Map/Collection and the target property/attribute do not match.

Since Hazelcast Maps/Collections are designed to hold very large data which a single machine cannot carry, iterating through whole values can cause out of memory errors.

To avoid this issue, the target property/attribute can be declared as un-typed Map/Collection as shown below.

```
public class SomeBean {
    @Autowired
    IMap map; // instead of IMap<K, V> map
    @Autowired
    IQueue queue; // instead of IQueue<E> queue
    ...
}
```

Or, parameters of injection methods (constructor, setter) can be un-typed as shown below.

```
public class SomeBean {
    IMap<K, V> map;
    IQueue<E> queue;
    // Instead of IMap<K, V> map
    public SomeBean(IMap map) {
        this.map = map;
    }
    ...
    // Instead of IQueue<E> queue
    public void setQueue(IQueue queue) {
        this.queue = queue;
    }
    ....
}
```



See Spring issue-3407 for more information.

# 16. Storage

This chapter describes Hazelcast's High-Density Memory Store and Hot Restart Persistence features along with their configurations and gives recommendations on the storage sizing.

## 16.1. High-Density Memory Store

## Hazelcast IMDG Enterprise HD

By default, data structures in Hazelcast store data on heap in serialized form for highest data compaction; yet, these data structures are still subject to Java Garbage Collection (GC). Modern hardware has much more available memory. If you want to make use of that hardware and scale up by specifying higher heap sizes, GC becomes an increasing problem: the application faces long GC pauses that make the application unresponsive. Also, you may get out of memory errors if you

fill your whole heap. Garbage collection, which is the automatic process that manages the application's runtime memory, often forces you into configurations where multiple JVMs with small heaps (sizes of 2-4GB per member) run on a single physical hardware device to avoid garbage collection pauses. This results in oversized clusters to hold the data and leads to performance level requirements.

In **Hazelcast IMDG Enterprise HD**, the High-Density Memory Store is Hazelcast's new enterprise in-memory storage solution. It solves garbage collection limitations so that applications can exploit hardware memory more efficiently without the need of oversized clusters. High-Density Memory Store is designed as a pluggable memory manager which enables multiple memory stores for different data structures. These memory stores are all accessible by a common access layer that scales up to massive amounts of the main memory on a single JVM by minimizing the GC pressure. High-Density Memory Store enables predictable application scaling and boosts performance and latency while minimizing garbage collection pauses.

This foundation includes, but is not limited to, storing keys and values next to the heap in a native memory region.

High-Density Memory Store is currently provided for the following Hazelcast features and implementations:

- Map
- JCache Implementation
- Near Cache
- Hot Restart Persistence
- Java Client, when using the Near Cache for client
- Web Session Replications
- Hibernate 2nd Level Caching
- Paging and Partition Predicates

## 16.1.1. Configuring High-Density Memory Store

To use the High-Density memory storage, the native memory usage must be enabled using the programmatic or declarative configuration. Also, you can configure its size, memory allocator type, minimum block size, page size and metadata space percentage.

The following are the configuration element descriptions:

- size: Size of the total native memory to allocate in megabytes. Its default value is 512 MB.
- **allocator type**: Type of the memory allocator. Available values are as follows:
  - **STANDARD**: This option is used internally by Hazelcast's POOLED allocator type or for debugging/testing purposes.
    - With this option, the memory is allocated or deallocated using your operating system's default memory manager.
    - It uses GNU C Library's standard malloc() and free() methods which are subject to

contention on multithreaded/multicore systems.

- Memory operations may become slower when you perform a lot of small allocations and deallocations.
- It may cause large memory fragmentations, unless you use a method in the background that emphasizes fragmentation avoidance, such as jemalloc(). Note that a large memory fragmentation can trigger the Linux Out of Memory Killer if there is no swap space enabled in your system. Even if the swap space is enabled, the killer can be again triggered if there is not enough swap space left.
- If you still want to use the operating system's default memory management, you can set the allocator type to STANDARD in your native memory configuration.
- **POOLED**: This is the default option, Hazelcast's own pooling memory allocator.
  - With this option, memory blocks are managed using internal memory pools.
  - It allocates memory blocks, each of which has a 4MB page size by default, and splits them into chunks or merges them to create larger chunks when required. Sizing of these chunks follows the buddy memory allocation algorithm, i.e., power-of-two sizing.
  - It never frees memory blocks back to the operating system. It marks disposed memory blocks as available to be used later, meaning that these blocks are reusable.
  - Memory allocation and deallocation operations (except the ones requiring larger sizes than the page size) do not interact with the operating system mostly.
  - For memory allocation, it tries to find the requested memory size inside the internal memory pools. If it cannot be found, then it interacts with the operating system.
- **minimum block size:** Minimum size of the blocks in bytes to split and fragment a page block to assign to an allocation request. It is used only by the **POOLED** memory allocator. Its default value is **16 bytes**.
- **page size:** Size of the page in bytes to allocate memory as a block. It is used only by the **POOLED** memory allocator. Its default value is 1 << 22 = **4194304 Bytes**, about **4 MB**.
- metadata space percentage: Defines the percentage of the allocated native memory that is used for internal memory structures by the High-Density Memory for tracking the used and available memory blocks. It is used only by the POOLED memory allocator. Its default value is 12.5. Please note that when the memory runs out, you get a NativeOutOfMemoryException; if your store has a large number of entries, you should consider increasing this percentage.
- persistent-memory-directory: See the Using Persistent Memory section below.

The following is the programmatic configuration example.

```
MemorySize memorySize = new MemorySize(512, MemoryUnit.MEGABYTES);
NativeMemoryConfig nativeMemoryConfig =
    new NativeMemoryConfig()
        .setAllocatorType(NativeMemoryConfig.MemoryAllocatorType.POOLED)
        .setSize(memorySize)
        .setEnabled(true)
        .setMinBlockSize(16)
        .setPageSize(1 << 20);</pre>
```

The following is the declarative configuration example.

```
<hazelcast>
....
<native-memory allocator-type="POOLED" enabled="true">
<size unit="MEGABYTES" value="512"/>
<min-block-size>16</min-block-size>
<spage-size>4194304</page-size>
<metadata-space-percentage>12.5</metadata-space-percentage>
<spersistent-memory-directory>/mnt/persmemory/data</persistent-memory-
directory>
</native-memory>
....
</hazelcast>
```



You can check whether there is enough free physical memory for the requested number of bytes using the system property hazelcast.hidensity.check.freememory. See the System Properties appendix on how to use Hazelcast system properties.

## 16.1.2. Using Persistent Memory

To support larger and more affordable storage for data structures like IMap, ICache and Near Cache, Hazelcast provides integration with persistent memory technologies like Intel® Optane<sup>™</sup> DC. To benefit from the technology you do not need to make any changes in your application code. Only a few configuration changes are required.

The optional persistent-memory-directory element under the native-memory configuration block defines where the persistent memory is mounted. This option enables usage of the persistent memory on the cluster member so that all data structures backed by High-Density Memory Store use the specified mounting directory to store data. If the persistent-memory-directory element is not configured, standard RAM is used.

The following snippets demonstrate how to configure the persistent memory as High-Density Memory Store in Hazelcast. The directory /mnt/optane/data is used as an example for Intel® Optane<sup>™</sup> DC.

## **Declarative Configuration:**

```
<hazelcast>
...
.native-memory allocator-type="POOLED" enabled="true">
.size unit="GIGABYTES" value="100" />
.ersistent-memory-directory>/mnt/optane/data</persistent-memory-directory>
...
...
</hazelcast>
```

### **Programmatic Configuration:**



Note that integration with Intel® Optane<sup>™</sup> DC is supported on Linux operating system and it is for Optane DIMMs (not SSDs).

```
0
```

To achieve the best performance using Intel® Optane<sup>™</sup> DC persistent memory, we recommend to use it for IMap, ICache and Near Cache data structures with relatively small values up to 1 KB. In this case, the performance is similar to that of standard RAM.

## **16.2. Sizing Practices**

Data in Hazelcast is both active data and backup data for high availability, so the total memory footprint is the size of active data plus the size of backup data. If you use a single backup, it means the total memory footprint is two times the active data (active data + backup data). If you use, for example, two backups, then the total memory footprint is three times the active data (active data + backup data + backup data).

If you use only heap memory, each Hazelcast member with a 4 GB heap should accommodate a maximum of 3.5 GB of total data (active and backup). If you use the High-Density Memory Store, up to 75% of the configured physical memory footprint may be used for active and backup data, with headroom of 25% for normal memory fragmentation. In both cases, however, you should also keep some memory headroom available to handle any member failure or explicit member shutdown. When a member leaves the cluster, the data previously owned by the newly offline member is distributed among the remaining members. For this reason, we recommend that you plan to use only 60% of available memory, with 40% headroom to handle member failure or shutdown.

## 16.3. Hot Restart Persistence

## Hazelcast IMDG Enterprise HD

This chapter explains Hazelcast's Hot Restart Persistence feature. It provides fast cluster restarts by storing the states of the cluster members on the disk. This feature is currently provided for the Hazelcast map data structure and Hazelcast JCache implementation.

## **16.3.1. Hot Restart Persistence Overview**

Hot Restart Persistence enables you to get your cluster up and running swiftly after a cluster restart. A restart can be caused by a planned shutdown (including rolling upgrades) or a sudden cluster-wide crash, e.g., power outage. For Hot Restart Persistence, required states for Hazelcast clusters and members are introduced. See the Managing Cluster and Member States section for information on the cluster and member states. The purpose of the Hot Restart Persistence is to provide a maintenance window for member operations and restart the cluster in a fast way. It is not meant to recover the catastrophic shutdown of one member.

Hot Restart Persistence supports optional data encryption. See the Encryption at Rest section for more information.

## 16.3.2. Hot Restart Types

The Hot Restart feature is supported for the following restart types:

## • Restart after a planned shutdown:

• The cluster is shut down completely and restarted with the exact same previous setup and data.

You can shut down the cluster completely using the HazelcastInstance.getCluster().shutdown() method or you can manually change the cluster state to PASSIVE and then shut down each member one by one. When you send the command to shut the cluster down, i.e., HazelcastInstance.getCluster().shutdown(), the members that are not in the PASSIVE state temporarily change their states to PASSIVE. Then, each member shuts itself down by calling the method HazelcastInstance.shutdown().

Difference between explicitly changing state to PASSIVE before shutdown and shutting down cluster directly via HazelcastInstance.getCluster().shutdown() is, on the latter case when cluster is restarted, the cluster state will be in the latest state before shutdown. That means if cluster is ACTIVE before shutdown, cluster state automatically becomes ACTIVE after restart is completed.

• Rolling restart: The cluster is restarted intentionally member by member. For example, this could be done to install an operating system patch or new hardware.

To be able to shut down the cluster member by member as part of a planned restart, each member in the cluster should be in the FROZEN or PASSIVE state. After the cluster state is changed to FROZEN or PASSIVE, you can manually shut down each member by calling the method HazelcastInstance.shutdown(). When that member is restarted, it rejoins the running

cluster. After all members are restarted, the cluster state can be changed back to ACTIVE.

• **Restart after a cluster crash**: The cluster is restarted after all its members crashed at the same time due to a power outage, networking interruptions, etc.

## 16.3.3. Restart Process

During the restart process, each member waits to load data until all the members in the partition table are started. During this process, no operations are allowed. Once all cluster members are started, Hazelcast changes the cluster state to PASSIVE and starts to load data. When all data is loaded, Hazelcast changes the cluster state to its previous known state before shutdown and starts to accept the operations which are allowed by the restored cluster state.

If a member fails to either start, join the cluster in time (within the timeout), or load its data, then that member is terminated immediately. After the problems causing the failure are fixed, that member can be restarted. If the cluster start cannot be completed in time, then all members fail to start. See the Configuring Hot Restart section for defining timeouts.

In the case of a restart after a cluster crash, the Hot Restart feature realizes that it was not a clean shutdown and Hazelcast tries to restart the cluster with the last saved data following the process explained above. In some cases, specifically when the cluster crashes while it has an ongoing partition migration process, currently it is not possible to restore the last saved state.

#### Restart of a Member in Running Cluster

Assume the following:

- You have a cluster consisting of members A, B and C with Hot Restart enabled, which is initially stable.
- Member B is killed.
- Member B restarts.

Since only a single member has failed, the cluster performed the standard High Availability routine by recovering member B's data from backups and redistributing the data among the remaining members (the members A and C in this case). Member B's persisted Hot Restart data is completely irrelevant.

Furthermore, when a member starts with existing Hot Restart data, it expects to find itself within a cluster that has been shut down as a whole and is now restarting as a whole. Since the reality is that the cluster has been running all along, member B's persisted cluster state does not match the actual state. Depending on the automatic removal of stale data (auto-remove-stale-data) configuration:

- If auto-remove-stale-data is enabled, member B automatically deletes its Hot Restart directory inside the base directory (base-dir) and starts as a fresh, empty member. The cluster assigns some partitions to it, unrelated to the partitions it owned before going down.
- Otherwise, member B aborts the initialization and shuts down. To be able to join the cluster, Hot Restart directory previously used by member B inside the base directory (base-dir) must be deleted manually.

## 16.3.4. Force Start

A member can crash permanently and then be unable to recover from the failure. In that case, restart process cannot be completed since some of the members do not start or fail to load their own data. In that case, you can force the cluster to clean its persisted data and make a fresh start. This process is called **force start**.

Assume the following which is a valid scenario to use force start:

- You have a cluster consisting of members A and B which is initially stable.
- Cluster transitions into FROZEN or PASSIVE state.
- Cluster gracefully shuts down.
- Member A restarts, but member B does not.
- Member A uses its Hot Restart data to initiate the Hot Restart procedure.
- Since it knows the cluster originally contained member B as well, it waits for it to join.
- This never happens.
- Now you have the choice to Force Start the cluster without member B.
- Cluster discards all Hot Restart data and starts empty.

You can trigger the force start process using the Management Center, REST API and cluster management scripts.

Please note that force start is a destructive process, which results in deletion of persisted Hot Restart data.

See the Hot Restart functionality of the Management Center section to learn how you can perform a force start using the Management Center.

## 16.3.5. Partial Start

When one or more members fail to start or have incorrect Hot Restart data (stale or corrupted data) or fail to load their Hot Restart data, cluster becomes incomplete and restart mechanism cannot proceed. One solution is to use Force Start and make a fresh start with existing members. Another solution is to do a partial start.

Partial start means that the cluster starts with an incomplete member set. Data belonging to those missing members is assumed lost and Hazelcast tries to recover missing data using the restored backups. For example, if you have minimum two backups configured for all maps and caches, then a partial start up to two missing members will be safe against data loss. If there are more than two missing members or there are maps/caches with less than two backups, then data loss is expected.

Partial start is controlled by cluster-data-recovery-policy configuration parameter and is not allowed by default. To enable partial start, one of the configuration values PARTIAL\_RECOVERY\_MOST\_RECENT or PARTIAL\_RECOVERY\_MOST\_COMPLETE should be set. See the Configuring Hot Restart section for details.

When partial start is enabled, Hazelcast can perform a partial start automatically or manually, in

case of some members are unable to restart successfully. Partial start proceeds automatically when some members fail to start and join to the cluster in validation-timeout-seconds. After the validation-timeout-seconds duration is passed, Hot Restart chooses to perform partial start with the members present in the cluster. Moreover, partial start can be requested manually using the Management Center, REST API and cluster management scripts before the validation-timeoutseconds duration passes.

The other situation to decide to perform a partial start is failures during the data load phase. When Hazelcast learns data load result of all members which have passed the validation step, it automatically performs a partial start with the ones which have successfully restored their Hot Restart data. Please note that partial start does not expect every member to succeed in the data load step. It completes the process when it learns data load result for every member and there is at least one member which has successfully restored its Hot Restart data. Relatedly, if it cannot learn data load result of all members before data-load-timeout-seconds duration, it proceeds with the ones which have already completed the data load process.

Selection of members to perform partial start among live members is done according to the cluster-data-recovery-policy configuration. Set of members which are not selected by the cluster-data-recovery-policy are called Excluded members and they are instructed to perform force start. Excluded members are allowed to join cluster only when they clean their Hot Restart data and make a fresh-new start. This is a completely automatic process. For instance, if you start the missing members after partial start is completed, they clean their Hot Restart data and join to the cluster.

Please note that partial start is a destructive process. Once it is completed, it cannot be repeated with a new configuration. For this reason, one may need to perform the partial start process manually. Automatic behavior of partial start relies on validation-timeout-seconds and data-load-timeout-seconds configuration values. If you need to control the process manually, validation-timeout-seconds and data-load-timeout-seconds properties can be set to very big values so that Hazelcast cannot make progress on timeouts automatically. Then, the overall process can be managed manually via aforementioned methods, i.e., Management Center, REST API and cluster management scripts.

## 16.3.6. Configuring Hot Restart

You can configure Hot Restart feature programmatically or declaratively. There are two steps of configuration:

- 1. Enabling and configuring the Hot Restart feature globally in your Hazelcast configuration: This is done using the configuration element hot-restart-persistence. See the Global Hot Restart Configuration section below.
- 2. Enabling and configuring the Hazelcast data structures to use the Hot Restart feature: This is done using the configuration element hot-restart. See the Per Data Structure Hot Restart Configuration section below.

## **Global Hot Restart Configuration**

This is where you configure the Hot Restart feature itself using the hot-restart-persistence element. The following are the descriptions of its attribute and sub-elements:

- enabled: Attribute of the hot-restart-persistence element which specifies whether the feature is globally enabled in your Hazelcast configuration. Set this attribute to true if you want any of your data structures to use the Hot Restart feature.
- base-dir: Specifies the parent directory where the Hot Restart data is stored. The default value for base-dir is hot-restart. You can use the default value, or you can specify the value of another folder containing the Hot Restart configuration, but it is mandatory that base-dir element has a value. This directory is created automatically if it does not exist.

base-dir is used as the parent directory, and a unique Hot Restart directory is created inside base-dir for each Hazelcast member which uses the same base-dir. That means, base-dir can be shared among multiple Hazelcast members safely. This is especially useful for cloud environments where the members generally use a shared filesystem.

When a Hazelcast member starts, it tries to acquire the ownership of the first available Hot Restart directory inside the base-dir. If base-dir is empty or if the starting member fails to acquire the ownership of any directory (happens when all the directories are already acquired by other Hazelcast members), then it creates its own fresh directory.



Previously, base-dir was being used only by a single Hazelcast member. If such an existing base-dir is configured for a Hazelcast member, Hot Restart starts in legacy mode and base-dir is used only by a single member, without creating a unique sub-directory. Other members trying to use that base-dir fails during the startup.

- backup-dir: Specifies the directory under which Hot Restart snapshots (Hot Backups) are stored. See the Hot Backup section for more information.
- parallelism: Level of parallelism in Hot Restart Persistence. There are this many I/O threads, each writing in parallel to its own files. During the Hot Restart procedure, this many I/O threads are reading the files and this many rebuilder threads are rebuilding the Hot Restart metadata. The default value for this property is 1. This is a good default in most but not all cases. You should measure the raw I/O throughput of your infrastructure and test with different values of parallelism. In some cases such as dedicated hardware higher parallelism can yield more throughput of Hot Restart. In other cases such as running on EC2, it can yield diminishing returns more thread scheduling, more contention on I/O and less efficient garbage collection.
- validation-timeout-seconds: Validation timeout for the Hot Restart process when validating the cluster members expected to join and the partition table on the whole cluster.
- data-load-timeout-seconds: Data load timeout for the Hot Restart process. All members in the cluster should finish restoring their local data before this timeout.
- **cluster-data-recovery-policy**: Specifies the data recovery policy that is respected during the Hot Restart cluster start. Valid values are;
  - FULL\_RECOVERY\_ONLY: Starts the cluster only when all expected members are present and correct. Otherwise, it fails. This is the default value.
  - PARTIAL\_RECOVERY\_MOST\_RECENT: Starts the cluster with the members which have most up-todate partition table and successfully restored their data. All other members leave the cluster and force start themselves. If no member restores its data successfully, cluster start fails.

- PARTIAL\_RECOVERY\_MOST\_COMPLETE: Starts the cluster with the largest group of members which have the same partition table version and successfully restored their data. All other members leave the cluster and force start themselves. If no member restores its data successfully, cluster start fails.
- auto-remove-stale-data: Enables automatic removal of the stale Hot Restart data. When a member terminates or crashes when the cluster state is ACTIVE, the remaining members redistribute the data among themselves and the data persisted on terminated member's storage becomes stale. That terminated member cannot rejoin the cluster without removing Hot Restart data. When auto-removal of stale Hot Restart data is enabled, while restarting that member, Hot Restart data is automatically removed and it joins the cluster as a completely new member. Otherwise, Hot Restart data should be removed manually.
- encryption-at-rest: Configures encryption on the Hot Restart data level. See the Encryption at Rest section for more information.

#### Per Data Structure Hot Restart Configuration

This is where you configure the data structures of your choice, so that they can have the Hot Restart feature. This is done using the hot-restart configuration element. As it is explained in the introduction paragraph, Hot Restart feature is currently supported by Hazelcast map data structure and JCache implementation (map and cache), each of which has the hot-restart configuration element. The following are the descriptions of this element's attribute and sub-element:

- enabled: Attribute of the hot-restart element which specifies whether the Hot Restart feature is enabled for the related data structure. Its default value is false.
- fsync: Turning on fsync guarantees that data is persisted to the disk device when a write operation returns successful response to the caller. By default, fsync is turned off (false). That means data is persisted to the disk device eventually, instead of on every disk write. This generally provides a better performance.

#### **Hot Restart Configuration Examples**

The following are example configurations for a Hazelcast map and JCache implementation.

#### **Declarative Configuration**:

An example configuration is shown below.

```
<hazelcast>
    . . .
    <hot-restart-persistence enabled="true">
        <base-dir>/mnt/hot-restart</base-dir>
        <parallelism>1</parallelism>
        <validation-timeout-seconds>120</validation-timeout-seconds>
        <data-load-timeout-seconds>900</data-load-timeout-seconds>
        <cluster-data-recovery-policy>FULL_RECOVERY_ONLY</cluster-data-recovery-
policy>
        <auto-remove-stale-data>true</auto-remove-stale-data>
    </hot-restart-persistence>
    . . .
    <map name="test-map">
        <hot-restart enabled="true">
            <fsync>false</fsync>
        </hot-restart>
    </map>
    . . .
    <cache name="test-cache">
        <hot-restart enabled="true">
            <fsync>false</fsync>
        </hot-restart>
    </cache>
    . . .
</hazelcast>
```

## **Programmatic Configuration**:

The programmatic equivalent of the above declarative configuration is shown below.

```
Config config = new Config();
HotRestartPersistenceConfig hotRestartPersistenceConfig = new
HotRestartPersistenceConfig()
.setEnabled(true)
.setBaseDir(new File("/mnt/hot-restart"))
.setParallelism(1)
.setValidationTimeoutSeconds(120)
.setUaidationTimeoutSeconds(900)
.setClusterDataRecoveryPolicy(HotRestartClusterDataRecoveryPolicy.FULL_RECOVERY_ONLY)
.setAutoRemoveStaleData(true);
config.setHotRestartPersistenceConfig(hotRestartPersistenceConfig);
MapConfig mapConfig = config.getMapConfig("test-map");
mapConfig.getHotRestartConfig().setEnabled(true);
CacheSimpleConfig cacheConfig = config.getCacheConfig("test-cache");
cacheConfig.getHotRestartConfig().setEnabled(true);
```

#### **Configuring Hot Restart Store on Intel® Optane™ DC Persistent Memory**

Hazelcast can be configured to use Intel® Optane<sup>™</sup> DC Persistent Memory as the Hot Restart directory. For this, you need to perform the following steps:

- 1. Configure the Persistent Memory as a File System
- 2. Configure the Hot Restart Store to Use Persistent Memory

Using Persistent Memory, Hot Restart times can be drastically improved. You can find the configuration steps in the Hot Restart Store section of the Hazelcast IMDG Operations and Deployment Guide.

## 16.3.7. Moving/Copying Hot Restart Data

After Hazelcast member owning the Hot Restart data is shutdown, Hot Restart base-dir can be copied/moved to a different server (which may have different IP address and/or different number of CPU cores) and Hazelcast member can be restarted using the existing Hot Restart data on that new server. Having a new IP address does not affect Hot Restart, since it does not rely on the IP address of the server but instead uses Member UUID as a unique identifier.

This flexibility provides the following abilities:

- Replacing one or more faulty servers with the new ones easily without touching remaining cluster.
- Using Hot Restart on the cloud environments easily. Sometimes cloud providers do not preserve the IP addresses on restart or after shutdown. Also it is possible to startup the whole cluster on a different set of machines.
- Copying production data to the test environment, so that a more functional test cluster can bet setup.

Unfortunately having different number of CPU cores is not that straightforward. Hazelcast partition threads, by default, uses a heuristic from the number of cores, e.g., # of partition threads = # of CPU cores. When a Hazelcast member is started on a server with a different CPU core count, number of Hazelcast partition threads changes and that makes Hot Restart fail during the startup. Solution is explicitly set number of Hazelcast partition threads to (hazelcast.operation.thread.count system property) and Hot Restart parallelism configuration and use the same parameters on the new server. For setting system properties see the System Properties appendix.

## 16.3.8. Hot Restart Persistence Design Details

Hazelcast's Hot Restart Persistence uses the log-structured storage approach. The following is a toplevel design description:

- The only kind of update operation on persistent data is appending.
- What is appended are facts about events that happened to the data model represented by the store; either a new value was assigned to a key or a key was removed.
- Each record associated with a key makes stale the previous record that was associated with that

key.

- Stale records contribute to the amount of *garbage* present in the persistent storage.
- Measures are taken to remove garbage from the storage.

This kind of design focuses almost all of the system's complexity into the garbage collection (GC) process, stripping down the client's operation to the bare necessity of guaranteeing persistent behavior: a simple file append operation. Consequently, the latency of operations is close to the theoretical minimum in almost all cases. Complications arise only during prolonged periods of maximum load; this is where the details of the GC process begin to matter.

## 16.3.9. Concurrent, Incremental, Generational GC

In order to maintain the lowest possible footprint in the update operation latency, the following properties are built into the garbage collection process:

- A dedicated thread performs the GC. In Hazelcast terms, this thread is called the Collector and the application thread is called the Mutator.
- On each update there is metadata to be maintained; this is done asynchronously by the Collector thread. The Mutator enqueues update events to the Collector's work queue.
- The Collector keeps draining its work queue at all times, including the time it goes through the GC cycle. Updates are taken into account at each stage in the GC cycle, preventing the copying of already dead records into compacted files.
- All GC-induced I/O competes for the same resources as the Mutator's update operations. Therefore, measures are taken to minimize the impact of I/O done during GC:
  - data is never read from files, but from RAM
  - a heuristic scheme is employed which minimizes the number of bytes written to the disk for each kilobyte of the reclaimed garbage
  - measures are also taken to achieve a good interleaving of Collector and Mutator operations, minimizing latency outliers perceived by the Mutator

## I/O Minimization Scheme

The success of this scheme is subject to a bet on the Weak Generational Garbage Hypothesis, which states that a new record entering the system is likely to become garbage soon. In other words, a key updated now is more likely than average to be updated again soon.

The scheme was taken from the seminal Sprite LFS paper, Rosenblum, Ousterhout, *The Design and Implementation of a Log-Structured File System*. The following is an outline of the paper:

- Data is not written to one huge file, but to many files of moderate size (8 MB) called "chunks".
- Garbage is collected incrementally, i.e. by choosing several chunks, then copying all their live data to new chunks, then deleting the old ones.
- I/O is minimized using a collection technique which results in a bimodal distribution of chunks with respect to their garbage content: most files are either almost all live data or they are all garbage.

- The technique consists of two main principles:
  - Chunks are selected based on their *Cost-Benefit factor* (see below).
  - Records are sorted by age before copying to new chunks.

#### **Cost-Benefit Factor**

The Cost-Benefit factor of a chunk consists of two components multiplied together:

- 1. The ratio of benefit (amount of garbage that can be collected) to I/O cost (amount of live data to be written).
- 2. The age of the data in the chunk, measured as the age of the youngest record it contains.

The essence is in the second component: given equal amount of garbage in all chunks, it makes the young ones less attractive to the Collector. Assuming the generational garbage hypothesis, this allows the young chunks to quickly accumulate more garbage. On the flip side, it also ensures that even files with little garbage are eventually garbage collected. This removes garbage which would otherwise linger on, thinly spread across many chunk files.

Sorting records by age groups the young records together in a single chunk and does the same for older records. Therefore the chunks are either tend to keep their data live for a longer time, or quickly become full of garbage.

## 16.3.10. Hot Restart Performance Considerations

In this section you can find performance test summaries which are results of benchmark tests performed with a single Hazelcast member running on a physical server and on AWS R3.

## Performance on a Physical Server

We have tested a member which has an IMap with High-Density Data Store. Its data size is changed for each test, started from 10 GB to 500 GB (each map entry has a value of 1 KB).

The tests investigate the write and read performance of Hot Restart Persistence and are performed on HP ProLiant servers with RHEL 7 operating system using Hazelcast Simulator.

The following are the specifications of the server hardware used for the test:

- CPU: 2x Intel® Xeon® CPU E5-2687W v3 @ 3.10GHz with 10 cores per processor. Total 20 cores, 40 with hyper threading enabled.
- Memory: 768GB 2133 MHz memory 24x HP 32GB 4Rx4 PC4-2133P-L Kit

The following are the storage media used for the test:

- A hot-pluggable 2.5 inch HDD with 1 TB capacity and 10K RPM.
- An SSD, Light Endurance PCle Workload Accelerator.

The below table shows the test results.

Reading						Writing		
Storage Drives	Data Size	Operation Threads	Restart time	Read Throughput	fsync	User threads	Write Throughput	
SSD	500 GB	8	404 sec	1.24 GB/s	off	16	220,000 ops/sec	
SSD	300 GB	8	245 sec	1.22 GB/s	off	16	240,000 ops/sec	
SSD	100 GB	40	78 sec	1.28 GB/s	off	40	310,000 ops/sec	
SSD	100 GB	8	76 sec	1.22 GB/s	off	16	310,000 ops/sec	
SSD	10 GB	8	7 sec	~1.3 GB/s	off	16	305,000 ops/sec	
SSD	10 GB	1	43 sec	0.23 GB/s	off	1	45,000 ops/sec	
HDD	10 GB	8	207 sec	0.05 GB/s	off	16	36,700 ops/sec	
HDD	10 GB	4	182 sec	0.05 GB/s	off	4	35,000 ops/sec	
HDD	10 GB	1	130 sec	0.08 GB/s	off	1	41,800 ops/sec	

#### Performance on AWS R3

We have tested a member which has an IMap with High-Density Data Store:

- This map has 40 million distinct keys, each map entry is 1 KB.
- High-Density Memory Store is 59 GiB whose 19% is metadata.
- Hot Restart is configured with fsync turned off.
- Data size reloaded on restart is 38 GB.

The tests investigate the write and read performance of Hot Restart Persistence and are performed on R3.2xlarge and R3.4xlarge EC2 instances using Hazelcast Simulator.

The following are the AWS storage types used for the test:

- Elastic Block Storage (EBS) General Purpose SSD (GP2)
- Elastic Block Storage with Provisioned IOPS (IO1) (Provisioned 10,000 IOPS on a 340 GiB volume, enabled EBS-optimized on instance)
- SSD-backed instance store

The below table shows the test results.

Setup		F	Reading	Writing						
Storage Type	op/user threads	Restart time	Effective read throughput	Throughput	Latency by pctile 3-4-5-6-7 nines					
R3.2xlarge										
EBS gp2 1 TB (3,000 IOPS)	4/4	360 sec	96 MB/s	45,000 ops/sec						
EBS Provisioned 10,000 IOPS	4/4	340 sec	107 MB/s	50,000 ops/sec	3-14-150-600-825 ms					
Instance store	4/4	182 sec	210 MB/s	50,000 ops/sec	3-15-240-400-800 ms					
Instance store	4/10	184 sec	207 MB/s	65,000 ops/sec	-					
Instance store	8/20	180 sec	210 MB/s	62,000 ops/sec	12-175-370-600-825 ms					
None (HR off)	4/10	-	-	153,000 ops/sec						
None (HR off)	8/20	-	-	180,000 ops/sec						
			R3.4xlarge							
EBS Provisioned 10,000 IOPS	4/4	160 sec	220 MB/s	66,000 ops/sec	0.2-8-47-120-420 ms					
EBS Provisioned 10,000 IOPS	8/8	150 sec	230 MB/s	80,000 ops/sec	5-15-80-280-443 ms					
Instance store	8/8	95 sec	420 MB/s	80,000 ops/sec	4.5-17-79-200-375 ms					
Instance store	8/20	94 sec	420 MB/s	113,000 ops/sec	-					
None (HR off)	4/4	-		91,100 ops/sec	-					
None (HR off)	8/20			237,000 ops/sec	-					

## 16.3.11. Hot Backup

During Hot Restart operations you can take a snapshot of the Hot Restart Store at a certain point in time. This is useful when you wish to bring up a new cluster with the same data or parts of the data. The new cluster can then be used to share load with the original cluster, to perform testing, QA or reproduce an issue on production data.

Simple file copying of a currently running cluster does not suffice and can produce inconsistent snapshots with problems such as resurrection of deleted values or missing values.

#### **Configuring Hot Backup**

To create snapshots you must first configure the Hot Restart backup directory. You can configure the directory programmatically or declaratively using the following configuration element:

• backup-dir: This element is included in the hot-restart-persistence and denotes the destination under which backups are stored. If this element is not defined, hot backup is disabled. If a directory is defined which does not exist, it is created on the first backup. To avoid clashing data on multiple backups, each backup has a unique sequence ID which determines the name of the directory which contains all Hot Restart data. This unique directory is created as a subdirectory of the configured backup-dir.

The following are the example configurations for Hot backup.

#### **Declarative Configuration**:

An example configuration is shown below.

```
<hazelcast>
...
<hot-restart-persistence enabled="true">
<box{backup-dir>/mnt/hot-backup</backup-dir>
...
</hot-restart-persistence>
...
</hazelcast>
```

## **Programmatic Configuration**:

The programmatic equivalent of the above declarative configuration is shown below.

```
HotRestartPersistenceConfig hotRestartPersistenceConfig = new
HotRestartPersistenceConfig();
hotRestartPersistenceConfig.setBackupDir(new File("/mnt/hot-backup"));
...
config.setHotRestartPersistenceConfig(hotRestartPersistenceConfig);
```

## **Using Hot Backup**

Once configured, you can initiate a new backup via API or from the Management Center. The backup is started transactionally and cluster-wide. This means that either all or none of the members start the same backup. The member which receives the backup request determines a new backup sequence ID and send that information to all members. If all members respond that no other backup is currently in progress and that no other backup request has already been made, then the coordinating member commands the other members to start the actual backup process. This creates a directory under the configured backup-dir with the name backup-<backupSeq> and start copying the data from the original store.

The backup process is initiated nearly instantaneously on all members. Note that since there is no limitation as to when the backup process is initiated, it may be initiated during membership changes, partition table changes or during normal data update. Some of these operations may not be completed fully yet, which means that some members will backup some data while some members will backup a previous version of the same data. This is usually solved by the anti-entropy mechanism on the new cluster which reconciles different versions of the same data. Please check the Achieving High Consistency of Backup Data section for more information.

The duration of the backup process and the disk data usage drastically depends on what is supported by the system and the configuration. Please check the Achieving high performance of backup process section for more information on achieving better resource usage of the backup process.

Following is an example of how to trigger the Hot Backup via API:

```
HotRestartService service = instance.getCluster().getHotRestartService();
service.backup();
```

The backupSeq is generated by the hot backup process, but you can define your own backup sequences as shown below:

```
HotRestartService service = instance.getCluster().getHotRestartService();
long backupSeq = ...
service.backup(backupSeq);
```

Keep in mind that the backup fails if any member contains a backup directory with the name backup-<backupSeq>, where backupSeq is the given sequence.

#### Starting the Cluster From a Hot Backup

As mentioned in the previous section, hot backup process creates subdirectories named backup-<backupSeq> under the configured hot backup directory (i.e., backup-dir). When starting your cluster with data from a hot backup, you need to set the base directory (i.e., base-dir) to the desired backup subdirectory.

Let's say you have configured your hot backup directory as follows:

```
<hazelcast>
...
<hot-restart-persistence enabled="true">
...
<backup-dir>/mnt/hot-backup</backup-dir>
...
</hot-restart-persistence>
...
</hazelcast>
```

And let's say you have a subdirectory named <a href="backup-20180ct24">backup-20180ct24</a> under the backup directory /mnt/hot-backup. When you want to start your cluster with data from this backup (backup-20180ct24), here is the configuration you should have for the base-dir while starting the cluster:

```
<hazelcast>
...
<hot-restart-persistence enabled="true">
<base-dir>backup-20180ct24</base-dir>
<base-dir>backup-20180ct24</base-dir>
<parallelism>1</parallelism>
</hot-restart-persistence>
...
<map name="test-map">
<box foutherestart-persistence>
...
</map name="test-map">
<box foutherestart enabled="true">
<box foutherestart enabled="true"<br/>
<box foutherestart enabled="true"<br/>
<box foutherestart enabled="true"<br/>
<box fouth
```

#### Achieving High Consistency of Backup Data

The backup is initiated nearly simultaneously on all members but you can encounter some inconsistencies in the data. This is because some members might have and some might not have received updated values yet from executed operations, because the system could be undergoing partition and membership changes or because there are some transactions which have not yet been committed.

To achieve a high consistency of data on all members, the cluster should be put to PASSIVE state for the duration of the call to the backup method. See the Cluster Member States section on information on how to do this. The cluster does not need to be in PASSIVE state for the entire duration of the backup process, though. Because of the design, only partition metadata is copied synchronously during the invocation of the backup method. Once the backup method has returned, all cluster metadata is copied and the exact partition data which needs to be copied is marked. After that, the backup process continues asynchronously and you can return the cluster to the ACTIVE state and resume operations.

#### Achieving High Performance of Backup Process

Because of the design of Hot Restart Store, we can use hard links to achieve backups/snapshots of the store. The hot backup process uses hard links whenever possible because they provide big performance benefits and because the backups share disk usage.

The performance benefit comes from the fact that Hot Restart file contents are not being duplicated (thus using disk and I/O resources) but rather a new file name is created for the same contents on disk (another pointer to the same inode). Since all backups and stores share the same inode, disk usage drops.

The bigger the percentage of stable data in the Hot Restart Store (data not undergoing changes), the more files each backup shares with the operational Hot Restart Store and the less disk space it uses. For the hot backup to use hard links, you must be running Hazelcast members on JDK 7 or higher and must satisfy all requirements for the Files.createLink() method to be supported.

The backup process initially attempts to create a new hard link and if that fails for any reason it

continues by copying the data. Subsequent backups also attempt to use hard links.

### **Backup Process Progress and Completion**

Only cluster and distributed object metadata is copied synchronously during the invocation of the backup method. The rest of the Hot Restart Store containing partition data is copied asynchronously after the method call has ended. You can track the progress by API or view it from the Management Center.

An example of how to track the progress via API is shown below:

```
HotRestartService service = instance.getCluster().getHotRestartService();
BackupTaskStatus status = service.getBackupTaskStatus();
...
```

The returned object contains the local member's backup status:

- the backup state (NOT\_STARTED, IN\_PROGRESS, FAILURE, SUCCESS)
- the completed count
- the total count

The completed and total count can provide you a way to track the percentage of the copied data. Currently the count defines the number of copied and total local member Hot Restart Stores (defined by HotRestartPersistenceConfig.setParallelism()) but this can change at a later point to provide greater resolution.

Besides tracking the Hot Restart status by API, you can view the status in the Management Center and you can inspect the on-disk files for each member. Each member creates an inprogress file which is created in each of the copied Hot Restart Stores. This means that the backup is currently in progress. When the backup task completes the backup operation, this file is removed. If an error occurs during the backup task, the inprogress file is renamed to failure which contains a stack trace of the exception.

## Backup Task Interruption and Cancellation

Once the backup method call has returned and asynchronous copying of the partition data has started, the backup task can be interrupted. This is helpful in situations where the backup task has started at an inconvenient time. For instance, the backup task could be automatized and it could be accidentally triggered during high load on the Hazelcast instances, causing the performance of the Hazelcast instances to drop.

The backup task mainly uses disk IO, consumes little CPU and it generally does not last for a long time (although you should test it with your environment to determine the exact impact). Nevertheless, you can abort the backup tasks on all members via a cluster-wide interrupt operation. This operation can be triggered programmatically or from the Management Center.

An example of programmatic interruption is shown below:

```
HotRestartService service = instance.getCluster().getHotRestartService();
service.interruptBackupTask();
```

This method sends an interrupt to all members. The interrupt is ignored if the backup task is currently not in progress so you can safely call this method even though it has previously been called or when some members have already completed their local backup tasks.

You can also interrupt the local member backup task as shown below:

```
HotRestartService service = instance.getCluster().getHotRestartService();
service.interruptLocalBackupTask();
...
```

The backup task stops as soon as possible and it does not remove the disk contents of the backup directory meaning that you must remove it manually.

## 16.3.12. Encryption at Rest

Records stored in the Hot Restart Store may contain sensitive information. This sensitive information may be present in the keys, in the values, or in both. In Hot Restart terms, Encryption at Rest concerns with encryption on the chunk file level. Since complete chunk files are encrypted, all data stored in the Hot Restart Store is protected when Encryption at Rest is enabled.

Data persisted in the Hot Restart Store is encrypted using symmetric encryption. The implementation is based on Java Cryptography Architecture (JCA). The encryption scheme uses two levels of encryption keys: auto-generated Hot Restart Store-level encryption keys (one per configured parallelism) that are used to encrypt the chunk files and a master encryption key that is used to encrypt the store-specific encryption keys. The master encryption key is sourced from an external system called Secure Store and, in contrast to the Hot Restart Store-level encryption keys, it is not persisted anywhere within the Hot Restart Store.

When Hot Restart with Encryption at Rest is first enabled on a member, the member contacts the Secure Store during the startup and retrieves the master encryption key. Then it generates the Hot Restart Store-level encryption keys for the parallel Stores and stores them (encrypted using the master key) under the Hot Restart Store's directory. The subsequent writes to Hot Restart chunk files will be encrypted using the Store-level encryption key. During Hot Restart, the member retrieves the master encryption key from the Secure Store, decrypts the Store-level encryption keys and uses those to decrypt the chunk files.

Master key rotation is supported. If the master encryption key changes in the Secure Store, the Hot Restart subsystem will detect it and retrieve the new master encryption key. During this process, it will also re-encrypt the Hot Restart Store-level encryption keys using the new master encryption key.

The Configuring a Secure Store section provides information about the supported Secure Store types.

#### **Configuring Encryption at Rest**

Encryption at Rest can be enabled and configured programmatically or declaratively using the encryption-at-rest sub-element of hot-restart-persistence. The encryption-at-rest element has the following attributes and sub-elements:

- enabled: Attribute that specifies whether Encryption at Rest is enabled; false by default.
- algorithm: Specifies the symmetric cipher to use (such as AES/CBC/PKCS5Padding).
- salt: The encryption salt.
- key-size: The size of the auto-generated Hot Restart Store-level encryption key.
- secure-store: Specifies the Secure Store to use for the retrieval of master encryption keys. See the Configuring a Secure Store section for more details.

The following are the example configurations for Encryption at Rest.

#### **Declarative Configuration**:

An example configuration is shown below.

```
<hazelcast>
....
<hot-restart-persistence enabled="true">
....
<encryption-at-rest enabled="true">
....
<algorithm>AEC/CBC/PKCS5Padding</algorithm>
....
<algorithm>AEC/CBC/PKCS5Padding</algorithm>
....
<salt>thesalt</salt>
....
<key-size>128</key-size>
....
</hot-restart-persistence>
....
</hot-restart-persistence>
....
</hot-restart-persistence>
....
</hot-restart-persistence>
....
</house>
```

#### **Programmatic Configuration**:

The programmatic equivalent of the above declarative configuration is shown below.

```
HotRestartPersistenceConfig hotRestartPersistenceConfig = new
HotRestartPersistenceConfig();
EncryptionAtRestConfig encryptionAtRestConfig =
    hotRestartPersistenceConfig.getEncryptionAtRestConfig();
encryptionAtRestConfig.setEnabled(true)
    .setAlgorithm("AES/CBC/PKCS5Padding")
    .setSalt("thesalt")
    .setKeySize(128)
    .setSecureStoreConfig(secureStore());
```

#### **Configuring a Secure Store**

A Secure Store represents a (secure) source of master encryption keys and is required for using Encryption at Rest.

Hazelcast IMDG Enterprise provides Secure Store implementations for the Java KeyStore and for HashiCorp Vault.

#### Java KeyStore Secure Store

The Java KeyStore Secure Store provides integration with the Java KeyStore. It can be configured programmatically or declaratively using the keystore sub-element of secure-store. The keystore element has the following sub-elements:

- path: The path to the KeyStore file.
- type: The type of the KeyStore (PKCS12, JCEKS, etc.).
- password: The KeyStore password.
- current-key-alias: The alias for the current encryption key entry (optional).
- polling-interval: The polling interval (in seconds) for checking for changes in the KeyStore. Disabled by default.



Sensitive configuration properties such as password should be protected using encryption replacers.

The Java KeyStore Secure treats all KeyStore.SecretKeyEntry entries stored in the KeyStore as encryption keys. It expects that these entries use the same protection password as the KeyStore itself. Entries of other types (private key entries, certificate entries) are ignored. If current-keyalias is set, the corresponding entry will be treated as the current encryption key; otherwise, the highest entry in the alphabetical order will be used. The remaining entries will represent historical versions of the encryption key.

An example XML configuration is shown below:

```
<secure-store>
        <keystore>
            <path>/path/to/keystore.file</path>
            <type>PKCS12</type>
            <password>password</password>
            <current-key-alias>current</current-key-alias>
            <polling-interval>60</polling-interval>
            </keystore>
        </secure-store>
```

The following is an equivalent programmatic configuration:

```
JavaKeyStoreSecureStoreConfig keyStoreConfig =
    new JavaKeyStoreSecureStoreConfig(new File("/path/to/keystore.file"))
        .setType("PKCS12")
        .setPassword("password")
        .setCurrentKeyAlias("current")
        .setPollingInterval(60);
```

#### HashiCorp Vault Secure Store

The HashiCorp Vault Secure Store provides integration with HashiCorp Vault. It can be configured programmatically or declaratively using the vault sub-element of secure-store. The vault element has the following sub-elements:

- address: The address of the Vault server.
- secret-path: The secret path under which the encryption keys are stored.
- token: The Vault authentication token.
- polling-interval: The polling interval (in seconds) for checking for changes in Vault. Disabled by default.
- ssl: The TLS/SSL configuration for HTTPS support. See the TLS/SSL section for more information about how to use the ssl element.



Sensitive configuration properties such as token should be protected using encryption replacers.

The HashiCorp Vault Secure Store implementation uses the official REST API to integrate with HashiCorp Vault. Only for the KV secrets engine, both KV V1 and KV V2 can be used, but since only V2 provides secrets versioning, this is the recommended option. With KV V1 (no versioning support), only one version of the encryption key can be kept, whereas with KV V2, the HashiCorp Vault Secure Store is able to retrieve also the historical encryption keys. (Note that the size of the version history is configurable on the Vault side.) Having access to the previous encryption keys may be critical to avoid scenarios where the Hot Restart data becomes undecryptable because the master encryption key is no longer usable (for instance, when the original master encryption key got rotated out in the Secure Store while the cluster was down).

The encryption key is expected to be stored at the specified secret path and represented as a single key/value pair in the following format:

```
name=Base64-encoded-data
```

where name can be an arbitrary string. Multiple key/value pairs under the same secret path are not supported. Here is an example of how such a key/value pair can be stored using the HashiCorp Vault command-line client (under the secret path hz/cluster):

vault kv put hz/cluster value=HEz0124Vz...

With KV V2, a second put to the same secret path creates a new version of the encryption key. With KV V1, it simply overwrites the current encryption key, discarding the old value.

An example XML configuration is shown below:

```
<secure-store>
    <vault>
        <address>http://localhost:1234</address>
        <secret-path>secret/path</secret-path>
        <token>token</token>
        <polling-interval>60</polling-interval>
        <ssl>...</ssl>
        </vault>
</secure-store>
```

The following is an equivalent programmatic configuration:

# 17. Database CDC Integration using Striim Hot Cache

## Hazelcast IMDG Enterprise

Change Data Capture (CDC) refers to the technology for identifying and capturing changes made to a data source. These changes can then be applied to another data repository or made available in a format supported by data integration tools.

Striim is a real-time data integration and streaming analytics software platform. It uses CDC (Change Data Capture) mechanism to detect changes performed on a data source.

Hazelcast Striim Hot Cache, the integration solution of Hazelcast and Striim, enables real-time, push-based propagation of changes from the database to the cache. The following sections describe this integration.

## 17.1. Introduction

Through CDC, Striim is able to recognize which tables and key values have changed. It immediately captures these changes with their table and key, and pushes the changes into a cache. Supported databases are Oracle, My SQL and Microsoft SQL Server.

When it comes to Hazelcast, you can get the changes in a database and put them into your Hazelcast IMDG member using a "writer" developed by Striim, i.e., Hazelcast Writer. This writer

creates a Hazelcast client once you start Striim, to connect to your IMDG member.

## **17.2. Supported Versions**

This integration only works with Hazelcast IMDG **3.x versions**. Support for 4.x will be added in the near future.

## 17.3. Logging

You can enable logging to see the status of the Hazelcast client created by the Hazelcast Writer. For this, you need to add the following line to the server.sh file on the machine where Striim is running:

-Dhazelcast.logging.type=log4j

The server.sh file is typically located at the /opt/striim/bin directory.

You can also set the logging level by adding the following line to the log4j.server.properties file:

log4j.logger.com.hazelcast=debug

The log4j.server.properties file is typically located at the /opt/striim/conf directory.

In the above example line, the logging level is set as DEBUG. The following lists all the available levels:

- TRACE
- DEBUG
- INFO
- WARN
- ERROR
- OFF

The logs are written into the striim.server.log which is typically located at the /opt/striim/logs directory.

The above settings are for the Hazelcast Client created by the writer. You can also change the logging level dynamically for Hazelcast Writer. Follow the below instructions for this:

- 1. Open the Striim console using the console.sh command. See here for the usage of this command.
- 2. While in the console, run the following command:

set loglevel = {com.webaction.proc.HazelcastWriter\_1\_0:debug};

## **17.4. Full Worked Example Application**

We have created a full example application with step-by-step instructions which guides you through using Striim to load data from an Oracle database using the Striim Hazelcast Writer. We recommend you start here before applying this to your own application.

## **17.5. Further Resources**

You can refer to here for more information on Hazelcast Writer.

Download a fully loaded evaluation copy of Striim for Hazelcast Hot Cache.

# **18. Hazelcast Clients**

This chapter provides information about Hazelcast's client and language implementations, which are listed below:

- Java
- C++
- .NET
- Memcache
- REST
- Node.js
- Go
- Python
- Scala

## Feature Comparison for Hazelcast Clients:

See the feature comparison matrix to learn about the features implemented across the clients and language APIs.

## **Code Samples:**

In the following client sections, you will find links to each client's code samples.

## 18.1. Java Client

The Java client is the most full featured Hazelcast native client. It is offered both with Hazelcast IMDG and Hazelcast IMDG Enterprise. The main idea behind the Java client is to provide the same Hazelcast functionality by proxying each operation through a Hazelcast member. It can access and change distributed data and it can listen to distributed events of an already established Hazelcast cluster from another Java application.

Hundreds or even thousands of clients can be connected to the cluster. By default, there are core

**count** \* 20 threads on the server side that handle all the requests, e.g., if the server has 4 cores, there will be 80 threads.

Imagine a trading application where all the trading data are stored and managed in a Hazelcast cluster with tens of members. Swing/Web applications at the traders' desktops can use clients to access and modify the data in the Hazelcast cluster.

## 18.1.1. Getting Started with Java Client



You do not need to set a license key for your Java clients for which you want to use Hazelcast IMDG Enterprise features. Hazelcast IMDG Enterprise license keys are required only for members.

Simply include the hazelcast.jar dependency in your classpath to start using the Hazelcast Java client. Once included, you can start using this client as if you are using the Hazelcast API. The differences are discussed in the below sections.

If you prefer to use Maven, simply add the hazelcast dependency to your pom.xml, which you may already have done to start using Hazelcast IMDG:

```
<dependency>
<groupId>com.hazelcast</groupId>
<artifactId>hazelcast</artifactId>
<version>4.0.3</version>
</dependency>
```

You can find Hazelcast Java client's code samples here.

#### **Client API**

The first step is the configuration. You can configure the Java client declaratively or programmatically. We use the programmatic approach for this section, as shown below.

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.setClusterName("dev");
clientConfig.getNetworkConfig().addAddress("10.90.0.1", "10.90.0.2:5702");
```

See the Configuring Java Client section for more information.

The second step is initializing the HazelcastInstance to be connected to the cluster.

HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);

#### This client interface is your gateway to access all Hazelcast distributed objects.

Let's create a map and populate it with some data.

```
IMap<String, Customer> mapCustomers = client.getMap("customers"); //creates the map
proxy
```

```
mapCustomers.put("1", new Customer("Joe", "Smith"));
mapCustomers.put("2", new Customer("Ali", "Selam"));
mapCustomers.put("3", new Customer("Avi", "Noyan"));
```

As the final step, if and when you are done with your client, you can shut it down as shown below:

client.shutdown();

The above code line releases all the used resources and closes connections to the cluster.

## Java Client Operation Modes

The client has two operation modes because of the distributed nature of the data and cluster.

**Smart Client**: In the smart mode, the clients connect to each cluster member. Since each data partition uses the well known and consistent hashing algorithm, each client can send an operation to the relevant cluster member, which increases the overall throughput and efficiency. Smart mode is the default mode.

**Unisocket Client**: For some cases, the clients can be required to connect to a single member instead of to each member in the cluster. Firewalls, security, or some custom networking issues can be the reason for these cases.

In the unisocket client mode, the clients only connect to one of the configured addresses. This single member behaves as a gateway to the other members. For any operation requested from the client, it redirects the request to the relevant member and returns the response back to the client returned from that member.

# Handling Failures

There are two main failure cases and configurations you can perform to achieve proper behavior.

# Handling Client Connection Failure:

While the client is trying to connect initially to one of the members in the ClientNetworkConfig.addressList, all the members might be not available. Instead of giving up, throwing an exception and stopping the client, the client retries to connect as configured which is described in the Configuring Client Connection Retry section.

The client executes each operation through the already established connection to the cluster. If this connection(s) disconnects or drops, the client tries to reconnect as configured.

# Handling Retry-able Operation Failure:

While sending the requests to related members, operations can fail due to various reasons. Readonly operations are retried by default. If you want to enable retry for the other operations, you can set the redoOperation to true. See the Enabling Redo Operation section.

You can set a timeout for retrying the operations sent to a member. This can be provided by using the property hazelcast.client.invocation.timeout.seconds in ClientProperties. The client retries an operation within this given period, of course, if it is a read-only operation or you enabled the redoOperation as stated in the above paragraph. This timeout value is important when there is a failure resulted by either of the following causes:

- Member throws an exception.
- Connection between the client and member is closed.
- Client's heartbeat requests are timed out.

See the Client System Properties section for the description of the hazelcast.client.invocation.timeout.seconds property.

When any failure happens between a client and member (such as an exception on the member side or connection issues), an operation is retried if:

- it is certain that it has not run on the member yet
- or if it is idempotent such as a read-only operation, i.e., retrying does not have a side effect.

If it is not certain whether the operation has run on the member, then the non-idempotent operations are not retried. However, as explained in the first paragraph of this section, you can force all client operations to be retried (redoOperation) when there is a failure between the client and member. But in this case, you should know that some operations may run multiple times causing conflicts. For example, assume that your client sent a queue.offer operation to the member and then the connection is lost. Since there will be no respond for this operation, you will not know whether it has run on the member or not. If you enabled redoOperation, that queue.offer operation may rerun and this causes the same objects to be offered twice in the member's queue.

## **Using Supported Distributed Data Structures**

Most of the Distributed Data Structures are supported by the Java client. When you use clients in other languages, you should check for the exceptions.

As a general rule, you configure these data structures on the server side and access them through a proxy on the client side.

## Using Map with Java Client

You can use any Distributed Map object with the client, as shown below.

```
Imap<Integer, String> map = client.getMap("myMap");
map.put(1, "John");
String value= map.get(1);
map.remove(1);
```

Locality is ambiguous for the client, so addLocalEntryListener and localKeySet are not supported. See the Distributed Map section for more information.

## Using MultiMap with Java Client

A MultiMap usage example is shown below.

```
MultiMap<Integer, String> multiMap = client.getMultiMap("myMultiMap");
```

```
multiMap.put(1,"John");
multiMap.put(1,"Mary");
```

```
Collection<String> values = multiMap.get(1);
```

addLocalEntryListener, localKeySet and getLocalMultiMapStats are not supported because locality is ambiguous for the client. See the Distributed MultiMap section for more information.

## Using Queue with Java Client

An example usage is shown below.

```
IQueue<String> myQueue = client.getQueue("theQueue");
myQueue.offer("John")
```

getLocalQueueStats is not supported because locality is ambiguous for the client. See the Distributed Queue section for more information.

## Using Topic with Java Client

getLocalTopicStats is not supported because locality is ambiguous for the client.

## Using Other Supported Distributed Structures

The distributed data structures listed below are also supported by the client. Since their logic is the same in both the member side and client side, you can see their sections as listed below.

- Replicated Map
- List
- Set
- IAtomicLong
- IAtomicReference
- ICountDownLatch
- ISemaphore
- FlakeIdGenerator
- Lock

## **Using Client Services**

Hazelcast provides the services discussed below for some common functionalities on the client side.

#### Using Distributed Executor Service

The distributed executor service is for distributed computing. It can be used to execute tasks on the cluster on a designated partition or on all the partitions. It can also be used to process entries. See the Distributed Executor Service section for more information.

IExecutorService executorService = client.getExecutorService("default");

After getting an instance of IExecutorService, you can use the instance as the interface with the one provided on the server side. See the Distributed Computing chapter for detailed usage.



This service is only supported by the Java client.

#### Listening to Client Connection

If you need to track clients and you want to listen to their connection events, you can use the clientConnected and clientDisconnected methods of the ClientService class. This class must be run on the **member** side. The following is an example code.

```
ClientConfig clientConfig = new ClientConfig();
//clientConfig.setClusterName("dev");
clientConfig.getNetworkConfig().addAddress("10.90.0.1", "10.90.0.2:5702");
HazelcastInstance instance = Hazelcast.newHazelcastInstance();
final ClientService clientService = instance.getClientService();
clientService.addClientListener(new ClientListener() {
    @Override
    public void clientConnected(Client client) {
        //Handle client connected event
    }
    @Override
    public void clientDisconnected(Client client) {
        //Handle client disconnected event
    }
});
//this will trigger `clientConnected` event
HazelcastInstance client = HazelcastClient.newHazelcastClient();
final Collection<Client> connectedClients = clientService.getConnectedClients();
//this will trigger `clientDisconnected` event
client.shutdown();
```

#### Finding the Partition of a Key

You use partition service to find the partition of a key. It returns all partitions. See the example code below.

```
PartitionService partitionService = client.getPartitionService();
```

```
//partition of a key
Partition partition = partitionService.getPartition(key);
```

```
//all partitions
Set<Partition> partitions = partitionService.getPartitions();
```

#### Handling Lifecycle

Lifecycle handling performs:

- checking if the client is running
- shutting down the client gracefully
- terminating the client ungracefully (forced shutdown)

• adding/removing lifecycle listeners.

LifecycleService = client.getLifecycleService();

```
if(lifecycleService.isRunning()){
    //it is running
}
//shutdown client gracefully
lifecycleService.shutdown();
```

## **Defining Client Labels**

You can define labels in your Java client, similar to the way it can be done for the members. Through the client labels, you can assign special roles for your clients and use these roles to perform some actions specific to those client connections.

You can also group your clients using the client labels. These client groups can be blacklisted in the Hazelcast Management Center so that they can be prevented from connecting to a cluster. See the related section in the Hazelcast Management Center Reference Manual for more information on this topic.

Declaratively, you can define the client labels using the client-labels configuration element. See the below example.

```
<hazelcast-client>
....
<instance-name>barClient</instance-name>
<client-labels>
<label>user</label>
<label>bar</label>
</client-labels>
.....
</hazelcast-client>
```

The equivalent programmatic approach is shown below.

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.setInstanceName("ExampleClientName");
clientConfig.addLabel("user");
clientConfig.addLabel("bar");
HazelcastClient.newHazelcastClient(clientConfig);
```

See the code sample for the client labels to see them in action.

### **Client Listeners**

You can configure listeners to listen to various event types on the client side. You can configure global events not relating to any distributed object through Client ListenerConfig. You should configure distributed object listeners like map entry listeners or list item listeners through their proxies. See the related sections under each distributed data structure in this Reference Manual.

## **Client Transactions**

Transactional distributed objects are supported on the client side. See the Transactions chapter on how to use them.

## Async Start and Reconnect Modes

Java client can be configured to connect to a cluster in an async manner during the client start and reconnecting after a cluster disconnect. Both of these options are configured via ClientConnectionStrategyConfig.

Async client start is configured by setting the configuration element async-start to true. This configuration changes the behavior of HazelcastClient.newHazelcastClient() call. It returns a client instance without waiting to establish a cluster connection. Until the client connects to cluster, it throws HazelcastClientOfflineException on any network dependent operations hence they won't block. If you want to check or wait the client to complete its cluster connection, you can use the built-in lifecycle listener:

```
ClientStateListener clientStateListener = new ClientStateListener(clientConfig);
HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);
//Client started but may not be connected to cluster yet.
//check connection status
clientStateListener.isConnected();
//blocks until client completes connect to cluster
if (clientStateListener.awaitConnected()) {
    //connected successfully
} else {
    //client failed to connect to cluster
}
```

The Java client can also be configured to specify how it reconnects after a cluster disconnection. The following are the options:

- A client can reject to reconnect to the cluster and trigger the client shutdown process.
- Client can open a connection to the cluster by blocking all waiting invocations.
- Client can open a connection to the cluster without blocking the waiting invocations. All invocations receive HazelcastClientOfflineException during the establishment of cluster connection. If cluster connection is failed to connect, then client shutdown is triggered.

See the Java Client Connection Strategy section to learn how to configure these.

# 18.1.2. Configuring Java Client

You can configure Hazelcast Java Client declaratively (XML), programmatically (API), or using client system properties.

For declarative configuration, the Hazelcast client looks at the following places for the client configuration file:

- **System property**: The client first checks if hazelcast.client.config system property is set to a file path, e.g., -Dhazelcast.client.config=C:/myhazelcast.xml.
- **Classpath**: If config file is not set as a system property, the client checks the classpath for hazelcast-client.xml file.

If the client does not find any configuration file, it starts with the default configuration (hazelcastclient-default.xml) located in the hazelcast.jar library. Before configuring the client, please try to work with the default configuration to see if it works for you. The default should be just fine for most users. If not, then consider custom configuration for your environment.

If you want to specify your own configuration file to create a Config object, the Hazelcast client supports the following:

- Config cfg = new XmlClientConfigBuilder(xmlFileName).build();
- Config cfg = new XmlClientConfigBuilder(inputStream).build();

For programmatic configuration of the Hazelcast Java Client, just instantiate a ClientConfig object and configure the desired aspects. An example is shown below:

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.setClusterName("dev").setClusterPassword("dev-pass");
clientConfig.setLoadBalancer(yourLoadBalancer);
```

## **Client Network**

All network related configuration of Hazelcast Java Client is performed via the network element in the declarative configuration file, or in the class ClientNetworkConfig when using programmatic configuration. Let's first give the examples for these two approaches. Then we will look at its sub-elements and attributes.

## **Declarative Configuration:**

Here is an example declarative configuration of network for Java Client, which includes all the parent configuration elements.

```
<hazelcast-client>
...
<network>
<cluster-members>
```

```
<address>127.0.0.1</address>
    <address>127.0.0.2</address>
</cluster-members>
<outbound-ports>
    <ports>34600</ports>
    <ports>34700-34710</ports>
</outbound-ports>
<smart-routing>true</smart-routing>
<redo-operation>true</redo-operation>
<connection-timeout>60000</connection-timeout>
<socket-options>
    . . .
</socket-options>
<socket-interceptor enabled="true">
    . . .
</socket-interceptor>
<ssl enabled="false">
    . . .
</ssl>
<aws enabled="true" connection-timeout-seconds="11">
    . . .
</aws>
<gcp enabled="false">
    . . .
</gcp>
<azure enabled="false">
    . . .
</azure>
<kubernetes enabled="false">
    . . .
</kubernetes>
<eureka enabled="false">
    . . .
</eureka>
<icmp-ping enabled="false">
    . . .
</icmp-ping>
<hazelcast-cloud enabled="false">
    <discovery-token>EXAMPLE_TOKEN</discovery-token>
</hazelcast-cloud>
<discovery-strategies>
    <node-filter class="DummyFilterClass" />
    <discovery-strategy class="DummyDiscoveryStrategy1" enabled="true">
        <properties>
            <property name="key-string">foo</property></property>
            <property name="key-int">123</property></property>
            <property name="key-boolean">true</property></property>
        </properties>
    </discovery-strategy>
</discovery-strategies>
```

```
</network>
...
</hazelcast-client>
```

## **Programmatic Configuration:**

Here is an example of configuring network for Java Client programmatically.

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.getConnectionStrategyConfig().getConnectionRetryConfig().setMaxBackoffMil
lis(5000);
ClientNetworkConfig networkConfig = clientConfig.getNetworkConfig();
networkConfig.addAddress("10.1.1.21", "10.1.1.22:5703")
        .setSmartRouting(true)
        .addOutboundPortDefinition("34700-34710")
        .setRedoOperation(true)
        .setConnectionTimeout(5000);
AwsConfig clientAwsConfig = new AwsConfig();
clientAwsConfig.setProperty("access-key", "my-access-key")
        .setProperty("secret-key", "my-secret-key")
        .setProperty("region", "us-west-1")
        .setProperty("host-header", "ec2.amazonaws.com")
        .setProperty("security-group-name", ">hazelcast-sg")
        .setProperty("tag-key", "type")
        .setProperty("tag-value", "hz-members")
        .setProperty("iam-role", "s3access")
        .setEnabled(true);
clientConfig.getNetworkConfig().setAwsConfig(clientAwsConfig);
HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);
```

#### **Configuring Backup Acknowledgment**

When an operation with sync backup is sent by a client to the Hazelcast member(s), the acknowledgment of the operation's backup is sent to the client by the backup replica member(s). This improves the performance of the client operations.

By default, backup acknowledgement to the client is enabled for smart clients (unisocket clients do not support it).

Here is an example of configuring the backup acknowledgement for Java Client declaratively.

```
<hazelcast-client ... >
<backup-ack-to-client-enabled>false</backup-ack-to-client-enabled>
</hazelcast-client>
```

And here is its equivalent programmatical configuration.

You can also fine tune this feature using the following system properties:

- hazelcast.client.operation.backup.timeout.millis: If an operation has backups, this property specifies how long (in milliseconds) the invocation waits for acks from the backup replicas. If acks are not received from some of the backups, there will not be any rollback on the other successful replicas. Its default value is 5000 milliseconds.
- hazelcast.client.operation.fail.on.indeterminate.state: When it is true, if an operation has sync backups and acks are not received from backup replicas in time, or the member which owns primary replica of the target partition leaves the cluster, then the invocation fails. However, even if the invocation fails, there will not be any rollback on other successful replicas. It is default value is false.

#### **Configuring Address List**

Address List is the initial list of cluster addresses to which the client will connect. The client uses this list to find an alive member. Although it may be enough to give only one address of a member in the cluster (since all members communicate with each other), it is recommended that you give the addresses for all the members.

Declarative Configuration:

```
<hazelcast-client>
....
<network>
<cluster-members>
<address>10.1.1.21</address>
<address>10.1.1.22:5703</address>
</cluster-members>
</network>
....
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig clientConfig = new ClientConfig();
ClientNetworkConfig networkConfig = clientConfig.getNetworkConfig();
networkConfig.addAddress("10.1.1.21", "10.1.1.22:5703");
```

If the port part is omitted, then 5701, 5702 and 5703 are tried in a random order.

You can provide multiple addresses with ports provided or not, as seen above. The provided list is shuffled and tried in random order. Its default value is **localhost**.



If you have multiple members on a single machine and you are using unisocket clients, we recommend you to set explicit ports for each member. Then you should provide those ports in your client configuration when you give the member addresses (using the address configuration element or addAddress method as exemplified above). This provides faster connections between clients and members. Otherwise, all the load coming from your clients may go through a single member.

#### **Setting Outbound Ports**

You may want to restrict outbound ports to be used by Hazelcast-enabled applications. To fulfill this requirement, you can configure Hazelcast Java client to use only defined outbound ports. The following are example configurations.

Declarative Configuration:

```
<hazelcast-client>
....
<network>
<outbound-ports>
<i-- ports between 34700 and 34710 -->
<ports>34700-34710</ports>
<i-- comma separated ports -->
<ports>34700,34701,34702,34703</ports>
<ports>34700,34705-34710</ports>
</outbound-ports>
</network>
....
</hazelcast-client>
```

Programmatic Configuration:

```
NetworkConfig networkConfig = config.getNetworkConfig();
// ports between 34700 and 34710
networkConfig.addOutboundPortDefinition("34700-34710");
// comma separated ports
networkConfig.addOutboundPortDefinition("34700,34701,34702,34703");
networkConfig.addOutboundPort(34705);
....
```



You can use port ranges and/or comma separated ports.

As shown in the programmatic configuration, you use the method addOutboundPort to add only one port. If you need to add a group of ports, then use the method addOutboundPortDefinition.

In the declarative configuration, the element ports can be used for both single and multiple port

definitions.

#### **Setting Smart Routing**

Smart routing defines whether the client operation mode is smart or unisocket. See Java Client Operation Modes to learn about these modes.

The following are example configurations.

Declarative Configuration:

<hazelcast-client> ... <network> <smart-routing>true</smart-routing> </network> ... </hazelcast-client>

Programmatic Configuration:

```
ClientConfig clientConfig = new ClientConfig();
ClientNetworkConfig networkConfig = clientConfig.getNetworkConfig();
networkConfig().setSmartRouting(true);
```

Its default value is true (smart client mode).

Note that you need to disable smart routing (false) for the clients which want to use temporary permissions defined in a member. See the Handling Permissions section.

#### **Enabling Redo Operation**

It enables/disables redo-able operations as described in Handling Retry-able Operation Failure. The following are the example configurations.

Declarative Configuration:

```
<hazelcast-client>
...
<network>
<redo-operation>true</redo-operation>
</network>
...
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig clientConfig = new ClientConfig();
ClientNetworkConfig networkConfig = clientConfig.getNetworkConfig();
networkConfig().setRedoOperation(true);
```

Its default value is false (disabled).

#### Setting Connection Timeout

Connection timeout is the timeout value in milliseconds for members to accept client connection requests. The following are the example configurations.

Declarative Configuration:

```
<hazelcast-client>
...
<network>
<connection-timeout>5000</connection-timeout>
</network>
...
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.getNetworkConfig().setConnectionTimeout(5000);
```

Its default value is 5000 milliseconds.

#### Setting a Socket Interceptor

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Following is a client configuration to set a socket intercepter. Any class implementing com.hazelcast.nio.SocketInterceptor is a socket interceptor.

```
public interface SocketInterceptor {
    void init(Properties properties);
    void onConnect(Socket connectedSocket) throws IOException;
}
```

SocketInterceptor has two steps. First, it is initialized by the configured properties. Second, it is informed just after the socket is connected using the onConnect method.

```
SocketInterceptorConfig socketInterceptorConfig = clientConfig
        .getNetworkConfig().getSocketInterceptorConfig();
MyClientSocketInterceptor myClientSocketInterceptor = new MyClientSocketInterceptor();
socketInterceptorConfig.setEnabled(true);
socketInterceptorConfig.setImplementation(myClientSocketInterceptor);
```

If you want to configure the socket interceptor with a class name instead of an instance, see the example below.

```
SocketInterceptorConfig socketInterceptorConfig = clientConfig
    .getNetworkConfig().getSocketInterceptorConfig();
```

socketInterceptorConfig.setEnabled(true);

```
//These properties are provided to interceptor during init
socketInterceptorConfig.setProperty("kerberos-host","kerb-host-name");
socketInterceptorConfig.setProperty("kerberos-config-file","kerb.conf");
```

socketInterceptorConfig.setClassName(MyClientSocketInterceptor.class.getName());



See the Socket Interceptor section for more information.

#### **Configuring Network Socket Options**

You can configure the network socket options using SocketOptions. It has the following methods:

- socketOptions.setKeepAlive(x): Enables/disables the SO\_KEEPALIVE socket option. Its default value is true.
- socketOptions.setTcpNoDelay(x): Enables/disables the TCP\_NODELAY socket option. Its default value is true.
- socketOptions.setReuseAddress(x): Enables/disables the SO\_REUSEADDR socket option. Its default value is true.
- socketOptions.setLingerSeconds(x): Enables/disables SO\_LINGER with the specified linger time in seconds. Its default value is 3.
- socketOptions.setBufferSize(x): Sets the SO\_SNDBUF and SO\_RCVBUF options to the specified value in KB for this Socket. Its default value is 32.

```
SocketOptions socketOptions = clientConfig.getNetworkConfig().getSocketOptions();
socketOptions.setBufferSize(32)
    .setKeepAlive(true)
    .setTcpNoDelay(true)
    .setReuseAddress(true)
    .setLingerSeconds(3);
```

#### Enabling Client TLS/SSL

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You can use TLS/SSL to secure the connection between the client and the members. If you want TLS/SSL enabled for the client-cluster connection, you should set SSLConfig. Once set, the connection (socket) is established out of an TLS/SSL factory defined either by a factory class name or factory implementation. See the TLS/SSL section.

As explained in the TLS/SSL section, Hazelcast members have keyStores used to identify themselves (to other members) and Hazelcast clients have trustStore used to define which members they can trust. The clients also have their keyStores and members have their trustStores so that the members can know which clients they can trust: see the Mutual Authentication section.

#### **Configuring Hazelcast Cloud**

You can connect your Java client to a Hazelcast cluster which is hosted on Hazelcast Cloud. For this, you simply enable the Hazelcast Cloud and specify the cluster's discovery token provided by Hazelcast Cloud while creating the cluster; this allows the Hazelcast cluster to discover your clients. See the following example configurations.

Declarative Configuration:

```
<hazelcast-client>
...
<network>
<ssl enabled="true"/>
<hazelcast-cloud enabled="true">
<discovery-token>YOUR_TOKEN</discovery-token>
</hazelcast-cloud>
</network>
...
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig config = new ClientConfig();
ClientNetworkConfig networkConfig = config.getNetworkConfig();
networkConfig.getCloudConfig().setDiscoveryToken("TOKEN").setEnabled(true);
networkConfig.setSSLConfig(new SSLConfig().setEnabled(true));
HazelcastInstance client = HazelcastClient.newHazelcastClient(config);
```

Hazelcast Cloud is disabled for the Java client, by default (enabled attribute is false).

See this Hazelcast Cloud web page for more information on Hazelcast Cloud.



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Since this is a REST based discovery, you need to enable the REST listener service. See the Using the REST Endpoint Groups section on how to enable REST endpoints.

It is advised to enable certificate revocation status JRE-wide, for security reasons. You need to set the following Java system properties to true:

- com.sun.net.ssl.checkRevocation
- com.sun.security.enableCRLDP

And you need to set the Java security property as follows:

```
Security.setProperty("ocsp.enable", "true")
```

You can find more details on the related security topics here and here.

## **Configuring Client for AWS**

The example declarative and programmatic configurations below show how to configure a Java client for connecting to a Hazelcast cluster in AWS.

Declarative Configuration:

```
<hazelcast-client>
    . . .
    <network>
       <aws enabled="true">
            <inside-aws>false</inside-aws>
            <access-kev>mv-access-kev</access-kev>
            <secret-key>my-secret-key</secret-key>
            <iam-role>s3access</iam-role>
            <region>us-west-1</region>
            <host-header>ec2.amazonaws.com</host-header>
            <security-group-name>hazelcast-sg</security-group-name>
            <tag-key>type</tag-key>
            <tag-value>hz-members</tag-value>
        </aws>
    </network>
    . . .
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig clientConfig = new ClientConfig();
AwsConfig clientAwsConfig = new AwsConfig();
clientAwsConfig.setProperty("access-key", "my-access-key")
    .setProperty("secret-key", "my-secret-key")
    .setProperty("region", "us-west-1")
    .setProperty("host-header", "ec2.amazonaws.com")
    .setProperty("host-header", "ec2.amazonaws.com")
    .setProperty("security-group-name", ">hazelcast-sg")
    .setProperty("security-group-name", ">hazelcast-sg")
    .setProperty("tag-key", "type")
    .setProperty("tag-value", "hz-members")
    .setProperty("iam-role", "s3access")
    .setEnabled(true);
clientConfig.getNetworkConfig().setAwsConfig(clientAwsConfig);
HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);
```

See the aws element section for the descriptions of the above AWS configuration elements except inside-aws and iam-role, which are explained below.

If the inside-aws element is not set, the private addresses of cluster members are always converted to public addresses. Also, the client uses public addresses to connect to the members. In order to use private addresses, set the inside-aws parameter to true. Also note that, when connecting outside from AWS, setting the inside-aws parameter to true causes the client to not be able to reach the members.

IAM roles are used to make secure requests from your clients. You can provide the name of your IAM role that you created previously on your AWS console using the iam-role or setIamRole() method.

## **Configuring Client Load Balancer**

LoadBalancer allows you to send operations to one of a number of endpoints (Members). Its main purpose is to determine the next Member if queried. It is up to your implementation to use different load balancing policies. You should implement the interface com.hazelcast.client.LoadBalancer for that purpose.

If it is a smart client, only the operations that are not key-based are routed to the endpoint that is returned by the LoadBalancer. If it is not a smart client, LoadBalancer is ignored.

The following are example configurations.

Declarative Configuration:

```
<hazelcast-client>
...
<load-balancer type="random">
yourLoadBalancer
</load-balancer>
...
</hazelcast-client>
```

Programmatic Configuration:

ClientConfig clientConfig = new ClientConfig(); clientConfig.setLoadBalancer(yourLoadBalancer);

## **Configuring Client Listeners**

You can configure global event listeners using ListenerConfig as shown below.

```
ClientConfig clientConfig = new ClientConfig();
ListenerConfig listenerConfig = new ListenerConfig(LifecycleListenerImpl);
clientConfig.addListenerConfig(listenerConfig);
```

```
ClientConfig clientConfig = new ClientConfig();
ListenerConfig listenerConfig = new ListenerConfig(
"com.hazelcast.example.MembershipListenerImpl");
clientConfig.addListenerConfig(listenerConfig);
```

You can add the following types of event listeners:

- LifecycleListener
- MembershipListener
- DistributedObjectListener

## **Configuring Client Near Cache**

The Hazelcast distributed map supports a local Near Cache for remotely stored entries to increase the performance of local read operations. Since the client always requests data from the cluster members, it can be helpful in some use cases to configure a Near Cache on the client side. See the Near Cache section for a detailed explanation of the Near Cache feature and its configuration.

## **Configuring Client Cluster**

Clients should provide a cluster name and password in order to connect to the cluster. You can configure them using ClientConfig, as shown below.

```
clientConfig.setClusterName("dev").setClusterPassword("dev-pass");
```

## **Configuring Client Security**

In the cases where the security established with Config is not enough and you want your clients connecting securely to the cluster, you can use ClientSecurityConfig. This configuration has a credentials parameter to set the IP address and UID. See the ClientSecurityConfig Javadoc.

## **Client Serialization Configuration**

For the client side serialization, use the Hazelcast configuration. See the Serialization chapter.

## **Configuring ClassLoader**

You can configure a custom classLoader. It is used by the serialization service and to load any class configured in configuration, such as event listeners or ProxyFactories.

## Configuring Reliable Topic on the Client Side

Normally when a client uses a Hazelcast data structure, that structure is configured on the member side and the client makes use of that configuration. For the Reliable Topic structure, this is not the case; since it is backed by Ringbuffer, you should configure it on the client side. The class used for this configuration is ClientReliableTopicConfig.

Here is an example programmatic configuration snippet:

Note that, when you create a Reliable Topic structure on your client, a Ringbuffer (with the same name as the Reliable Topic) is automatically created on the member side, with its default configuration. See the Configuring Ringbuffer section for the defaults. You can edit that configuration according to your needs.

You can configure a Reliable Topic structure on the client side also declaratively. The following is the declarative configuration equivalent to the above example:

```
<hazelcast-client>
....
<ringbuffer name="default">
....
<capacity>1000000</capacity>
.time-to-live-seconds>5</time-to-live-seconds>
</ringbuffer>
<reliable-topic name="default">
.topic-overload-policy>BLOCK</topic-overload-policy>
.read-batch-size>10</read-batch-size>
</reliable-topic>
....
</hazelcast-client>
```

# 18.1.3. Java Client Connection Strategy

You can configure the client's starting mode as async or sync using the configuration element async-start. When it is set to true (async), Hazelcast creates the client without waiting a connection to the cluster. In this case, the client instance throws an exception until it connects to the cluster. If it is false, the client is not created until the cluster is ready to use clients and a connection with the cluster is established. Its default value is false (sync)

You can also configure how the client reconnects to the cluster after a disconnection. This is configured using the configuration element reconnect-mode; it has three options (OFF, ON or ASYNC). The option OFF disables the reconnection. ON enables reconnection in a blocking manner where all

the waiting invocations are blocked until a cluster connection is established or failed. The option ASYNC enables reconnection in a non-blocking manner where all the waiting invocations receive a HazelcastClientOfflineException. Its default value is ON.



When you have ASYNC as the reconnect-mode and defined a Near Cache for your client, the client functions without interruptions/downtime by communicating the data from its Near Cache, provided that there is non-expired data in it. See here to learn how you can add a Near Cache to your client.

The example declarative and programmatic configurations below show how to configure a Java client's starting and reconnecting modes.

Declarative Configuration:

```
<hazelcast-client>
...
<connection-strategy async-start="true" reconnect-mode="ASYNC" />
...
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.getConnectionStrategyConfig()
        .setAsyncStart(true)
        .setReconnectMode(ClientConnectionStrategyConfig.ReconnectMode.ASYNC);
```

## **Configuring Client Connection Retry**

When client is disconnected from the cluster, it searches for new connections to reconnect. You can configure the frequency of the reconnection attempts and client shutdown behavior using ConnectionRetryConfig (programmatical approach)/ connection-retry (declarative approach).

Below are the example configurations for each.

Declarative Configuration:

```
<hazelcast-client>
....
<connection-strategy async-start="false" reconnect-mode="ON">
<connection-retry>
<initial-backoff-millis>1000</initial-backoff-millis>
<max-backoff-millis>60000</max-backoff-millis>
<multiplier>2</multiplier>
<cluster-connect-timeout-millis>50000</cluster-connect-timeout-millis>
<jitter>0.2</jitter>
</connection-retry>
</connection-strategy>
....
</hazelcast-client>
```

Programmatic Configuration:

```
ClientConfig config = new ClientConfig();
ClientConnectionStrategyConfig connectionStrategyConfig = config
.getConnectionStrategyConfig();
ConnectionRetryConfig connectionRetryConfig = connectionStrategyConfig
.getConnectionRetryConfig();
connectionRetryConfig.setInitialBackoffMillis(1000)
                .setMaxBackoffMillis(60000)
                .setMaxBackoffMillis(60000)
                .setMultiplier(2)
                .setClusterConnectTimeoutMillis(50000)
                .setJitter(0.2);
```

The following are configuration element descriptions:

- initial-backoff-millis: Specifies how long to wait (backoff), in milliseconds, after the first failure before retrying. Its default value is 1000 ms.
- max-backoff-millis: Specifies the upper limit for the backoff in milliseconds. Its default value is 30000 ms.
- multiplier: Factor to multiply the backoff after a failed retry. Its default value is 1.
- cluster-connect-timeout-millis: Timeout value in milliseconds for the client to give up to connect to the current cluster Its default value is 20000.
- jitter: Specifies by how much to randomize backoffs. Its default value is 0.

A pseudo-code is as follows:

```
begin_time = getCurrentTime()
current_backoff_millis = INITIAL_BACKOFF_MILLIS
while (TryConnect(connectionTimeout)) != SUCCESS) {
    if (getCurrentTime() - begin_time >= CLUSTER_CONNECT_TIMEOUT_MILLIS) {
        //Give up to connecting to the current cluster and switch to another if
    exists.
    }
    Sleep(current_backoff_millis + UniformRandom(-JITTER * current_backoff_millis,
JITTER * current_backoff_millis))
    current_backoff = Min(current_backoff_millis * MULTIPLIER, MAX_BACKOFF_MILLIS)
}
```

Note that, TryConnect above tries to connect to any member that the client knows, and for each connection we have a connection timeout; see the Setting Connection Timeout section.

# 18.1.4. Blue-Green Deployment and Disaster Recovery

## Hazelcast IMDG Enterprise

Hazelcast provides disaster recovery for the client-cluster connections and can use the well-known blue-green mechanism, so that a Java client is automatically diverted to another cluster on demand or when the intended cluster becomes unavailable.

Using the blue-green system, the clients can connect to another cluster automatically when they are blacklisted from their currently connected cluster. See the Hazelcast Management Center Reference Manual for information on blacklisting the clients.

## **Blue-Green Mechanism**

You can make your clients connect to another cluster by blacklisting them in a cluster and using the blue-green mechanism. This is basically having two alive clusters, one of which is active (blue) and the other one is idle (green).

When you blacklist a client in a cluster, the client which is disconnected from the cluster due to this blacklisting, first tries to connect to another member of the same cluster. This is because the client is not aware if this is a blacklisting or a normal disconnection.

The client's behavior after this disconnection depends on its reconnect-mode. The following are the options when you are using the blue-green mechanism, i.e., you have alternative clusters for your clients to connect:

- If reconnect-mode is set to ON, the client changes the cluster and blocks the invocations while doing so.
- If reconnect-mode is set to ASYNC, the client changes the cluster in the background and throws ClientOfflineException while doing so.
- If reconnect-mode is set to OFF, the client does not change the cluster; it shuts down immediately.



Here it could be the case that the whole cluster is restarted. In this case, the owner member of the client connection in the restarted cluster rejects the client's connection request, since the client is trying to connect to the old cluster. So, the client needs to search for a new cluster, if available and according to the bluegreen configuration (see the following configuration related sections in this section).

Consider the following notes for the blue-green mechanism (also valid for the disaster recovery mechanism described in the next section):

- When a client disconnects from a cluster and connects to a new one the InitialMemberEvent and CLIENT\_CHANGED\_CLUSTER events are fired.
- When switching clusters, the client reuses its UUID.
- The client's listener service re-registers its listeners to the new cluster; the listener service opens a new connection to all members in the current member list and registers the listeners for each connection.
- The client's Near Caches and Continuous Query Caches are cleared when the client joins a new cluster successfully.
- If the new cluster's partition size is different, the client is rejected by the cluster. The client is not able to connect to a cluster with different partition count.

## **Disaster Recovery Mechanism**

When one of your clusters is gone due to a failure, the connection between your clients and owner member in that cluster is gone, too. When a client is disconnected because of a failure in the cluster, it first tries to connect to another member of that same cluster.

The client's behavior after this disconnection depends on its reconnect-mode, and it has the same options that are described in the above section (Blue-Green Mechanism).

If you have provided alternative clusters for your clients to connect, the client tries to connect to those alternative clusters (depending on the reconnect-mode).

When a failover starts, i.e., the client is disconnected and was configured to connect to alternative clusters, the current member list is not considered; the client cuts all the connections before attempting to connect to a new cluster and tries the clusters as configured. See the below configuration related sections.

## Ordering of Clusters When Clients Try to Connect

The order of the clusters, that the client will try to connect in a blue-green or disaster recovery scenario, is decided by the order of these cluster declarations as given in the client configuration.

Each time the client is disconnected from a cluster and it cannot connect back to the same one, the configured list is iterated over. Count of these iterations before the client decides to shut down is provided using the try-count configuration element. See the following configuration related sections.

We didn't go over the configuration yet (see the following configuration related sections), but for the sake of explaining the ordering, assume that you have client-config1, client-config2 and client-config3 in the given order as shown below. This means you have three alternative clusters.

```
<try-count>4</try-count>
<clients>
        <client>client-config1.xml</client>
        <client>client-config2.xml</client>
        <client>client-config3.xml</client>
</clients>
```

And let's say the client is disconnected from the cluster whose configuration is given by clientconfig2.xml. Then, the client tries to connect to the next cluster in this list, whose configuration is given by client-config3.xml. When the end of the list is reached, which is so in this example, and the client could not connect to client-config3, then try-count is incremented and the client continues to try to connect starting with client-config1.

This iteration continues until the client connects to a cluster or try-count is reached to the configured value. When the iteration reaches this value and the client still could not connect to a cluster, it shuts down. Note that, if try-count was set to 1 in the above example, and the client could not connect to client-config3, it would shut down since it already tried once to connect to an alternative cluster.

The following sections describe how you can configure the Java client for blue-green and disaster recovery scenarios.

## **Configuring Using CNAME**

Using CNAME, you can change the hostname resolutions and use them dynamically. Let's describe the configuration with examples.

Assume that you have two clusters, Cluster A and Cluster B, and two Java clients.

1. First configure the Cluster A members as shown below:

```
<hazelcast>
...
.network>
.join>
.tcp-ip enabled="true">
.ember>clusterA.member1</member>
.ember>clusterA.member2</member>
./tcp-ip>
./join>
...
</hazelcast>
```

2. Then, configure the Cluster B members as shown below.

```
<hazelcast>
....
<network>
<join>
<tcp-ip enabled="true">
<member>clusterB.member1</member>
<member>clusterB.member2</member>
</tcp-ip>
</join>
</network>
....
</hazelcast>
```

3. Configure your two clients as shown below.

```
<hazelcast-client>
....
<cluster-name>cluster-a</cluster-name>
<network>
<cluster-members>
<address>production1.myproject</address>
<address>production2.myproject</address>
</cluster-members>
</network>
....
</hazelcast-client>
```

```
<hazelcast-client>
....
<cluster-name>cluster-b</cluster-name>
<network>
<cluster-members>
<address>production1.myproject</address>
<address>production2.myproject</address>
</cluster-members>
</network>
....
</hazelcast-client>
```

4. Assuming that the client configuration file names of the above example clients are hazelcastclient-c1.xml and hazelcast-client-c1.xml, you should configure the client failovers for a bluegreen deployment scenario as follows:

```
<hazelcast-client-failover>
  <try-count>4</try-count>
  <clients>
        <client>hazelcast-client-c1.xml</client>
        <client>hazelcast-client-c2.xml</client>
        </clients>
</hazelcast-client-failover>
```



You can find the complete Hazelcast client failover example configuration file (hazelcast-client-failover-full-example) both in XML and YAML formats including the descriptions of elements and attributes, in the /bin folder of your Hazelcast download directory.

- 5. You should also configure your clients to forget DNS lookups using the networkaddress.cache.ttl JVM parameter.
- 6. Configure the addresses in your clients' configuration to resolve to hostnames of Cluster A via CNAME so that the clients will connect to Cluster A when it starts:

```
production1.myproject → clusterA.member1
production2.myproject → clusterA.member2
```

7. When you want the clients to switch to the other cluster, change the mapping as follows:

production1.myproject → clusterB.member1

 $\texttt{production2.myproject} \rightarrow \texttt{clusterB.member2}$ 

- 8. Wait for the time you configured using the networkaddress.cache.ttl JVM parameter for the client JVM to forget the old mapping.
- 9. Blacklist the clients in Cluster A using the Hazelcast Management Center.

## **Configuring Without CNAME**

Let's first give example configurations and describe the configuration elements.

## **Declarative Configuration:**

```
<hazelcast-client-failover>
<try-count>4</try-count>
<clients>
<client>hazelcast-client-c1.xml</client>
<client>hazelcast-client-c2.xml</client>
</clients>
</hazelcast-client-failover>
```

#### **Programmatic Configuration:**

```
ClientConfig clientConfig = new ClientConfig();
clientConfig.setClusterName("cluster-a");
ClientNetworkConfig networkConfig = clientConfig.getNetworkConfig();
networkConfig.addAddress("10.216.1.18", "10.216.1.19");
ClientConfig clientConfig2 = new ClientConfig();
clientConfig2.setClusterName("cluster-b");
ClientNetworkConfig networkConfig2 = clientConfig2.getNetworkConfig();
networkConfig2.addAddress( "10.214.2.10", "10.214.2.11");
ClientFailoverConfig clientFailoverConfig = new ClientFailoverConfig();
clientFailoverConfig.addClientConfig(clientConfig).addClientConfig(clientConfig2).setT
ryCount(10)
HazelcastInstance client = HazelcastClient.newHazelcastFailoverClient
(clientFailoverConfig);
```

The following are the descriptions for the configuration elements:

- try-count: Count of connection retries by the client to the alternative clusters. When this value is reached and the client still could not connect to a cluster, the client shuts down. Note that this value applies to the alternative clusters whose configurations are provided with the client element. For the above example, two alternative clusters are given with the try-count set as 4. This means the number of connection attempts is 4 x 2 = 8.
- client: Path to the client configuration that corresponds to an alternative cluster that the client will try to connect.

The client configurations must be exactly the same except the following configuration options:

- SecurityConfig
- NetworkConfig.Addresses
- NetworkConfig.SocketInterceptorConfig
- NetworkConfig.SSLConfig
- NetworkConfig.AwsConfig
- NetworkConfig.GcpConfig
- NetworkConfig.azureConfig
- NetworkConfig.KubernetesConfig
- NetworkConfig.EurekaConfig
- NetworkConfig.CloudConfig
- NetworkConfig.DiscoveryConfig

You can also configure it within the Spring context, as shown below:

```
<beans>
    <hz:client-failover id="blueGreenClient" try-count="5">
        <hz:client>
            <hz:cluster-name name="dev"/>
            <hz:network>
                <hz;member>127.0.0.1:5700</hz;member>
                <hz:member>127.0.0.1:5701</hz:member>
            </hz:network>
        </hz:client>
        <hz:client>
            <hz:cluster-name name="alternativeClusterName"/>
            <hz:network>
                <hz;member>127.0.0.1:5702</hz;member>
                <hz:member>127.0.0.1:5703</hz:member>
            </hz:network>
        </hz:client>
    </hz:client-failover>
</beans>
```

# 18.1.5. Java Client Failure Detectors

The client failure detectors are responsible to determine if a member in the cluster is unreachable or crashed. The most important problem in the failure detection is to distinguish whether a member is still alive but slow, or has crashed. But according to the famous FLP result, it is impossible to distinguish a crashed member from a slow one in an asynchronous system. A workaround to this limitation is to use unreliable failure detectors. An unreliable failure detector allows a member to suspect that others have failed, usually based on liveness criteria but it can make mistakes to a certain degree.

Hazelcast Java client has two built-in failure detectors: Deadline Failure Detector and Ping Failure Detector. These client failure detectors work independently from the member failure detectors, e.g., you do not need to enable the member failure detectors to benefit from the client ones.

#### **Client Deadline Failure Detector**

*Deadline Failure Detector* uses an absolute timeout for missing/lost heartbeats. After timeout, a member is considered as crashed/unavailable and marked as suspected.

Deadline Failure Detector has two configuration properties:

- hazelcast.client.heartbeat.interval: This is the interval at which client sends heartbeat messages to members.
- hazelcast.client.heartbeat.timeout: This is the timeout which defines when a cluster member is suspected, because it has not sent any response back to client requests.



The value of hazelcast.client.heartbeat.interval should be smaller than that of hazelcast.client.heartbeat.timeout. In addition, the value of system property hazelcast.client.max.no.heartbeat.seconds, which is set on the member side, should be larger than that of hazelcast.client.heartbeat.interval.

The following is a declarative example showing how you can configure the Deadline Failure Detector for your client (in the client's configuration XML file, e.g., hazelcast-client.xml):

```
<hazelcast-client>
...
<properties>
<property name="hazelcast.client.heartbeat.timeout">60000</property>
<property name="hazelcast.client.heartbeat.interval">5000</property>
</properties>
...
</hazelcast-client>
```

And, the following is the equivalent programmatic configuration:

```
ClientConfig config = ...;
config.setProperty("hazelcast.client.heartbeat.timeout", "60000");
config.setProperty("hazelcast.client.heartbeat.interval", "5000");
[...]
```

## **Client Ping Failure Detector**

In addition to the Deadline Failure Detector, the Ping Failure Detector may be configured on your client. Please note that this detector is disabled by default. The Ping Failure Detector operates at Layer 3 of the OSI protocol and provides much quicker and more deterministic detection of hardware and other lower level events. When the JVM process has enough permissions to create RAW sockets, the implementation chooses to rely on ICMP Echo requests. This is preferred.

If there are not enough permissions, it can be configured to fallback on attempting a TCP Echo on port 7. In the latter case, both a successful connection or an explicit rejection is treated as "Host is Reachable". Or, it can be forced to use only RAW sockets. This is not preferred as each call creates a heavy weight socket and moreover the Echo service is typically disabled.

For the Ping Failure Detector to rely **only** on the ICMP Echo requests, the following criteria need to be met:

- Supported OS: as of Java 1.8 only Linux/Unix environments are supported.
- The Java executable must have the cap\_net\_raw capability.
- The file ld.conf must be edited to overcome the rejection by the dynamic linker when loading libs from untrusted paths.
- ICMP Echo Requests must not be blocked by the receiving hosts.

The details of these requirements are explained in the Requirements section of Hazelcast members' Ping Failure Detector.

If any of the above criteria isn't met, then *isReachable* will always fallback on TCP Echo attempts on port 7.

An example declarative configuration to use the Ping Failure Detector is as follows (in the client's configuration XML file, e.g., hazelcast-client.xml):

And, the equivalent programmatic configuration:

```
ClientConfig config = ...;
ClientNetworkConfig networkConfig = clientConfig.getNetworkConfig();
ClientIcmpPingConfig clientIcmpPingConfig = networkConfig.getClientIcmpPingConfig();
clientIcmpPingConfig.setIntervalMilliseconds(1000)
        .setTimeoutMilliseconds(1000)
        .setTtl(255)
        .setMaxAttempts(2)
        .setEchoFailFastOnStartup(false)
        .setEnabled(true);
```

The following are the descriptions of configuration elements and attributes:

- enabled: Enables the legacy ICMP detection mode, works cooperatively with the existing failure detector and only kicks-in after a pre-defined period has passed with no heartbeats from a member. Its default value is false.
- timeout-milliseconds: Number of milliseconds until a ping attempt is considered failed if there was no reply. Its default value is **1000** milliseconds.
- max-attempts: Maximum number of ping attempts before the member gets suspected by the detector. Its default value is **3**.
- interval-milliseconds: Interval, in milliseconds, between each ping attempt. 1000ms (1 sec) is also the minimum interval allowed. Its default value is **1000** milliseconds.

• ttl: Maximum number of hops the packets should go through. Its default value is 255. You can set to **0** to use your system's default TTL.

In the above example configuration, the Ping Failure Detector attempts 2 pings, one every second, and waits up to 1 second for each to complete. If there is no successful ping after 2 seconds, the member gets suspected.

To enforce the Requirements, the property echo-fail-fast-on-startup can also be set to true, in which case Hazelcast fails to start if any of the requirements isn't met.

Unlike the Hazelcast members, Ping Failure Detector works always in parallel with Deadline Failure Detector on the clients. Below is a summary table of all possible configuration combinations of the Ping Failure Detector.

ICMP	Fail-Fast	Description	Linux	Windows	macOS
true	false	Parallel ping detector, works in parallel with the configured failure detector. Checks periodically if members are live (OSI Layer 3) and suspects them immediately, regardless of the other detectors.	Supported ICMP Echo if available - Falls back on TCP Echo on port 7	Supported TCP Echo on port 7	Supported ICMP Echo if available - Falls back on TCP Echo on port 7
true	true	Parallel ping detector, works in parallel with the configured failure detector. Checks periodically if members are live (OSI Layer 3) and suspects them immediately, regardless of the other detectors.	-	Not Supported	Not Supported - Requires root privileges

# **18.1.6. Client System Properties**

There are some advanced client configuration properties to tune some aspects of Hazelcast Client. You can set them as property name and value pairs through declarative configuration, programmatic configuration, or JVM system property. See the System Properties appendix to learn how to set these properties.



When you want to reconfigure a system property, you need to restart the clients for which the property is modified.

The table below lists the client configuration properties with their descriptions.

Table 4. Client System Properties

Property Name	Default Value	Туре	Description
hazelcast.clie nt.cloud.disco very.token		long	Token to use when discovering the cluster via Hazelcast Cloud.
hazelcast.clie nt.concurrent. window.ms	100	int	Property needed for concurrency detection so that write through and dynamic response handling can be done correctly. This property sets the window for a concurrency detection (duration when it signals that a concurrency has been detected), even if there are no further updates in that window. Normally in a concurrent system the windows keeps sliding forward so it always remains concurrent. Setting it too high effectively disables the optimization because once concurrency has been detected it will keep that way. Setting it too low could lead to suboptimal performance because the system will try write through and other optimizations even though the system is concurrent.
hazelcast.disc overy.enabled	false	bool	Enables/disables the Discovery SPI lookup over the old native implementations. See Discovery SPI for more information.
hazelcast.disc overy.public.i p.enabled	false	bool	Enables the discovery joiner to use public IPs from DiscoveredNode. See Discovery SPI for more information.
hazelcast.clie nt.event.queue .capacity	1000000	int	Default value of the capacity of executor that handles the incoming event packets.
hazelcast.clie nt.event.threa d.count	5	int	Thread count for handling the incoming event packets.
hazelcast.clie nt.heartbeat.i nterval	5000	int	Frequency of the heartbeat messages sent by the clients to members.

Property Name	Default Value	Туре	Description
hazelcast.clie nt.heartbeat.t imeout	60000	int	Timeout for the heartbeat messages sent by the client to members. If no messages pass between the client and member within the given time via this property in milliseconds, the connection will be closed.
hazelcast.clie nt.invocation. backoff.timeou t.millis	-1	int	Controls the maximum timeout, in milliseconds, to wait for an invocation space to be available. If an invocation cannot be made because there are too many pending invocations, then an exponential backoff is done to give the system time to deal with the backlog of invocations. This property controls how long an invocation is allowed to wait before getting a HazelcastOverloadException. When set to -1 then HazelcastOverloadException is thrown immediately without any waiting.
hazelcast.clie nt.invocation. retry.pause.mi llis	1000	int	Pause time between each retry cycle of an invocation in milliseconds.
hazelcast.clie nt.invocation. timeout.second s	1000	int	Period, in seconds, to give up the invocation when a member in the member list is not reachable.
hazelcast.clie nt.io.balancer .interval.seco nds	20	int	Interval in seconds between each IOBalancer execution. By default Hazelcast uses 3 threads to read data from TCP connections and 3 threads to write data to connections. IOBalancer detects and fixes the fluctuations when these threads are not utilized equally. The shorter intervals catch I/O imbalances faster, but they cause higher overhead. A value smaller than 1 disables the balancer.
hazelcast.clie nt.io.input.th read.count	-1	int	Controls the number of I/O input threads. Defaults to -1, i.e., the system decides. If the client is a smart client, it defaults to 3, otherwise it defaults to 1.
hazelcast.clie nt.io.output.t hread.count	-1	int	Controls the number of I/O output threads. Defaults to -1, i.e., the system decides. If the client is a smart client, it defaults to 3, otherwise it defaults to 1.
hazelcast.clie nt.io.write.th rough	true	bool	Optimization that allows sending of packets over the network to be done on the calling thread if the conditions are right. This can reduce the latency and increase the performance for low threaded environments.

Property Name	Default Value	Туре	Description
hazelcast.clie nt.max.concurr ent.invocation s	Integer.MAX_V ALUE	int	Maximum allowed number of concurrent invocations. You can apply a constraint on the number of concurrent invocations in order to prevent the system from overloading. If the maximum number of concurrent invocations is exceeded and a new invocation comes in, Hazelcast throws HazelcastOverloadException.
<pre>hazelcast.clie nt.metrics.col lection.freque ncy</pre>	5	int	Frequency, in seconds, of the metrics collection cycle. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.clie nt.metrics.deb ug.enabled	false	bool	Enables collecting debug metrics if set to true, disables it otherwise. Note that this is meant to be enabled only if diagnostics is enabled, since currently only diagnostics consumes the debug metrics.
hazelcast.clie nt.metrics.ena bled	true	bool	Enables the metrics collection if set to true, disables it otherwise. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.clie nt.metrics.jmx .enabled	true	bool	Enables exposing the collected metrics over JMX if set to true, disables it otherwise. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.clie nt.operation.b ackup.timeout. millis	5000	int	If an operation has backups, this property specifies how long the invocation will wait for acks from the backup replicas. If acks are not received from some backups, there will not be any rollback on other successful replicas.
hazelcast.clie nt.operation.f ail.on.indeter minate.state	false	bool	When this configuration is enabled, if an operation has sync backups and acks are not received from backup replicas in time, or the member which owns primary replica of the target partition leaves the cluster, then the invocation fails with IndeterminateOperationStateException. However, even if the invocation fails, there will not be any rollback on other successful replicas.

Property Name	Default Value	Туре	Description
hazelcast.clie nt.response.th read.count	2	int	Number of the response threads. By default, there are two response threads; this gives stable and good performance. If set to 0, the response threads are bypassed and the response handling is done on the I/O threads. Under certain conditions this can give a higher throughput, but setting to 0 should be regarded as an experimental feature. If set to 0, the IO_OUTPUT_THREAD_COUNT is really going to matter because the inbound thread will have more work to do. By default when TLS is not enabled, there is just one inbound thread.
hazelcast.clie nt.response.th read.dynamic	true	bool	Enables dynamic switching between processing the responses on the I/O threads and offloading the response threads. Under certain conditions (single threaded clients) processing on the I/O thread can increase the performance because useless handover to the response thread is removed. Also the response thread is not created until it is needed. Especially for ephemeral clients, reducing the threads can lead to increased performance and reduced memory usage.
hazelcast.clie nt.shuffle.mem ber.list	true	string	The client shuffles the given member list to prevent all the clients to connect to the same member when this property is true. When it is set to false, the client tries to connect to the members in the given order.
hazelcast.clie nt.statistics. enabled	false	bool	If set to true, it enables collecting the client statistics and sending them to the cluster. When it is true you can monitor the clients that are connected to your Hazelcast cluster, using Hazelcast Management Center. See the Monitoring Clients section in the Hazelcast Management Center Reference Manual for more information.
hazelcast.clie nt.statistics. period.seconds	3	int	Period in seconds the client statistics are collected and sent to the cluster. See the <u>Monitoring Clients section</u> in the Hazelcast Management Center Reference Manual for more information on the client statistics.

## 18.1.7. Using High-Density Memory Store with Java Client

#### Hazelcast IMDG Enterprise HD

If you have **Hazelcast IMDG Enterprise HD**, your Hazelcast Java client's Near Cache can benefit from the High-Density Memory Store.

Let's recall the Java client's Near Cache configuration (see the Configuring Client Near Cache section) without High-Density Memory Store:

```
<hazelcast-client>
...
<near-cache name="MENU">
<eviction size="2000" eviction-policy="LFU"/>
<time-to-live-seconds>0</time-to-live-seconds>
<max-idle-seconds>0</max-idle-seconds>
<invalidate-on-change>true</invalidate-on-change>
<in-memory-format>OBJECT</in-memory-format>
</near-cache>
...
</hazelcast-client>
```

You can configure this Near Cache to use Hazelcast's High-Density Memory Store by setting the inmemory format to NATIVE. See the following configuration example:

```
<hazelcast-client>
....
<near-cache>
<eviction size="1000" max-size-policy="ENTRY_COUNT" eviction-policy="LFU"/>
<time-to-live-seconds>0</time-to-live-seconds>
<max-idle-seconds>0</max-idle-seconds>
<invalidate-on-change>true</invalidate-on-change>
<in-memory-format>NATIVE</in-memory-format>
</hazelcast-client>
```

The <eviction> element has the following attributes:

- size: Maximum size (entry count) of the Near Cache.
- max-size-policy: Maximum size policy for eviction of the Near Cache. Available values are as follows:
  - ENTRY\_COUNT: Maximum entry count per member.
  - USED\_NATIVE\_MEMORY\_SIZE: Maximum used native memory size in megabytes.
  - $\circ~$  USED\_NATIVE\_MEMORY\_PERCENTAGE: Maximum used native memory percentage.
  - FREE\_NATIVE\_MEMORY\_SIZE: Minimum free native memory size to trigger cleanup.
  - FREE\_NATIVE\_MEMORY\_PERCENTAGE: Minimum free native memory percentage to trigger cleanup.
- eviction-policy: Eviction policy configuration. Its default values is NONE. Available values are as follows:
  - NONE: No items are evicted and the size property is ignored. You still can combine it with time-to-live-seconds.

- LRU: Least Recently Used.
- LFU: Least Frequently Used.

Keep in mind that you should have already enabled the High-Density Memory Store usage for your client, using the <native-memory> element in the client's configuration.

See the High-Density Memory Store section for more information on Hazelcast's High-Density Memory Store feature.

# 18.2. C++ Client

You can use the native C++ client to connect to Hazelcast cluster members and perform almost all operations that a member can perform. Clients differ from members in that clients do not hold data. The C++ client is by default a smart client, i.e., it knows where the data is and asks directly for the correct member. You can disable this feature (using the ClientConfig::setSmart method) if you do not want the clients to connect to every member.

The features of C++ clients are listed below:

- Access to distributed data structures (IMap, IQueue, MultiMap, ITopic, etc.).
- Access to transactional distributed data structures (TransactionalMap, TransactionalQueue, etc.).
- Ability to add cluster listeners to a cluster and entry/item listeners to distributed data structures.
- Distributed synchronization mechanisms with ILock, ISemaphore and ICountDownLatch.

See Hazelcast C++ client's own GitHub repo for information on setting the client up, installing and compiling it, its serialization support and APIs such as raw pointer and query. You can also find code samples for this client in this repo.

## 18.3. .NET Client

You can use the native .NET client to connect to Hazelcast client members. You need to add HazelcastClient3x.dll into your .NET project references. The API is very similar to the Java native client.

See Hazelcast .NET client's own GitHub repo for information on configuring and starting the client. You can also find code samples for this client in this repo.

## 18.4. REST Client

Hazelcast provides a REST interface: it provides an HTTP service in each cluster member so that you can access your map and queue using HTTP protocol. Assuming mapName and queueName are already configured in your Hazelcast, its structure is shown below:

http://member IP address:port/hazelcast/rest/maps/mapName/key

http://member IP address:port/hazelcast/rest/queues/queueName

For the operations to be performed, standard REST conventions for HTTP calls are used.



REST client request listener service is not enabled by default. You should enable it on your cluster members to use REST client. It can be enabled using the DATA endpoint group; see the Using the REST Endpoint Groups section.

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All parameters that are used in REST API URLs, such as the distributed data structure and key names, must be URL encoded when composing a call. As an example, name.with/special@chars parameter value would be encoded as name.with%2Fspecial%40chars.

#### **18.4.1. REST Client GET/POST/DELETE Examples**

In the following GET, POST and DELETE examples, assume that your cluster members are as shown below.

```
Members [5] {
   Member [10.20.17.1:5701]
   Member [10.20.17.2:5701]
   Member [10.20.17.4:5701]
   Member [10.20.17.3:5701]
   Member [10.20.17.5:5701]
}
```

All of the requests below can return one of the following responses in case of a failure.

• If the HTTP request syntax is not known, the following response is returned.

0

• In case of an unexpected exception, the following response is returned.

```
< HTTP/1.1 500 Internal Server Error
< Content-Length: 0
```

HTTP/1.1 400 Bad Request

Content-Length: 0

#### Creating/Updating Entries in a Map for REST Client

You can put a new key1/value1 entry into a map by using POST call to http://10.20.17.1:5701/hazelcast/rest/maps/mapName/key1 URL. This call's content body should contain the value of the key. Also, if the call contains the MIME type, Hazelcast stores this information, too.

An example **POST** call is shown below.

\$ curl -v -H "Content-Type: text/plain" -d "bar" http://10.20.17.1:5701/hazelcast/rest/maps/mapName/foo

It returns the following response if successful:

< HTTP/1.1 200 OK < Content-Length: 0

If your POST call has a trailing slash, Hazelcast will strip it so that it is not appended to the key string. So if you send this POST call:

\$ curl -v -H "Content-Type: text/plain" -d "bar" http://10.20.17.1:5701/hazelcast/rest/maps/mapName/foo/

The **POST** call will instead be processed as below:

\$ curl -v -H "Content-Type: text/plain" -d "bar" http://10.20.17.1:5701/hazelcast/rest/maps/mapName/foo

#### **Retrieving Entries from a Map for REST Client**

If want to retrieve GET call you an entry, you can use а to http://10.20.17.1:5701/hazelcast/rest/maps/mapName/key1. You can also retrieve this entry from another member of your cluster, such as <a href="http://10.20.17.3:5701/hazelcast/rest/maps/mapName/key1">http://10.20.17.3:5701/hazelcast/rest/maps/mapName/key1</a>.

An example of a GET call is shown below.

\$ curl -X GET http://10.20.17.3:5701/hazelcast/rest/maps/mapName/foo

It returns the following response if there is a corresponding value:

```
< HTTP/1.1 200 OK
< Content-Type: text/plain
< Content-Length: 3
bar
```

This GET call returned a value, its length and also the MIME type (text/plain) since the POST call example shown above included the MIME type.

It returns the following if there is no mapping for the given key:

< HTTP/1.1 204 No Content

< Content-Length: 0

Similarly to the POST call, Hazelcast will strip the trailing slash from your GET call.

#### **Removing Entries from a Map for REST Client**

You can use a DELETE call to remove an entry. An example DELETE call is shown below with its response.

\$ curl -v -X DELETE http://10.20.17.1:5701/hazelcast/rest/maps/mapName/foo

< HTTP/<mark>1.1 200</mark> OK < Content-Length: 0

If you leave the key empty as follows, the DELETE call deletes all entries from the map.

\$ curl -v -X DELETE http://10.20.17.1:5701/hazelcast/rest/maps/mapName

- < HTTP/1.1 200 OK
- < Content-Length: 0

#### Offering Items on a Queue for REST Client

You can use a POST call to create an item on the queue. An example is shown below.

```
$ curl -v -H "Content-Type: text/plain" -d "foo"
http://10.20.17.1:5701/hazelcast/rest/queues/myEvents
```

The above call is equivalent to HazelcastInstance.getQueue("myEvents").offer("foo");.

It returns the following if successful:

```
< HTTP/1.1 200 OK
< Content-Length: 0
```

It returns the following if the queue is full and the item is not able to be offered to the queue:

```
< HTTP/1.1 503 Service Unavailable
< Content-Length: 0
```

#### **Retrieving Items from a Queue for REST Client**

You can use a DELETE call for retrieving items from a queue. Note that you should state the poll timeout while polling for queue events by an extra path parameter.

An example is shown below (10 being the timeout value).

\$ curl -v -X DELETE \http://10.20.17.1:5701/hazelcast/rest/queues/myEvents/10

The above call is equivalent to HazelcastInstance.getQueue("myEvents").poll(10, SECONDS);. Below is the response.

```
< HTTP/1.1 200 OK
< Content-Type: text/plain
< Content-Length: 3
foo
```

When the timeout is reached, the response is No Content success, i.e., there is no item on the queue to be returned.

< HTTP/1.1 204 No Content < Content-Length: 0

#### Getting the size of the queue for REST Client

\$ curl -v -X GET \http://10.20.17.1:5701/hazelcast/rest/queues/myEvents/size

The above call is equivalent to HazelcastInstance.getQueue("myEvents").size();. Below is an example response.

```
< HTTP/1.1 200 OK
< Content-Type: text/plain
< Content-Length: 1
5
```

#### 18.4.2. Checking the Status of the Cluster for REST Client

Besides the above operations, you can check the status of your cluster, an example of which is shown below.

```
$ curl -v http://127.0.0.1:5701/hazelcast/rest/cluster
```

The response is as follows:

```
< HTTP/1.1 200 OK
{
  "members": [
    {
      "address": "[127.0.0.1]:5701",
      "liteMember": false,
      "localMember": true,
      "uuid": "73f5d6ad-7b51-4e74-bd74-15b2e7de7edd",
      "memberVersion": "4.0.0"
   },
    {
      "address": "[127.0.0.1]:5702",
      "liteMember": false,
      "localMember": false,
      "uuid": "e8b41ac6-9db9-43f1-9e98-8b0392891560",
      "memberVersion": "4.0.0"
   },
    {
      "address": "[127.0.0.1]:5703",
      "liteMember": false,
      "localMember": false,
      "uuid": "c6929312-d4d3-4527-83bc-474c229394d6",
      "memberVersion": "4.0.0"
    }
  ],
  "connectionCount": 1,
  "allConnectionCount": 3
}
```

## 18.4.3. Checking the Name of the Instance for REST Client

Additionally, you can check the name of any instance of your cluster. An example is shown below.

```
$ curl -v http://127.0.0.1:5701/hazelcast/rest/instance
```

The response is as follows:

```
< HTTP/1.1 200 OK
< Content-Length: 27
{"name":"adoring_brattain"}
```

RESTful access is provided through any member of your cluster. You can even put an HTTP loadbalancer in front of your cluster members for load balancing and fault tolerance.



You need to handle the failures on REST polls as there is no transactional guarantee.

# 18.5. Memcache Client



Hazelcast Memcache Client only supports ASCII protocol. Binary Protocol is not supported.

A Memcache client written in any language can talk directly to a Hazelcast cluster. No additional configuration is required.

To be able to use a Memcache client, you must enable the Memcache client request listener service using either one of the following configuration options:

1. Using the **network** configuration element:

```
<hazelcast>
...
<network>
<memcache-protocol enabled="true"/>
</network>
...
</hazelcast>
```

2. Using the advanced-network configuration element:

```
<hazelcast>
....
<advanced-network>
<advanced-network>
<advanced-network="memcache">
<advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache"></advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache">memcache</advanced-network="memcache"</advanced-network="memcache">memcache</advanced-network="memcache"</advanced-network="memcache">memcache</ad
```

## 18.5.1. Memcache Client Code Examples

Assume that your cluster members are as shown below.

```
Members [5] {
    Member [10.20.17.1:5701]
    Member [10.20.17.2:5701]
    Member [10.20.17.4:5701]
    Member [10.20.17.3:5701]
    Member [10.20.17.5:5701]
}
```

Assume that you have a PHP application that uses PHP Memcache client to cache things in Hazelcast. All you need to do is have your PHP Memcache client connect to one of these members. It does not matter which member the client connects to because the Hazelcast cluster looks like one giant machine (Single System Image). Here is a PHP client code example.

```
<?php
  $memcache = new Memcache;
  $memcache->connect( '10.20.17.1', 5701 ) or die ( "Could not connect" );
  $memcache->set( 'key1', 'value1', 0, 3600 );
  $get_result = $memcache->get( 'key1' ); // retrieve your data
  var_dump( $get_result ); // show it
?>
```

Notice that Memcache client connects to 10.20.17.1 and uses port 5701. Here is a Java client code example with SpyMemcached client:

```
MemcachedClient client = new MemcachedClient(
        AddrUtil.getAddresses( "10.20.17.1:5701 10.20.17.2:5701" ) );
client.set( "key1", 3600, "value1" );
System.out.println( client.get( "key1" ) );
```

If you want your data to be stored in different maps, for example to utilize per map configuration, you can do that with a map name prefix as in the following example code.

```
MemcachedClient client = new MemcachedClient(
    AddrUtil.getAddresses( "10.20.17.1:5701 10.20.17.2:5701" ) );
    client.set( "map1:key1", 3600, "value1" ); // store to *hz_memcache_map1
    client.set( "map2:key1", 3600, "value1" ); // store to hz_memcache_map2
    System.out.println( client.get( "key1" ) ); // get from hz_memcache_map1
    System.out.println( client.get( "key2" ) ); // get from hz_memcache_map2
```

**hz\_memcache prefix**\\_ separates Memcache maps from Hazelcast maps. If no map name is given, it is stored in a default map named **hz\_memcache\_default**.

An entry written with a Memcache client can be read by another Memcache client written in another language.

## 18.5.2. Unsupported Operations for Memcache

- CAS operations are not supported. In operations that get CAS parameters, such as append, CAS values are ignored.
- Only a subset of statistics are supported. Below is the list of supported statistic values.
  - cmd\_set
  - cmd\_get
  - incr\_hits
  - incr\_misses
  - decr\_hits
  - decr\_misses

# 18.6. Python Client

Python Client implementation for Hazelcast. It is implemented using the Hazelcast Open Binary Client Protocol.

See Hazelcast Python client's GitHub repo for its documentation and code samples.

# 18.7. Node.js Client

Node.js Client implementation for Hazelcast. It is implemented using the Hazelcast Open Binary Client Protocol.

See Hazelcast Node.js client's GitHub repo for its documentation and code samples.

# 18.8. Go Client

Go Client implementation for Hazelcast. It is implemented using the Hazelcast Open Binary Client Protocol.

See Hazelcast Go client's GitHub repo for its documentation and code samples.

# 18.9. Scala

The API for Hazelcast Scala is based on Scala 2.11 and Hazelcast 3.6/3.7/3.8 releases. However, these are not hard dependencies provided that you include the relevant Hazelcast dependencies.

See Hazelcast Scala's GitHub repo for its documentation.

# **19. Serialization**

Hazelcast needs to serialize the Java objects that you put into Hazelcast because Hazelcast is a distributed system. The data and its replicas are stored in different partitions on multiple cluster

members. The data you need may not be present on the local member, and in that case, Hazelcast retrieves that data from another member. This requires serialization.

Serialization is used in the following cases:

- Adding key/value objects to a map
- Putting items in a queue/set/list
- Sending a runnable using an executor service
- Processing an entry within a map
- Locking an object
- Sending a message to a topic

Hazelcast optimizes the serialization for the basic types and their array types. You cannot override this behavior.

The following are the default types:

- Byte, Boolean, Character, Short, Integer, Long, Float, Double, String
- byte[], boolean[], char[], short[], int[], long[], float[], double[], String[]
- java.util.Date, java.math.BigInteger, java.math.BigDecimal, java.lang.Class

Hazelcast optimizes all of the above object types. You do not need to worry about their (de)serializations.

# **19.1. Serialization Interface Types**

For complex objects, use the following interfaces for serialization and deserialization:

- java.io.Serializable: See the Implementing Java Serializable and Externalizable section.
- java.io.Externalizable: See the Implementing Java Externalizable section.
- com.hazelcast.nio.serialization.DataSerializable: See the Implementing DataSerializable section.
- com.hazelcast.nio.serialization.IdentifiedDataSerializable: See the IdentifiedDataSerializable section.
- com.hazelcast.nio.serialization.Portable: See the Implementing Portable Serialization section.
- Custom Serialization (using StreamSerializer and ByteArraySerializer).
- Global Serializer: See the Global Serializer section for details.

When Hazelcast serializes an object:

- 1. It first checks whether the object is null.
- 2. If the above check fails, then Hazelcast checks if it is an instance of com.hazelcast.nio.serialization.DataSerializable or com.hazelcast.nio.serialization.IdentifiedDataSerializable.

- 3. If the above check fails, then Hazelcast checks if it is an instance of com.hazelcast.nio.serialization.Portable.
- 4. If the above check fails, then Hazelcast checks if it is an instance of one of the default types (see the Serialization chapter introduction for default types).
- 5. If the above check fails, then Hazelcast looks for a user-specified Custom Serializer, i.e. an implementation of ByteArraySerializer or StreamSerializer. Custom serializer is searched using the input Object's Class and its parent class up to Object. If parent class search fails, all interfaces implemented by the class are also checked (excluding java.io.Serializable and java.io.Externalizable).
- 6. If the above check fails, then Hazelcast checks if it is an instance of java.io.Serializable or java.io.Externalizable and a Global Serializer is not registered with Java Serialization Override feature.
- 7. If the above check fails, Hazelcast uses the registered Global Serializer if one exists.

If all of the above checks fail, then serialization fails. When a class implements multiple interfaces, the above steps are important to determine the serialization mechanism that Hazelcast uses. When a class definition is required for any of these serializations, you need to have all the classes needed by the application on your classpath because Hazelcast does not download them automatically, unless you are using user code deployment.

# **19.2. Comparing Serialization Interfaces**

The table below provides a comparison between the interfaces listed in the previous section to help you in deciding which interface to use in your applications.

Serializ ation Interfac e	Advantages	Drawbacks
Serializa ble	<ul> <li>A standard and basic Java interface</li> <li>Requires no implementation</li> </ul>	<ul><li>More time and CPU usage</li><li>More space occupancy</li><li>Not supported by Native clients</li></ul>
External izable	<ul> <li>A standard Java interface</li> <li>More CPU and memory usage efficient than Serializable</li> </ul>	<ul><li>Serialization interface must be implemented</li><li>Not supported by Native clients</li></ul>
DataSeri alizable	• More CPU and memory usage efficient than Serializable	<ul><li>Specific to Hazelcast</li><li>Not supported by Native clients</li></ul>

Serializ ation Interfac e	Advantages	Drawbacks
Identifie dDataSe rializabl e	<ul> <li>More CPU and memory usage efficient than Serializable</li> <li>Reflection is not used during deserialization</li> <li>Supported by all Native Clients</li> </ul>	<ul> <li>Specific to Hazelcast</li> <li>Serialization interface must be implemented</li> <li>A Factory and configuration must be implemented</li> </ul>
Portable	<ul> <li>More CPU and memory usage efficient than Serializable</li> <li>Reflection is not used during deserialization</li> <li>Versioning is supported</li> <li>Partial deserialization is supported during Queries</li> <li>Supported by all Native Clients</li> </ul>	<ul> <li>Specific to Hazelcast</li> <li>Serialization interface must be implemented</li> <li>A Factory and configuration must be implemented</li> <li>Class definition is also sent with data but stored only once per class</li> </ul>
Custom Serializa tion	<ul> <li>Does not require class to implement an interface</li> <li>Convenient and flexible</li> <li>Can be based on StreamSerializer ByteArraySerializer</li> </ul>	<ul> <li>Serialization interface must be implemented</li> <li>Plug in and configuration is required</li> </ul>

Let's dig into the details of the above serialization mechanisms in the following sections.

# 19.3. Implementing Java Serializable and Externalizable

A class often needs to implement the java.io.Serializable interface; native Java serialization is the easiest way to do serialization.

Let's take a look at the example code below for Java Serializable.

```
public class Employee implements Serializable {
    private static final long serialVersionUID = 1L;
    private String surname;

    public Employee( String surname ) {
        this.surname = surname;
    }
}
```

Here, the fields that are non-static and non-transient are automatically serialized. To eliminate class compatibility issues, it is recommended that you add a serialVersionUID, as shown above. Also, when you are using methods that perform byte-content comparisons, such as IMap.replace(), and if byte-content of equal objects is different, you may face unexpected behaviors. For example, if the class relies on a hash map, the replace method may fail. The reason for this is the hash map is a serialized data structure with unreliable byte-content.

## 19.3.1. Implementing Java Externalizable

Hazelcast also supports java.io.Externalizable. This interface offers more control on the way fields are serialized or deserialized. Compared to native Java serialization, it also can have a positive effect on performance. With java.io.Externalizable, there is no need to add serialVersionUID.

Let's take a look at the example code below.

```
public class Employee implements Externalizable {
    private String surname;
    public Employee(String surname) {
        this.surname = surname;
    }
    @Override
    public void readExternal( ObjectInput in )
      throws IOException, ClassNotFoundException {
        this.surname = in.readUTF();
    }
    @Override
    public void writeExternal( ObjectOutput out )
      throws IOException {
        out.writeUTF(surname);
   }
}
```

You explicitly perform writing and reading of fields. Perform reading in the same order as writing.

# 19.4. Implementing DataSerializable

As mentioned in Implementing Java Serializable & Externalizable, Java serialization is an easy mechanism. However, it does not control how fields are serialized or deserialized. Moreover, Java serialization can lead to excessive CPU loads since it keeps track of objects to handle the cycles and streams class descriptors. These are performance decreasing factors; thus, serialized data may not have an optimal size.

The DataSerializable interface of Hazelcast overcomes these issues. Here is an example of a class implementing the com.hazelcast.nio.serialization.DataSerializable interface.

```
public class Address implements DataSerializable {
    private String street;
    private int zipCode;
    private String city;
    private String state;
    public Address() {}
    //getters setters..
    public void writeData( ObjectDataOutput out ) throws IOException {
        out.writeUTF(street);
        out.writeInt(zipCode);
        out.writeUTF(city);
        out.writeUTF(state);
    }
    public void readData( ObjectDataInput in ) throws IOException {
        street = in.readUTF();
        zipCode = in.readInt();
        city = in.readUTF();
        state = in.readUTF();
   }
}
```

## 19.4.1. Reading and Writing and DataSerializable

Let's take a look at another example which encapsulates a DataSerializable field.

Since the address field itself is DataSerializable, it calls address.writeData(out) when writing and address.readData(in) when reading. Also note that you should have writing and reading of the fields occur in the same order. When Hazelcast serializes a DataSerializable, it writes the className first. When Hazelcast deserializes it, className is used to instantiate the object using reflection.

```
public class Employee implements DataSerializable {
    private String firstName;
    private String lastName;
    private int age;
    private double salary;
    private Address address; //address itself is DataSerializable
    public Employee() {}
    //getters setters..
    public void writeData( ObjectDataOutput out ) throws IOException {
        out.writeUTF(firstName);
        out.writeUTF(lastName);
        out.writeInt(age);
        out.writeDouble (salary);
        address.writeData (out);
    }
    public void readData( ObjectDataInput in ) throws IOException {
        firstName = in.readUTF();
        lastName = in.readUTF();
        age = in.readInt();
        salary = in.readDouble();
        address = new Address();
        // since Address is DataSerializable let it read its own internal state
        address.readData(in);
   }
}
```

As you can see, since the address field itself is DataSerializable, it calls address.writeData(out) when writing and address.readData(in) when reading. Also note that you should have writing and reading of the fields occur in the same order. While Hazelcast serializes a DataSerializable, it writes the className first. When Hazelcast deserializes it, className is used to instantiate the object using reflection.



Since Hazelcast needs to create an instance during the deserialization, DataSerializable class has a no-arg constructor.



DataSerializable is a good option if serialization is only needed for in-cluster communication.



DataSerializable is not supported by non-Java clients as it uses Java reflection. If you need non-Java clients, please use IdentifiedDataSerializable or Portable.

## 19.4.2. IdentifiedDataSerializable

For a faster serialization of objects, avoiding reflection and long class names, Hazelcast recommends you implement com.hazelcast.nio.serialization.IdentifiedDataSerializable which is a slightly better version of DataSerializable.

DataSerializable uses reflection to create a class instance, as mentioned in Implementing DataSerializable. But IdentifiedDataSerializable uses a factory for this purpose and it is faster during deserialization, which requires new instance creations.

#### getClassId and getFactoryId Methods

IdentifiedDataSerializable extends DataSerializable and introduces the following methods:

- int getClassId();
- int getFactoryId();

IdentifiedDataSerializable uses getClassId() instead of class name and it uses getFactoryId() to load the class when given the id. To complete the implementation, you should also implement com.hazelcast.nio.serialization.DataSerializableFactory and register it into SerializationConfig, which can be accessed from Config.getSerializationConfig(). Factory's responsibility is to return an instance of the right IdentifiedDataSerializable object, given the id. This is currently the most efficient way of Serialization that Hazelcast supports off the shelf.

#### Implementing IdentifiedDataSerializable

Let's take a look at the following example code and configuration to see IdentifiedDataSerializable in action.

```
public class Employee
    implements IdentifiedDataSerializable {
   private String surname;
    public Employee() {}
    public Employee( String surname ) {
        this.surname = surname;
    }
   @Override
    public void readData( ObjectDataInput in )
      throws IOException {
        this.surname = in.readUTF();
   }
   @Override
    public void writeData( ObjectDataOutput out )
      throws IOException {
        out.writeUTF( surname );
   }
   @Override
    public int getFactoryId() {
        return EmployeeDataSerializableFactory.FACTORY_ID;
    }
   @Override
    public int getClassId() {
        return EmployeeDataSerializableFactory.EMPLOYEE_TYPE;
    }
   @Override
    public String toString() {
        return String.format( "Employee(surname=%s)", surname );
    }
}
```

The methods getClassId and getFactoryId return a unique positive number within the EmployeeDataSerializableFactory. Now, let's create an instance of this EmployeeDataSerializableFactory.

```
public class EmployeeDataSerializableFactory
implements DataSerializableFactory{
    public static final int FACTORY_ID = 1;
    public static final int EMPLOYEE_TYPE = 1;
    @Override
    public IdentifiedDataSerializable create(int typeId) {
        if ( typeId == EMPLOYEE_TYPE ) {
            return new Employee();
        } else {
            return null;
        }
    }
}
```

The only method you should implement is create, as seen in the above example. It is recommended that you use a switch-case statement instead of multiple if-else blocks if you have a lot of subclasses. Hazelcast throws an exception if null is returned for typeId.

#### Registering EmployeeDataSerializableFactory

As the last step, you need to register EmployeeDataSerializableFactory declaratively (declare in the configuration file hazelcast.xml) as shown below. Note that factory-id has the same value of FACTORY\_ID in the above code. This is crucial to enable Hazelcast to find the correct factory.

```
<hazelcast>
....
<serialization>
<data-serializable-factories>
<data-serializable-factory factory-id="1">
EmployeeDataSerializable-factory factory-id="1">
ComployeeDataSerializable-factory factory f
```



See the Serialization Configuration Wrap-Up section for a full description of Hazelcast Serialization configuration.

When using a client/server deployment, you also need to register the implemented factory on the client side. For a Java client, the process is the same as described above to be performed in the client configuration, e.g., hazelcast-client.xml. For the other Hazelcast clients, see the following for details:

• .NET

- C++
- Node.js
- Python
- Go

# **19.5. Implementing Portable Serialization**

As an alternative to the existing serialization methods, Hazelcast offers a language/platform independent Portable serialization that has the following advantages:

- support for multi-version of the same object type
- fetching individual fields without having to rely on reflection
- queries and indexing support without deserialization and/or reflection

In order to support these features, a serialized Portable object contains meta information like the version and the concrete location of the each field in the binary data. This way, Hazelcast navigates in the byte[] and deserializes only the required field without actually deserializing the whole object. This improves the Query performance.

With multi-version support, you can have two cluster members where each has different versions of the same object. Hazelcast stores both meta information and uses the correct one to serialize and deserialize Portable objects depending on the member. This is very helpful when you are doing a rolling upgrade without shutting down the cluster.

Portable serialization is totally language independent and is used as the binary protocol between Hazelcast server and clients.

## 19.5.1. Portable Serialization Example Code

Here is example code for Portable implementation of a Foo class.

```
public class Foo implements Portable {
    final static int ID = 5;
    private String foo;
    public String getFoo() {
        return foo;
    }
    public void setFoo( String foo ) {
        this.foo = foo;
    }
    @Override
    public int getFactoryId() {
        return 1;
    }
    @Override
    public int getClassId() {
        return ID;
    }
    @Override
    public void writePortable( PortableWriter writer ) throws IOException {
        writer.writeUTF( "foo", foo );
    }
    @Override
    public void readPortable( PortableReader reader ) throws IOException {
        foo = reader.readUTF( "foo" );
    }
}
```

Similar to IdentifiedDataSerializable, a Portable Class must provide classId and factoryId. The Factory object creates the Portable object given the classId.

An example Factory could be implemented as follows:

```
public class MyPortableFactory implements PortableFactory {
    @Override
    public Portable create( int classId ) {
        if ( Foo.ID == classId )
            return new Foo();
        else
            return null;
    }
}
```

## **19.5.2. Registering the Portable Factory**

The last step is to register the Factory to the SerializationConfig. Below are the programmatic and declarative configurations for this step.

```
Config config = new Config();
config.getSerializationConfig().addPortableFactory( 1, new MyPortableFactory() );
```

```
<hazelcast>
....
<serialization>
<portable-version>0</portable-version>
<portable-factories>
<portable-factory factory-id="1">
com.hazelcast.nio.serialization.MyPortableFactory
<portable-factory>
</portable-factory>
</portable-factories>
</serialization>
....
</hazelcast>
```

Note that the id that is passed to the SerializationConfig is the same as the factoryId that the Foo class returns.

When using a client/server deployment, you also need to register the implemented factory on the client side. For a Java client, the process is the same as described above to be performed in the client configuration, e.g., hazelcast-client.xml. For the other Hazelcast clients, see the following for details:

- .NET
- C++
- Node.js
- Python
- Go

## 19.5.3. Versioning for Portable Serialization

More than one version of the same class may need to be serialized and deserialized. For example, a client may have an older version of a class and the member to which it is connected may have a newer version of the same class.

Portable serialization supports versioning. It is a global versioning, meaning that all portable classes that are serialized through a member get the globally configured portable version.

You can declare Version in the configuration file hazelcast.xml using the portable-version element, as shown below.

```
<hazelcast>
....
<serialization>
<portable-version>1</portable-version>
<portable-factories>
<portable-factory factory-id="1">
Portable-factory factory-id="1">
Portable-factory factory-id="1">
</portable-factory factory factory-id="1">
</portable-factory factory factory factory factory id="1"</p>
```

You can also use the interface VersionedPortable which enables to upgrade the version per class, instead of global versioning. If you need to update only one class, you can use this interface. In this case, your class should implement VersionedPortable instead of Portable, and you can give the desired version using the method VersionedPortable.getClassVersion().

You should consider the following when you perform versioning:

- It is important to change the version whenever an update is performed in the serialized fields of a class, for example by incrementing the version.
- If a client performs a Portable deserialization on a field and then that Portable is updated by removing that field on the cluster side, this may lead to a problem.
- Portable serialization does not use reflection and hence, fields in the class and in the serialized content are not automatically mapped. Field renaming is a simpler process. Also, since the class ID is stored, renaming the Portable does not lead to problems.
- Types of fields need to be updated carefully. Hazelcast performs basic type upgradings, such as int to float.

#### **Example Portable Versioning Scenarios**

Assume that a new member joins to the cluster with a class that has been modified and class' version has been upgraded due to this modification.

• If you modified the class by adding a new field, the new member's put operations include that

new field. If this new member tries to get an object that was put from the older members, it gets null for the newly added field.

- If you modified the class by removing a field, the old members get null for the objects that are put by the new member.
- If you modified the class by changing the type of a field, the error IncompatibleClassChangeError is generated unless the change was made on a built-in type or the byte size of the new type is less than or equal to the old one. The following are examples of allowed type conversions:
  - long  $\rightarrow$  int, byte, char, short
  - int → byte, char, short

If you have not modify a class at all, it works as usual.

## 19.5.4. Ordering Consistency for writePortable

Independent of the member-member or member-client communications, the method writePortable() of the classes that implement Portable should be consistent. This means, the fields listed under the method writePortable() should be in the same order for all involved members and/or clients.

Let's consider the following Employee class:

```
class EmployeePortable implements Portable {
    private String name;
    private int age;
    public EmployeePortable() {
    }
    public EmployeePortable(int age, String name) {
        this.age = age;
        this.name = name;
    }
    public int getFactoryId() {
        return 666;
    }
    public int getClassId() {
        return 2;
    }
    public void writePortable(PortableWriter writer) throws IOException {
        writer.writeUTF("n", name);
        writer.writeInt("a", age);
    }
    public void readPortable(PortableReader reader) throws IOException {
        name = reader.readUTF("n");
        age = reader.readInt("a");
    }
    public int getAge() {
        return age;
    }
}
```

As you see in the above example, first the name and then the age is written. This order should be preserved in other members or clients.

## 19.5.5. Null Portable Serialization

Be careful with serializing null portables. Hazelcast lazily creates a class definition of portable internally when the user first serializes. This class definition is stored and used later for deserializing that portable class. When the user tries to serialize a null portable when there is no class definition at the moment. Hazelcast throws exception an saving that com.hazelcast.nio.serialization.HazelcastSerializationException: Cannot write null portable without explicitly registering class definition!.

There are two solutions to get rid of this exception. Either put a non-null portable class of the same

type before any other operation, or manually register a class definition in serialization configuration as shown below.

## 19.5.6. DistributedObject Serialization

Putting a DistributedObject (Hazelcast Semaphore, Queue, etc.) in a cluster member and getting it from another one is not a straightforward operation. Passing the ID and type of the DistributedObject can be a solution. For deserialization, you can get the object from HazelcastInstance. For instance, if your object is an instance of IQueue, you can either use HazelcastInstance.getQueue(id) or Hazelcast.getDistributedObject.

You can use the HazelcastInstanceAware interface in the case of a deserialization of a Portable DistributedObject if it gets an ID to be looked up. HazelcastInstance is set after deserialization, so you first need to store the ID and then retrieve the DistributedObject using the setHazelcastInstance method.



See the Serialization Configuration Wrap-Up section for a full description of Hazelcast Serialization configuration elements.

## **19.6. Custom Serialization**

Hazelcast lets you plug in a custom serializer for serializing your objects. You can use StreamSerializer and ByteArraySerializer interfaces for this purpose.

#### 19.6.1. Implementing StreamSerializer

You can use a stream to serialize and deserialize data by using StreamSerializer. This is a good option for your own implementations. It can also be adapted to external serialization libraries like Kryo, JSON and protocol buffers.

#### StreamSerializer Example Code 1

First, let's create a simple object.

```
public class EmployeeSS {
    private String surname;
    private String name;

    public EmployeeSS( String surname ) {
        this.surname = surname;
    }

    public String getSurname() {
        return surname;
    }
    public String getName() {
        return name;
    }
}
```

Now, let's implement StreamSerializer for Employee class.

```
public class EmployeeStreamSerializer
        implements StreamSerializer<EmployeeSS> {
    @Override
    public int getTypeId () {
        return 1;
    }
    @Override
    public void write( ObjectDataOutput out, EmployeeSS employee )
            throws IOException {
       out.writeUTF(employee.getSurname());
    }
    @Override
    public EmployeeSS read( ObjectDataInput in )
            throws IOException {
        String surname = in.readUTF();
        return new EmployeeSS(surname);
    }
    @Override
    public void destroy () {
    }
}
```

In practice, classes may have many fields. Just make sure the fields are read in the same order as they are written. The type ID must be unique and greater than or equal to **1**. Uniqueness of the type ID enables Hazelcast to determine which serializer is used during deserialization.

As the last step, let's register the EmployeeStreamSerializer in the configuration file hazelcast.xml, as shown below.

```
<hazelcast>
....
.serialization>
.serializers>
.serializer type-class="EmployeeSS" class-name="EmployeeStreamSerializer"
/>
....
....
....
....
....
....
....
```



StreamSerializer cannot be created for well-known types, such as Long and String and primitive arrays. Hazelcast already registers these types.

#### StreamSerializer Example Code 2

Let's take a look at another example implementing StreamSerializer.

```
public class Foo {
    private String foo;
    public String getFoo() {
        return foo;
    }
    public void setFoo( String foo ) {
        this.foo = foo;
    }
}
```

Assume that our custom serialization serializes Foo into XML. First you need to implement a com.hazelcast.nio.serialization.StreamSerializer. A very simple one that uses XMLEncoder and XMLDecoder could look like the following:

```
public class FooXmlSerializer implements StreamSerializer<Foo> {
    @Override
    public int getTypeId() {
        return 10;
    }
    public void write( ObjectDataOutput out, Foo object ) throws IOException {
        ByteArrayOutputStream bos = new ByteArrayOutputStream();
        XMLEncoder encoder = new XMLEncoder( bos );
        encoder.writeObject( object );
        encoder.close();
        out.write( bos.toByteArray() );
   }
    public Foo read( ObjectDataInput in ) throws IOException {
        InputStream inputStream = (InputStream) in;
        XMLDecoder decoder = new XMLDecoder( inputStream );
        return (Foo) decoder.readObject();
    }
    public void destroy() {
    }
}
```

#### **Configuring StreamSerializer**

Note that typeId must be unique because Hazelcast uses it to look up the StreamSerializer while it deserializes the object. The last required step is to register the StreamSerializer in your Hazelcast configuration. Below are the programmatic and declarative configurations for this step.

```
SerializerConfig sc = new SerializerConfig()
    .setImplementation(new FooXmlSerializer())
    .setTypeClass(Foo.class);
Config config = new Config();
config.getSerializationConfig().addSerializerConfig(sc);
```

From now on, this Hazelcast example will use FooXmlSerializer to serialize Foo objects. In this way, you can write an adapter (StreamSerializer) for any Serialization framework and plug it into Hazelcast.



See the Serialization Configuration Wrap-Up section for a full description of Hazelcast Serialization configuration elements.

## 19.6.2. Implementing ByteArraySerializer

ByteArraySerializer exposes the raw ByteArray used internally by Hazelcast. It is a good option if the serialization library you are using deals with ByteArrays instead of streams.

Let's implement ByteArraySerializer for the Employee class mentioned in Implementing StreamSerializer.

```
public class EmployeeByteArraySerializer
        implements ByteArraySerializer<EmployeeSS> {
    @Override
    public void destroy () {
    }
   @Override
    public int getTypeId () {
        return 1;
    }
    @Override
    public byte[] write( EmployeeSS object )
            throws IOException {
        return object.getName().getBytes();
    }
   @Override
    public EmployeeSS read( byte[] buffer )
            throws IOException {
        String surname = new String( buffer );
        return new EmployeeSS( surname );
    }
}
```

#### **Configuring ByteArraySerializer**

As usual, let's register the EmployeeByteArraySerializer in the configuration file hazelcast.xml, as shown below.

```
<hazelcast>
...
<serialization>
<serializers>
<serializer type-class="Employee">EmployeeByteArraySerializer</serializer>
</serializers>
</serialization>
...
</hazelcast>
```



See the Serialization Configuration Wrap-Up section for a full description of Hazelcast Serialization configuration elements.

# 19.7. Global Serializer

The global serializer is identical to custom serializers from the implementation perspective. The global serializer is registered as a fallback serializer to handle all other objects if a serializer cannot be located for them.

By default, the global serializer does not handle java.io.Serializable and java.io.Externalizable instances. However, you can configure it to be responsible for those instances.

A custom serializer should be registered for a specific class type. The global serializer handles all class types if all the steps in searching for a serializer fail as described in Serialization Interface Types.

The following are some use cases:

- Third party serialization frameworks can be integrated using the global serializer.
- For your custom objects, you can implement a single serializer to handle all of them.
- You can replace the internal Java serialization by enabling the overrideJavaSerialization option of the global serializer configuration.

Any custom serializer can be used as the global serializer. See the Custom Serialization section for implementation details.



To function properly, Hazelcast needs the Java serializable objects to be handled correctly. If the global serializer is configured to handle the Java serialization, the global serializer must properly serialize/deserialize the java.io.Serializable instances. Otherwise, it causes Hazelcast to malfunction.

## 19.7.1. Example Global Serializer

An example global serializer that integrates with a third party serializer is shown below.

```
public class GlobalStreamSerializer
    implements StreamSerializer<Object> {
   private SomeThirdPartySerializer someThirdPartySerializer;
    private init() {
        //someThirdPartySerializer = ...
    }
   @Override
    public int getTypeId () {
        return 123;
    }
   @Override
    public void write( ObjectDataOutput out, Object object ) throws IOException {
       byte[] bytes = someThirdPartySerializer.encode(object);
       out.writeByteArray(bytes);
    }
   @Override
    public Object read( ObjectDataInput in ) throws IOException {
        byte[] bytes = in.readByteArray();
        return someThirdPartySerializer.decode(bytes);
   }
   @Override
    public void destroy () {
        someThirdPartySerializer.destroy();
   }
}
```

Now, we can register the global serializer in the configuration file hazelcast.xml, as shown below.

```
<hazelcast>
...
<serialization>
<serializers>
<global-serializer override-java-serialization="true"
>GlobalStreamSerializer</global-serializer>
</serializers>
</serialization>
...
</hazelcast>
```

# 19.8. Implementing HazelcastInstanceAware

You can implement the HazelcastInstanceAware interface to access distributed objects for cases where an object is deserialized and needs access to HazelcastInstance.

Let's implement it for the Employee class mentioned in the Custom Serialization section.

```
public class PersonAwr implements Serializable, HazelcastInstanceAware {
    private static final long serialVersionUID = 1L;
    private String name;
    private transient HazelcastInstance hazelcastInstance;
   PersonAwr(String name) {
        this.name = name;
    }
    public HazelcastInstance getHazelcastInstance() {
        return hazelcastInstance;
    }
    @Override
    public void setHazelcastInstance(HazelcastInstance hz) {
        this.hazelcastInstance = hz;
        System.out.println("hazelcastInstance set");
    }
    @Override
    public String toString() {
        return String.format("Person(name=%s)", name);
    }
}
```

After deserialization, the object is checked to see if it implements HazelcastInstanceAware and the method setHazelcastInstance is called. Notice the hazelcastInstance is transient. This is because this field should not be serialized.

It may be a good practice to inject a HazelcastInstance into a domain object, e.g., Employee in the above example, when used together with Runnable/Callable implementations. These runnables/callables are executed by IExecutorService which sends them to another machine. And after a task is deserialized, run/call method implementations need to access HazelcastInstance.

We recommend you only set the HazelcastInstance field while using setHazelcastInstance method and you not execute operations on the HazelcastInstance. The reason is that when HazelcastInstance is injected for a HazelcastInstanceAware implementation, it may not be up and running at the injection time.

# **19.9. Untrusted Deserialization Protection**

Hazelcast offers a Java deserialization protection based on whitelisting and blacklisting the class/package names. These listings support prefixes.

This protection is controlled using the configuration element java-serialization-filter under serialization, as shown in the example below. op

```
<hazelcast>
    . . .
    <serialization>
        <java-serialization-filter defaults-disabled="true">
            <whitelist>
        <class>example.Foo</class>
        <package>com.acme.app</package>
        <prefix>com.hazelcast.</package>
        <prefix>java.</package>
        <prefix>javax.</package>
        cprefix>[</package>
            </whitelist>
            <blacklist>
                <class>com.acme.app.BeanComparator</class>
            </blacklist>
        </java-serialization-filter>
    </serialization>
    . . .
</hazelcast>
```

As an alternative, you can also configure it programmatically using the JavaSerializationFilterConfig object, as shown in the below example:

```
Config config = new Config();
JavaSerializationFilterConfig javaSerializationFilterConfig = new
JavaSerializationFilterConfig();
javaSerializationFilterConfig.getWhitelist().addClasses(SomeDeserialized.class.getName
());
config.getSerializationConfig().setJavaSerializationFilterConfig(javaSerializationFilt
erConfig);
```



Untrusted deserialization protection is not enabled by default. You can enable it simply by setting the element java-serialization-filter or using a non-null JavaSerializationFilterConfig object.

The protection uses a whitelist as the default configuration. When this list is not explicitly provided, the following default prefixes are used for the whitelist:

• java

- com.hazelcast.
- [ (for primitives and arrays)

If you do not want to use the default whitelist prefixes, you must set the defaults-disabled attribute to true.

Once the protection is enabled, the following filtering rules are used when the objects are deserialized:

- When whitelist is not provided:
  - if the deserialized object's getClass().getName() is blacklisted or getClass().getPackage().getName() is blacklisted, then deserialization fails
  - deserialization is allowed otherwise.
- When whitelist is provided:
  - if the deserialized object's getClass().getName() or getClass().getPackage().getName() is blacklisted, then deserialization fails
  - if the deserialized object's getClass().getName() or getClass().getPackage().getName() is whitelisted, then deserialization is allowed
  - deserialization fails otherwise.

When deserialization fails, a SecurityException is thrown.



Note that the safest way to provide a protection against untrusted deserialization is using whitelisting (also keep in mind that maintaining such a whitelist can be difficult).

# 19.10. Serialization Configuration Wrap-Up

This section summarizes the configuration of serialization options, explained in the above sections, into all-in-one examples. The following are example serialization configurations.

**Declarative Configuration:** 

```
<hazelcast>
    . . .
    <serialization>
        <portable-version>2</portable-version>
        <use-native-byte-order>true</use-native-byte-order>
        <byte-order>BIG ENDIAN</byte-order>
        <enable-compression>true</enable-compression>
        <enable-shared-object>false</enable-shared-object>
        <allow-unsafe>true</allow-unsafe>
        <data-serializable-factories>
            <data-serializable-factory factory-id="1001">
                abc.xyz.Class
            </data-serializable-factory>
        </data-serializable-factories>
        <portable-factories>
            <portable-factory factory-id="9001">
                xyz.abc.Class
            </portable-factory>
        </portable-factories>
        <serializers>
            <plobal-serializer>abc.Class</plobal-serializer>
            <serializer type-class="Employee" class-name="com.EmployeeSerializer">
            </serializer>
        </serializers>
        <check-class-def-errors>true</check-class-def-errors>
    </serialization>
    . . .
</hazelcast>
```

**Programmatic Configuration:** 

Serialization configuration has the following elements.

• portable-version: Defines versioning of the portable serialization. Portable version differentiates two of the same classes that have changes, such as adding/removing field or

changing a type of a field.

- use-native-byte-order: Set to true to use native byte order for the underlying platform. Its default value is false.
- byte-order: Defines the byte order that the serialization uses: BIG\_ENDIAN or LITTLE\_ENDIAN. Its default value is BIG\_ENDIAN.
- enable-compression: Enables compression if default Java serialization is used. Its default value is false.
- enable-shared-object: Enables shared object if default Java serialization is used. Its default value is false.
- allow-unsafe: Set to true to allow unsafe to be used. Its default value is false.
- data-serializable-factory: Custom classes implementing com.hazelcast.nio.serialization.DataSerializableFactory to be registered. These can be used to speed up serialization/deserialization of objects.
- portable-factory: The PortableFactory class to be registered.
- global-serializer: The global serializer class to be registered if no other serializer is applicable. This element has the optional boolean attribute override-java-serialization. If set to true, the Java serialization step is assumed to be handled by the global serializer. Java Serializable and Externalizable is prior to global serializer by default (false).
- serializer: The class name of the serializer implementation.
- check-class-def-errors: When set to true, the serialization system checks for class definitions error at start and throws a Serialization Exception with an error definition.

# 20. Management

This chapter provides information on managing and monitoring your Hazelcast cluster. It gives detailed instructions related to gathering statistics, monitoring via JMX protocol and managing the cluster with useful utilities.

# **20.1. Getting Member Statistics**

You can get various statistics from your distributed data structures via the Statistics API. Since the data structures are distributed in the cluster, the Statistics API provides statistics for the local portion (1/Number of Members in the Cluster) of data on each member.

# 20.1.1. Map Statistics

To get local map statistics, use the getLocalMapStats() method from the IMap interface. This method returns a LocalMapStats object that holds local map statistics.

Below is an example code where the getLocalMapStats() method and the getOwnedEntryCount() method get the number of entries owned by this member.



Since Hazelcast IMDG 3.8 getOwnedEntryMemoryCost() method is now supported for NATIVE in-memory format as well.

The following are some of the metrics that you can access via the LocalMapStats object:

- Number of entries owned by the member (getOwnedEntryCount()).
- Number of backup entries held by the member (getBackupEntryCount()).
- Number of backups per entry (getBackupCount()).
- Memory cost (number of bytes) of owned entries in the member (getOwnedEntryMemoryCost()).
- Creation time of the map on the member (getCreationTime()).
- Number of hits (reads) of the locally owned entries (getHits()).
- Number of get and put operations on the map (getPutOperationCount() and getGetOperationCount()).
- Number of queries executed on the map (getQueryCount() and getIndexedQueryCount()) (it may be imprecise for queries involving partition predicates (PartitionPredicate) on the off-heap storage).

See the LocalMapStats Javadoc to see all the metrics.

### 20.1.2. Map Index Statistics

To access map index statistics, if you are using indexes to speed up map queries, use the getIndexStats() method of the LocalMapStats interface returned by IMap.getLocalMapStats().

Below is an example where the getIndexStats() method is used to examine an average selectivity of index hits:

The following are some of the metrics that you can obtain via the LocalIndexStats interface:

• Number of queries and hits into an index (getQueryCount() and getHitCount()): Number of hits

and queries may differ since a single query may hit the same index more than once.

- Average index hit latency measured in nanoseconds (getAverageHitLatency())
- Average index hit selectivity (getAverageHitSelectivity): Returned values are in the range from 0.0 to 1.0. Values close to 1.0 indicate a high selectivity meaning the index is efficient; values close to 0.0 indicate a low selectivity meaning the index efficiency is approaching an efficiency of a simple full scan.
- Number of index insert, update and remove operations (getInsertCount(), getUpdateCount() and getRemoveCount()).
- Total latencies of insert, update and remove operations (getTotalInsertLatency(), getTotalUpdateLatency(), getTotalRemoveLatency()): To compute an average latency divide the returned value by the number of operations of a corresponding type.
- Memory cost of an index (getMemoryCost()): For on-heap storages, this memory cost metric value is a best-effort approximation and doesn't indicate a precise on-heap memory usage of an index.

See the LocalIndexStats Javadoc to see all the metrics.

To compute an aggregated value of getAverageHitSelectivity() for all cluster members, you can use a simple averaging computation as shown below:

(s(1) + s(2) + ... + s(n)) / n

In this computation, s(i) is an average hit selectivity on the member i and n is the total number of cluster members.

A more advanced solution is to compute a weighted average as shown below:

$$(s(1) * h(1) + s(2) * h(2) + ... + s(n) * h(n)) / (h(1) + h(2) + ... + h(n))$$

Here, s(i) is an average hit selectivity on the member i, h(i) is a hit count (getHitCount()) on the member i and n is the total number of cluster members. This more advanced solution may produce more precise results in unstable dynamic clusters where new members do not have enough statistics accumulated. The same technique may be applied to the getAverageHitLatency() metric.

Accuracy and reliability notes:

- The values returned by getAverageHitSelectivity() have an accuracy of around 1% for on-heap storages.
- The values returned by getQueryCount() and getHitCount() may be imprecise for queries involving partition predicates (PartitionPredicate) on off-heap storage.
- The index statistics may be imprecise after a new cluster member addition or the existing member removal until enough fresh statistics is accumulated on a new owner of an index or its partition.

# 20.1.3. Near Cache Statistics

To get Near Cache statistics, use the getNearCacheStats() method from the LocalMapStats object. This method returns a NearCacheStats object that holds Near Cache statistics.

Below is an example code where the getNearCacheStats() method and the getRatio method from NearCacheStats get a Near Cache hit/miss ratio.

The following are some of the metrics that you can access via the NearCacheStats object (applies to both client and member Near Caches):

- creation time of the Near Cache on the member (getCreationTime())
- number of entries owned by the member (getOwnedEntryCount())
- memory cost (number of bytes) of owned entries in the Near Cache (getOwnedEntryMemoryCost())
- number of hits (reads) of the locally owned entries (getHits())

See the NearCacheStats Javadoc to see all the metrics.

## 20.1.4. Multimap Statistics

To get MultiMap statistics, use the getLocalMultiMapStats() method from the MultiMap interface. This method returns a LocalMultiMapStats object that holds local MultiMap statistics.

Below is an example code where the getLocalMultiMapStats() method and the getLastUpdateTime method from LocalMultiMapStats get the last update time.

The following are some of the metrics that you can access via the LocalMultiMapStats object:

- number of entries owned by the member (getOwnedEntryCount())
- number of backup entries held by the member (getBackupEntryCount())
- number of backups per entry (getBackupCount())
- memory cost (number of bytes) of owned entries in the member (getOwnedEntryMemoryCost())

- creation time of the multimap on the member (getCreationTime())
- number of hits (reads) of the locally owned entries (getHits())
- number of get and put operations on the map (getPutOperationCount() and getGetOperationCount())

See the LocalMultiMapStats Javadoc to see all the metrics.

## 20.1.5. Queue Statistics

To get local queue statistics, use the getLocalQueueStats() method from the IQueue interface. This method returns a LocalQueueStats object that holds local queue statistics.

Below is an example code where the getLocalQueueStats() method and the getAverageAge method from LocalQueueStats get the average age of items.

The following are some of the metrics that you can access via the `LocalQueueStats ` object:

- number of owned items in the member (getOwnedItemCount())
- number of backup items in the member (getBackupItemCount())
- minimum and maximum ages of the items in the member (getMinAge() and getMaxAge())
- number of offer, put and add operations (getOfferOperationCount())

See the LocalQueueStats Javadoc to see all the metrics.

## 20.1.6. Topic Statistics

To get local topic statistics, use the getLocalTopicStats() method from the ITopic interface. This method returns a LocalTopicStats object that holds local topic statistics.

Below is an example code where the getLocalTopicStats() method and the getPublishOperationCount method from LocalTopicStats get the number of publish operations.

The following are the metrics that you can access via the `LocalTopicStats ` object:

- creation time of the topic on the member (getCreationTime())
- total number of published messages of the topic on the member (getPublishOperationCount())
- total number of received messages of the topic on the member (getReceiveOperationCount())

See the LocalTopicStats Javadoc to see all the metrics.

## **20.1.7. Executor Statistics**

To get local executor statistics, use the getLocalExecutorStats() method from the IExecutorService interface. This method returns a LocalExecutorStats object that holds local executor statistics.

Below is an example code where the getLocalExecutorStats() method and the getCompletedTaskCount method from LocalExecutorStats get the number of completed operations of the executor service.

The following are some of the metrics that you can access via the `LocalExecutorStats ` object:

- number of pending operations of the executor service (getPendingTaskCount())
- number of started operations of the executor service (getStartedTaskCount())
- number of completed operations of the executor service (getCompletedTaskCount())

See the LocalExecutorStats Javadoc to see all the metrics.

# 20.2. JMX API per Member

Hazelcast members expose various management beans which include statistics about distributed data structures and the states of Hazelcast member internals.

The metrics are local to the members, i.e., they do not reflect cluster wide values.

You can find the JMX API definition below with descriptions and the API methods in parenthesis.

#### Atomic Long (IAtomicLong)

- Name ( name )
- Current Value ( currentValue )
- Set Value ( set(v) )
- Add value and Get ( addAndGet(v) )
- Compare and Set (compareAndSet(e,v))

- Decrement and Get ( decrementAndGet() )
- Get and Add (getAndAdd(v))
- Get and Increment ( getAndIncrement() )
- Get and Set (getAndSet(v))
- Increment and Get ( incrementAndGet() )
- Partition key (partitionKey)

#### Atomic Reference ( IAtomicReference )

- Name ( name )
- Partition key (partitionKey)

#### Countdown Latch ( ICountDownLatch )

- Name ( name )
- Current count ( count)
- Countdown ( countDown() )
- Partition key (partitionKey)

#### Executor Service ( IExecutorService )

- Local pending operation count ( localPendingTaskCount )
- Local started operation count ( localStartedTaskCount )
- Local completed operation count ( localCompletedTaskCount )
- Local cancelled operation count ( localCancelledTaskCount )
- Local total start latency ( localTotalStartLatency )
- Local total execution latency ( localTotalExecutionLatency )

### List (IList)

- Name ( name )
- Clear list ( clear )

#### Lock ( ILock )

- Name ( name )
- Lock Object ( lockObject )
- Partition key (partitionKey)

#### Map ( IMap )

- Name ( name )
- Size ( size )

- Config (config)
- Owned entry count ( localOwnedEntryCount )
- Owned entry memory cost ( localOwnedEntryMemoryCost )
- Backup entry count ( localBackupEntryCount )
- Backup entry cost ( localBackupEntryMemoryCost )
- Backup count ( localBackupCount )
- Creation time ( localCreationTime )
- Last access time ( localLastAccessTime )
- Last update time ( localLastUpdateTime )
- Hits (localHits)
- Locked entry count ( localLockedEntryCount )
- Dirty entry count ( localDirtyEntryCount )
- Put operation count ( localPutOperationCount )
- Get operation count ( localGetOperationCount )
- Remove operation count ( localRemoveOperationCount )
- Total put latency ( localTotalPutLatency )
- Total get latency ( localTotalGetLatency )
- Total remove latency ( localTotalRemoveLatency )
- Max put latency ( localMaxPutLatency )
- Max get latency ( localMaxGetLatency )
- Max remove latency ( localMaxRemoveLatency )
- Event count ( localEventOperationCount )
- Other (keySet, entrySet etc..) operation count ( localOtherOperationCount )
- Total operation count ( localTotal )
- Heap Cost ( localHeapCost )
- Clear(clear())
- Values (values(p))
- Entry Set ( entrySet(p) )

#### MultiMap ( MultiMap )

- Name ( name )
- Size ( size )
- Owned entry count ( localOwnedEntryCount )
- Owned entry memory cost ( localOwnedEntryMemoryCost )
- Backup entry count ( localBackupEntryCount )

- Backup entry cost ( localBackupEntryMemoryCost )
- Backup count ( localBackupCount )
- Creation time ( localCreationTime )
- Last access time ( localLastAccessTime )
- Last update time ( localLastUpdateTime )
- Hits ( localHits )
- Locked entry count ( localLockedEntryCount )
- Put operation count ( localPutOperationCount )
- Get operation count ( localGetOperationCount )
- Remove operation count ( localRemoveOperationCount )
- Total put latency ( localTotalPutLatency )
- Total get latency ( localTotalGetLatency )
- Total remove latency ( localTotalRemoveLatency )
- Max put latency ( localMaxPutLatency )
- Max get latency ( localMaxGetLatency )
- Max remove latency ( localMaxRemoveLatency )
- Event count ( localEventOperationCount )
- Other (keySet, entrySet etc..) operation count ( localOtherOperationCount )
- Total operation count ( localTotal )
- Clear ( clear() )

## Replicated Map ( ReplicatedMap )

- Name ( name )
- Size ( size )
- Config(config)
- Owned entry count ( localOwnedEntryCount )
- Creation time ( localCreationTime )
- Last access time ( localLastAccessTime )
- Last update time ( localLastUpdateTime )
- Hits ( localHits )
- Put operation count ( localPutOperationCount )
- Get operation count ( localGetOperationCount )
- Remove operation count ( localRemoveOperationCount )
- Total put latency ( localTotalPutLatency )
- Total get latency ( localTotalGetLatency )

- Total remove latency ( localTotalRemoveLatency )
- Max put latency ( localMaxPutLatency )
- Max get latency ( localMaxGetLatency )
- Max remove latency ( localMaxRemoveLatency )
- Event count ( localEventOperationCount )
- Other (keySet, entrySet etc..) operation count ( localOtherOperationCount )
- Total operation count ( localTotal )
- Clear(clear())
- Values (values())
- Entry Set ( entrySet() )

#### Queue ( IQueue )

- Name ( name )
- Config ( QueueConfig )
- Partition key (partitionKey)
- Owned item count ( localOwnedItemCount )
- Backup item count ( localBackupItemCount )
- Minimum age ( localMinAge )
- Maximum age ( localMaxAge )
- Average age ( localAverageAge )
- Offer operation count ( localOfferOperationCount )
- Rejected offer operation count ( localRejectedOfferOperationCount )
- Poll operation count ( localPollOperationCount )
- Empty poll operation count ( localEmptyPollOperationCount )
- Other operation count ( localOtherOperationsCount )
- Event operation count ( localEventOperationCount )
- Clear(clear())

#### Semaphore (ISemaphore)

- Name ( name )
- Available permits ( available )
- Partition key (partitionKey)
- Drain (drain())
- Shrink available permits by given number ( reduce(v) )
- Release given number of permits (release(v))

#### Set ( ISet )

- Name ( name )
- Partition key (partitionKey)
- Clear(clear())

#### Topic (ITopic)

- Name ( name )
- Config(config)
- Creation time ( localCreationTime )
- Publish operation count ( localPublishOperationCount )
- Receive operation count ( localReceiveOperationCount )

#### Hazelcast Instance ( HazelcastInstance )

- Name ( name )
- Version (version)
- Build ( build )
- Configuration ( config )
- Configuration source ( configSource )
- Cluster name ( clusterName )
- Network Port ( port )
- Cluster-wide Time ( clusterTime )
- Size of the cluster ( memberCount )
- List of members (Members)
- Running state (running)
- Shutdown the member ( shutdown() )
- Node ( HazelcastInstance.Node )
- Address ( address )
- Master address ( masterAddress )
- Partition Service (HazelcastInstance.PartitionServiceMBean)
  - Partition count (partitionCount)
  - Active partition count ( activePartitionCount )
  - Cluster Safe State ( isClusterSafe )
  - LocalMember Safe State ( isLocalMemberSafe )
- Connection Manager (HazelcastInstance.ConnectionManager)
  - Client connection count ( clientConnectionCount )

- Active connection count ( activeConnectionCount )
- Connection count ( connectionCount )
- System Executor ( HazelcastInstance.ManagedExecutorService )
  - Name ( name )
  - Work queue size ( queueSize )
  - Thread count of the pool ( poolSize )
  - $\circ\,$  Maximum thread count of the pool ( <code>maximumPoolSize</code> )
  - Remaining capacity of the work queue ( remainingQueueCapacity )
  - Is shutdown ( isShutdown )
  - Is terminated ( isTerminated )
  - Completed task count ( completedTaskCount )
- Async Executor (HazelcastInstance.ManagedExecutorService)
  - $\circ~$  Name ( name )
  - Work queue size ( queueSize )
  - Thread count of the pool ( poolSize )
  - Maximum thread count of the pool (maximumPoolSize)
  - Remaining capacity of the work queue ( remainingQueueCapacity )
  - Is shutdown ( isShutdown )
  - Is terminated ( isTerminated )
  - Completed task count ( completedTaskCount )
- Scheduled Executor (HazelcastInstance.ManagedExecutorService)
  - Name ( name )
  - Work queue size ( queueSize )
  - Thread count of the pool ( poolSize )
  - Maximum thread count of the pool (maximumPoolSize)
  - Remaining capacity of the work queue ( remainingQueueCapacity )
  - Is shutdown ( isShutdown )
  - Is terminated ( isTerminated )
  - Completed task count ( completedTaskCount )
- Client Executor ( HazelcastInstance.ManagedExecutorService )
  - Name ( name )
  - Work queue size ( queueSize )
  - Thread count of the pool ( poolSize )
  - Maximum thread count of the pool (maximumPoolSize)
  - Remaining capacity of the work queue ( remainingQueueCapacity )

- Is shutdown ( isShutdown )
- Is terminated ( isTerminated )
- Completed task count ( completedTaskCount )
- Query Executor ( HazelcastInstance.ManagedExecutorService )
  - $\circ~$  Name ( name )
  - Work queue size ( queueSize )
  - Thread count of the pool ( poolSize )
  - Maximum thread count of the pool (maximumPoolSize)
  - Remaining capacity of the work queue ( remainingQueueCapacity )
  - Is shutdown ( isShutdown )
  - Is terminated ( isTerminated )
  - Completed task count ( completedTaskCount )
- I/O Executor ( HazelcastInstance.ManagedExecutorService )
  - $\circ~$  Name ( name )
  - Work queue size ( queueSize )
  - Thread count of the pool ( poolSize )
  - $\circ~$  Maximum thread count of the pool ( <code>maximumPoolSize</code> )
  - $\circ\,$  Remaining capacity of the work queue ( <code>remainingQueueCapacity</code> )
  - Is shutdown ( isShutdown )
  - Is terminated ( isTerminated )
  - Completed task count ( completedTaskCount )

# 20.3. Monitoring with JMX

You can monitor your Hazelcast members via the JMX protocol.

To achieve this, first add the following system properties to enable JMX agent:

- -Dcom.sun.management.jmxremote
- -Dcom.sun.management.jmxremote.port=\_portNo\\_ (to specify JMX port, the default is 1099) (optional)
- -Dcom.sun.management.jmxremote.authenticate=false (to disable JMX auth) (optional)

Then enable JMX by setting the property hazelcast.jmx property to true using one of the following ways:

• By declarative configuration:

```
<hazelcast>
...
<properties>
<property name="hazelcast.jmx">true</property>
</properties>
...
</hazelcast>
```

• By programmatic configuration:

```
config.setProperty("hazelcast.jmx", "true");
```

• By Spring XML configuration:

• By setting the system property -Dhazelcast.jmx=true

### 20.3.1. MBean Naming for Hazelcast Data Structures

Hazelcast set the naming convention for MBeans as follows:

```
final ObjectName mapMBeanName = new ObjectName(
"com.hazelcast:instance=_hzInstance_1_dev,type=IMap,name=trial");
```

The MBeans name consists of the Hazelcast instance name, the type of the data structure and that data structure's name. In the above example, <u>hzInstance\_1\_dev</u> is the instance name, we connect to an IMap with the name trial.

## 20.3.2. Connecting to JMX Agent

One of the ways you can connect to JMX agent is using jconsole, jvisualvm (with MBean plugin) or another JMX compliant monitoring tool.

The other way to connect is to use a custom JMX client.

First, you need to specify the URL where the Hazelcast JMX service is running. See the following code snippet:

```
// Parameters for connecting to the JMX Service
int port = 1099;
String hostname = InetAddress.getLocalHost().getHostName();
JMXServiceURL url = new JMXServiceURL("service:jmx:rmi://" + hostname + ":" + port +
"/jndi/rmi://" + hostname + ":" + port + "/jmxrmi");
```

The port in the above example should be the one that you define while setting the JMX remote port number (if different than the default port 1099).

Then use the URL you acquired to connect to the JMX service and get the JMXConnector object. Using this object, get the MBeanServerConnection object. The MBeanServerConnection object enables you to use the MBean methods. See the example code below.

```
// Connect to the JMX Service
JMXConnector jmxc = JMXConnectorFactory.connect(url, null);
MBeanServerConnection mbsc = jmxc.getMBeanServerConnection();
```

Once you get the MBeanServerConnection object, you can call the getter methods of MBeans as follows:

# 20.4. Using the REST Endpoint Groups

Hazelcast members exposes various REST endpoints and these are grouped. REST endpoint groups are as follows:

- CLUSTER\_READ
- CLUSTER\_WRITE
- HEALTH\_CHECK
- HOT\_RESTART
- WAN
- DATA
- CP

And the following table lists all the endpoints along with the groups they belong to.

Endpoint Group	Default	Endpoints
CLUSTER_READ	Enabled	<ul> <li>/hazelcast/rest/cluster</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/state</li> </ul>
		<ul> <li>/hazelcast/rest/license (GET)</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/version (GET)</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/nodes</li> </ul>
		<ul> <li>/hazelcast/rest/instance</li> </ul>

Endpoint Group	Default	Endpoints
CLUSTER_WRITE	Disabled	<ul> <li>/hazelcast/rest/management/cluster/changeState</li> </ul>
		<ul> <li>/hazelcast/rest/license (POST)</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/version (POST)</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/clusterShutdown</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/memberShutdown</li> </ul>
		<ul> <li>/hazelcast/rest/cp-subsystem/members/local</li> </ul>
		<ul> <li>/hazelcast/rest/cp-subsystem/groups</li> </ul>
		• /hazelcast/rest/cp-subsystem/groups/\${CPGROUP_NAME}
		<ul> <li>/hazelcast/rest/cp-subsystem/members</li> </ul>
		<ul> <li>/hazelcast/rest/cp- subsystem/groups/\${CPGROUP_NAME}/remove</li> </ul>
		<ul> <li>/hazelcast/rest/cp- subsystem/members/\${CPMEMBER_UUID}/remove</li> </ul>
		• /hazelcast/rest/cp-subsystem/restart
		<ul> <li>/hazelcast/rest/cp- subsystem/groups/\${CPGROUP_NAME}/sessions</li> </ul>
		<ul> <li>/hazelcast/rest/cp- subsystem/groups/\${CPGROUP_NAME}/sessions/\${CP_SESSION _ID}/remove</li> </ul>
		• /hazelcast/ (Other HTTP REST API operations)
HEALTH_CHECK	Enabled	• /hazelcast/health/node-state
		<ul> <li>/hazelcast/health/cluster-state</li> </ul>
		<ul> <li>/hazelcast/health/cluster-safe</li> </ul>
		<ul> <li>/hazelcast/health/migration-queue-size</li> </ul>
		<ul> <li>/hazelcast/health/cluster-size</li> </ul>
		<ul> <li>/hazelcast/health/ready</li> </ul>
HOT_RESTART	Disabled	<ul> <li>/hazelcast/rest/management/cluster/forceStart</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/partialStart</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/hotBackup</li> </ul>
		<ul> <li>/hazelcast/rest/management/cluster/hotBackupInterrupt</li> </ul>
WAN	Disabled	• /hazelcast/rest/wan/sync/map
		<ul> <li>/hazelcast/rest/wan/sync/allmaps</li> </ul>
		<ul> <li>/hazelcast/rest/wan/clearWanQueues</li> </ul>
		<ul> <li>/hazelcast/rest/wan/addWanConfig</li> </ul>
		<ul> <li>/hazelcast/rest/wan/pausePublisher</li> </ul>
		<ul> <li>/hazelcast/rest/wan/stopPublisher</li> </ul>
		<ul> <li>/hazelcast/rest/wan/resumePublisher</li> </ul>
		<ul> <li>/hazelcast/rest/wan/consistencyCheck/map</li> </ul>

Endpoint Group	Default	Endpoints
DATA	Disabled	<ul> <li>/hazelcast/rest/maps/</li> <li>/hazelcast/rest/queues/QUEUE_NAME/size</li> <li>/hazelcast/rest/queues/\$QUEUE_NAME/\$SECONDS</li> </ul>
СР	Disabled	<ul> <li>/hazelcast/rest/cp-subsystem/members/local</li> <li>/hazelcast/rest/cp-subsystem/groups</li> <li>/hazelcast/rest/cp-subsystem/groups/\${CPGROUP_NAME}</li> <li>/hazelcast/rest/cp-subsystem/members</li> <li>/hazelcast/rest/cp-subsystem/groups/\${CPGROUP_NAME}/remove</li> <li>/hazelcast/rest/cp-subsystem/removes</li> <li>/hazelcast/rest/cp-subsystem/reset</li> <li>/hazelcast/rest/cp-subsystem/reset</li> <li>/hazelcast/rest/cp-subsystem/groups/\${CPGROUP_NAME}/sessions</li> <li>/hazelcast/rest/cp-subsystem/groups/\${CPGROUP_NAME}/sessions</li> <li>/hazelcast/rest/cp-subsystem/groups/\${CPGROUP_NAME}/sessions/\${CP_SESSION_ID}/remove</li> </ul>

You can enable or disable any REST endpoint group using the following declarative configuration (HEALTH\_CHECK group is used as an example):

```
<hazelcast>
....
<network>
<rest-api enabled="true">
<rest-api enabled="true">
<rest-api enabled="HEALTH_CHECK" enabled="false"/>
</rest-api>
</network>
....
</hazelcast>
```

The following is the equivalent programmatic configuration:

```
RestApiConfig restApiConfig = new RestApiConfig()
         .setEnabled(false)
         .enableGroups(RestEndpointGroup.HEALTH_CHECK);
Config config = new Config();
config.getNetworkConfig().setRestApiConfig(restApiConfig);
```

Alternatively, you can also use the advanced-network element for the same purpose:

And the following is the equivalent programmatic configuration:

```
RestServerEndpointConfig restServerEndpointConfig = new RestServerEndpointConfig()
          .setEnabled(false);
          .enableGroups(RestEndpointGroup.HEALTH_CHECK);
Config config = new Config();
config.getAdvancedNetworkConfig().setRestEndpointConfig(restServerEndpointConfig);
```



See the Advanced Network Configuration section for more information on the advanced-network element.

When you enable or disable a REST endpoint group, all the endpoints in that group are enabled or disabled, respectively. For the examples above, we disabled the endpoints belonging to the HEALTH\_CHECK endpoint group.

# 20.5. Cluster Utilities

This section provides information on programmatic utilities you can use to listen to the cluster events, to change the state of your cluster, to check whether the cluster and/or members are safe before shutting down a member and to define the minimum number of cluster members required for the cluster to remain up and running. It also gives information on the Hazelcast Lite Member.

# 20.5.1. Getting Member Events and Member Sets

Hazelcast allows you to register for membership events so that you are notified when members are added or removed. You can also get the set of cluster members.

The following example code does the above: registers for member events, notifies when members are added or removed and gets the set of cluster members.

```
public class ExampleGetMemberEventsAndSets {
    public static void main(String[] args) {
        HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
        Cluster cluster = hazelcastInstance.getCluster();
        cluster.addMembershipListener( new MembershipListener() {
            public void memberAdded( MembershipEvent membershipEvent ) {
                System.out.println( "MemberAdded " + membershipEvent );
            }
            public void memberRemoved( MembershipEvent membershipEvent ) {
                System.out.println( "MemberRemoved " + membershipEvent );
            }
       });
        Member localMember = cluster.getLocalMember();
        System.out.println ( "my inetAddress= " + localMember.getInetAddress() );
        Set setMembers = cluster.getMembers();
        for ( Member member : setMembers ) {
            System.out.println( "isLocalMember " + member.localMember() );
            System.out.println( "member.inetaddress " + member.getInetAddress() );
            System.out.println( "member.port " + member.getPort() );
       }
   }
}
```



See the Membership Listener section for more information on membership events.

## 20.5.2. Managing Cluster and Member States

This section explains the states of Hazelcast clusters and members which you can use to allow or restrict the designated cluster/member operations.

#### **Cluster States**

By changing the state of your cluster, you can allow/restrict several cluster operations or change the behavior of those operations. You can use the methods changeClusterState() and shutdown() which are in the Cluster interface to change your cluster's state.

Hazelcast clusters have the following states:

- ACTIVE: This is the default cluster state. Cluster continues to operate without restrictions.
- NO\_MIGRATION:
  - In this state, there is no data movement between Hazelcast members. It means that when there is a member crash or a new member in the cluster, there won't be any partition rebalancing, partition backup replica creation or migration.

Please note that promoting a backup replica to the primary replica is a local operation and does not involve any data movement between cluster members. Hence, backup promotions occur on member crashes when the cluster is in this mode. Upon a member crash, all other members that keep backup replicas of the crashed member promote backup replicas to the primary replica role and restore availability. However, there is a limitation here. Since the maximum number of backups is 6, if you lose 7 members in your large cluster, you can lose availability of the partitions whose primary and backup replicas are mapped to those crashed members.

- $\,\circ\,$  The cluster accepts new members.
- All other operations are allowed.
- You cannot change the state of a cluster to NO\_MIGRATION when migration/replication tasks are being performed.
- When you want to add multiple new members to the cluster, you can first change the cluster state to NO\_MIGRATION, then start the new members. Once all of them join to the cluster, you can change the cluster state back to ACTIVE. Then, the cluster rebalances partition replica distribution at once.

• FROZEN:

- $\,\circ\,$  In this state, the partition table is frozen and partition assignments are not performed.
- $\,\circ\,$  The cluster does not accept new members.
- If a member leaves, it can join back. Its partition assignments (both primary and backup replicas) remain the same until either it joins back or the cluster state is changed to ACTIVE. When it joins back to the cluster, it owns all previous partition assignments as it was. On the other hand, when the cluster state changes to ACTIVE, re-partitioning starts and unassigned partition replicas are assigned to the active members.
- All other operations in the cluster, except migration, continue without restrictions.
- You cannot change the state of a cluster to FROZEN when migration/replication tasks are being performed.
- You can make use of FROZEN state along with the Hot Restart Persistence feature. You can change the cluster state to FROZEN, then restart some of your members using the Hot Restart feature. The data on the restarting members will not be accessible but you will be able to access to the data that is stored in other members. Basically, FROZEN cluster state allows you do perform maintenance on your members with degrading availability partially.

#### • PASSIVE:

- $\circ~$  In this state, the partition table is frozen and partition assignments are not performed.
- $\,\circ\,$  The cluster does not accept new members.
- If a member leaves while the cluster is in this state, the member will be removed from the partition table if cluster state moves back to ACTIVE.
- This state rejects ALL operations immediately EXCEPT the read-only operations like map.get() and cache.get(), replication and cluster heartbeat tasks.
- You cannot change the state of a cluster to PASSIVE when migration/replication tasks are being performed.

- You can make use of PASSIVE state along with the Hot Restart Persistence feature. See the Cluster Shutdown API for more info.
- IN\_TRANSITION:
  - $\circ~$  This state shows that the state of the cluster is in transition.
  - You cannot set your cluster's state as IN\_TRANSITION explicitly.
  - It is a temporary and intermediate state.
  - During this state, your cluster does not accept new members and migration/replication tasks are paused.



All in-cluster methods are fail-fast, i.e., when a method fails in the cluster, it throws an exception immediately (it is not retried).

The following snippet is from the Cluster interface showing the methods used to manage your cluster's states.

```
public interface Cluster {
    ClusterState getClusterState();
    void changeClusterState(ClusterState newState);
    void changeClusterState(ClusterState newState, TransactionOptions)
transactionOptions);
    void shutdown();
    void shutdown(TransactionOptions transactionOptions);
```

See the Cluster interface Javadoc for information on these methods.

#### **Cluster Member States**

Hazelcast cluster members have the following states:

- ACTIVE: This is the initial member state. The member can execute and process all operations. When the state of the cluster is ACTIVE or FROZEN, the members are in the ACTIVE state.
- **PASSIVE**: In this state, member rejects all operations EXCEPT the read-only ones, replication and migration operations, heartbeat operations and the join operations as explained in the Cluster States section above. A member can go into this state when either of the following happens:
  - 1. Until the member's shutdown process is completed after the method Node.shutdown(boolean) is called. Note that, when the shutdown process is completed, member's state changes to SHUT\_DOWN.
  - 2. Cluster's state is changed to PASSIVE using the method changeClusterState().
- SHUT\_DOWN: A member goes into this state when the member's shutdown process is completed. The member in this state rejects all operations and invocations. A member in this state cannot be restarted.

# 20.5.3. Using the cluster.sh Script

You can use the script **cluster.sh**, which comes with the Hazelcast package, to get/change the state of your cluster, to shutdown your cluster and to force your cluster to clean its persisted data and make a fresh start. The latter is the Force Start operation of Hazelcast's Hot Restart Persistence feature. See the Force Start section.



The script cluster.sh uses curl command and curl must be installed to be able to use the script.

The script cluster.sh takes the following parameters to operate according to your needs. If these parameters are not provided, the default values are used.

Parameter	Default Value	Description
-o oroperation	get-state	<ul> <li>Executes a cluster-wide operation. Operations can be the following:</li> <li>IMDG Open Source operations: get-state, change-state, shutdown and get-cluster-version.</li> <li>IMDG Enterprise operations: force-start, partial-start and change-cluster-version.</li> </ul>
-s orstate	None	Updates the state of the cluster to a new state. New state can be active, no_migration, frozen, passive. This is used with the operation change-state. This parameter has no default value; when you use this, you should provide a valid state.
-a oraddress	127.0.0.1	Defines the IP address of a cluster member. If you want to manage your cluster remotely, you should use this parameter to provide the IP address of a member to this script.
-porport	5701	Defines on which port Hazelcast is running on the local or remote machine.
-c orclustername	dev	Defines the name of a cluster which is used for a simple authentication. See the Creating Clusters section.
-P orpassword	dev-pass	Defines the password of a cluster (valid only for Hazelcast releases older than 3.8.2). See the Creating Clusters section.

Parameter	Default Value	Description
-vorversion	no argument expected	Defines the cluster version to change to. It is used in conjunction with the change-cluster-version operation.
-d ordebug	no argument expected	Prints error output.
https	no argument expected	Uses HTTPS protocol for REST calls.
cacert	set of well-known CA certificates	Defines trusted PEM-encoded certificate file path. It's used to verify member certificates.
cert	None	Defines PEM-encoded client certificate file path. Only needed when client certificate authentication is used.
key	None	Defines PEM-encoded client private key file path. Only needed when client certificate authentication is used.
insecure	no argument expected	Disables member certificate verification.

The script cluster.sh is self-documented; you can see the parameter descriptions using the command ./cluster.sh -h or ./cluster.sh --help.



You can perform the above operations using the Hot Restart tab of Hazelcast Management Center or using the REST API. See the Hot Restart and Using REST API for Cluster Management sections in the Hazelcast Management Center Reference Manual.

#### Example Usages for cluster.sh

Let's say you have a cluster running on remote machines and one Hazelcast member is running on the IP 172.16.254.1 and on the port 5702. The cluster name and password of the cluster are test and test.

#### Getting the cluster state:

To get the state of the cluster, use the following command:

./cluster.sh -o get-state -a 172.16.254.1 -p 5702 -g test -P test

The following also gets the cluster state, using the alternative parameter names, e.g., --port instead of -p:

```
./cluster.sh --operation get-state --address 172.16.254.1 --port 5702 --clustername test --password test
```

#### Changing the cluster state:

To change the state of the cluster to **frozen**, use the following command:

./cluster.sh -o change-state -s frozen -a 172.16.254.1 -p 5702 -g test -P test

Similarly, you can use the following command for the same purpose:

```
./cluster.sh --operation change-state --state frozen --address 172.16.254.1 --port 5702
--clustername test --password test
```

#### Shutting down the cluster:

To shutdown the cluster, use the following command:

./cluster.sh -o shutdown -a 172.16.254.1 -p 5702 -g test -P test

Similarly, you can use the following command for the same purpose:

```
./cluster.sh --operation shutdown --address 172.16.254.1 --port 5702 --clustername test --password test
```

#### Partial starting the cluster:

To partial start the cluster when Hot Restart is enabled, use the following command:

./cluster.sh -o partial-start -a 172.16.254.1 -p 5702 -g test -P test

Similarly, you can use the following command for the same purpose:

```
./cluster.sh --operation partial-start --address 172.16.254.1 --port 5702 --clustername test
--password test
```

#### Force starting the cluster:

To force start the cluster when Hot Restart is enabled, use the following command:

./cluster.sh -o force-start -a 172.16.254.1 -p 5702 -g test -P test

Similarly, you can use the following command for the same purpose:

```
./cluster.sh --operation force-start --address 172.16.254.1 --port 5702 --clustername test
--password test
```

#### Getting the current cluster version:

To get the cluster version, use the following command:

./cluster.sh -o get-cluster-version -a 172.16.254.1 -p 5702 -g test -P test

The following also gets the cluster state, using the alternative parameter names, e.g., --port instead of -p:

./cluster.sh --operation get-cluster-version --address 172.16.254.1 --port 5702 --clustername test --password test

#### Changing the cluster version:

See the Rolling Member Upgrades chapter to learn more about the cases when you should change

the cluster version.

To change the cluster version to X.Y, use the following command:

```
./cluster.sh -o change-cluster-version -v X.Y -a 172.16.254.1 -p 5702 -g test -P test
```

The cluster version is always in the major .minor format, e.g., 3.12. Using other formats results in a failure.

#### Calls against the TLS protected members (using HTTPS protocol):

When the member has TLS configured, use the --https argument to instruct cluster.sh to use the proper URL scheme:

```
./cluster.sh --https \
    --operation get-state --address member1.example.com --port 5701
```

If the default set of trusted certificate authorities is not sufficient, e.g, you use a self-signed certificate, you can provide a custom file with the root certificates:

```
./cluster.sh --https \
    --cacert /path/to/ca-certs.pem \
    --operation get-state --address member1.example.com --port 5701
```

When the TLS mutual authentication is enabled, you have to provide the client certificate and related private key:

```
./cluster.sh --https \
    --key privkey.pem \
    --cert cert.pem \
    --operation get-state --address member1.example.com --port 5701
```

0

Currently, this script is not supported on the Windows platforms.

## 20.5.4. Using REST API for Cluster Management

Besides the Management Center's Hot Restart tab and the script cluster.sh, you can also use REST API to manage your cluster's state. The following are the operations you can perform.



Some of the REST calls listed below need their REST endpoint groups to be enabled. See the Using the REST Endpoint Groups section on how to enable them.

Also note that the value of **\${PASSWORD}** in the following calls is checked only if the security is enabled in Hazelcast IMDG, i.e., if you have Hazelcast IMDG Enterprise Edition. If the security is disabled, the **\${PASSWORD}** can be left empty.

Table 6. REST API calls

#### IMDG Open Source commands

• Checking if a member is ready to be used:

When a member joins the cluster, you can check whether it is ready to be used with the following HTTP call. It should return the 200 status code, meaning that the member can be safely used. Otherwise, it returns the 503 status code indicating the member is not available yet. Only HTTP GET request method is supported.

curl http://127.0.0.1:\${PORT}/hazelcast/health/ready

• Getting the cluster state:

To get the state of the cluster, use the following command:

curl --data "\${CLUSTERNAME}&\${PASSWORD}"
http://127.0.0.1:\${PORT}/hazelcast/rest/management/cluster/state

• Changing the cluster state:

To change the state of the cluster to **frozen**, use the following command:

curl --data "\${CLUSTERNAME}&\${PASSWORD}&\${STATE}"
http://127.0.0.1:\${PORT}/hazelcast/rest/management/cluster/changeState

• Shutting down the cluster:

To shutdown the cluster, use the following command:

```
curl --data "${CLUSTERNAME}&${PASSWORD}"
http://127.0.0.1:${PORT}/hazelcast/rest/management/cluster/clusterShutdown
```

• Querying the current cluster version:

To get the current cluster version, use the following curl command:

\$ curl http://127.0.0.1:\${PORT}/hazelcast/rest/management/cluster/version
{"status":"success","version":"3.9"}

#### IMDG Enterprise commands

• Partial starting the cluster:

To partial start the cluster when Hot Restart is enabled, use the following command:

curl --data "\${CLUSTERNAME}&\${PASSWORD}"
http://127.0.0.1:\${PORT}/hazelcast/rest/management/cluster/partialStart/

• Force starting the cluster:

To force start the cluster when Hot Restart is enabled, use the following command:

```
curl --data "${CLUSTERNAME}&${PASSWORD}"
http://127.0.0.1:${PORT}/hazelcast/rest/management/cluster/forceStart/
```



You can also perform the above operations (partialStart and forceStart) using the Hot Restart tab of Hazelcast Management Center or using the script cluster.sh. See the Hot Restart and cluster.sh sections.

• Initiating Hot Backup:

To initiate the Hot Backup, use the following **curl** command:

curl --data "\${CLUSTERNAME}&\${PASSWORD}"
http://127.0.0.1:\${PORT}/hazelcast/rest/management/cluster/hotBackup

• Changing the cluster version:

To upgrade the cluster version, after having upgraded all members of your cluster to a new minor version, use the following curl command:

\$ curl --data "\${CLUSTERNAME}&\${PASSWORD}&\${CLUSTER\_VERSION}" http://127.0.0.1:\${PORT}/hazelcast/rest/management/cluster/version

For example, assuming the default cluster name and password, issue the following command to any member of the cluster to upgrade from cluster version 3.8 to 3.9:

```
$ curl --data "dev&dev-pass&3.9"
http://127.0.0.1:5701/hazelcast/rest/management/cluster/version
{"status":"success","version":"3.9"}
```

**20.5.5. Enabling Lite Members** Lite meners are the flazelcast cluster or using the script cluster. sh See the Rolling Member and cluster or using the script cluster. Sh See the Rolling Member are used mainly to execute tasks and register listeners and they do not have partitions.

You can form your cluster to include the regular Hazelcast members to store data and Hazelcast lite members to run heavy computations. The presence of the lite members do not affect the operations performed on the other members in the cluster. You can directly submit your tasks to the lite members, register listeners on them and invoke operations for the Hazelcast data structures on them such as map.put() and map.get().

### **Configuring Lite Members**

You can enable a cluster member to be a lite member using declarative or programmatic configuration.

## **Declarative Configuration:**

```
<hazelcast>
...
<lite-member enabled="true"/>
...
</hazelcast>
```

### **Programmatic Configuration:**

```
Config config = new Config();
config.setLiteMember(true);
```

## Promoting Lite Members to Data Member

Lite members can be promoted to data members using the **Cluster** interface. When they are promoted, cluster partitions are rebalanced and ownerships of some portion of the partitions are assigned to the newly promoted data members.

```
Config config = new Config();
config.setLiteMember(true);
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(config);
Cluster cluster = hazelcastInstance.getCluster();
cluster.promoteLocalLiteMember();
```



A data member cannot be downgraded to a lite member back.

# 20.5.6. Defining Member Attributes

You can define various member attributes on your Hazelcast members. You can use these member attributes to tag your members as may be required by your business logic.

To define a member attribute on a member, you can:

- provide MemberAttributeConfig to your Config object
- or provide the member attributes at runtime via attribute setter methods on the Member interface.

For example, you can tag your members with their CPU characteristics and you can route CPU intensive tasks to those CPU-rich members. Here is how you can do it:

```
public class ExampleMemberAttributes {
    public static void main(String[] args) {
        MemberAttributeConfig fourCore = new MemberAttributeConfig();
        memberAttributeConfig.setAttribute( "CPU_CORE_COUNT", "4" );
        MemberAttributeConfig twelveCore = new MemberAttributeConfig();
        memberAttributeConfig.setAttribute( "CPU_CORE_COUNT", "12" );
        MemberAttributeConfig twentyFourCore = new MemberAttributeConfig();
        memberAttributeConfig.setAttribute( "CPU CORE COUNT", "24" );
        Config member1Config = new Config();
        config.setMemberAttributeConfig( fourCore );
        Config member2Config = new Config();
        config.setMemberAttributeConfig( twelveCore );
        Config member3Config = new Config();
        config.setMemberAttributeConfig( twentyFourCore );
        HazelcastInstance member1 = Hazelcast.newHazelcastInstance( member1Config );
        HazelcastInstance member2 = Hazelcast.newHazelcastInstance( member2Config );
        HazelcastInstance member3 = Hazelcast.newHazelcastInstance( member3Config );
        IExecutorService executorService = member1.getExecutorService( "processor" );
        executorService.execute( new CPUIntensiveTask(), new MemberSelector() {
            @Override
            public boolean select(Member member) {
                int coreCount = Integer.parseInt(member.getAttribute( "CPU_CORE_COUNT"
));
                // Task will be executed at either member2 or member3
                if ( coreCount > 8 ) {
                    return true;
                }
                return false;
            }
        });
        HazelcastInstance member4 = Hazelcast.newHazelcastInstance();
        // We can also set member attributes at runtime.
        member4.setAttribute( "CPU_CORE_COUNT", "2" );
   }
}
```

For another example, you can tag some members with a filter so that a member in the cluster can load classes from those tagged members. See the User Code Deployment section for more information.

You can also define your member attributes through declarative configuration and start your member afterwards. Here is how you can use the declarative approach:

```
<hazelcast>
...
<member-attributes>
<attribute name="CPU_CORE_COUNT">4</attribute-name>
</member-attributes>
...
</hazelcast>
```

# 20.5.7. Safety Checking Cluster Members

To prevent data loss when shutting down a cluster member, Hazelcast provides a graceful shutdown feature. You perform this shutdown by calling the method HazelcastInstance.shutdown().

The oldest cluster member migrates all of the replicas owned by the shutdown-requesting member to the other running (not initiated shutdown) cluster members. After these migrations are completed, the shutting down member will not be the owner or a backup of any partition anymore. It means that you can shutdown any number of Hazelcast members in a cluster concurrently with no data loss.

Please note that the process of shutting down members waits for a predefined amount of time for the oldest member to migrate their partition replicas. You can specify this graceful shutdown timeout duration using the property hazelcast.graceful.shutdown.max.wait. Its default value is 10 minutes. If migrations are not completed within this duration, shutdown may continue nongracefully and lead to data loss. Therefore, you should choose your own timeout duration considering the size of data in your cluster.

#### **Ensuring Safe State with PartitionService**

With the improvements in graceful shutdown procedure in Hazelcast 3.7, the following methods are not needed to perform graceful shutdown. Nevertheless, you can use them to check the current safety status of the partitions in your cluster.

```
public interface PartitionService {
    ...
    boolean isClusterSafe();
    boolean isMemberSafe(Member member);
    boolean isLocalMemberSafe();
    boolean forceLocalMemberToBeSafe(long timeout, TimeUnit unit);
}
```

The method isClusterSafe checks whether the cluster is in a safe state. It returns true if there are no active partition migrations and all backups are in sync for each partition.

The method isMemberSafe checks whether a specific member is in a safe state. It checks if all backups of partitions of the given member are in sync with the primary ones. Once it returns true, the given member is safe and it can be shut down without data loss.

Similarly, the method isLocalMemberSafe does the same check for the local member. The method forceLocalMemberToBeSafe forces the owned and backup partitions to be synchronized, making the local member safe.

See here for more PartitionService code samples.

# 20.6. Metrics

Metrics are <string,value> key-value pairs of data that capture the runtime information about the members and clients in a Hazelcast cluster. Such a metric can be the number of entries stored in a particular IMap on a given member, JVM metrics like used heap, OS metrics like load average, and so on. The metrics system is responsible for collecting these metrics and making them available for the consumers of the metrics. There are a few hundred metrics collected during every metrics collection cycle by default, but the number of metrics grows as more features and data structures are used. This is because every data structure provides its own metrics. For example, if there are two IMaps used in a cluster, both IMaps produce their metrics on every member.

# 20.6.1. Configuring Metrics

You can configure the metrics system declaratively or programmatically. The following is an example declarative configuration with the default values, on the member side:

Note that all of the metrics configuration values can be overridden with system properties. The properties are are listed below:

- hazelcast.metrics.enabled: Enables the metrics collection if set to true, disables it otherwise.
- hazelcast.metrics.mc.enabled: Enables buffering the collected metrics for Management Center if set to true, disables it otherwise.
- hazelcast.metrics.mc.retention: Duration, in seconds, for which the metrics are retained for Management Center.
- hazelcast.metrics.jmx.enabled: Enables exposing the collected metrics over JMX if set to true, disables it otherwise.
- hazelcast.metrics.collection.frequency: Frequency, in seconds, of the metrics collection cycle.
- hazelcast.metrics.debug.enabled: Enables collecting debug metrics if set to true, disables it otherwise. Note that this can be set with system property only and is meant to be enabled only if diagnostics is enabled, since currently only diagnostics feature consumes the debug metrics.

The client configuration is very similar, it just lacks the Management Center configuration block

(management-center configuration element), as shown below. This is because the clients are not connected to Management Center and the client metrics are sent to Management Center through a member to which the client is connected.

```
<metrics enabled="true">
<jmx enabled="true"/>
<collection-frequency-seconds>5</collection-frequency-seconds>
</metrics>
```

Similarly to the member configuration, the client metrics configuration can be overridden with the following system properties:

- hazelcast.client.metrics.enabled: Enables the metrics collection if set to true, disables it otherwise.
- hazelcast.client.metrics.jmx.enabled: Enables exposing the collected metrics over JMX if set to true, disables it otherwise.
- hazelcast.client.metrics.collection.frequency: Frequency, in seconds, of the metrics collection cycle.
- hazelcast.client.metrics.debug.enabled: Enables collecting debug metrics if set to true, disables it otherwise. Note that this can be set with system property only and is meant to be enabled only if diagnostics is enabled, since currently only diagnostics feature consumes the debug metrics.

## 20.6.2. Metric Consumers

Metrics are part of and consumed by the following Hazelcast tools and interfaces:

- Management Center
- JMX
- Diagnostics

#### **Management Center**

Management Center receives the metrics used for building its view about the Hazelcast cluster from the metrics system. The members collect their metrics with the frequency defined with collection-frequency-seconds, which is by default once in every 5 seconds. Then it saves the collected metrics into a blob stored in an in-memory buffer. The blob then is retained for the time configured in the retention-seconds under the management-center configuration block. This is also 5 seconds by default, which means there is at most one blob stored by default. Management Center periodically reads out the metrics from this buffer, which frees up the heap occupied by the blob once it is consumed.

As mentioned earlier, the client metrics are also stored in these blobs on the member side with timestamps assigned to them on the client side.

### ЈМХ

The metrics are available on the JMX interface of the Hazelcast members and clients. The metrics are exposed under com.hazelcast/\$INSTANCE\_NAME/Metrics where \$INSTANCE\_NAME is the name of the member or client instance to which the JMX client is connected.

### Diagnostics

There are no diagnostics related settings in the metrics configuration section. See the Metrics section of the Diagnostics for the details.

### Version Compatibility

Note that the metric names may change between MINOR versions but not between PATCH versions.

## 20.6.3. Notes on the Performance

The metrics system is designed with care to make the least possible impact on the performance of the cluster. Since the metrics collection takes place periodically with a few seconds frequency, the main focus is keeping allocation rates and memory footprint at minimum. Therefore, the blobs that store the metrics for Management Center are stored in the memory in a compressed format. The measurements, that use multiple IMaps to scale up the number of metrics, show that one blob occupies only a few KBs and it grows above 10KB only if there are more than 1000 IMaps.

The allocation rate of a metric collection cycle is also low. With both Management Center and JMX consumers enabled, the allocation rate with 100 IMaps is below 256KB per cycle, and it grows above 1MB with 1000 IMaps. This means that metrics collection does not increase the frequency of the garbage collection (GC) noticeably.

While the metrics collection is considered GC friendly, it should be noted that the blobs are not recycled: configuring the retention time should be done with taking the frequency of the GC into account to prevent the blobs from getting promoted into the tenured region of the heap that in the end contributes to major GCs after time.

# 20.7. Diagnostics

Hazelcast offers an extended set of diagnostics plugins for both Hazelcast members and clients. A dedicated log file is used to write the diagnostics content, and a rolling file approach is used to prevent taking up too much disk space.

# 20.7.1. Enabling Diagnostics Logging

To enable diagnostics logging, you should specify the following properties on the member side:

```
-Dhazelcast.diagnostics.enabled=true

-Dhazelcast.diagnostics.metric.level=info

-Dhazelcast.diagnostics.invocation.sample.period.seconds=30

-Dhazelcast.diagnostics.pending.invocations.period.seconds=30

-Dhazelcast.diagnostics.slowoperations.period.seconds=30

-Dhazelcast.diagnostics.storeLatency.period.seconds=60
```

On the client side, you should specify the following properties:

```
-Dhazelcast.diagnostics.enabled=true
-Dhazelcast.diagnostics.metric.level=info
```

## 20.7.2. Diagnostics Log File

You can use the following property to specify the location of the diagnostics log file:

-Dhazelcast.diagnostics.directory=/your/log/directory

The name of the log file has the following format:

diagnostics-<host IP>#<port>-<unique ID>.log

The name of the log file can be prefixed with a custom string as shown below:

-Dhazelcast.diagnostics.filename.prefix=foobar

The content format of the diagnostics log file is depicted below:

A rolling file approach is used to prevent creating too much data. By default 10 files of 50MB each are allowed to exist. The size of the file can be changed using the following property:

```
-Dhazelcast.diagnostics.max.rolled.file.size.mb=100
```

You can also set the number of files using the following property:

-Dhazelcast.diagnostics.max.rolled.file.count=5

### 20.7.3. Diagnostics Plugins

As it is stated in the introduction of this section and shown in the log file content above, diagnostics utility consists of plugins such as BuildInfo, SystemProperties and HazelcastInstance.

### BuildInfo

It shows the detailed Hazelcast build information including the Hazelcast release number, Git revision number and whether you have Hazelcast IMDG Enterprise or not.

### **SystemProperties**

It shows all the properties and their values in your system used by and configured for your Hazelcast installation. These are the properties starting with java (excluding java.awt), hazelcast, sun and os. It also includes the arguments that are used to startup the JVM.

### ConfigProperties

It shows the Hazelcast properties and their values explicitly set by you either on the command line (with -D) or by using declarative/programmatic configuration.

### Metrics

It shows a comprehensive log of what is happening in your Hazelcast system.

You can configure the frequency of dumping information to the log file using the following property:

• hazelcast.diagnostics.metrics.period.seconds: Set a value in seconds. Its default value is 60 seconds.

### SlowOperations

It shows the slow operations and invocations, See the SlowOperationDetector section for more information.

### Invocations

It shows all kinds of statistics about current and past invocations including current pending

invocations, history of invocations and slow history, i.e., all samples where the invocation took more than the defined threshold. Slow history does not only include the invocations where the operations took a lot of time, but it also includes any other invocations that have been obstructed.

Using the following properties, you can configure the frequency of scanning all pending invocations and the threshold that makes an invocation to be considered as slow:

- hazelcast.diagnostics.invocation.sample.period.seconds: Set a value in seconds. Its default value is 60 seconds.
- hazelcast.diagnostics.invocation.slow.threshold.seconds: Set a value in seconds. Its default value is 5 seconds.

### HazelcastInstance

It shows the basic state of your Hazelcast cluster including the count and addresses of current members and the address of oldest cluster member. It is useful to get a fast impression of the cluster without needing to analyze a lot of data.

You can configure the frequency at which the cluster information is dumped to the log file using the following property:

• hazelcast.diagnostics.memberinfo.period.second: Set a value in seconds. Its default value is 60 seconds.

#### EventQueue

It checks the event queues in the data structures and samples the event types if the queue size is above a certain threshold. It is useful to figure out why the event queue is running full.

- hazelcast.diagnostics.event.queue.period.seconds: Duration, in seconds, that this plugin runs, gathers information and writes to the diagnostics log file. When set to 0 (its default value), it is disabled.
- hazelcast.diagnostics.event.queue.threshold: Minimum number of events in the queue before it is being sampled. Its default value is 1000.
- hazelcast.diagnostics.event.queue.samples: Number of samples to take from the event queue. Increasing the number of samples gives more accuracy of the content, but it has a negative performance effect. Its default value is 100.

An example output for a Hazelcast map is as follows:

```
17-04-2019 17:36:37 EventQueues[
    worker=1[
        eventCount=441
        sampleCount=100
        samples[
            IMap 'myMap' ADDED sampleCount=51 51.000%
            IMap 'myMap' REMOVED sampleCount=49 49.000%]]
```

### SystemLog

It shows the activities in your cluster including when a connection/member is added or removed and if there is a change in the lifecycle of the cluster. It also includes the reasons for connection closings.

You can enable or disable the system log diagnostics plugin, and configure whether it shows information about partition migrations using the following properties:

- hazelcast.diagnostics.systemlog.enabled: Its default value is true.
- hazelcast.diagnostics.systemlog.partitions: Its default value is false. Please note that if you enable this, you may get a lot of log entries if you have many partitions.

#### StoreLatency

It shows statistics including the count of methods for each store (load, loadAll, loadAllKeys, etc.), average and maximum latencies for each store method calls and latency distributions for each store. The following is an example output snippet as part of the diagnostics log file for Hazelcast MapStore:

```
17-9-2019 13:12:34 MapStoreLatency[
   map[
        loadAllKeys[
            count=1
            totalTime(us)=8
            avg(us)=8
            max(us)=8
            latency-distribution[
                0..99us=1]]
        load[
            count=100
            totalTime(us)=4,632,190
            avg(us) = 46,321
            max(us)=99,178
            latency-distribution[
                0..99us=1
                1600..3199us=3
                3200..6399us=3
                6400..12799us=7
                12800..25599us=13
                25600..51199us=32
                51200..102399us=41]]]]
```

According to your store usage, a similar output can be seen for Hazelcast JCache, Queue and Ringbuffer with persistent datastores.

You can control the StoreLatency plugin using the following properties:

• hazelcast.diagnostics.storeLatency.period.seconds: The frequency this plugin is writing the

collected information to the disk. By default it is disabled. A sensible production value would be 60 seconds.

• hazelcast.diagnostics.storeLatency.reset.period.seconds: The period of resetting the statistics. If, for example, it is set as 300 (5 minutes), all the statistics are cleared for every 5 minutes. By default it is 0, meaning that statistics are not reset.

### **OperationHeartbeats**

It shows the deviation between member/member operation heartbeats. Each member, regardless if there is an operation running on behalf of that member, sends an operation heartbeat to every other member. It contains a listing of all callIds of the running operations from a given member. This plugin also works fine between members/lite-members.

Because this operation heartbeat is sent periodically; by default 1/4 of the operation call timeout of 60 seconds, we would expect an operation heartbeat to be received every 15 seconds. Operation heartbeats are high priority packets (so they overtake regular packets) and are processed by an isolated thread in the invocation monitor. If there is any deviation in the frequency of receiving these packets, it may be due to the problems such as network latencies.

The following shows an example of the output where an operation heartbeat has not been received for 37 seconds:

```
20-7-2019 11:12:55 OperationHeartbeats[

member[10.212.1.119]:5701[

deviation(%)=146.6666717529297

noHeartbeat(ms)=37,000

lastHeartbeat(ms)=1,500,538,375,603

lastHeartbeat(date-time)=20-7-2017 11:12:55

now(ms)=1,500,538,338,603

now(date-time)=20-7-2017 11:12:18]]]
```

The OperationHeartbeats plugin is enabled by default since it has very little overhead and only prints to the diagnostics file if the maximum deviation percentage (explained below) is exceeded.

You can control the OperationHeartbeats plugin using the following properties:

- hazelcast.diagnostics.operation-heartbeat.seconds: The frequency this plugin is writing the collected information to the disk. It is configured to be 10 seconds by default. 0 disables the plugin.
- hazelcast.diagnostics.operation-heartbeat.max-deviation-percentage: The maximum allowed deviation percentage. Its default value is 33. For example, with a default 60 call timeout and operation heartbeat interval being 15 seconds, the maximum deviation with a deviation-percentage of 33, is 5 seconds. So there is no problem if a packet is arrived after 19 seconds, but if it arrives after 21 seconds, then the plugin renders.

#### **MemberHeartbeats**

This plugin looks a lot like the OperationHeartbeats plugin, but instead of relying on operation

heartbeats to determine the deviation, it relies on member/member cluster heartbeats. Every member sends a heartbeat to other members periodically (by default every 5 seconds).

Just like the OperationHeartbeats, the MemberHeartbeats plugin can be used to detect if there are networking problems long before they actually lead to problems such as split-brain syndromes.

The following shows an example of the output where no member/member heartbeat has been received for 9 seconds:

```
20-7-2019 19:32:22 MemberHeartbeats[
    member[10.212.1.119]:5701[
        deviation(%)=80.0
        noHeartbeat(ms)=9,000
        lastHeartbeat(ms)=1,500,568,333,645
        lastHeartbeat(date-time)=20-7-2017 19:32:13
        now(ms)=1,500,568,342,645
        now(date-time)=20-7-2017 19:32:22]]
```

The MemberHeartbeats plugin is enabled by default since it has very little overhead and only prints to the diagnostics file if the maximum deviation percentage (explained below) is exceeded.

You can control the MemberHeartbeats plugin using the following properties:

- hazelcast.diagnostics.member-heartbeat.seconds: The frequency this plugin is writing the collected information to the disk. It is configured to be 10 seconds by default. 0 disables the plugin.
- hazelcast.diagnostics.member-heartbeat.max-deviation-percentage: The maximum allowed deviation percentage. Its default value is 100. For example, if the interval of member/member heartbeats is 5 seconds, a 100% deviation is fine with heartbeats arriving up to 5 seconds after they are expected. So a heartbeat arriving after 9 seconds is not rendered, but a heartbeat received after 11 seconds is rendered.

### **OperationThreadSamples**

This plugin samples the operation threads and checks the running operations/tasks. Hazelcast has the slow operation detector which is useful for very slow operations. But it may not be efficient for high volumes of not too slow operations. Using the OperationThreadSamples plugin it is more clear to see which operations are actually running.

You can control the OperationThreadSamples plugin using the following properties:

- hazelcast.diagnostics.operationthreadsamples.period.seconds: The frequency this plugin is writing the collected information to the disk. An efficient value for production would be 30, 60 or more seconds. 0, which is the default value, disables the plugin.
- hazelcast.diagnostics.operationthreadsamples.sampler.period.millis: The period in milliseconds between taking samples. The lower the value, the higher the overhead but also the higher the precision. Its default value is 100 ms.
- hazelcast.diagnostics.operationthreadsamples.includeName: Specifies whether the data

structures' name pointed to by the operation (if available) should be included in the name of the samples. Its default value is false.

The following shows an example of the output when the property hazelcast.diagnostics.operationthreadsamples.includeName is false:

```
28-08-2019 07:40:07 1535442007330 OperationThreadSamples[
    Partition[
        com.hazelcast.map.impl.operation.MapSizeOperation=304623 85.6927%
        com.hazelcast.map.impl.operation.PutOperation=33061 9.300304%
        com.hazelcast.map.impl.operation.GetOperation=17799 5.0069904%]
    Generic[
com.hazelcast.client.impl.ClientEngineImpl$PriorityPartitionSpecificRunnable=2308
35.738617%
        com.hazelcast.nio.Packet=1767 27.361412%
        com.hazelcast.internal.cluster.impl.operations.JoinRequestOp=821 12.712914%
com.hazelcast.spi.impl.operationservice.impl.operations.PartitionIteratingOperation=27
8 4.3047385%
        com.hazelcast.internal.cluster.impl.operations.Heartbeat0p=93 1.4400743%
        com.hazelcast.internal.cluster.impl.operations.OnJoinOp=89 1.3781357%
        com.hazelcast.internal.cluster.impl.operations.WhoisMasterOp=75 1.1613503%
        com.hazelcast.client.impl.operations.ClientReAuthOperation=33 0.51099414%]]
```

As can be seen above, the MapSizeOperations run on the operation threads most of the time.

### WanDiagnostics

The WAN diagnostics plugin provides information about the WAN replication.

It is disabled by default and can be configured using the following property:

• hazelcast.diagnostics.wan.period.seconds: The frequency this plugin is writing the collected information to the disk. O disables the plugin.

The following shows an example of the output:

```
10-11-2019 14:11:32 1510319492497 WanBatchSenderLatency[
   targetClusterName[
        [127.0.0.1]:5801[
            count=1
            totalTime(us)=2,010,567
            avg(us)=2,010,567
            max(us)=2,010,567
            latency-distribution[
                1638400..3276799us=1]]
         [127.0.0.1]:5802[
             count=1
             totalTime(us)=1,021,867
             avg(us)=1,021,867
             max(us)=1,021,867
             latency-distribution[
                 819200..1638399us=1]]]]
```

## 20.8. Health Check and Monitoring

Hazelcast provides the HTTP-based Health Check endpoint, Health Check script and Health Monitoring utility.

To be able to benefit from the Health Check endpoint and script, you must enable the Health Check using either one of the following configuration options:

1. Using the **network** configuration element:

```
<hazelcast>
...
<network>
<rest-api enabled="true">
<rest-api enabled="true">
<rest-api enabled="true">
</rest-api enabled="true"</rest-api enabled="true">
</rest-api enabled="true"</rest-api enabled="true"</rest-api enabled="true">
</rest-api enabled="true"</rest-api enabled="true"</
```

2. Using the advanced-network configuration element:

```
<hazelcast>
...
<advanced-network>
<rest-server-socket-endpoint-config>
<rendpoint-groups>
<rendpoint-group name="HEALTHCHECK" enabled="true"/>
</endpoint-groups>
</rest-server-socket-endpoint-config>
</advanced-network>
...
</hazelcast>
```

## 20.8.1. Health Check

This is Hazelcast's HTTP based health check implementation which provides basic information about your cluster and member (on which it is launched).

First, you need to enable the health check as explained in the introduction of this section above.

Now you retrieve information about your cluster's health status (member state, cluster state, cluster size, etc.) by launching <a href="http://syour member's host IP>:5701/hazelcast/health">http://syour member's host IP>:5701/hazelcast/health</a> on your preferred browser.

An example output is given below:

```
{
    "nodeState": "ACTIVE",
    "clusterState": "ACTIVE",
    "clusterSafe": true,
    "migrationQueueSize": 0,
    "clusterSize": 3
}
```

See the Managing Cluster and Member States section to learn more about each state of a Hazelcast cluster and member.

## 20.8.2. Using the healthcheck.sh Script

The healthcheck.sh script comes with the Hazelcast package. Internally, it uses the HTTP-based Health Check endpoint. You will need to enable the endpoint by using the advanced-network or the network configuration element. See the Health Check and Monitoring section.

You can use the script to check health parameters in the following manner:

```
$ ./healthcheck.sh <parameters>
```

The following parameters can be used:

Parameter	Default Value	Description
-o oroperation	get-state	Health check operation. It can be all, node-state, cluster-state, cluster-safe, migration-queue-size and cluster-size.
-a oraddress	127.0.0.1	Defines the IP address of a cluster member. If you want to manage your cluster remotely, you should use this parameter to provide the IP address of a member to this script.
-p orport	5701	Defines on which port Hazelcast is running on the local or remote machine.
-h orhelp	no argument expected	Lists the parameter descriptions along with a usage example.
-d ordebug	no argument expected	Prints error output.
https	no argument expected	Uses HTTPS protocol for REST calls.
cacert	set of well-known CA certificates	Defines trusted PEM-encoded certificate file path. It's used to verify member certificates.
cert	None	Defines PEM-encoded client certificate file path. Only needed when client certificate authentication is used.
key	None	Defines PEM-encoded client private key file path. Only needed when client certificate authentication is used.
insecure	no argument expected	Disables member certificate verification.

### Example 1: Checking Member State of a Healthy Cluster:

Assuming the member is deployed under the address 127.0.0.1:5701 and it is in the healthy state, the following output is expected:

```
$ ./healthcheck.sh -a 127.0.0.1 -p 5701 -o node-state
ACTIVE
```

### Example 2: Checking Safety of a Non-Existing Cluster:

Assuming there is no member running under the address 127.0.0.1:5701, the following output is

expected:

```
$ ./healthcheck.sh -a 127.0.0.1 -p 5701 -o cluster-safe
Error while checking health of hazelcast cluster on ip 127.0.0.1 on port 5701.
Please check that cluster is running and that health check is enabled in REST API
configuration.
```

## 20.8.3. Health Monitor

Health monitor periodically prints logs in your console to provide information about your member's state. By default, it is enabled when you start your cluster.

You can set the interval of health monitoring using the hazelcast.health.monitoring.delay.seconds system property. Its default value is 20 seconds.

The system property hazelcast.health.monitoring.level is used to configure the monitoring's log level. If it is set to OFF, the monitoring is disabled. If it is set to NOISY, monitoring logs are always printed for the defined intervals. When it is SILENT, which is the default value, monitoring logs are printed only when the values exceed some predefined thresholds. These thresholds are related to memory and be configured using the CPU percentages, and can hazelcast.health.monitoring.threshold.memory.percentage and hazelcast.health.monitoring.threshold.cpu.percentage system properties, whose default values are both 70.

The following is an example monitoring output

```
Sep 08, 2017 5:02:28 PM com.hazelcast.internal.diagnostics.HealthMonitor
INFO: [192.168.2.44]:5701 [host-name] [3.9] processors=4, physical.memory.total=16.0G,
physical.memory.free=5.5G, swap.space.total=0, swap.space.free=0, heap.memory.used
=102.4M,
heap.memory.free=249.1M, heap.memory.total=351.5M, heap.memory.max=3.6G, heap.memory
.used/total=29.14%, heap.memory.used/max=2.81%, minor.gc.count=4, minor.gc.time=68ms,
major.gc.count=1,
major.gc.time=41ms, load.process=0.44%, load.system=1.00%, load.systemAverage=315.48%,
thread.count=97, thread.peakCount=98, cluster.timeDiff=0, event.q.size=0, executor.q
.async.size=0,
executor.q.client.size=0, executor.q.query.size=0, executor.q.scheduled.size=0,
executor.q.io.size=0, executor.q.system.size=0, executor.q.operations.size=0,
executor.q.priorityOperation.size=0, operations.completed.count=226, executor.q
.mapLoad.size=0, executor.q.mapLoadAllKeys.size=0, executor.q.cluster.size=0,
executor.q.response.size=0,
operations.running.count=0, operations.pending.invocations.percentage=0.00%,
operations.pending.invocations.count=0, proxy.count=0, clientEndpoint.count=1,
```

connection.active.count=2, client.connection.count=1, connection.count=1



See the Configuring with System Properties section to learn how to set system properties.

## 20.8.4. Using Health Check on F5 BIG-IP LTM

The F5® BIG-IP® Local Traffic Manager<sup>M</sup> (LTM) can be used as a load balancer for Hazelcast cluster members. This section describes how you can configure a health monitor to check the Hazelcast member states.

### **Monitor Types**

Following types of monitors can be used to track Hazelcast cluster members:

- HTTP Monitor: A custom HTTP monitor enables you to send a command to Hazelcast's Health Check API using HTTP requests. This is a good choice if SSL/TLS is not enabled in your cluster.
- HTTPS Monitor: A custom HTTPS monitor enables you to verify the health of Hazelcast cluster members by sending a command to Hazelcast's Health Check API using Secure Socket Layer (SSL) security. This is a good choice if SSL/TLS is enabled in your cluster.
- TCP\\_HALF\\_OPEN Monitor: A TCP\\_HALF\\_OPEN monitor is a very basic monitor that only checks that the TCP port used by Hazelcast is open and responding to connection requests. It does not interact with the Hazelcast Health Check API. The TCP\\_HALF\\_OPEN monitor can be used with or without SSL/TLS.

#### Configuration

After signing in to the BIG-IP LTM User Interface, follow F5's <u>instructions</u> to create a new monitor. Next, apply the following configuration according to your monitor type.

#### HTTP/HTTPS Monitors



Please note that you should enable the Hazelcast health check for HTTP/HTTPS monitors to run. You will need to enable the endpoint by using the advanced-network or the network configuration element. See the Health Check and Monitoring section.

#### Using a GET request:

• Set the "Send String" as follows:

```
GET /hazelcast/health HTTP/1.1\r\n\nHost: [HOST-ADDRESS-OF-HAZELCAST-MEMBER] \r
\nConnection: Close\r\n\r\n
```

• Set the "Receive String" as follows:

```
{"nodeState":"ACTIVE","clusterState":"ACTIVE","clusterSafe":true,"migrationQueueSiz
e":0,"clusterSize":([^\s]+)}
```

The BIG-IP LTM monitors accept regular expressions in these strings allowing you to configure them as needed. The example provided above remains green even if the cluster size changes.

#### Using a HEAD request:

• Set the "Send String" as follows:

```
HEAD /hazelcast/health HTTP/1.1\r\n\nHost: [HOST-ADDRESS-OF-HAZELCAST-MEMBER] \r
\nConnection: Close\r\n\r\n
```

• Set the "Receive String" as follows:

```
200 OK
```

As you can see, the HEAD request only checks for a 200 OK response. A Hazelcast cluster member sends this status code when it is alive and running without an issue. This provides a very basic health check. For increased flexibility, we recommend using the GET request API.

#### **TCP\_HALF\_OPEN Monitors**

• Set the "Type" as TCP Half Open.

• Optionally, set the "Alias Service Port" as the port of Hazelcast cluster member if you want to specify the port in the monitor.

## 20.9. Management Center

Hazelcast Management Center enables you to monitor and manage your cluster members running Hazelcast. In addition to monitoring the overall state of your clusters, you can also analyze and browse your data structures in detail, update map configurations and take thread dumps from members. You can run scripts (JavaScript, Groovy, etc.) and commands on your members with its scripting and console modules.

See the Hazelcast Management Center Documentation for its usage details.

## 20.9.1. Toggle Scripting Support

The support for script execution is disabled by default. The reason is security. Script engines allow to access the underlying system on the members (files and other resources). Scripts access the system, on which the member runs, with permissions of the running user.

Scripting can be allowed or prevented by specifying the scripting-enabled attribute of the management-center element within the Hazelcast member configuration file, as shown below:

```
<hazelcast>
...
<management-center scripting-enabled="false" />
...
</hazelcast>
```

Note that the JSR 223 API is used in Hazelcast IMDG to support scripting.

## 20.9.2. Limiting Source Addresses

It's possible to restrict the source IP addresses from which Management Center operations are allowed. By default all source connections are allowed.

Defining these source addresses is possible through the trusted-interfaces configuration element. The wildcard (\*) and ranges can be used. See the following example:

```
<hazelcast>
....
<management-center>
<trusted-interfaces>
<interface>192.168.1.*</interface>
</trusted-interfaces>
</trusted-interfaces>
</management-center>
....
</hazelcast>
```

## 20.10. Clustered JMX and REST via Management Center

### Hazelcast IMDG Enterprise

See the Hazelcast Management Center Documentation for information on Clustered JMX and Clustered REST (via Management Center) features.

# 21. Security

### Hazelcast IMDG Enterprise Feature

This chapter describes the security features of Hazelcast. These features allow you to perform security activities, such as intercepting socket connections and remote operations executed by the clients, encrypting the communications between the members at socket level and using SSL socket communication. All of the Security features explained in this chapter are the features of Hazelcast IMDG Enterprise edition.

## 21.1. Enabling JAAS Security

With Hazelcast's extensible, JAAS based security feature, you can:

- authenticate both cluster members and clients
- and perform access control checks on client operations. Access control can be done according to endpoint principal and/or endpoint address.

You can enable security declaratively or programmatically, as shown below.

```
<hazelcast>
...
<security enabled="true">
...
</security>
...
</hazelcast>
```

```
Config cfg = new Config();
SecurityConfig securityCfg = cfg.getSecurityConfig();
securityCfg.setEnabled( true );
```

Also, see the Setting License Key section for information on how to set your Hazelcast IMDG Enterprise license.

## 21.2. Socket Interceptor

Hazelcast IMDG Enterprise Feature

Hazelcast allows you to intercept socket connections before a member joins a cluster or a client connects to a member of a cluster. This allow you to add custom hooks to join and perform connection procedures (like identity checking using Kerberos, etc.).

To use the socket interceptor, implement com.hazelcast.nio.MemberSocketInterceptor for members and com.hazelcast.nio.SocketInterceptor for clients.

The following is an example socket interceptor implementation for the member side.

```
public static class MySocketInterceptor implements MemberSocketInterceptor {
    private String memberId;
    public MySocketInterceptor() {
    }
    @Override
    public void onAccept(Socket socket) throws IOException {
        socket.getOutputStream().write(memberId.getBytes());
        byte[] bytes = new byte[1024];
        int len = socket.getInputStream().read(bytes);
        String otherMemberId = new String(bytes, 0, len);
        if (!otherMemberId.equals("secondMember")) {
            throw new RuntimeException("Not a known member!!!");
        }
    }
    @Override
    public void init(Properties properties) {
        memberId = properties.getProperty("member-id");
    }
    @Override
    public void onConnect(Socket socket) throws IOException {
        socket.getOutputStream().write(memberId.getBytes());
        byte[] bytes = new byte[1024];
        int len = socket.getInputStream().read(bytes);
        String otherMemberId = new String(bytes, 0, len);
        if (!otherMemberId.equals("firstMember")) {
            throw new RuntimeException("Not a known member!!!");
        }
    }
```

You can declaratively configure this socket interceptor as follows:

```
<hazelcast>
....
<network>
<socket-interceptor enabled="true">
</socket-interceptor enabled="true"</socket-interceptor enabled="true"</sock
```

The following is an example configuration of the above socket interceptor for the client side.

```
public static void main(String[] args) {
    Config config = createConfig();
    Hazelcast.newHazelcastInstance(config);
    ClientConfig clientConfig = createClientConfig();
    HazelcastClient.newHazelcastClient(clientConfig);
}
private static Config createConfig() {
    Config config = new Config();
    //config.setLicenseKey(ENTERPRISE LICENSE KEY);
    config.setProperty("hazelcast.wait.seconds.before.join", "0");
    SocketInterceptorConfig interceptorConfig = new SocketInterceptorConfig();
    interceptorConfig.setEnabled(true).setClassName(MySocketInterceptor.class.getName
());
    config.getNetworkConfig().setSocketInterceptorConfig(interceptorConfig);
    return config;
}
private static ClientConfig createClientConfig() {
    ClientConfig clientConfig = new ClientConfig();
    //clientConfig.setLicenseKey(ENTERPRISE LICENSE KEY);
    SocketInterceptorConfig interceptorConfig = new SocketInterceptorConfig();
    interceptorConfig.setEnabled(true).setClassName(MySocketInterceptor.class.getName
());
    clientConfig.getNetworkConfig().setSocketInterceptorConfig(interceptorConfig);
    return clientConfig;
}
```

## 21.3. Security Interceptor

### Hazelcast IMDG Enterprise Feature

Hazelcast allows you to intercept every remote operation executed by the client. This lets you add a very flexible custom security logic. To do this, implement com.hazelcast.security.SecurityInterceptor.

```
private static class MySecurityInterceptor implements SecurityInterceptor {
    @Override
    public void before(Credentials credentials, String objectType, String objectName,
String methodName,
                       Parameters parameters) throws AccessControlException {
        if (objectName.equals(DENIED_MAP_NAME)) {
            throw new RuntimeException("Denied Map!!!");
        }
        if (methodName.equals(DENIED_METHOD)) {
            throw new RuntimeException("Denied Method!!!");
        }
        Object firstParam = parameters.get(0);
        Object secondParam = parameters.get(1);
        if (firstParam.equals(DENIED_KEY)) {
            throw new RuntimeException("Denied Key!!!");
        }
        if (secondParam.equals(DENIED_VALUE)) {
            throw new RuntimeException("Denied Value!!!");
        }
    }
    @Override
    public void after(Credentials credentials, String objectType, String objectName,
String methodName,
                      Parameters parameters) {
        System.err.println("qwe c: " + credentials + "\t\tt: " + objectType + "\t\tn:
" + objectName
                + "\t\tm: " + methodName + "\t\tp1: " + parameters.get(0) + "\t\tp2: "
+ parameters.get(1));
    }
```

The before method is called before processing the request on the remote server. The after method is called after the processing. Exceptions thrown while executing the before method are propagated to the client, but exceptions thrown while executing the after method are suppressed.

## 21.4. Encryption

## Hazelcast IMDG Enterprise Feature

Hazelcast offers features which allow to reach a required privacy on communication level by

enabling encryption. Encryption is based on Java Cryptography Architecture (JCA).

There are two different encryption features:

- 1. TLS protocol
  - transport level encryption
  - supported by members and clients
  - TCP-only, i.e., multicast join messages are not encrypted

More details in the TLS/SSL section

- 2. Symmetric encryption for Hazelcast member protocol
  - only supported by the members; communication with clients is not encrypted
  - multicast join messages are encrypted, too

The preferred and recommended feature is the TLS protocol as it's a standard way how to protect communication on transport level.

Symmetric encryption for Hazelcast member protocol can be configured with cipher algorithms implemented by security providers and accessed through Java Cryptography Architecture. Check documentation of your Java version to learn about supported algorithm names. The following are some examples:

- AES
- PBEWithMD5AndDES
- DES/ECB/PKCS5Padding
- Blowfish

Hazelcast uses MD5 message-digest algorithm as the cryptographic hash function. You can also use the salting process by giving a salt and password which are then concatenated and processed with MD5, and the resulting output is stored with the salt.

In symmetric encryption, each member uses the same key, so the key is shared. Here is an example configuration for symmetric encryption.

```
<hazelcast>
....
<network>
<symmetric-encryption enabled="true">
<algorithm>AES</algorithm>
<salt>thesalt</salt>
<password>thepass</password>
<iteration-count>175</iteration-count>
</symmetric-encryption>
</network>
....
</hazelcast>
```

You set the encryption algorithm, the salt, password and the iteration count to be used for generating the secret key. You also need to set the enabled attribute to true. Note that all members should have the same encryption configuration.

Since symmetric encryption relies on JCA, you can additionally benefit from the algorithms provided by the Bouncy Castle Crypto APIs. For this, you need to set the hazelcast.security.bouncy.enabled property to true.

## 21.5. TLS/SSL

### Hazelcast IMDG Enterprise Feature



You cannot use TLS/SSL when Hazelcast Encryption is enabled.

You can use the SSL (Secure Sockets Layer) protocol to establish an encrypted communication across your Hazelcast cluster with key stores and trust stores. Note that, if you are developing applications using Java 8, you will be using its successor TLS (Transport Layer Security).



It is NOT recommended to reuse the key stores and trust stores for external applications.

## 21.5.1. TLS/SSL for Hazelcast Members

Hazelcast allows you to encrypt socket level communication between Hazelcast members and between Hazelcast clients and members, for end to end encryption. To use it, you need to implement com.hazelcast.nio.ssl.SSLContextFactory and configure the SSL section in the network configuration.

The following is the implementation code snippet:

```
public class MySSLContextFactory implements SSLContextFactory {
    public void init( Properties properties ) throws Exception {
    }
    public SSLContext getSSLContext() {
        ...
        SSLContext sslCtx = SSLContext.getInstance( "the protocol to be used" );
        return sslCtx;
    }
}
```

The following is the base declarative configuration for the implemented **SSLContextFactory**:

```
<hazelcast>
....
.network>
.ssl enabled="true">
.factory-class-name>
.com.hazelcast.examples.MySSLContextFactory
./factory-class-name>
./factory-class-name>
.properties>
.property name="foo">bar</property>
./properties>
....
...
....
....
....
....
```

Hazelcast provides a default SSLContextFactory, com.hazelcast.nio.ssl.BasicSSLContextFactory, which uses the configured keystore to initialize SSLContext; see the following example configuration for TLS/SSL.

```
<hazelcast>
    . . .
    <network>
         <ssl enabled="true">
             <factory-class-name>
                  com.hazelcast.nio.ssl.BasicSSLContextFactory
              </factory-class-name>
              <properties>
                  <property name="keyStore">/opt/hazelcast-keystore.p12</property></property>
                  <property name="keyStorePassword">secret.123</property></property>
                  <property name="keyStoreType">PKCS12</property></property>
                  <property name="trustStore">/opt/hazelcast-truststore.p12</property></property>
                  <property name="trustStorePassword">changeit</property></property>
                  <property name="trustStoreType">PKCS12</property></property>
                  <property name="protocol">TLSv1.2</property></property>
                  <property name="mutualAuthentication">REQUIRED</property></property>
              </properties>
         </ssl>
    </network>
    . . .
</hazelcast>
```

The following are the descriptions of the properties:

- keyStore: Path of your keystore file.
- keyStorePassword: Password to access the key from your keystore file.
- keyManagerAlgorithm: Name of the algorithm based on which the authentication keys are provided.

- keyStoreType: Type of the keystore. Its default value is JKS. Another commonly used type is the PKCS12. Available keystore/truststore types depend on your Operating system and the Java runtime.
- **trustStore**: Path of your truststore file. The file truststore is a keystore file that contains a collection of certificates trusted by your application.
- trustStorePassword: Password to unlock the truststore file.
- trustManagerAlgorithm: Name of the algorithm based on which the trust managers are provided.
- trustStoreType: Type of the truststore. Its default value is JKS. Another commonly used type is the PKCS12. Available keystore/truststore types depend on your Operating system and the Java runtime.
- **mutualAuthentication**: Mutual authentication configuration. It's empty by default which means the client side of connection is not authenticated. Available values are:
  - REQUIRED server forces usage of a trusted client certificate
  - OPTIONAL server asks for a client certificate, but it doesn't require it

See the Mutual Authentication section.

- ciphersuites: Comma-separated list of cipher suite names allowed to be used. Its default value are all supported suites in your Java runtime.
- protocol: Name of the algorithm which is used in your TLS/SSL. Its default value is TLS. Available values are:
  - $\circ$  TLS
  - 。TLSv1
  - 。TLSv1.1
  - 。TLSv1.2
  - 。TLSv1.3

For the protocol property, we recommend you to provide TLS with its version information, e.g., TLSv1.2. Note that if you write only TLS, your application chooses the TLS version according to your Java version.

 validateIdentity: Flag which allows enabling endpoint identity validation. It means, during the TLS handshake client verifies if the server's hostname (or IP address) matches the information in X.509 certificate (Subject Alternative Name extension). Possible values are "true" and "false" (default).

### 21.5.2. TLS/SSL for Hazelcast Clients

The TLS configuration in Hazelcast clients is very similar to member configuration.

```
<hazelcast-client>
    . . .
    <network>
        <ssl enabled="true">
             <factory-class-name>
                 com.hazelcast.nio.ssl.BasicSSLContextFactory
             </factory-class-name>
             <properties>
                 <property name="keyStore">/opt/hazelcast-client.keystore</property></property>
                 <property name="keyStorePassword">clientsSecret</property>
                 <property name="trustStore">/opt/hazelcast-
client.truststore</property>
                 <property name="trustStorePassword">changeit</property></property>
                 <property name="protocol">TLSv1.2</property></property>
             </properties>
        </ssl>
    </network>
</hazelcast-client>
```

The same BasicSSLContextFactory properties used for members are available on clients. Clients don't need to set mutualAuthentication property as it's used in configuring the server side of TLS connections.

## 21.5.3. Mutual Authentication

TLS connections have two sides: the one opening the connection (TLS client) and the one accepting the connection (TLS server). By default only the TLS server proves its identity by presenting a certificate to the TLS client. The mutual authentication means that also the TLS clients prove their identity to the TLS servers.

Hazelcast members can be on both sides of TLS connection - TLS servers and TLS clients. Hazelcast clients are always on the client side of a TLS connection.

By default Hazelcast members have keyStore used to identify themselves to the clients and other members. Both Hazelcast members and Hazelcast clients have trustStore used to define which members they can trust.

When the mutual authentication feature is enabled, Hazelcast clients need to provide keyStore. A client proves its identity by providing its certificate to the Hazelcast member it's connecting to. The member only accepts the connection if the client's certificate is present in the member's trustStore.

To enable the mutual authentication, set the mutualAuthentication property value to REQUIRED on the member side, as shown below:

```
Config cfg = new Config();
Properties props = new Properties();
props.setProperty("mutualAuthentication", "REQUIRED");
props.setProperty("keyStore", "/opt/hazelcast.keystore");
props.setProperty("keyStorePassword", "123456");
props.setProperty("trustStore", "/opt/hazelcast.truststore");
props.setProperty("trustStorePassword", "123456");
cfg.getNetworkConfig().setSSLConfig(new SSLConfig().setEnabled(true).setProperties
(props));
Hazelcast.newHazelcastInstance(cfg);
```

And on the client side, you need to set client identity by providing the keystore:

```
clientSslProps.setProperty("keyStore", "/opt/client.keystore");
clientSslProps.setProperty("keyStorePassword", "123456");
```

The property mutualAuthentication has the following options:

- **REQUIRED**: Server asks for client certificate. If the client does not provide a keystore or the provided keystore is not verified against member's truststore, the client is not authenticated.
- OPTIONAL: Server asks for client certificate, but client is not required to provide any valid certificate.



When a new client is introduced with a new keystore, the truststore on the member side should be updated accordingly to include new clients' information to be able to accept it.

See the below example snippet to see the full configuration on the client side:

```
ClientConfig config = new ClientConfig();
Properties clientSslProps = new Properties();
clientSslProps.setProperty("keyStore", "/opt/client.keystore");
clientSslProps.setProperty("keyStorePassword", "123456");
clientSslProps.setProperty("trustStore", "/opt/client.truststore");
clientSslProps.setProperty("trustStorePassword", "123456");
config.getNetworkConfig().setSSLConfig(new SSLConfig().setEnabled(true).setProperties
(clientSslProps));
HazelcastClient.newHazelcastClient(config);
```

If the mutual authentication is not required, the Hazelcast members accept all incoming TLS connections without verifying if the connecting side is trusted. Therefore it's recommended to require the mutual authentication in Hazelcast members configuration.

## 21.5.4. TLS/SSL Performance Improvements for Java

TLS/SSL can have a significant impact on performance. There are a few ways to increase the performance.

The first thing that can be done is making sure that AES intrinsics are used. Modern CPUs (2010 or newer Westmere) have hardware support for AES encryption/decryption and if a Java 8 or newer JVM is used, the JIT automatically makes use of these AES intrinsics. They can also be explicitly enabled using -XX:+UseAES -XX:+UseAESIntrinsics, or disabled using -XX:-UseAES -XX:-UseAES -XX:-UseAESIntrinsics.

A lot of encryption algorithms make use of padding because they encrypt/decrypt in fixed sized blocks. If there is no enough data for a block, the algorithm relies on random number generation to pad. Under Linux, the JVM automatically makes use of /dev/random for the generation of random numbers. /dev/random relies on entropy to be able to generate random numbers. However, if this entropy is insufficient to keep up with the rate requiring random numbers, it can slow down the encryption/decryption since /dev/random will block; it could block for minutes waiting for sufficient entropy . This can be fixed by setting the -Djava.security.egd=file:/dev/./urandom system property. For a more permanent solution, modify the <JAVA\_HOME>/jre/lib/security/java.security file, look for the securerandom.source=/dev/urandom and change it to securerandom.source=file:/dev/./urandom. Switching to /dev/urandom could be controversial because /dev/urandom will not block if there is a shortage of entropy and the returned random values could theoretically be vulnerable to a cryptographic attack. If this is a concern in your application, use /dev/random instead.

Hazelcast's Java smart client automatically makes use of extra I/O threads for encryption/decryption and this have a significant impact on the performance. This can be changed using the hazelcast.client.io.input.thread.count and hazelcast.client.io.output.thread.count client system properties. By default it is 1 input thread and 1 output thread. If TLS/SSL is enabled, it defaults to 3 input threads and 3 output threads. Having more client I/O threads than members in the cluster does not lead to an increased performance. So with a 2-member cluster, 2 in and 2 out threads give the best performance.

## 21.6. Integrating OpenSSL / BoringSSL

### Hazelcast IMDG Enterprise Feature



You cannot integrate OpenSSL into Hazelcast when Hazelcast Encryption is enabled.

TLS/SSL in Java is normally provided by the JRE. However, the performance overhead can be significant; even with AES intrinsics enabled. If you are using a x86\_64 system (Linux, Mac, Windows), Hazelcast supports native integration for TLS/SSL which can provide significant performance improvements. There are two supported native TLS/SSL libraries available through netty-tcnative libraries:

- OpenSSL
  - dynamically linked
  - prerequisites: libapr, openssl packages installed on your system

- BoringSSL Google managed fork of the OpenSSL
  - statically linked
  - easier to get started with
  - benefits: reduced code footprint, additional features

The native TLS integration can be used on clients and/or members. For best performance, it is recommended to install on a client and member and configure the appropriate cipher suite(s).

Check the netty-tcnative page for installation details.



Starting with Hazelcast IMDG 4.0, if the Java version is less than 11 and OpenSSL capabilities are detected (also the appropriate Java libraries are included) and if no explicit SSLEngineFactory is set, Hazelcast IMDG defaults to use OpenSSL.

## 21.6.1. Netty Libraries

For the native TLS/SSL integration in Java, the Netty library is used.

Make sure the following libraries from the Netty framework are on the classpath:

- netty-handler and its dependencies
- one of tc-native implementations
  - either BoringSSL: netty-tcnative-boringssl-static-{tcnative\_version}.jar
  - or OpenSSL: netty-tcnative-{tcnative\_version}-{os\_arch}.jar



It is very important that the version of Netty JAR(s) corresponds to a very specific version of netty-tcnative. In case of doubt, the simplest thing to do is to download the netty-<version>.tar.bz2 file from the Netty website and check which netty-tcnative version is used for that Netty release.

## 21.6.2. Using BoringSSL

The statically linked BoringSSL binaries are included within the netty-tenative libraries. There is no need to install additional software on supported systems.

Example Maven dependencies:

```
<dependencies>
<dependency>
<groupId>io.netty</groupId>
<artifactId>netty-tcnative-boringssl-static</artifactId>
<version>2.0.12.Final</version>
</dependency>
<dependency>
<groupId>io.netty</groupId>
<artifactId>netty-handler</artifactId>
<version>4.1.27.Final</version>
</dependency>
</dependency>
```

## 21.6.3. Using OpenSSL

- 1. Install OpenSSL. Make sure that you are installing 1.0.1 or newer release. See its documentation at github.com/openssl.
- 2. Install Apache Portable Runtime (APR) library. See apr.apache.org.

For RHEL: sudo yum -y install apr openssl

For Ubuntu: sudo apt-get -y install libapr1 openssl

For Alpine Linux: apk add --update apr openssl

Example Maven dependencies (for Linux):

```
<dependencies>
<dependency>
<groupId>io.netty</groupId>
<artifactId>netty-tcnative</artifactId>
<version>2.0.12.Final</version>
<classifier>linux-x86_64</classifier>
</dependency>
<dependency>
<groupId>io.netty</groupId>
<artifactId>netty-handler</artifactId>
<version>4.1.27.Final</version>
</dependency>
</dependency>
```

## 21.6.4. Configuring Hazelcast for OpenSSL

Configuring OpenSSL in Hazelcast is straight forward. On the client and/or member side, the following snippet enables TLS/SSL using OpenSSL:

```
<hazelcast>
    . . .
    <network>
        <ssl enabled="true">
             <factory-class-name>com.hazelcast.nio.ssl.OpenSSLEngineFactory</factory-
class-name>
             <properties>
                 <property name="protocol">TLSv1.2</property></property>
                 <property name="trustCertCollectionFile">trusted-certs.pem</property></property>
                  <!-- If the TLS mutual authentication is not used,
                       then the key configuration is not needed on client side. -->
                 <property name="keyFile">privkey.pem</property></property>
                 <property name="keyCertChainFile">chain.pem</property></property>
             </properties>
        </ssl>
    </network>
    . . .
</hazelcast>
```

The configuration is similar to a regular TLS/SSL integration. The main differences are the OpenSSLEngineFactory factory class and the following properties:

- keyFile: Path of your PKCS#8 key file in PEM format.
- keyPassword: Password to access the key file when it's encrypted.
- keyCertChainFile: Path to an X.509 certificate chain file in PEM format.
- trustCertCollectionFile: Path to an X.509 certificate collection file in PEM format.
- fipsMode: Boolean flag to switch OpenSSL into the FIPS mode. See the FIPS 140-2 section.

The key and certificate related properties take precedence over keyStore and trustStore configurations. Using keyStores and trustStores together with OpenSSL causes problems on some Java versions, therefore we recommend to use the OpenSSL native way.

The following are the other supported properties:

- keyStore: Path of your keystore file.
  - Using the keyStore property is not recommended, use keyFile and keyCertChainFile instead
- keyStorePassword: Password to access the key from your keystore file.
- keyStoreType: Type of the keystore. Its default value is JKS. Another commonly used type is the PKCS12. Available keystore/truststore types depend on your Operating system and the Java runtime.
- keyManagerAlgorithm: Name of the algorithm based on which the authentication keys are provided.
- trustManagerAlgorithm: Name of the algorithm based on which the trust managers are provided.
- trustStore: Path of your truststore file. The file truststore is a keystore file that contains a collection of certificates trusted by your application. Its type should be JKS.

- Using the trustStore property is not recommended, use trustCertCollectionFile instead
- trustStorePassword: Password to unlock the truststore file.
- trustStoreType: Type of the truststore. Its default value is JKS. Another commonly used type is the PKCS12. Available keystore/truststore types depend on your operating system and the Java runtime.
- ciphersuites: Comma-separated list of cipher suite names allowed to be used.
- protocol: Name of the algorithm which is used in your TLS/SSL. Its default value is TLSv1.2. Available values are:
  - 。TLS
  - 。TLSv1
  - 。TLSv1.1
  - 。TLSv1.2
  - SSL (insecure!)
  - SSLv2 (insecure!)
  - SSLv3 (insecure!)

All of the algorithms listed above support Java 8 and higher versions. For the protocol property, we recommend you to provide SSL or TLS with its version information, e.g., TLSv1.2. Note that if you provide only SSL or TLS as a value for the protocol property, they are converted to SSLv3 and TLSv1.2, respectively. We strongly recommend to avoid SSL protocols.

validateIdentity: Flag which allows enabling endpoint identity validation. It means, during the TLS handshake client verifies if the server's hostname (or IP address) matches the information in X.509 certificate (Subject Alternative Name extension). Possible values are "true" and "false" (default).

## 21.7. Other TLS related configuration

## 21.7.1. TLS/SSL for Hazelcast Management Center

In order to use a secured communication between the Hazelcast cluster and Management Center, you have to configure Management Center as explained in the Connecting Hazelcast members to Management Center section in the Hazelcast Management Center Reference Manual.

## 21.7.2. Updating Certificates in the Running Cluster

Hazelcast allows updating TLS certificates on the members without fully stopping the cluster. You can stop the cluster members one by one and replace the certificates gradually. We can distinguish two cases based on the fact if the new certificate is already trusted:

1. New certificates are not trusted on the members.

This is usually a case when self-signed certificates are used on the members.

Before we can deploy new member certificates, we have to update the list of trusted certificates

on all members. Complete the following steps on each member (one by one) in the cluster:

- Gracefully shutdown the member
- Wait for the cluster safe state (rebalance)
- Import all new certificates to the member's truststore, so it contains both old and new ones.

You can use the keytool executable from Java installation to import the new certificates. Example:

keytool -import -noprompt \
 -keystore member.truststore -storepass s3crEt \
 -alias new-cert-1 -file member-new-cert.crt

- Start the member with the updated truststore
- Wait for the cluster safe state (rebalance)

After completing the above steps, follow the steps described in the next point (certificates trusted).

2. New certificates are already trusted on the members

Switch certificate on each member one by one:

- Gracefully shutdown the member
- Wait for the cluster safe state (rebalance)
- Replace the private key and certificate in the member's keystore
- Start the member with the updated keystore
- Wait for the cluster safe state (rebalance)

### 21.7.3. Configuring Cipher Suites

To get the best performance, the correct <u>cipher suites</u> need to be configured. Each cipher suite has different performance and security characteristics and depending on the hardware and selected cipher suite, the overhead of TLS can range from dramatic to almost negligible.

The cipher suites are configured using the **ciphersuites** property as shown below:

The ciphersuites property accepts a comma separated list (spaces, enters, tabs are filtered out) of cipher suites in the order of preference.

You can configure a member and client with different cipher suites; but there should be at least one shared cipher suite.

One of the cipher suites that gave very low overhead but still provides solid security is TLS\_ECDHE\_RSA\_WITH\_AES\_128\_GCM\_SHA256. However in our measurements this cipher suite only performs well using OpenSSL; using the regular Java TLS integration, it performs badly. So keep that in mind when configuring a client using regular SSL and a member using OpenSSL.

Please check with your security expert to determine which cipher suites are appropriate and run performance tests to see which ones perform well in your environment.

If you don't configure the cipher suites, then both client and/or member determine a cipher suite by themselves during the TLS/SSL handshake. This can lead to suboptimal performance and lower security than required.

## 21.7.4. Other Ways of Configuring Properties

You can set all the properties presented in this section using the javax.net.ssl prefix, e.g., javax.net.ssl.keyStore and javax.net.ssl.keyStorePassword.

Also note that these properties can be specified using the related Java system properties and also Java's -D command line option. This is very useful if you require a more flexible configuration, e.g., when doing performance tests.

See below examples equivalent to each other:

```
System.setProperty("javax.net.ssl.trustStore", "/user/home/hazelcast.ts");
```

Or,

-Djavax.net.ssl.trustStore=/user/home/hazelcast.ts

Another two examples equivalent to each other:

System.setProperty("javax.net.ssl.ciphersuites",
"TLS\_ECDHE\_RSA\_WITH\_AES\_128\_CBC\_SHA256,TLS\_ECDH\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA");

Or,

```
-Djavax.net.ssl.ciphersuites=TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA256
,TLS_ECDH_RSA_WITH_3DES_EDE_CBC_SHA
```

## 21.8. Validating Secrets Using Strength Policy

### Hazelcast IMDG Enterprise Feature

Hazelcast IMDG Enterprise offers a secret validation mechanism including a strength policy. The term "secret" here refers to the symmetric encryption password, salt and other passwords and keys.

For this validation, Hazelcast IMDG Enterprise comes with the DefaultSecretStrengthPolicy class to identify all possible weaknesses of secrets and to display a warning in the system logger. Note that, by default, no matter how weak the secrets are, the cluster members still start after logging this warning; however, this is configurable (see the Enforcing the Secret Strength Policy section).

The following are the requirements (rules) for the secrets:

- Minimum length of eight characters; and
- Large keyspace use, ensuring the use of at least three of the following:
  - mixed case
  - alpha
  - $\circ$  numerals
  - special characters
  - no dictionary words

The rules "Minimum length of eight characters" and "no dictionary words" can be configured using the following system properties:

hazelcast.security.secret.policy.min.length: Set the minimum secret length. The default is 8 characters.

Example:

```
-Dhazelcast.security.secret.policy.min.length=10
```

hazelcast.security.dictionary.policy.wordlist.path: Set the path of a wordlist available in the file system. The default is /usr/share/dict/words.

Example:

-Dhazelcast.security.dictionary.policy.wordlist.path="/Desktop/myWordList"

## 21.8.1. Using a Custom Secret Strength Policy

You can implement SecretStrengthPolicy to develop your custom strength policy for a more flexible or strict security. After you implement it, you can use the following system property to point to your custom class:

hazelcast.security.secret.strength.default.policy.class: Set the full name of the custom class.

Example:

-Dhazelcast.security.secret.strength.default.policy.class="com.impl.myStrengthPolicy"

## 21.8.2. Enforcing the Secret Strength Policy

By default, secret strength policy is NOT enforced. This means, if a weak secret is detected, an informative warning is shown in the system logger and the members continue to initialize. However, you can enforce the policy using the following system property so that the members are not started until the weak secret errors are fixed:

hazelcast.security.secret.strength.policy.enforced: Set to "true" to enforce the secret strength
policy. The default is "false". To enforce:

-Dhazelcast.security.secret.strength.policy.enforced=true

The following is an example warning when secret strength policy is NOT enforced, i.e., the above system property is set to "false":

The following is an example warning when secret strength policy is enforced, i.e., the above system property is set to "true":

WARNING: [192.168.2.112]:5701 [dev] [4.0-SNAPSHOT] Symmetric Encryption Password does not meet the current policy and complexity requirements. \*Must contain at least 1 number. \*Must contain at least 1 special character. Group Password does not meet the current policy and complexity requirements. \*Must not be set to the default. \*Must have at least 1 lower and 1 upper case characters. \*Must contain at least 1 number. \*Must contain at least 1 special character. Symmetric Encryption Salt does not meet the current policy and complexity requirements. \*Must contain 8 or more characters. \*Must contain at least 1 number. \*Must contain at least 1 special character. Exception in thread "main" com.hazelcast.security.WeakSecretException: Weak secrets found in configuration, check output above for more details. at com.hazelcast.security.impl.WeakSecretsConfigChecker.evaluateAndReport(WeakSecretsConf igChecker.java:49) at com.hazelcast.instance.EnterpriseNodeExtension.printNodeInfo(EnterpriseNodeExtension.j ava:197) at com.hazelcast.instance.Node.<init>(Node.java:194) at com.hazelcast.instance.HazelcastInstanceImpl.createNode(HazelcastInstanceImpl.java:163 ) at com.hazelcast.instance.HazelcastInstanceImpl.<init>(HazelcastInstanceImpl.java:130) at com.hazelcast.instance.HazelcastInstanceFactory.constructHazelcastInstance(HazelcastIn stanceFactory.java:195) at com.hazelcast.instance.HazelcastInstanceFactory.newHazelcastInstance(HazelcastInstance Factory.java:174) at com.hazelcast.instance.HazelcastInstanceFactory.newHazelcastInstance(HazelcastInstance Factory.java:124) at com.hazelcast.core.Hazelcast.newHazelcastInstance(Hazelcast.java:58)

## 21.9. Security Realms

Hazelcast IMDG 4.0 introduces a new security configuration: security realms. Realms allow configuring JAAS authentication and/or own identity independently on the module which consumes this configuration. The realm is a named configuration and other modules just reference it by name.

```
<security enabled="true">
   <realms>
        <realm name="realm1">
            <authentication>
                <jaas>
                    <login-module class-name=
"com.hazelcast.examples.MyRequiredLoginModule" usage="REQUIRED">
                        <properties>
                            <property name="property">value</property>
                        </properties>
                    </login-module>
                </jaas>
            </authentication>
            <identity>
                <credentials-factory class-name=
"com.hazelcast.examples.MyCredentialsFactory">
                    <properties>
                        <property name="property">value</property>
                    </properties>
                </credentials-factory>
            </identity>
        </realm>
    </realms>
    <member-authentication realm="realm1"/>
    <client-authentication realm="realm1"/>
</security>
```

## 21.9.1. Authentication Configuration

There are several types of authentication configuration available in a security realm. The realm cannot have more than one authentication method specified.

Available authentication types:

- jaas: allows defining JAAS login module stacks
- ldap: verifies PasswordCredentials against an LDAP server
- tls: verifies that the TLS mutual authentication was used in the incoming connection and the peer's certificate chain is available.

### JAAS Authentication Type

The <jaas> authentication configuration is the most flexible form of the authentication configuration, but it requires knowledge of JAAS login modules and related concepts. It allows using custom login modules and ordering them in a login module stack.

The following is a sample configuration which authenticates against an LDAP server or database as a fallback:

```
<realm name="jaasRealm">
    <authentication>
        <iaas>
             <login-module class-name="com.examples.LdapLoginModule" usage="SUFFICIENT
">
                 <properties>
                      <property name="url">ldap://corp-ldap/</property></property>
                 </properties>
             </login-module>
             <login-module class-name="com.examples.DatabaseLoginModule" usage=
"SUFFICIENT">
                 <properties>
                      <property name="type">ora18</property></property>
                      <property name="host">corp-db</property></property>
                      <property name="table">USERS</property></property>
                 </properties>
             </login-module>
        </iaas>
    </authentication>
</realm>
```

For more details, see the JAAS authentication section.

### LDAP Authentication Type

LDAP servers are one of the most popular identity stores in companies. They can track information about the organization structure, users, groups, servers and configurations.

Hazelcast supports authentication and authorization against LDAP servers. The authentication verifies the provided name and password. The authorization part allows to map roles to the authenticated user.

The password verification during the authentication is possible by:

- making a new LDAP bind operation with the given name and password
- using a separate "admin connection" to verify the provided password against an LDAP object attribute.

The LDAP authentication allows also a role mapping. As there are more ways how roles can be mapped in the LDAP, Hazelcast provides several approaches to retrieve them:

- attribute: The role name is stored as an attribute in the object representing the identity.
- direct mapping: The identity object contains an attribute with reference to the role object(s).
- reverse mapping: The role objects having a reference to the identity object are searched.

The direct and reverse mapping modes also allow a role search recursion.

Table 7. LDAP Configuration Options

Option Name	Default Value	Description
url		URL of the LDAP server. The value is configured as the JNDI environment property, i.e., java.naming.provider.url.
socket-factory- class-name		Socket factory class name. The factory can be used for fine grained configuration of the TLS protocol on top of the LDAP protocol, i.e., ldaps scheme.
parse-dn	false	If set to true, it treats the value of role-mapping-attribute as a DN and extracts only the role-name-attribute values as role names. If set to false, the whole value of role- mapping-attribute is used as a role name. This option is only used when the role-mapping-mode option has the value attribute.
role-context		LDAP Context in which assigned roles are searched, e.g., ou=Roles,dc=hazelcast,dc=com. This option is only used when the role-mapping-mode option has the value reverse.
role-filter	([role-mapping- attribute]={member DN})	LDAP search string which usually contains a placeholder {memberDN} to be replaced by the provided login name, e.g., (member={memberDN}). If the role search recursion is enabled (see role-recursion- max-depth), the {memberDN} is replaced by role DNs in the recurrent searches. This option is only used when the role-mapping-mode option has the value reverse.
role-mapping- attribute		Name of the LDAP attribute which contains either the role name or role DN. This option is used when the role-mapping-mode option has the value attribute or direct. If the mapping mode is reverse, the value is used in role-filter default value.

role-mapping-mode	attribute	<ul> <li>Role mapping mode. It can have one of the following values:</li> <li>attribute: The user object in the LDAP contains directly role name in the given attribute. Role name can be parsed from a DN string when parse-dn=true No additional LDAP query is done to find assigned roles.</li> <li>direct: The user object contains an attribute with DN(s) of assigned role(s). Role object(s) is/are loaded from the LDAP and the role name is retrieved from its attributes. Role search recursion can be enabled for this mode.</li> <li>reverse: The role objects are located by executing an LDAP search query with the given role-filter. In this case, the role object usually contains attributes with DNs of the assigned users. Role search recursion can be enabled for this mode.</li> </ul>
role-name- attribute		This option may refer to a name of LDAP attribute within the role object which contains the role name in case of direct and reverse role mapping mode. It may also refer to the attribute name within X.500 name stored in role- mapping-attribute when role-mapping-mode=attribute and parse-dn=true.
role-recursion- max-depth	1	Sets the maximum depth of role search recursion. The default value 1 means the role search recursion is disabled. This option is only used when the role-mapping-mode option has value direct or reverse.
role-search-scope	subtree	<ul> <li>LDAP search scope used for role-filter search. It can have one of the following values:</li> <li>subtree: Searches for objects in the given context and its subtree.</li> <li>one-level: Searches just one-level under the given context.</li> <li>object: Searches (or tests) just for the context object itself (if it matches the filter criteria).</li> <li>This option is only used when the role-mapping-mode option has the value reverse.</li> </ul>
user-name- attribute	uid	LDAP attribute name whose value is used as a name in ClusterIdentityPrincipal added to the JAAS Subject.

system-user-dn		<ul> <li>Admin account DN. If configured, then the following are true:</li> <li>For the user and role object, search queries are used an admin connection instead of the "user" one created by LDAP bind with provided credentials.</li> <li>LDAP authentication doesn't expect the full user DN to be provided as a login name. It rather expects names like "jduke" than "uid=jduke,ou=Engineering,o=Hazelcast,dc=com";</li> <li>The admin connection allows verifying the provided user credentials against a value defined in the password-attribute option.</li> </ul>
system-user- password		Admin's password (for system-user-dn account).
password-attribute		Credentials verification is done by the new LDAP binds by default. Nevertheless, the password can be stored in a non- default LDAP attribute, and in this case use password- attribute to configure against which LDAP attribute (within the user object) is the provided password compared during the login. As a result, if the password- attribute option is provided, then the extra LDAP bind to verify credentials is not done and passwords are just compared within the Hazelcast code after retrieving the user object from LDAP server. This option is only used when the system-user-dn option, i.e., admin connection, is configured.
user-context		LDAP context in which the user objects are searched, e.g., ou=Users,dc=hazelcast,dc=com. This option is only used when the system-user-dn option, i.e., admin connection, is configured.
user-filter	(uid={login})	LDAP search string for retrieving the user objects based on the provided login name. It usually contains a placeholder substring {login} which is replaced by the provided login name. This option is only used when the system-user-dn option,

user-search-scope	subtree	LDAP search scope used for user-filter search. It can have one of the following values:
		• <b>subtree</b> : Searches for objects in the given context and its subtree.
		• one-level: Searches just one-level under the given context.
		• <b>object</b> : Searches (or tests) just for the context object itself (if it matches the filter criteria).
		This option is only used when the system-user-dn option, i.e., admin connection, is configured.

Detailed logging for LDAP authentication can be enabled by configuring a more verbose logger level for the com.hazelcast.security package as described in the Security Debugging section.

#### **TLS Protected LDAP Server Connections**

The LDAP authentication type supports TLS protected connections to LDAP servers, i.e., the ldaps protocol scheme. The TLS is handled on the Java runtime side (JNDI API and URL handlers).

When using TLS, the LDAP provider will, by default, use the socket factory, javax.net.ssl.SSLSocketFactory for creating a TLS socket to communicate with the server, using the default JSSE configuration. By default, the server's certificate is validated against Java default CA certificate store and hostname in LDAPs URL is verified against the name(s) in the server certificate. The behavior can be controlled globally by using javax.net.ssl.\* properties. Here is an example:

```
java -Djavax.net.ssl.trustStore=/opt/hazelcast.truststore \
    -Djavax.net.ssl.trustStorePassword=123456 \
    -Djavax.net.ssl.keyStore=/opt/hazelcast.keystore \
    -Djavax.net.ssl.keyStorePassword=123456 \
    ...
```

There can be also properties specific to vendor or Java version allowing more fine-grained control. Here is an example on disabling host name validation:

-Dcom.sun.jndi.ldap.object.disableEndpointIdentification=true

When even more control is necessary, you can implement your own SSLSocketFactory and use its class name as the value in the ldap authentication option socket-factory-class-name.

Here is an example custom socket factory class:

```
package security.ldap;
```

import java.io.FileInputStream;

```
import java.io.IOException;
import java.net.InetAddress;
import java.net.Socket;
import java.security.KeyStore;
import java.security.SecureRandom;
import javax.net.SocketFactory;
import javax.net.ssl.SSLContext;
import javax.net.ssl.SSLSocketFactory;
import javax.net.ssl.TrustManagerFactory;
public class CustomSSLSocketFactory extends SSLSocketFactory {
    private static final SocketFactory INSTANCE = new CustomSSLSocketFactory();
    /**
    * JNDI uses this method when creating {@code ldaps} connections.
    */
    public static SocketFactory getDefault() {
        return INSTANCE;
    }
    private SSLSocketFactory delegate;
    public CustomSSLSocketFactory() {
        try {
            KeyStore trustStore = KeyStore.getInstance(KeyStore.getDefaultType());
            try (FileInputStream fis = new FileInputStream("/opt/ldap.truststore")) {
                trustStore.load(fis, "S3cr3t".toCharArray());
            }
            TrustManagerFactory tmFactory = TrustManagerFactory.getInstance
(TrustManagerFactory.getDefaultAlgorithm());
            tmFactory.init(trustStore);
            SSLContext sc = SSLContext.getInstance("TLS");
            sc.init(null, tmFactory.getTrustManagers(), new SecureRandom());
            delegate = sc.getSocketFactory();
        } catch (Exception e) {
            throw new RuntimeException(e);
       }
   }
    @Override
    public String[] getDefaultCipherSuites() {
        return delegate.getDefaultCipherSuites();
    }
   @Override
    public String[] getSupportedCipherSuites() {
        return delegate.getSupportedCipherSuites();
    }
```

```
@Override
    public Socket createSocket(Socket arg0, String arg1, int arg2, boolean arg3)
throws IOException {
        return delegate.createSocket(arg0, arg1, arg2, arg3);
    }
    @Override
    public Socket createSocket(String arg0, int arg1) throws IOException {
        return delegate.createSocket(arg0, arg1);
    }
    @Override
    public Socket createSocket(InetAddress arg0, int arg1) throws IOException {
        return delegate.createSocket(arg0, arg1);
    }
    @Override
    public Socket createSocket(String arg0, int arg1, InetAddress arg2, int arg3)
throws IOException {
        return delegate.createSocket(arg0, arg1, arg2, arg3);
    }
    @Override
    public Socket createSocket(InetAddress arg0, int arg1, InetAddress arg2, int arg3)
throws IOException {
        return delegate.createSocket(arg0, arg1, arg2, arg3);
   }
}
```

The authentication configuration could look like as follows:

The LDAP authentication is backed by the JNDI API in Java. It has also the failover support. You can configure multiple space-separated URLs in the <url><url></ur>

```
<realm name="ldapFallbackRealm">
        <authentication>
        <ldap>
        <url>ldap://ldap-master.example.com ldap://ldap-backup.example.com</url>
        </ldap>
        </authentication>
        </realm>
```

#### **TLS Authentication Type**

Hazelcast is able to protect network communication using TLS. The TLS mutual authentication is also supported. It means not only the server side identifies itself to a client side (member, client, REST client, etc.), but also the client side needs to prove its identity by using a TLS (X.509) certificate.

The tls authentication type verifies within the JAAS authentication that the incoming connection already authenticated the client's TLS certificate. A ClusterIdentityPrincipal uses the subject DN (distinguished name) from the client's TLS certificate.

This authentication type is able to parse a role name from the client's certificate subject DN. The <tls> element has an attribute, roleAttribute, which specifies a part of DN to be used as a role name.

```
<realm name="tlsRealm">
<authentication>
<tls roleAttribute="cn" />
</authentication>
</realm>
```

This tls authentication uses cn attribute from the subject DN as the role name. If the subject DN in the certificate is cn=admin,ou=Devs,o=Hazelcast for instance, then the following Principals are added:

- ClusterIdentityPrincipal: CN=admin,OU=Devs,O=Hazelcast
- ClusterRolePrincipal: admin
- ClusterEndpointPrincipal: [remote address of the connecting party]

### 21.9.2. Identity Configuration

The Identity configuration allows defining own Credentials. These Credentials are used to authenticate to other systems.

Available identity configuration types are as follows:

- username-password: Defines a new PasswordCredentials object.
- token: Defines a new TokenCredentials object.
- credentials-factory: Configures the factory class which creates the Credentials objects.

#### Credentials

## Hazelcast IMDG Enterprise Feature

One of the key elements in Hazelcast security is the Credentials object, which represents evidence of the identity (member or client). The content of Credentials object is verified during the authentication. Credentials is an interface which extends Serializable.

```
public interface Credentials extends Serializable {
    String getName();
}
```

There are two subtype interfaces which simplify the Credentials usage. The subtypes reflect data provided in the client authentication messages:

- Name and password (com.hazelcast.security.PasswordCredentials)
- Byte array token (com.hazelcast.security.TokenCredentials)

The interfaces have the following forms:

```
public interface PasswordCredentials extends Credentials {
    String getPassword();
```

```
}
```

```
public interface TokenCredentials extends Credentials {
    byte[] getToken();
    default Data asData() {
        return new HeapData(getToken());
    }
}
```

The Credentials instance can be retrieved in the login modules by handling a CredentialsCallback.

Here is an example:

```
CredentialsCallback credcb = new CredentialsCallback();
try {
    callbackHandler.handle(new Callback[] { credcb });
} catch (IOException | UnsupportedCallbackException e) {
    throw new LoginException("Unable to retrieve credetials");
}
Credentials credentials = credcb.getCredentials();
if (credentials instanceof PasswordCredentials) {
    PasswordCredentials passwordCredentials = (PasswordCredentials) credentials;
    if (expectedName.equals(credentials.getName())
            && expectedPassword.equals(passwordCredentials.getPassword())) {
        name = credentials.getName();
        addRole(name);
        return true;
    }
}
throw new FailedLoginException("Credentials verification failed.");
```

#### **Password Credentials**

A PasswordCredentials implementation can be configured as a simple identity representation. It is configured by the <username-password/>XML configuration element as shown below:

```
<realms>
<realm name="passwordRealm">
<identity>
<username-password username="member1" password="s3crEt" />
</identity>
</realm>
</realms>
<member-authentication realm="passwordRealm" />
```

The equivalent programmatic configuration is shown below:

```
RealmConfig realmConfig = new RealmConfig()
    .setUsernamePasswordIdentityConfig("member1", "s3crEt");
config.getSecurityConfig().setMemberRealmConfig("passwordRealm", realmConfig);
```

#### **Token Credentials**

TokenCredentials instances are also simply configurable for identity representation. The <token/> XML configuration element allows using either plain ASCII tokens or Base64 encoded values. Its optional argument encoding can have either base64 or none (default) as its value.

The following two realms define the same token value - bytes of the "Hazelcast" string:

```
<realm name="tokenRealm1">
<identity>
<token>Hazelcast</token>
</identity>
</realm>
<realm name="tokenRealm2">
<identity>
<token encoding="base64">SGF6ZWxjYXN0</token>
</identity>
</realm>
```

The equivalent programmatic configuration is as follows:

```
TokenIdentityConfig tokenConfig = new TokenIdentityConfig("Hazelcast".getBytes
(StandardCharsets.US_ASCII));
RealmConfig realmConfig = new RealmConfig().setTokenIdentityConfig(tokenConfig);
```

### **Credentials Factory**

The most flexible way to define the Credentials objects is using a custom credential factory. It is an implementation of com.hazelcast.security.ICredentialsFactory interface. Its newCredentials() method is the one which provides credentials.

The XML configuration uses <credentials-factory> element to define the factory class.

The behavior of credential factories can be controlled by specifying factory properties. The properties are provided in the init(Properties) method.

A sample configuration is shown below:

# 21.10. JAAS authentication

## 21.10.1. JAAS Principals used in Hazelcast

Hazelcast works with the following JAAS Principal implementations added to the Subject:

- ClusterIdentityPrincipal: Represents the name of authenticated party (usually one instance in the Subject).
- ClusterRolePrincipal: Represents the role assigned to the authenticated party (usually zero or more instances in the Subject).
- ClusterEndpointPrincipal: Represents the remote address of the authenticated party (usually one instance in the Subject).

These implementations share a common abstract parent class HazelcastPrincipal, so it is simple to find them in the JAAS Subject.

```
Set<HazelcastPrincipal> hazelcastPrincipals =
    subject.getPrincipals(HazelcastPrincipal.class);
```

## 21.10.2. Callbacks Supported in Login Modules

JAAS Callback instances are used for accessing different kinds of data from the LoginModule implementations. Hazelcast supports the following Callback types:

- javax.security.auth.callback.NameCallback: Retrieves a name from Credentials object.
- javax.security.auth.callback.PasswordCallback: Retrieves a password from PasswordCredentials object.
- com.hazelcast.security.CertificatesCallback: Retrieves the TLS certificate chain (if any) of the connecting party.
- com.hazelcast.security.ClusterNameCallback: Retrieves the cluster name used for the authentication.
- com.hazelcast.security.CredentialsCallback: Retrieves Credentials used for authentication.
- com.hazelcast.security.ConfigCallback: Retrieves the Config object of current Hazelcast member.
- com.hazelcast.security.EndpointCallback: Retrieves the remote address of the connecting party.
- com.hazelcast.security.SerializationServiceCallback: Retrieves SerializationService of current Hazelcast member.

The callbacks are usually used in the login() method of a login module:

```
CredentialsCallback credcb = new CredentialsCallback();
ConfigCallback ccb = new ConfigCallback();
ClusterNameCallback cncb = new ClusterNameCallback();
try {
    callbackHandler.handle(new Callback[] { credcb, ccb, cncb });
} catch (IOException | UnsupportedCallbackException e) {
    throw new LoginException("Unable to retrieve necessary data");
}
Credentials remoteCredentials = credcb.getCredentials();
String remoteClusterName = cncb.getClusterName();
Config hazelcastConfig = ccb.getConfig();
```

## 21.10.3. ClusterLoginModule

### Hazelcast IMDG Enterprise Feature

Hazelcast has an abstract implementation of LoginModule that contains shared logic and cleanup operations. It automatically creates the ClusterEndpointPrincipal instance and it also provides the addRole(String) method which simplifies adding the ClusterRolePrincipal instances.

ClusterLoginModule implements all methods from the LoginModule interface and makes them final. It provides protected methods with empty implementations, e.g., onCommit(), to align the logic to user needs. The module comes also with the following abstract methods:

- getName(): It is used to retrieve the name of ClusterIdentityPrincipal.
- onLogin(): Logic of the login method which needs to be provided.

Extending the ClusterLoginModule is recommended instead of implementing all the required stuff from scratch.

```
public abstract class ClusterLoginModule implements LoginModule {
 protected abstract boolean onLogin() throws LoginException;
 protected abstract String getName();
 protected void onInitialize() {
 }
 protected boolean onCommit() throws LoginException {
      return true;
 }
 protected boolean onAbort() throws LoginException {
      return true;
 }
 protected boolean onLogout() throws LoginException {
      return true;
 }
 // ...
}
```

ClusterLoginModule supports a basic set of login module options, which allow skipping adding principals of a given type to the JAAS Subject. It allows, for instance, to have just one ClusterIdentityPrincipal in the Subject even if there are more login modules in the chain:

Table 8. ClusterLoginModule options

Option Name	Default Value	Description
skipIdentity	false	Don't add any ClusterIdentityPrincipal to the Subject.
skipRole	false	Don't add any ClusterRolePrincipal to the Subject.
skipEndpoint	false	Don't add any ClusterEndpointPrincipal to the Subject.

## 21.10.4. Enterprise Integration

Using the above API, you can implement a LoginModule that performs authentication against the security system of your choice, such databases, directory services or some other corporate standard you might have. For example, you may wish to have your clients send an identification token in the Credentials object. This token can then be sent to your backend security system via the LoginModule that runs on the cluster side.

Additionally, the same system may authenticate the user and also then return the roles that are attributed to the user. These roles can then be used for data structure authorization.



See the JAAS Reference Guide for further information.

# 21.11. Cluster Member Security

## Hazelcast IMDG Enterprise Feature

Hazelcast supports the standard Java Security (JAAS) based authentication between the cluster members. A Security Realm can be referenced by <member-authentication/> element to define authentication between the member and identity of the current member.

```
<hazelcast>
    . . .
    <security enabled="true">
      <realms>
          <realm name="memberRealm">
              <authentication>
                <ldap>
                     <url>ldap://corp-ldap.example.com/</url>
                </ldap>
              </authentication>
              <identity>
                <username-password username="uid=member1,dc=example,dc=com" password=</pre>
"s3crEt"/>
              </identity>
          </realm>
      </realms>
      <member-authentication realm="memberRealm"/>
    </security>
    . . .
</hazelcast>
```

# 21.12. Native Client Security

## Hazelcast IMDG Enterprise Feature

Hazelcast's Client security includes both authentication and authorization.

# 21.12.1. Authentication

The authentication mechanism works in similar way as the cluster member authentication. It can be referenced by the <member-authentication/> element to define authentication between the member and identity of the current member.

To implement the client authentication, you reference a Security Realm with the authentication section defined in the <client-authentication/> element of a cluster member configuration.

```
<hazelcast>
    . . .
    <security enabled="true">
      <realms>
          <realm name="clientRealm">
              <authentication>
                <ldap>
                    <url>ldap://corp-ldap.example.com/</url>
                    <role-mapping-attribute>cn</role-mapping-attribute>
                </ldap>
              </authentication>
          </realm>
      </realms>
      <member-authentication realm="clientRealm"/>
    </security>
    . . .
</hazelcast>
```

The identity of the connecting client is defined on the client side. There are no security realms on the clients, but just identity defined directly in the security configuration:

```
<hazelcast-client>
...
<security>
<username-password username="uid=member1,dc=example,dc=com" password="s3crEt"/>
</security>
...
</hazelcast-client>
```

On the clients, You can use the same identity types as in security realms:

- username-password
- token
- credentials-factory

## 21.12.2. Authorization

Hazelcast client authorization is configured by a client permission policy. Hazelcast has a default permission policy implementation that uses permission configurations defined in the Hazelcast security configuration. Default policy permission checks are done against instance types (map, queue, etc.), instance names (map, queue, name, etc.), instance actions (put, read, remove, add, etc.), the client endpoint address (ClusterEndpointPrincipal) and client roles (ClusterRolePrincipal).

The default permission policy allows to use comma separated names in the principal attribute configuration. Instance and principal names and endpoint addresses can be defined as wildcards(\*). See the Network Configuration and Using Wildcards sections.

```
<hazelcast>
    . . .
    <security enabled="true">
        <client-permissions>
            <!-- Principals 'admin' and 'root' from endpoint '127.0.0.1' have all
permissions. -->
            <all-permissions principal="admin,root">
                <endpoints>
                    <endpoint>127.0.0.1</endpoint>
                </endpoints>
            </all-permissions>
            <!-- Principals named 'dev' from all endpoints have 'create', 'destroy',
            'put', 'read' permissions for map named 'myMap'. -->
            <map-permission name="myMap" principal="dev">
                <actions>
                    <action>create</action>
                    <action>destroy</action>
                    <action>put</action>
                    <action>read</action>
                </actions>
            </map-permission>
            <!-- All principals from endpoints '127.0.0.1' or matching to '10.10.*.*'
            have 'put', 'read', 'remove' permissions for map
            whose name matches to 'com.foo.entity.*'. -->
            <map-permission name="com.foo.entity.*">
                <endpoints>
                    <endpoint>10.10.*.*</endpoint>
                    <endpoint>127.0.0.1</endpoint>
                </endpoints>
                <actions>
                    <action>put</action>
                    <action>read</action>
                    <action>remove</action>
                </actions>
            </map-permission>
            <!-- Principals named 'dev' from endpoints matching to either
            '192.168.1.1-100' or '192.168.2.*'
            have 'create', 'add', 'remove' permissions for all queues. -->
            <queue-permission name="*" principal="dev">
                <endpoints>
                    <endpoint>192.168.1.1-100</endpoint>
                    <endpoint>192.168.2.*</endpoint>
                </endpoints>
                <actions>
                    <action>create</action>
                    <action>add</action>
                    <action>remove</action>
                </actions>
```

```
</queue-permission>
    <!-- All principals from all endpoints have transaction permission.-->
        <transaction-permission />
        </client-permissions>
        </security>
        ...
</hazelcast>
```

You can also define your own policy by implementing com.hazelcast.security.IPermissionPolicy.

Permission policy implementations can access client-permissions that are in the configuration by using SecurityConfig.getClientPermissionConfigs() when Hazelcast calls the configure(SecurityConfig securityConfig, Properties properties) method.

The IPermissionPolicy.getPermissions(Subject subject, Class<? extends Permission> type) method is used to determine a client request that has been granted permission to perform a security-sensitive operation.

Permission policy should return a PermissionCollection containing permissions of the given type for the given Subject. The Hazelcast access controller calls PermissionCollection.implies(Permission) on returning PermissionCollection and it decides whether the current Subject has permission to access the requested resources.

## 21.12.3. Permissions

The following is the list of client permissions that can be configured on the member:

• All Permission

```
<all-permissions principal="principal">
<endpoints>
...
</endpoints>
</all-permissions>
```

• Map Permission

```
<map-permission name="name" principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</map-permission>
```

Actions: all, create, destroy, put, read, remove, lock, intercept, index, listen

• Queue Permission

```
<queue-permission name="name" principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</queue-permission>
```

Actions: all, create, destroy, add, remove, read, listen

• Multimap Permission

```
<multimap-permission name="name" principal="principal">
<endpoints>
</endpoints>
<actions>
<actions>
</actions>
</multimap-permission>
```

Actions: all, create, destroy, put, read, remove, listen, lock

• Topic Permission

Actions: create, destroy, publish, listen

• List Permission

```
<list-permission name="name" principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</list-permission>
```

Actions: all, create, destroy, add, read, remove, listen

• Set Permission

Actions: all, create, destroy, add, read, remove, listen

• Lock Permission

```
<lock-permission name="name" principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</lock-permission>
```

Actions: all, create, destroy, lock, read

AtomicLong Permission

```
<atomic-long-permission name="name" principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</actions>
```

Actions: all, create, destroy, read, modify

CountDownLatch Permission

```
<countdown-latch-permission name="name" principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</countdown-latch-permission>
```

Actions: all, create, destroy, modify, read

• IdGenerator Permission

```
<id-generator-permission name="name" principal="principal">
<endpoints>
</endpoints>
<actions>
</actions>
</id-generator-permission>
```

Actions: all, create, destroy, modify, read

• FlakeIdGenerator Permission

```
<flake-id-generator-permission name="name" principal="principal">
<endpoints>
<...
</endpoints>
<actions>
<...
</actions>
</flake-id-generator-permission>
```

Actions: all, create, destroy, modify

• Semaphore Permission

Actions: all, create, destroy, acquire, release, read

• Executor Service Permission

Actions: all, create, destroy

• Transaction Permission

• Cache Permission

```
<cache-permission name="/hz/cache-name" principal="principal">
<endpoints>
</endpoints>
<actions>
</actions>
</cache-permission>
```

Actions: all, create, destroy, put, read, remove, listen

• User Code Deployment Permission

```
<user-code-deployment-permission principal="principal">
<endpoints>
...
</endpoints>
<actions>
...
</actions>
</user-code-deployment-permission>
```

#### Actions: all, deploy



The name provided in cache-permission must be the Hazelcast distributed object name corresponding to the Cache as described in the JCache - Hazelcast Instance Integration section.

### Handling Permissions When a New Member Joins

By default, the set of permissions defined in the leader member of a cluster is distributed to the newly joining members, overriding their own permission configurations, if any. However, you can configure a new member to be joined, so that it keeps its own set of permissions and even send these to the existing members in the cluster. This can be done dynamically, i.e., without needing to restart the cluster, using either one of the following configuration options:

- the on-join-operation configuration attribute
- the setOnJoinPermissionOperation() method

Using the above, you can choose whether a new member joining to a cluster will apply the client permissions stored in its own configuration, or use the ones defined in the cluster. The behaviors that you can specify with the configuration are **RECEIVE**, **SEND** and **NONE**, which are described after the examples below.

The following are the examples for both approaches on how to use them:

### **Declarative Configuration:**

```
<hazelcast>
...
<security enabled="true">
<client-permissions on-join-operation="SEND">
<l-- ... -->
</client-permissions>
</security>
...
</hazelcast>
```

#### **Programmatic Configuration:**

```
Config config = new Config();
config.getSecurityConfig()
   .setEnabled(true)
   .setOnJoinPermissionOperation(OnJoinPermissionOperationName.SEND);
```

The behaviors are explained below:

- **RECEIVE**: Applies the permissions from the leader member in the cluster before join. This is the default value.
- SEND: Doesn't apply the permissions from the leader member before join. If the security is enabled, then it refreshes or replaces the cluster wide permissions with the ones in the new

member after the join is complete. This option is suitable for the scenarios where you need to replace the cluster wide permissions without restarting the cluster.

 NONE: Neither applies pre-join permissions, nor sends the local permissions to the other members. It means that the new member does not send its own permission definitions to the cluster, but keeps them when it joins. However, after the join, when you update the permissions in the other cluster members, those updates are also sent to the newly joining member. Therefore, this option is suitable for the scenarios where you need to elevate privileges temporarily on a single member (preferably a lite member) for a limited time period. The clients which want to use these temporary permissions have to access the cluster through this single new member, meaning that you need to disable smart routing for such clients.

Note that, the create and destroy permissions will not work when using the NONE option, since the distributed objects need to be created/destroyed on all the members.

The following is an example for a scenario where NONE is used:

```
// temporary member, in the below case a lite member
Config config = new Config().setLiteMember(true);
PermissionConfig allPermission = new PermissionConfig(PermissionType.ALL, "*",
null);
config.getSecurityConfig()
  .setEnabled(true)
  .setOnJoinPermissionOperation(OnJoinPermissionOperationName.NONE)
  .addClientPermissionConfig(allPermission);
HazelcastInstance hzLite = Hazelcast.newHazelcastInstance(config);
// temporary client connecting only to the lite member
String memberAddr = ...;
ClientConfig clientConfig = new ClientConfig();
clientConfig.getNetworkConfig().setSmartRouting(false)
  .addAddress(memberAddr);
HazelcastInstance client = HazelcastClient.newHazelcastClient(clientConfig);
// do operations with escalated privileges:
client.getMap("protectedConfig").put("master.resolution", "1920");
// shutdown the client and lite member
client.shutdown();
hzLite.shutdown();
```

# 21.13. Security Debugging

The biggest part of business logic related to security in Hazelcast is located in the com.hazelcast.security Java package. You can investigate the issues by printing more debug info from this package.

An example Log4J2 configuration is shown below:

```
<Configuration>
<Loggers>
<Logger name="com.hazelcast.security" level="ALL"/>
</Loggers>
</Configuration>
```

## 21.13.1. Java Security Debugging

Java is able to print the debug information about using the security components. During the security troubleshooting, it's often helpful to print the additional information by using the following system property:

-Djava.security.debug=all

See the Troubleshooting Security Java guide for more information.

## 21.13.2. TLS debugging

To assist with the TLS/SSL issues, you can use the following system property:

-Djavax.net.debug=all

This property provides a lot of logging output including the TLS/SSL handshake, that can be used to determine the cause of the problem. See the Debugging TSL/SSL Connections guide for more information.

# 21.14. FIPS 140-2

The Federal Information Processing Standard (FIPS) 140-2 is a US government computer security standard published by National Institute of Standards and Technology (NIST). It specifies the security requirements for cryptographic modules. FIPS 140-2 compliance is often a requirement of the software systems used by the US government agencies.

The NIST manages a list of FIPS certified cryptographic modules. These modules are certified under the Cryptographic Module Validation Program. The list can be searched online here.

Hazelcast uses external modules for cryptographic tasks and it can be configured to use a FIPS 140-2 validated module. It means most of the configuration required for FIPS is outside of the Hazelcast configuration. To run Hazelcast in the FIPS compliant mode you have to set the underlying Java runtime into FIPS mode. It may also require switching the underlying Operating System into the FIPS mode. We consider using a FIPS enabled OS as a recommended approach even in cases when it's not asked for explicitly.

Hazelcast is not an authority which should document switching different Java runtimes into the FIPS mode. Please consult the documentation of your Java version to learn how to enable the FIPS

mode. Usually it means changing the list of security providers in the java.security JRE configuration file.

Hazelcast is only responsible for enabling the OpenSSL native library into FIPS mode (see the Integrating OpenSSL section). If the Hazelcast cluster configuration enables TLS communication using the native OpenSSL library, you have to enable its FIPS mode in the Hazelcast OpenSSLEngineFactory configuration. The FIPS mode is controlled by an optional true/false property called fipsMode. It is disabled by default.

Example OpenSSL configuration in the FIPS mode:

```
<hazelcast>
    . . .
    <network>
        <ssl enabled="true">
              <factory-class-name>com.hazelcast.nio.ssl.OpenSSLEngineFactory</factory-</pre>
class-name>
              <properties>
                  <property name="fipsMode">true</property></property>
                  <property name="protocol">TLSv1.2</property></property>
                  <property name="trustCertCollectionFile">trusted-certs.pem</property></property>
                  <property name="keyFile">privkey.pem</property></property>
                  <property name="keyCertChainFile">chain.pem</property></property>
              </properties>
         </ssl>
    </network>
    . . .
</hazelcast>
```

When the fipsMode property is set to true, the native OpenSSL engine is either set to the FIPS mode or an exception is thrown, e.g., in the cases when OpenSSL is compiled without the FIPS support.

If there is more Hazelcast instances (members or clients) with TLS enabled employing the OpenSSL, then all of them must have the fipsMode property configured in the same way, either enabled or disabled.

When the FIPS mode is successfully enabled, you will see the following INFO level message in the log files:

OpenSSL is enabled in FIPS mode.



BoringSSL libraries don't support the FIPS mode.

## 21.14.1. Example FIPS 140-2 environment

The FIPS environment configuration steps depend on the used operating system and Java version. You should consult with their documentation for the specific configurations. We will describe a sample configuration which uses Red Hat Enterprise Linux (RHEL) version 7 and IBM Java SDK 8. If you find any difference between the sample configuration described here and the documentation of the OS and Java vendors, use the vendor's up-to-date instructions instead.

### Switching RHEL 7 into the FIPS mode

The steps on how to configure RHEL 7 in FIPS 140-2 mode are described in the Security guide on the Red Hat customer portal.

Perform the following steps for the already installed systems:

- 1. Install the dracut-fips package using the YUM package manager.
- 2. Run the dracut command to regenerate the initramfs file.
- 3. Add the fips=1 option to the kernel command line of the boot loader.
- 4. Disable prelinking (if it was enabled before.
- 5. Reboot the system.

After finishing these steps, check if the FIPS mode is enabled by running the following command:

```
# Following command should print "crypto.fips_enabled = 1" (value 1 means the FIPS
mode is enabled)
sysctl crypto.fips_enabled
```

To automate the FIPS mode enablement on RHEL 7, you can check the script which is shared in the Red Hat discussion forum.

#### Switching IBM Java SDK into the FIPS mode

IBM Java 8 provides the FIPS mode itself without any third party dependencies.

Details on how to enable the FIPS 140-2 validated configuration can be found in the Security guide in the Java 8 documentation.

First, it's necessary to edit the jre/lib/security/java.security file and do the following changes:

• Put IBMJCEFIPS as the first security provider. It will be the first provider to be selected when a JCA API call is made without specifying an explicit security provider.

security.provider.1=com.ibm.crypto.fips.provider.IBMJCEFIPS

And re-number the original set of security providers by increasing the priority of provider by one, i.e., the old security.provider.1 becomes security.provider.2 and so on.

• Add the new security properties (related to handling TLS protected communication):

ssl.SocketFactory.provider=com.ibm.jsse2.SSLSocketFactoryImpl
ssl.ServerSocketFactory.provider=com.ibm.jsse2.SSLServerSocketFactoryImpl

The Security provider covering the TLS implementation in IBM Java is IBMJSSE2. To instruct this provider about using the FIPS validated security primitives (from IBMJCEFIPS), use additional system properties.

-Dcom.ibm.jsse2.usefipsprovider=true -Dcom.ibm.jsse2.usefipsProviderName=IBMJCEFIPS

# 22. Performance

This chapter provides information on the performance features of Hazelcast including near cache, slow operations detector, back pressure and data affinity. Moreover, the chapter describes the best performance practices for Hazelcast deployed on Amazon EC2. It also describes the threading models for I/O, events, executors and operations.

# 22.1. Pipelining

With the pipelining, you can send multiple requests in parallel using a single thread and therefore can increase throughput. As an example, suppose that the round trip time for a request/response is 1 millisecond. If synchronous requests are used, e.g., IMap.get(), then the maximum throughput out of these requests from a single thread is 1/001 = 1000 operations/second. One way to solve this problem is to introduce multithreading to make the requests in parallel. For the same example, if we would use 2 threads, then the maximum throughput doubles from 1000 operations/second, to 2000 operations/second.

However, introducing threads for the sake of executing requests isn't always convenient and doesn't always lead to an optimal performance; this is where the pipelining can be used. Instead of using multiple threads to have concurrent invocations, you can use asynchronous method calls such as IMap.getAsync(). If you would use 2 asynchronous calls from a single thread, then the maximum throughput is 2\*(1/001) = 2000 operations/second. Therefore, to benefit from the pipelining, asynchronous calls need to be made from a single thread. The pipelining is a convenience implementation to provide back pressure, i.e., controlling the number of inflight operations, and it provides a convenient way to wait for all the results.

```
Pipelining<String> pipelining = new Pipelining<String>(10);
for (long k = 0; k < 100; k++) {
    int key = random.nextInt(keyDomain);
    pipelining.add(map.getAsync(key));
}
// wait for completion
List<String> results = pipelining.results();
```

In the above example, we make 100 asynchronous map.getAsync() calls, but the maximum number

of inflight calls is 10.

By increasing the depth of the pipelining, throughput can be increased. The pipelining has its own back pressure, you do not need to enable the back pressure on the client or member to have this feature on the pipelining. However, if you have many pipelines, you may still need to enable the client/member back pressure because it is possible to overwhelm the system with requests in that situation. See the Back Pressure section to learn how to enable it on the client or member.

You can use the pipelining both on the clients and members. You do not need a special configuration, it works out-of-the-box.

The pipelining can be used for any asynchronous call. You can use it for IMap asynchronous get/put methods as well as for ICache, IAtomicLong, etc. It cannot be used as a transaction mechanism though. So you cannot do some calls and throw away the pipeline and expect that none of the requests are executed. If you want to use an atomic behavior, see the Transactions chapter. The pipelining is just a performance optimization, not a mechanism for atomic behavior.

The pipelines are cheap and should frequently be replaced because they accumulate results. It is fine to have a few hundred or even a few thousand calls being processed with the pipelining. However, all the responses to all requests are stored in the pipeline as long as the pipeline is referenced. So if you want to process a huge number of requests, then every few hundred or few thousand calls wait for the pipelining results and just create a new instance.

Note that the pipelines are not thread-safe. They must be used by a single thread.

# 22.2. Data Affinity

Data affinity ensures that related entries exist on the same member. If related data is on the same member, operations can be executed without the cost of extra network calls and extra wire data. This feature is provided by using the same partition keys for related data.

## 22.2.1. PartitionAware

## Co-location of related data and computation

Hazelcast has a standard way of finding out which member owns/manages each key object. The following operations are routed to the same member, since all of them are operating based on the same key "key1".

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
Map mapA = hazelcastInstance.getMap( "mapA" );
Map mapB = hazelcastInstance.getMap( "mapB" );
Map mapC = hazelcastInstance.getMap( "mapC" );
// since map names are different, operation will be manipulating
// different entries, but the operation will take place on the
// same member since the keys ("key1") are the same
mapA.put( "key1", value );
mapB.get( "key1" );
mapC.remove( "key1" );
// lock operation will still execute on the same member
// of the cluster since the key ("key1") is same
hazelcastInstance.getLock( "key1" ).lock();
// distributed execution will execute the 'runnable' on the
// same member since "key1" is passed as the key.
hazelcastInstance.getExecutorService().executeOnKeyOwner( runnable, "key1" );
```

When the keys are the same, entries are stored on the same member. But we sometimes want to have related entries stored on the same member, such as a customer and his/her order entries. We would have a customers map with customerId as the key and an orders map with orderId as the key. Since customerId and orderId are different keys, a customer and his/her orders may fall into different members in your cluster. So how can we have them stored on the same member? We create an affinity between customer and orders. If we make them part of the same partition then these entries will be co-located. We achieve this by making orderKey s PartitionAware.

```
final class OrderKey implements PartitionAware, Serializable {
    private final long orderId;
    private final long customerId;
    OrderKey(long orderId, long customerId) {
        this.orderId = orderId;
        this.customerId = customerId;
   }
    @Override
    public Object getPartitionKey() {
        return customerId;
    }
    @Override
    public String toString() {
        return "OrderKey{"
                + "orderId=" + orderId
                + ", customerId=" + customerId
                + '}';
```

Notice that OrderKey implements PartitionAware and that getPartitionKey() returns the customerId. These make sure that the Customer entry and its Orders are stored on the same member.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
Map mapCustomers = hazelcastInstance.getMap( "customers" );
Map mapOrders = hazelcastInstance.getMap( "orders" );
// create the customer entry with customer id = 1
mapCustomers.put( 1, customer );
// now create the orders for this customer
mapOrders.put( new OrderKey( 21, 1 ), order );
mapOrders.put( new OrderKey( 22, 1 ), order );
mapOrders.put( new OrderKey( 23, 1 ), order );
```

Assume that you have a customers map where customerId is the key and the customer object is the value. You want to remove one of the customer orders and return the number of remaining orders. Here is how you would normally do it.

```
public static int removeOrder( long customerId, long orderId ) throws Exception {
    IMap<Long, Customer> mapCustomers = instance.getMap( "customers" );
    IMap mapOrders = hazelcastInstance.getMap( "orders" );
    mapCustomers.lock( customerId );
    mapOrders.remove( new OrderKey(orderId, customerId) );
    Set orders = orderMap.keySet(Predicates.equal( "customerId", customerId ));
    mapCustomers.unlock( customerId );
    return orders.size();
}
```

There are couple of things you should consider.

- There are four distributed operations there: lock, remove, keySet, unlock. Can you reduce the number of distributed operations?
- The customer object may not be that big, but can you not have to pass that object through the wire? Think about a scenario where you set order count to the customer object for fast access, so you should do a get and a put, and as a result, the customer object is passed through the wire twice.

Instead, why not move the computation over to the member (JVM) where your customer data resides. Here is how you can do this with distributed executor service.

- 1. Send a PartitionAware Callable task.
- 2. Callable does the deletion of the order right there and returns with the remaining order count.
- 3. Upon completion of the Callable task, return the result (remaining order count). You do not have to wait until the task is completed; since distributed executions are asynchronous, you can do other things in the meantime.

Here is an example code.

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
public int removeOrder(long customerId, long orderId) throws Exception {
    IExecutorService executorService = hazelcastInstance.getExecutorService(
"ExecutorService");
    OrderDeletionTask task = new OrderDeletionTask(customerId, orderId);
    Future<Integer> future = executorService.submit(task);
    int remainingOrders = future.get();
    return remainingOrders;
  }
  public static class OrderDeletionTask
    implements Callable<Integer>, PartitionAware, Serializable,
HazelcastInstanceAware {
```

```
private long orderId;
    private long customerId;
    private HazelcastInstance hazelcastInstance;
    public OrderDeletionTask() {
    }
    public OrderDeletionTask(long customerId, long orderId) {
        this.customerId = customerId;
        this.orderId = orderId;
    }
    @Override
    public Integer call() {
        IMap<Long, Customer> customerMap = hazelcastInstance.getMap("customers");
        IMap<OrderKey, Order> orderMap = hazelcastInstance.getMap("orders");
        customerMap.lock(customerId);
        Predicate predicate = Predicates.equal("customerId", customerId);
        Set<OrderKey> orderKeys = orderMap.localKeySet(predicate);
        int orderCount = orderKeys.size();
        for (OrderKey key : orderKeys) {
            if (key.orderId == orderId) {
                orderCount--;
                orderMap.delete(key);
            }
        }
        customerMap.unlock(customerId);
        return orderCount;
    }
    @Override
    public Object getPartitionKey() {
        return customerId;
    }
    @Override
    public void setHazelcastInstance(HazelcastInstance hazelcastInstance) {
        this.hazelcastInstance = hazelcastInstance;
    }
}
```

The following are the benefits of doing the same operation with distributed ExecutorService based on the key:

only one distributed execution (executorService.submit(task)), instead of four

- less data is sent over the wire
- less lock duration, i.e., higher concurrency, for the Customer entry since lock/update/unlock cycle is done locally (local to the customer data)

## 22.2.2. PartitioningStrategy

Another way of storing the related data on the same location is using/implementing the class PartitioningStrategy. Normally (if no partitioning strategy is defined), Hazelcast finds the partition of a key first by converting the object to binary and then by hashing this binary. If a partitioning strategy is defined, Hazelcast injects the key to the strategy and the strategy returns an object out of which the partition is calculated by hashing it.

Hazelcast offers the following out-of-the-box partitioning strategies:

- DefaultPartitioningStrategy: Default strategy. It checks whether the key implements PartitionAware. If it implements, the object is converted to binary and then hashed, to find the partition of the key.
- StringPartitioningStrategy: Works only for string keys. It uses the string after @ character as the partition ID. For example, if you have two keys ordergroup1@region1 and customergroup1@region1, both ordergroup1 and customergroup1 fall into the partition where region1 is located.
- StringAndPartitionAwarePartitioningStrategy: Works as the combination of the above two strategies. If the key implements PartitionAware, it works like the DefaultPartitioningStrategy. If it is a string key, it works like the StringPartitioningStrategy.

Following are the example configuration snippets. Note that these strategy configurations are **per map**.

### **Declarative Configuration:**

```
<hazelcast>
....
<map name="name-of-the-map">
<partition-strategy>
com.hazelcast.partition.strategy.StringAndPartitionAwarePartitioningStrategy
</partition-strategy>
</map>
....
</hazelcast>
```

#### **Programmatic Configuration:**

```
Config config = new Config();
MapConfig mapConfig = config.getMapConfig("name-of-the-map");
PartitioningStrategyConfig psConfig = mapConfig.getPartitioningStrategyConfig();
psConfig.setPartitioningStrategyClass( "StringAndPartitionAwarePartitioningStrategy"
);
// OR
psConfig.setPartitioningStrategy(YourCustomPartitioningStrategy);
....
```

You can also define your own partition strategy by implementing the class PartitioningStrategy. To enable your implementation, add the full class name to your Hazelcast configuration using either the declarative or programmatic approach, as exemplified above.

The examples above show how to define a partitioning strategy per map. Note that all the members of your cluster must have the same partitioning strategy configurations.

You can also change a global strategy which is applied to all the data structures in your cluster. This can be done by defining the hazelcast.partitioning.strategy.class system property. An example declarative way of configuring this property is shown below:

```
<hazelcast>
...
<properties>
<property name="hazelcast.partitioning.strategy.class">
com.hazelcast.partition.strategy.StringAndPartitionAwarePartitioningStrategy
</property>
</property>
...
</hazelcast>
```

You can specify the aforementioned out-of-the-box strategies or your custom partitioning strategy.

You can also use other system property configuring options as explained in the Configuring with System Properties section.

The per map and global (cluster) partitioning strategies are supported on the member side. Hazelcast IMDG Java clients only support the global strategy and it is configured via the same system property used in the members (`hazelcast.partitioning.strategy.class `).

# 22.3. Running on EC2

For the best performance of your Hazelcast on AWS EC2:

- Select the newest Linux AMIs.
- Select the HVM based instances.

- Select at least a system with 8 vCPUs, e.g., c4.2xlarge. For an overview of all types of EC2 instances, please check this web page.
- Consider setting a placement group.

# 22.4. Back Pressure

Hazelcast uses operations to make remote calls. For example, a map.get is an operation and a map.put is one operation for the primary and one operation for each of the backups, i.e., map.put is executed for the primary and also for each backup. In most cases, there is a natural balance between the number of threads performing operations and the number of operations being executed. However, the following may pile up this balance and operations and eventually lead to OutofMemoryException (OOME):

- Asynchronous calls: With async calls, the system may be flooded with the requests.
- Asynchronous backups: The asynchronous backups may be piling up.

To prevent the system from crashing, Hazelcast provides back pressure. Back pressure works by:

- limiting the number of concurrent operation invocations
- and periodically making an async backup sync.

## 22.4.1. Member Side

Back pressure is disabled by default and you can enable it using the following system property:

#### hazelcast.backpressure.enabled

To control the number of concurrent invocations, you can configure the number of invocations allowed per partition using the following system property:

#### hazelcast.backpressure.max.concurrent.invocations.per.partition

The default value of this system property is 100. Using a default configuration a system is allowed to have (271 + 1) \* 100 = 27200 concurrent invocations (271 partitions + 1 for generic operations).

Back pressure is only applied to normal operations. System operations like heart beats and partition migration operations are not influenced by back pressure. 27200 invocations might seem like a lot, but keep in mind that executing a task on **IExecutor** or acquiring a lock also requires an operation.

If the maximum number of invocations has been reached, Hazelcast automatically applies an exponential backoff policy. This gives the system some time to deal with the load. Using the following system property, you can configure the maximum time to wait before a HazelcastOverloadException is thrown:

#### hazelcast.backpressure.backoff.timeout.millis

This system property's default value is 60000 milliseconds.

The Health Monitor keeps an eye on the usage of the invocations. If it sees a member has consumed

70% or more of the invocations, it starts to log health messages.

Apart from controlling the number of invocations, you also need to control the number of pending async backups. This is done by periodically making these backups sync instead of async. This forces all pending backups to get drained. For this, Hazelcast tracks the number of asynchronous backups for each partition. At every **Nth** call, one synchronization is forced. This **N** is controlled through the following property:

### hazelcast.backpressure.syncwindow

This system property's default value is 100. It means, out of 100 **asynchronous** backups, Hazelcast makes 1 of them a **synchronous** one. A randomization is added, so the sync window with default configuration is between 75 and 125 invocations.

## 22.4.2. Client Side

To prevent the system on the client side from overloading, you can apply a constraint on the number of concurrent invocations. You can use the following system property on the client side for this purpose:

### hazelcast.client.max.concurrent.invocations

This property defines the maximum allowed number of concurrent invocations. When it is not explicitly set, it has the value Integer.MAX\_VALUE by default, which means infinite. When you set it and if the maximum number of concurrent invocations is exceeded this value, Hazelcast throws HazelcastOverloadException when a new invocation comes in.

Please note that back off timeout and controlling the number of pending async backups (sync window) is not supported on the client side.



See the System Properties appendix to learn how to configure the system properties.

# 22.5. Threading Model

Your application server has its own threads. Hazelcast does not use these; it manages its own threads.

## 22.5.1. I/O Threading

Hazelcast uses a pool of threads for I/O. A single thread does not perform all the I/O. Instead, multiple threads perform the I/O. On each cluster member, the I/O threading is split up in 3 types of I/O threads:

- I/O thread for the accept requests
- I/O threads to read data from other members/clients
- I/O threads to write data to other members/clients

You can configure the number of I/O threads using the hazelcast.io.thread.count system property.

Its default value is 3 per member. If 3 is used, in total there are 7 I/O threads: 1 accept I/O thread, 3 read I/O threads and 3 write I/O threads. Each I/O thread has its own Selector instance and waits on the Selector.select if there is nothing to do.



You can also specify counts for input and output threads separately. There are hazelcast.io.input.thread.count and hazelcast.io.output.thread.count properties for this purpose. See the System Properties appendix for information on these properties and how to set them.

Hazelcast periodically scans utilization of each I/O thread and can decide to migrate a connection to a new thread if the existing thread is servicing a disproportionate number of I/O events. You can customize the scanning interval by configuring the hazelcast.io.balancer.interval.seconds system property; its default interval is 20 seconds. You can disable the balancing process by setting this property to a negative value.

In case of the read I/O thread, when sufficient bytes for a packet have been received, the Packet object is created. This Packet object is then sent to the system where it is de-multiplexed. If the Packet header signals that it is an operation/response, the Packet is handed over to the operation service (see the Operation Threading section). If the Packet is an event, it is handed over to the event service (see the Event Threading section).

## 22.5.2. Event Threading

Hazelcast uses a shared event system to deal with components that rely on events, such as topic, collections, listeners and Near Cache.

Each cluster member has an array of event threads and each thread has its own work queue. When an event is produced, either locally or remotely, an event thread is selected (depending on if there is a message ordering) and the event is placed in the work queue for that event thread.

You can set the following properties to alter the system's behavior:

- hazelcast.event.thread.count: Number of event-threads in this array. Its default value is 5.
- hazelcast.event.queue.capacity: Capacity of the work queue. Its default value is 1000000.
- hazelcast.event.queue.timeout.millis: Timeout for placing an item on the work queue in milliseconds. Its default value is 250 milliseconds.

If you process a lot of events and have many cores, changing the value of hazelcast.event.thread.count property to a higher value is a good practice. This way, more events can be processed in parallel.

Multiple components share the same event queues. If there are 2 topics, say A and B, for certain messages they may share the same queue(s) and hence the same event thread. If there are a lot of pending messages produced by A, then B needs to wait. Also, when processing a message from A takes a lot of time and the event thread is used for that, B suffers from this. That is why it is better to offload processing to a dedicated thread (pool) so that systems are better isolated.

If the events are produced at a higher rate than they are consumed, the queue grows in size. To prevent overloading the system and running into an OutOfMemoryException, the queue is given a

capacity of 1 million items. When the maximum capacity is reached, the items are dropped. This means that the event system is a 'best effort' system. There is no guarantee that you are going to get an event. Topic A might have a lot of pending messages and therefore B cannot receive messages because the queue has no capacity and messages for B are dropped.

## 22.5.3. IExecutor Threading

Executor threading is straight forward. When a task is received to be executed on Executor E, then E will have its own ThreadPoolExecutor instance and the work is placed in the work queue of this executor. Thus, Executors are fully isolated, but still share the same underlying hardware - most importantly the CPUs.

You can configure the IExecutor using the ExecutorConfig (programmatic configuration) or using <executor> (declarative configuration). See also the Configuring Executor Service section.

## 22.5.4. Operation Threading

The following are the operation types:

- operations that are aware of a certain partition, e.g., IMap.get(key)
- operations that are not partition aware, e.g., IExecutorService.executeOnMember(command, member)

Each of these operation types has a different threading model explained in the following sections.

### **Partition-aware Operations**

To execute partition-aware operations, an array of operation threads is created. The default value of this array's size is the number of cores and it has a minimum value of 2. This value can be changed using the hazelcast.operation.thread.count property.

Each operation thread has its own work queue and it consumes messages from this work queue. If a partition-aware operation needs to be scheduled, the right thread is found using the formula below.

```
threadIndex = partitionId % partition thread-count
```

After the threadIndex is determined, the operation is put in the work queue of that operation thread. This means the followings:

- A single operation thread executes operations for multiple partitions; if there are 271 partitions and 10 partition threads, then roughly every operation thread executes operations for 27 partitions.
- Each partition belongs to only 1 operation thread. All operations for a partition are always handled by exactly the same operation thread.
- Concurrency control is not needed to deal with partition-aware operations because once a partition-aware operation is put in the work queue of a partition-aware operation thread, only 1 thread is able to touch that partition.

Because of this threading strategy, there are two forms of false sharing you need to be aware of:

- False sharing of the partition two completely independent data structures share the same partition. For example, if there is a map employees and a map orders, the method employees.get("peter") running on partition 25 may be blocked by the method orders.get(1234) also running on partition 25. If independent data structures share the same partition, a slow operation on one data structure can slow down the other data structures.
- False sharing of the partition-aware operation thread each operation thread is responsible for executing operations on a number of partitions. For example, **thread 1** could be responsible for partitions 0, 10, 20, etc. and **thread-2** could be responsible for partitions 1, 11, 21, etc. If an operation for partition 1 takes a lot of time, it blocks the execution of an operation for partition 11 because both of them are mapped to the same operation thread.

You need to be careful with long running operations because you could starve operations of a thread. As a general rule, the partition thread should be released as soon as possible because operations are not designed as long running operations. That is why, for example, it is very dangerous to execute a long running operation using AtomicReference.alter() or an IMap.executeOnKey(), because these operations block other operations to be executed.

Currently, there is no support for work stealing. Different partitions that map to the same thread may need to wait till one of the partitions is finished, even though there are other free partition-aware operation threads available.

### **Example:**

Take a cluster with three members. Two members have 90 primary partitions and one member has 91 primary partitions. Let's say you have one CPU and four cores per CPU. By default, four operation threads will be allocated to serve 90 or 91 partitions.

### Non-Partition-aware Operations

To execute operations that are not partition-aware, e.g., **IExecutorService.executeOnMember(command, member)**, generic operation threads are used. When the Hazelcast instance is started, an array of operation threads is created. The size of this array has a default value of the number of cores divided by two with a minimum value of 2. It can be changed using the hazelcast.operation.generic.thread.count property.

A non-partition-aware operation thread does not execute an operation for a specific partition. Only partition-aware operation threads execute partition-aware operations.

Unlike the partition-aware operation threads, all the generic operation threads share the same work queue: genericWorkQueue.

If a non-partition-aware operation needs to be executed, it is placed in that work queue and any generic operation thread can execute it. The big advantage is that you automatically have work balancing since any generic operation thread is allowed to pick up work from this queue.

The disadvantage is that this shared queue can be a point of contention. You may not see this contention in production since performance is dominated by I/O and the system does not run many non-partition-aware operations.

#### **Priority Operations**

In some cases, the system needs to run operations with a higher priority, e.g., an important system operation. To support priority operations, Hazelcast has the following features:

- For partition-aware operations: Each partition thread has its own work queue and it also has a priority work queue. The partition thread always checks the priority queue before it processes work from its normal work queue.
- For non-partition-aware operations: Next to the genericWorkQueue, there is also a genericPriorityWorkQueue. When a priority operation needs to be run, it is put in the genericPriorityWorkQueue. Like the partition-aware operation threads, a generic operation thread first checks the genericPriorityWorkQueue for work.

Since a worker thread blocks on the normal work queue (either partition specific or generic), a priority operation may not be picked up because it is not put in the queue where it is blocking. Hazelcast always sends a 'kick the worker' operation that only triggers the worker to wake up and check the priority queue.

### **Operation-response and Invocation-future**

When an Operation is invoked, a Future is returned. See the example code below.

GetOperation operation = new GetOperation( mapName, key );
Future future = operationService.invoke( operation );
future.get();

The calling side blocks for a reply. In this case, GetOperation is set in the work queue for the partition of key, where it eventually is executed. Upon execution, a response is returned and placed on the genericWorkQueue where it is executed by a "generic operation thread". This thread signals the future and notifies the blocked thread that a response is available. Hazelcast has a plan of exposing this future to the outside world, and we will provide the ability to register a completion listener so you can perform asynchronous calls.

### Local Calls

When a local partition-aware call is done, an operation is made and handed over to the work queue of the correct partition operation thread, and a future is returned. When the calling thread calls get on that future, it acquires a lock and waits for the result to become available. When a response is calculated, the future is looked up and the waiting thread is notified.

In the future, this will be optimized to reduce the amount of expensive systems calls, such as lock.acquire()/notify() and the expensive interaction with the operation-queue. Probably, we will add support for a caller-runs mode, so that an operation is directly run on the calling thread.

## 22.6. SlowOperationDetector

The SlowOperationDetector monitors the operation threads and collects information about all slow operations. An Operation is a task executed by a generic or partition thread (see Operation

Threading). An operation is considered as slow when it takes more computation time than the configured threshold.

The SlowOperationDetector stores the fully qualified classname of the operation and its stacktrace as well as operation details, start time and duration of each slow invocation. All collected data is available in the Management Center.

The SlowOperationDetector is configured via the following system properties.

- hazelcast.slow.operation.detector.enabled
- hazelcast.slow.operation.detector.log.purge.interval.seconds
- hazelcast.slow.operation.detector.log.retention.seconds
- hazelcast.slow.operation.detector.stacktrace.logging.enabled
- hazelcast.slow.operation.detector.threshold.millis

See the System Properties appendix for explanations of these properties.

## 22.6.1. Logging of Slow Operations

The detected slow operations are logged as warnings in the Hazelcast log files:

```
WARN 2015-05-07 11:05:30,890 SlowOperationDetector: [127.0.0.1]:5701
Slow operation detected: com.hazelcast.map.impl.operation.PutOperation
Hint: You can enable the logging of stacktraces with the following config
property: hazelcast.slow.operation.detector.stacktrace.logging.enabled
WARN 2015-05-07 11:05:30,891 SlowOperationDetector: [127.0.0.1]:5701
Slow operation detected: com.hazelcast.map.impl.operation.PutOperation
(2 invocations)
WARN 2015-05-07 11:05:30,892 SlowOperationDetector: [127.0.0.1]:5701
Slow operation detected: com.hazelcast.map.impl.operation.PutOperation
(3 invocations)
```

Stacktraces are always reported to the Management Center, but by default they are not printed to keep the log size small. If logging of stacktraces is enabled, the full stacktrace is printed every 100 invocations. All other invocations print a shortened version.

## 22.6.2. Purging of Slow Operation Logs

Since a Hazelcast cluster can run for a very long time, Hazelcast purges the slow operation logs periodically to prevent an OOME. You can configure the purge interval and the retention time for each invocation.

The purging removes each invocation whose retention time is exceeded. When all invocations are purged from a slow operation log, the log is deleted.

## 22.7. Near Cache

Map or Cache entries in Hazelcast are partitioned across the cluster members. Hazelcast clients do

not have local data at all. Suppose you read the key k a number of times from a Hazelcast client or k is owned by another member in your cluster. Then each map.get(k) or cache.get(k) will be a remote operation, which creates a lot of network trips. If you have a data structure that is mostly read, then you should consider creating a local Near Cache, so that reads are sped up and less network traffic is created.

These benefits do not come for free. See the following trade-offs:

- Members with a Near Cache has to hold the extra cached data, which increases memory consumption.
- If invalidation is enabled and entries are updated frequently, then invalidations will be costly.
- Near Cache breaks the strong consistency guarantees; you might be reading stale data.

Near Cache is highly recommended for data structures that are mostly read.

In a client/server system you must enable the Near Cache separately on the client, without the need to configure it on the server. Please note that Near Cache configuration is specific to the server or client itself: a data structure on a server may not have Near Cache configured while the same data structure on a client may have Near Cache configured. They also can have different Near Cache configurations.

If you are using Near Cache, you should take into account that your hits to the keys in the Near Cache are not reflected as hits to the original keys on the primary members. This has for example an impact on IMap's maximum idle seconds or time-to-live seconds expiration. Therefore, even though there is a hit on a key in Near Cache, your original key on the primary member may expire.



Near Cache works only when you access data via map.get(k) or cache.get(k) methods. Data returned using a predicate is not stored in the Near Cache.

## 22.7.1. Hazelcast Data Structures with Near Cache Support

The following matrix shows the Hazelcast data structures with Near Cache support. Please have a look at the next section for a detailed explanation of cache-local-entries, local-update-policy, preloader and serialize-keys.

Data structure	Near Cache Support	cache-local- entries	local-update- policy	preloader	serialize-keys
IMap member	yes	yes	no	no	yes
IMap client	yes	no	no	yes	yes
JCache member	no	no	no	no	no
JCache client	yes	no	yes	yes	yes
ReplicatedMap member	no	no	no	no	no
ReplicatedMap client	yes	no	no	no	no

Data structure	Near Cache Support	cache-local- entries	local-update- policy	preloader	serialize-keys
Transactional Map member	limited	no	no	no	no
Transactional Map client	no	no	no	no	no



Even though lite members do not store any data for Hazelcast data structures, you can enable Near Cache on lite members for faster reads.

### 22.7.2. Configuring Near Cache

The following shows the configuration for the Hazelcast Near Cache.



Please keep in mind that, if you want to use near cache on a Hazelcast member, configure it on the member; if you want to use it on a Hazelcast client, configure it on the client.

### **Declarative Configuration:**

```
<hazelcast>
    . . .
    <near-cache name="myDataStructure">
        <in-memory-format>(OBJECT|BINARY|NATIVE)</in-memory-format>
        <serialize-keys>(true|false)</serialize-keys>
        <invalidate-on-change>(true|false)</invalidate-on-change>
        <time-to-live-seconds>(0..INT MAX)</time-to-live-seconds>
        <max-idle-seconds>(0..INT_MAX)</max-idle-seconds>
        <eviction eviction-policy="(LRU|LFU|RANDOM|NONE)"</pre>
            max-size-policy="(ENTRY COUNT
              |USED_NATIVE_MEMORY_SIZE|USED_NATIVE_MEMORY_PERCENTAGE
              |FREE_NATIVE_MEMORY_SIZE|FREE_NATIVE_MEMORY_PERCENTAGE"
            size="(0..INT_MAX)"/>
        <cache-local-entries>(false|true)</cache-local-entries>
        <local-update-policy>(INVALIDATE|CACHE_ON_UPDATE)</local-update-policy>
        <preloader enabled="(true|false)"</pre>
             directory="nearcache-example"
             store-initial-delay-seconds="(0..INT MAX)"
             store-interval-seconds="(0..INT MAX)"/>
    </near-cache>
    . . .
</hazelcast>
```

The element <near-cache> has an optional attribute name whose default value is default.

#### **Programmatic Configuration:**

```
EvictionConfig evictionConfig = new EvictionConfig()
        .setMaxSizePolicy(MaxSizePolicy.ENTRY_COUNT)
        .setEvictionPolicy(EvictionPolicy.LRU)
        .setSize( 1 );
NearCachePreloaderConfig preloaderConfig = new NearCachePreloaderConfig()
        .setEnabled(true)
        .setDirectory("nearcache-example")
        .setStoreInitialDelaySeconds( 1 )
        .setStoreIntervalSeconds( 2 );
NearCacheConfig nearCacheConfig = new NearCacheConfig()
        .setName("myDataStructure")
        .setInMemoryFormat(InMemoryFormat.BINARY)
        .setSerializeKeys(true)
        .setInvalidateOnChange(false)
        .setTimeToLiveSeconds( 1 )
        .setMaxIdleSeconds( 5 )
        .setEvictionConfig(evictionConfig)
        .setCacheLocalEntries(true)
        .setLocalUpdatePolicy(NearCacheConfig.LocalUpdatePolicy.CACHE_ON_UPDATE)
        .setPreloaderConfig(preloaderConfig);
```

The class NearCacheConfig is used for all supported Hazelcast data structures on members and clients.

The following are the descriptions of all configuration elements and attributes:

- in-memory-format: Specifies in which format data is stored in your Near Cache. Note that a map's in-memory format can be different from that of its Near Cache. Available values are as follows:
  - BINARY: Data is stored in serialized binary format (default value).
  - **OBJECT**: Data is stored in deserialized form.
  - NATIVE: Data is stored in the Near Cache that uses Hazelcast's High-Density Memory Store feature. This option is available only in Hazelcast IMDG Enterprise HD. Note that a map and its Near Cache can independently use High-Density Memory Store. For example, while your map does not use High-Density Memory Store, its Near Cache can use it.
- serialize-keys: Specifies if the keys of a Near Cache entry should be serialized or not. Serializing the keys has a big impact on the read performance of the Near Cache. It should just be activated when you have mutable keys, which are changed after use for the Near Cache. Its default value is false.
- invalidate-on-change: Specifies whether the cached entries are evicted when the entries are updated or removed. Its default value is true.
- time-to-live-seconds: Maximum number of seconds for each entry to stay in the Near Cache. Entries that are older than this period are automatically evicted from the Near Cache. Regardless of the eviction policy used, time-to-live-seconds still applies. Any integer between 0 and Integer.MAX\_VALUE. 0 means infinite. Its default value is 0.

- max-idle-seconds: Maximum number of seconds each entry can stay in the Near Cache as untouched (not read). Entries that are not read more than this period are removed from the Near Cache. Any integer between 0 and Integer.MAX\_VALUE. 0 means Integer.MAX\_VALUE. Its default value is 0.
- eviction: Specifies the eviction behavior when you use High-Density Memory Store for your Near Cache. It has the following attributes:
  - eviction-policy: Eviction policy configuration. Available values are as follows:
    - LRU: Least Recently Used (default value).
    - LFU: Least Frequently Used.
    - NONE: No items are evicted and the property max-size is ignored. You still can combine it with time-to-live-seconds and max-idle-seconds to evict items from the Near Cache.
    - RANDOM: A random item is evicted.
  - max-size-policy: Maximum size policy for eviction of the Near Cache. Available values are as follows:
    - ENTRY\_COUNT: Maximum size based on the entry count in the Near Cache (default value).
    - USED\_NATIVE\_MEMORY\_SIZE: Maximum used native memory size of the specified Near Cache in MB to trigger the eviction. If the used native memory size exceeds this threshold, the eviction is triggered. Available only for NATIVE in-memory format. This is supported only by Hazelcast IMDG Enterprise.
    - USED\_NATIVE\_MEMORY\_PERCENTAGE: Maximum used native memory percentage of the specified Near Cache to trigger the eviction. If the native memory usage percentage (relative to maximum native memory size) exceeds this threshold, the eviction is triggered. Available only for NATIVE in-memory format. This is supported only by Hazelcast IMDG Enterprise.
    - FREE\_NATIVE\_MEMORY\_SIZE: Minimum free native memory size of the specified Near Cache in MB to trigger the eviction. If free native memory size goes below this threshold, eviction is triggered. Available only for NATIVE in-memory format. This is supported only by Hazelcast IMDG Enterprise.
    - FREE\_NATIVE\_MEMORY\_PERCENTAGE: Minimum free native memory percentage of the specified Near Cache to trigger eviction. If free native memory percentage (relative to maximum native memory size) goes below this threshold, eviction is triggered. Available only for NATIVE in-memory format. This is supported only by Hazelcast IMDG Enterprise.
  - size: Maximum size of the Near Cache used for max-size-policy. When this is reached the Near Cache is evicted based on the policy defined. Any integer between 1 and Integer.MAX\_VALUE. This value has different defaults, depending on the data structure.
    - IMap: Its default value is Integer.MAX\_VALUE for on-heap maps and 10000 for the NATIVE inmemory format.
    - JCache: Its default value is 10000.
- cache-local-entries: Specifies whether the local entries are cached. It can be useful when inmemory format for Near Cache is different from that of the map. By default, it is disabled. Is just available on Hazelcast members, not on Hazelcast clients (which have no local entries).

- local-update-policy: Specifies the update policy of the local Near Cache. It is available on JCache clients. Available values are as follows:
  - INVALIDATE: Removes the Near Cache entry on mutation. After the mutative call to the member completes but before the operation returns to the caller, the Near Cache entry is removed. Until the mutative operation completes, the readers still continue to read the old value. But as soon as the update completes the Near Cache entry is removed. Any threads reading the key after this point will have a Near Cache miss and call through to the member, obtaining the new entry. This setting provides read-your-writes consistency. This is the default setting.
  - CACHE\_ON\_UPDATE: Updates the Near Cache entry on mutation. After the mutative call to the member completes but before the put returns to the caller, the Near Cache entry is updated. So a remove will remove it and one of the put methods will update it to the new value. Until the update/remove operation completes, the entry's old value can still be read from the Near Cache. But before the call completes the Near Cache entry is updated. Any threads reading the key after this point read the new entry. If the mutative operation was a remove, the key will no longer exist in the cache, both the Near Cache and the original copy in the member. The member initiates an invalidate event to any other Near Caches, however the caller Near Cache is not invalidated as it already has the new value. This setting also provides read-your-writes consistency.
- preloader: Specifies if the Near Cache should store and pre-load its keys for a faster repopulation after a Hazelcast client restart. Is just available on IMap and JCache clients. It has the following attributes:
  - enabled: Specifies whether the preloader for this Near Cache is enabled or not, true or false.
  - directory: Specifies the parent directory for the preloader of this Near Cache. The filenames for the preloader storage are generated from the Near Cache name. You can additionally specify the parent directory to have multiple clients on the same machine with the same Near Cache names.
  - **store-initial-delay-seconds:** Specifies the delay in seconds until the keys of this Near Cache are stored for the first time. Its default value is 600 seconds.
  - store-interval-seconds: Specifies the interval in seconds in which the keys of this Near Cache are stored. Its default value is 600 seconds.

### 22.7.3. Near Cache Configuration Examples

This section shows some configuration examples for different Hazelcast data structures.

#### Near Cache Example for IMap

The following are configuration examples for IMap Near Caches for Hazelcast members and clients.

```
<hazelcast>
....
<map name="mostlyReadMap">
<in-memory-format>BINARY</in-memory-format>
<in-memory-format>OBJECT</in-memory-format>
<in-memory-format>OBJECT</in-memory-format>
<invalidate-on-change>false</invalidate-on-change>
<itime-to-live-seconds>600</time-to-live-seconds>
<eviction eviction-policy="NONE" max-size-policy="ENTRY_COUNT" size="5000"/>
<cache-local-entries>true</cache-local-entries>
</map>
....
</hazelcast>
```

```
EvictionConfig evictionConfig = new EvictionConfig()
.setEvictionPolicy(EvictionPolicy.NONE)
.setMaximumSizePolicy(MaxSizePolicy.ENTRY_COUNT)
.setSize(5000);
NearCacheConfig nearCacheConfig = new NearCacheConfig()
.setInMemoryFormat(InMemoryFormat.OBJECT)
.setInvalidateOnChange(false)
.setTimeToLiveSeconds(600)
.setEvictionConfig(evictionConfig);
Config config = new Config();
config.getMapConfig("mostlyReadMap")
.setInMemoryFormat(InMemoryFormat.BINARY)
```

```
.setNearCacheConfig(nearCacheConfig);
```

The Near Cache configuration for maps on members is a child of the map configuration, so you do not have to define the map name in the Near Cache configuration.

```
EvictionConfig evictionConfig = new EvictionConfig()
.setEvictionPolicy(EvictionPolicy.LRU)
.setMaximumSizePolicy(MaxSizePolicy.ENTRY_COUNT)
.setSize(50000);
NearCacheConfig nearCacheConfig = new NearCacheConfig()
.setName("mostlyReadMap")
.setInMemoryFormat(InMemoryFormat.OBJECT)
.setInvalidateOnChange(true)
.setEvictionConfig(evictionConfig);
ClientConfig clientConfig = new ClientConfig()
.addNearCacheConfig(nearCacheConfig);
```

The Near Cache on the client side must have the same name as the data structure on the member for which this Near Cache is being created. You can use wildcards, so in this example mostlyRead\* would also match the map mostlyReadMap.

A Near Cache can have its own in-memory-format which is independent of the in-memory-format of the data structure.

### Near Cache Example for JCache Clients

The following is a configuration example for a JCache Near Cache for a Hazelcast client.

```
<hazelcast-client>
....
<near-cache name="mostlyReadCache">
....
<near-cache name="mostlyReadCache">
....
<in-memory-format>OBJECT</in-memory-format>
....
<invalidate-on-change>true</invalidate-on-change>
....
<eviction eviction-policy="LRU" max-size-policy="ENTRY_COUNT" size="30000"/>
.local-update-policy>CACHE_ON_UPDATE</local-update-policy>
....
</hazelcast-client>
```

```
EvictionConfig evictionConfig = new EvictionConfig()
.setEvictionPolicy(EvictionPolicy.LRU)
.setMaximumSizePolicy(MaxSizePolicy.ENTRY_COUNT)
.setSize(30000);
NearCacheConfig nearCacheConfig = new NearCacheConfig()
.setName("mostlyReadCache")
.setInMemoryFormat(InMemoryFormat.OBJECT)
.setInvalidateOnChange(true)
.setEvictionConfig(evictionConfig)
.setLocalUpdatePolicy(LocalUpdatePolicy.CACHE_ON_UPDATE);
ClientConfig clientConfig = new ClientConfig()
.addNearCacheConfig(nearCacheConfig);
```

### Example for Near Cache with High-Density Memory Store

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The following is a configuration example for an IMap High-Density Near Cache for a Hazelcast member.

```
EvictionConfig evictionConfig = new EvictionConfig()
.setEvictionPolicy(EvictionPolicy.LFU)
.setMaximumSizePolicy(MaxSizePolicy.USED_NATIVE_MEMORY_PERCENTAGE)
.setSize(90);
NearCacheConfig nearCacheConfig = new NearCacheConfig()
.setInMemoryFormat(InMemoryFormat.NATIVE)
.setEvictionConfig(evictionConfig);
Config config = new Config();
config.getMapConfig("mostlyReadMapWithHighDensityNearCache")
.setInMemoryFormat(InMemoryFormat.OBJECT)
.setNearCacheConfig(nearCacheConfig);
```

Keep in mind that you should have already enabled the High-Density Memory Store usage for your cluster. See the Configuring High-Density Memory Store section.

Note that a map and its Near Cache can independently use High-Density Memory Store. For example, if your map does not use High-Density Memory Store, its Near Cache can still use it.

### 22.7.4. Near Cache Eviction

In the scope of Near Cache, eviction means evicting (clearing) the entries selected according to the given eviction-policy when the specified max-size-policy has been reached.

The max-size-policy defines the state when the Near Cache is full and determines whether the eviction should be triggered. The size is either interpreted as entry count, memory size or percentage, depending on the chosen policy.

Once the eviction is triggered the configured eviction-policy determines which, if any, entries must be evicted.

Note that the policies mentioned are configured under the near-cache configuration block, as seen in the above configuration examples.

### 22.7.5. Near Cache Expiration

Expiration means the eviction of expired records. A record is expired:

- if it is not touched (accessed/read) for max-idle-seconds
- time-to-live-seconds passed since it is put to Near Cache.

The actual expiration is performed in the following cases:

- When a record is accessed: it is checked if the record is expired or not. If it is expired, it is evicted and null is returned as the value to the caller.
- In the background: there is an expiration task that periodically (currently 5 seconds) scans records and evicts the expired records.

Note that max-idle-seconds and time-to-live-seconds are configured under the near-cache configuration block, as seen in the above configuration examples.

## 22.7.6. Near Cache Invalidation

Invalidation is the process of removing an entry from the Near Cache when its value is updated or it is removed from the original data structure (to prevent stale reads). Near Cache invalidation happens asynchronously at the cluster level, but synchronously at the current member. This means that the Near Cache is invalidated within the whole cluster after the modifying operation is finished, but updated from the current member before the modifying operation is done. A modifying operation can be an EntryProcessor, an explicit update or remove as well as an expiration or eviction. Generally, whenever the state of an entry changes in the record store by updating its value or removing it, the invalidation event is sent for that entry.

Invalidations can be sent from members to client Near Caches or to member Near Caches, either individually or in batches. Default behavior is sending in batches. If there are lots of mutating operations such as put/remove on data structures, it is advised that you configure batch invalidations. This reduces the network traffic and keeps the eventing system less busy, but may increase the delay of individual invalidations.

You can use the following system properties to configure the Near Cache invalidation:

- hazelcast.map.invalidation.batch.enabled: Enable or disable batching. Its default value is true. When it is set to false, all invalidations are sent immediately.
- hazelcast.map.invalidation.batch.size: Maximum number of invalidations in a batch. Its default value is 100.
- hazelcast.map.invalidation.batchfrequency.seconds: If the collected invalidations do not reach the configured batch size, a background process sends them periodically. Its default value is 10 seconds.

If there are a lot of clients or many mutating operations, batching should remain enabled and the batch size should be configured with the hazelcast.map.invalidation.batch.size system property to a suitable value.

## 22.7.7. Near Cache Consistency

### **Eventual Consistency**

Near Caches are invalidated by invalidation events. Invalidation events can be lost due to the fireand-forget fashion of eventing system. If an event is lost, reads from Near Cache can indefinitely be stale.

To solve this problem, Hazelcast provides eventually consistent behavior for IMap/JCache Near Caches by detecting invalidation losses. After detection of an invalidation loss, stale data is made unreachable and Near Cache's get calls to that data are directed to the underlying IMap/JCache to fetch the fresh data.

You can configure eventual consistency with the system properties below (same properties are valid for both member and client side Near Caches):

- hazelcast.invalidation.max.tolerated.miss.count: Default value is 10. If missed invalidation count is bigger than this value, relevant cached data is made unreachable.
- hazelcast.invalidation.reconciliation.interval.seconds: Default value is 60 seconds. This is a periodic task that scans cluster members periodically to compare generated invalidation events with the received ones from Near Cache.

### **Locally Initiated Changes**

For local invalidations, when a record is updated/removed, future reads will see this update/remove to provide read-your-writes consistency. To achieve this consistency, Near Cache configuration provides the following update policies:

- INVALIDATE
- CACHE\_ON\_UPDATE

If you choose INVALIDATE, the entry is removed from the Near Cache after the update/remove occurs in the underlying data structure and before the operation (get) returns to the caller. Until the update/remove operation completes, the entry's old value can still be read from the Near Cache.

If you choose CACHE\_ON\_UPDATE, the entry is updated after the update/remove occurs in the underlying data structure and before the operation (put/get) returns to the caller. If it is an update operation, it removes the entry and the new value is placed. Until the update/remove operation completes, the entry's old value can still be read from the Near Cache. Any threads reading the key after this point read the new entry. If the mutative operation was a remove, the key will no longer exist in the Near Cache and the original copy in the member.

### 22.7.8. Near Cache Preloader

The Near Cache preloader is a functionality to store the keys from a Near Cache to provide a fast repopulation of the previous hot data set after a Hazelcast Client has been restarted. It is available on IMap and JCache clients.

The Near Cache preloader stores the keys (not the values) of Near Cache entries in regular intervals. You can define the initial delay via store-initial-delay-seconds, e.g., if you know that your hot data set needs some time to build up. You can configure the interval via store-interval-seconds which determines how often the key-set is stored. The persistence does not run continuously. Whenever the storage is scheduled, it is performed on the actual keys in the Near Cache.

The Near Cache preloader is triggered on the first initialization of the data structure on the client, e.g., client.getMap("myNearCacheMap"). This schedules the preloader, which works in the background, so your application is not blocked. The storage is enabled after the loading is completed.

The configuration parameter directory is optional. If you omit it, the base folder becomes the user working directory (normally where the JVM was started or configured with the system property user.dir). The storage filenames are always created from the Near Cache name. So even if you use a wildcard name in the Near Cache Configuration, the preloader filenames are unique.



If you run multiple Hazelcast clients with enabled Near Cache preloader on the same machine, you have to configure a unique storage filename for each client or run them from different user directories. If two clients would write into the same file, only the first client succeeds. The following clients throw an exception as soon as the Near Cache preloader is triggered.

## 22.8. Caching Deserialized Values

There may be cases where you do not want to deserialize some values in your Hazelcast map again which were already deserialized previously. This way your query operations get faster. This is possible by using the cache-deserialized-values element in your declarative Hazelcast configuration, as shown below.

The cache-deserialized-values element controls the caching of deserialized values. Note that caching makes the query evaluation faster, but it consumes more memory. This element has the following values:

- NEVER: Deserialized values are never cached.
- INDEX-ONLY: Deserialized values are cached only when they are inserted into an index.
- ALWAYS: Deserialized values are always cached.

If you are using portable serialization or your map's in-memory format is OBJECT or NATIVE, then cache-deserialized-values element does not have any effect.

### 22.8.1. Performance Anti Patterns

This section covers various recommendations to improve the performance of your Hazelcast IMDG clusters.

### Using Single Member per Machine

A Hazelcast member assumes it is alone on a machine, so we recommend not running multiple Hazelcast members on a machine. Having multiple members on a single machine most likely gives a worse performance compared to running a single member, since there will be more context switching, less batching, etc. So unless it is proven that running multiple members per machine does give a better performance/behavior in your particular setup, it is best to run a single member per machine.

### **Using Operation Threads Efficiently**

By default, Hazelcast uses the machine's core count to determine the number of operation threads. Creating more operation threads than this core count is highly unlikely leads to an improved performance since there will be more context switching, more thread notification, etc.

Especially if you have a system that does simple operations like put and get, it is better to use a lower thread count than the number of cores. The reason behind the increased performance by reducing the core count is that the operations executed on the operation threads normally execute very fast and there can be a very significant amount of overhead caused by thread parking and unparking. If there are less threads, a thread needs to do more work, will block less and therefore needs to be notified less.

### **Avoiding Random Changes**

Tweaking can be very rewarding because significant performance improvements are possible. By default, Hazelcast tries to behave at its best for all situations, but this doesn't always lead to the best performance. So if you know what you are doing and what to look for, it can be very rewarding to tweak. However it is also important that tweaking should be done with proper testing to see if there is actually an improvement. Tweaking without proper benchmarking is likely going to lead to confusion and could cause all kinds of problems. In case of doubt, we recommend not to tweak.

### Creating the Right Benchmark Environment

When benchmarking, it is important that the benchmark reflects your production environment. Sometimes with calculated guess, a representative smaller environment can be set up; but if you want to use the benchmark statistics to inference how your production system is going to behave, you need to make sure that you get as close as your production setup as possible. Otherwise, you are at risk of spotting the issues too late or focusing on the things which are not relevant.

# 23. Hazelcast Simulator

Hazelcast Simulator is a production simulator used to test Hazelcast and Hazelcast-based applications in clustered environments. It also allows you to create your own tests and perform them on your Hazelcast clusters and applications that are deployed to cloud computing environments. In your tests, you can provide any property that can be specified on these environments (Amazon EC2, Google Compute Engine(GCE), or your own environment): properties such as hardware specifications, operating system and Java version.

See the documentation on its own GitHub repository at Hazelcast Simulator.

# 24. WAN Replication

Hazelcast IMDG Enterprise Feature

## 24.1. Introduction

You can use Hazelcast's WAN Replication feature when you need to synchronize multiple Hazelcast clusters, which are connected by WANs, to the same state. It allows replicating updates in your data structures across the clusters. For now, Hazelcast WAN Replication supports the map and cache data structures.

Assume that you have data centers in different cities each running an independent Hazelcast IMDG cluster. You can reliably use the WAN Replication feature to synchronize all of these clusters by replicating the updates to each of them.

WAN Replication provides more control compared to the replication mechanism between the members in a single cluster. It has the following features and capabilities:

- It gracefully detects if there is a connectivity issue between the clusters, buffering any updates that are not yet replicated and attempts to re-establish a connection to resume the replication.
- It allows you to permanently pause, stop and resume the replication. This is most useful when you know that one of the clusters is temporarily (e.g., due to an upgrade), or permanently (e.g., due to removing a cluster out of service) unavailable.
- It allows you to dynamically add new target clusters without any restarts.

This chapter explains how you can replicate the state of your clusters over wide area networks through Hazelcast's WAN Replication.



WAN Replication is a Hazelcast IMDG Enterprise Edition feature. However, its API is available publicly here and, benefiting from it, you may write your own replication logic.

## 24.1.1. Concepts

Let's first define several important terms before we discuss WAN Replication:

- Active cluster: The user updates performed on the cluster are replicated to other clusters connected through WAN Replication. In another words, this cluster can be seen as the "source" cluster which generates WAN update events and replicates them actively to other clusters.
- Passive cluster: The user updates performed on this cluster are not replicated to other clusters. In another words, this cluster can be seen as the "target" cluster which is capable of receiving, applying and possibly forwarding WAN events from other ("active") clusters. It does not generate any WAN update events because of user interaction.
- WAN publisher: A publisher is a sink for WAN events and an implementation of WanReplicationPublisher. Most often, this is a single, entire target Hazelcast IMDG cluster but you can also define custom publishers which may transmit WAN events to other systems such as messaging queues, Kafka or even persist events on disk.
- WAN endpoint: when a publisher is replicating events to another Hazelcast cluster, an endpoint is a single member in that target cluster. That means that a WAN publisher replicates to multiple WAN endpoints.

- WAN replication scheme: a named collection of WAN publishers. Hazelcast maps and caches are configured to replicate to a WAN replication scheme, meaning that a single map/cache update can be replicated to multiple target clusters or multiple external systems.
- WAN publisher ID: A unique identifier for a specific WAN replication publisher in a WAN replication scheme. This identifier can then be used to control the behavior of a WAN replication publisher while the source/active cluster is running. For instance, you can use this identifier in combination with the WAN replication scheme name to pause, stop or resume WAN replication for that specific publisher. Or, you can trigger synchronization with a specific target cluster and so on.

## 24.2. WAN Replication Modes

In clusters connected with WAN Replication, a cluster can have one of two roles: Active or Passive, conceptually explained in the previous section above.

With these roles, there are two modes of WAN Replication:

- Active-Passive: This mode can be used for failover scenarios where you want to replicate an active cluster to one or more passive clusters, for the purpose of maintaining a backup. If the active cluster becomes unavailable, you may redirect user traffic to the passive clusters. Once the active cluster becomes available again, you may again redirect user traffic to the active cluster. The active cluster may have however been started empty or might have simply missed any updates that have happened on the passive clusters. Any updates made on the passive clusters will not be replicated back to the active cluster and if the data is out-of-sync, it will not be synchronized. If you require that these updates be copied back to the active cluster, you may consider using active-active mode instead.
- Active-Active: Every cluster is equal, each cluster replicates to all other clusters. This is normally used to connect different clients to different clusters for the sake of the shortest path between client and server, hence gaining increased performance. An example use case would be geographically distributed applications.

## 24.3. Quick Start

This section provides information on how you can start using WAN Replication with a minimal setup for both Active-Passive and Active-Active modes.

## 24.3.1. Setting Up an Active-Passive Mode

This mode usually requires configuration only on one of the clusters. Let's say you have two clusters, one in London and the other in Tokyo. You want to replicate the updates between each other and use the one in Tokyo as the active cluster.

1. Add the following configuration example on your cluster in Tokyo:

```
XML
```

```
<hazelcast>

<wan-replication name="london-wan-rep">

<batch-publisher>

<cluster-name>london</cluster-name>

<target-endpoints>10.3.5.1:5701</target-endpoints>

</batch-publisher>

</wan-replication>

<map name="replicatedMap">

<wan-replication-ref name="london-wan-rep"/>

</map>

</hazelcast>
```

Java

```
Config config = new Config();
WanBatchPublisherConfig batchPublisherConfig = new WanBatchPublisherConfig()
    .setClusterName("london")
    .setTargetEndpoints("10.3.5.1:5701");
WanReplicationConfig wrConfig = new WanReplicationConfig()
    .setName("london-wan-rep")
    .addBatchReplicationPublisherConfig(batchPublisherConfig);
config.addWanReplicationConfig(wrConfig);
config.getMapConfig("replicatedMap").setWanReplicationRef(new WanReplicationRef()
.setName("london-wan-rep"));
```

2. Start your clusters to start using Active-Passive WAN Replication.

Basically, what we did here is defining a WAN Replication configuration (wan-replication) and configuring our map to use it (wan-replication-ref). As mentioned, this is the minimal configuration example, which is fine for most use cases. There are more configuration options for tuning the WAN Replication; see the Tuning WAN Replication section.

In the above example, we have configured the map named replicatedMap to replicate to the target cluster named london on a single endpoint, as specified with the target-endpoints element. Notice that the name of wan-replication configuration (london-wan-rep) is referenced in the map configuration using the wan-replication-ref element; this is how you make your map to use the WAN Replication feature. For now, only Hazelcast maps and caches support this feature.

The london cluster might have more members than the one specified in this example, but only that endpoint will receive the WAN events. In that case and if you want the events to be forwarded to the other cluster members, see the republishing-enabled element description in the Configuring for IMap and ICache section.

This example configuration defines a static endpoint to specify the target cluster member, using the target-endpoints element or setTargetEndpoints() method, respectively. You can also use Hazelcast's Discovery SPI for WAN Replication to specify endpoints on various cloud infrastructures. See the Using Discovery SPI section.

## 24.3.2. Setting Up an Active-Active Mode

Using the above scenario, this mode requires configuration on both clusters.

1- Add the following configuration example on your cluster in Tokyo:

XML

```
<hazelcast>
<cluster-name>tokyo</cluster-name>
<wan-replication name="london-wan-rep">
<batch-publisher>
<cluster-name>london</cluster-name>
<target-endpoints>10.3.5.1:5701</target-endpoints>
</batch-publisher>
</wan-replication>
<map name="replicatedMap">
<wan-replication>
</map>
</hazelcast>
```

Java

```
Config config = new Config();
config.setClusterName("tokyo");
WanBatchPublisherConfig batchPublisherConfig = new WanBatchPublisherConfig()
        .setClusterName("london")
        .setTargetEndpoints("10.3.5.1:5701");
WanReplicationConfig wrConfig = new WanReplicationConfig()
        .setName("london-wan-rep")
        .addBatchReplicationPublisherConfig(batchPublisherConfig);
config.addWanReplicationConfig(wrConfig);
config.getMapConfig("replicatedMap").setWanReplicationRef(new WanReplicationRef()
    .setName("london-wan-rep"));
```

2- Add the following configuration example on your cluster in London:

XML

```
<hazelcast>

<cluster-name>london</cluster-name>

<wan-replication name="tokyo-wan-rep">

<batch-publisher>

<cluster-name>tokyo</cluster-name>

<target-endpoints>32.1.1.1:5701</target-endpoints>

</batch-publisher>

</wan-replication>

<map name="replicatedMap">

<wan-replication>

</map>

</hazelcast>
```

Java

```
Config config = new Config();
config.setClusterName("london");
WanBatchPublisherConfig batchPublisherConfig = new WanBatchPublisherConfig()
    .setClusterName("tokyo")
    .setTargetEndpoints("32.1.1.1:5701");
WanReplicationConfig wrConfig = new WanReplicationConfig()
    .setName("tokyo-wan-rep")
    .addBatchReplicationPublisherConfig(batchPublisherConfig);
config.addWanReplicationConfig(wrConfig);
config.getMapConfig("replicatedMap").setWanReplicationRef(new WanReplicationRef()
.setName("tokyo-wan-rep"));
```

3- Start your clusters to start using Active-Active WAN Replication.

Notice the cluster-name configuration element (not the one under the batch-publisher element, but the one under the hazelcast element). These are the names specifying the IMDG members' clusters on their locals. So, the name of the cluster in one location should be mentioned on the cluster in the other location, as shown above.

As in the Active-Passive example shown in the previous section, this example configuration also uses a static endpoint to specify the target cluster member. See the Using Discovery SPI section for information on using the Discovery SPI to specify target members.

As mentioned previously, the above configurations are the minimal ones to get you started. In case you need to configure some additional aspects of your maps or caches that use WAN Replication, see the Configuring for IMap and ICache section.

## 24.4. Configuring WAN Replication

WAN Replication is defined and configured using the wan-replication configuration element as can be seen in the above examples.

In this section you learn how to establish the connection between WAN replicated clusters and configure the behavior of WAN replication mechanism.

For establishing the connection, you have the following options:

- using static endpoints (when you want to provide the IP addresses of target IMDG members)
- using Discovery SPI (when you want to target IMDG members on various cloud infrastructures)

You can use only one of these (not both) when defining a single WAN publisher.

The examples in this section uses Hazelcast's built-in WAN replication implementation. This implementation meets most of your WAN replication needs and is configured using the batch-publisher element, which you will see in the below examples. Hazelcast also allows you to build your own implementation; see the Advanced Features section for details in case you need more custom configurations.

The default settings for WAN Replication configuration suit most use cases. If, however, you have specific needs or if you would like to fine-tune the behavior of WAN Replication for your application, see the Fine-Tuning WAN Replication section.

Let's see how we configure a simple WAN replication using the static endpoints and Discovery SPI, and then let's see the configuration details of Hazelcast's built-in WAN replication implementation.

## 24.4.1. Using the Static Endpoints

This is most suitable when the endpoints have static IP addresses which will not change for the duration of the lifecycle of the source cluster. You will then list these addresses in the WAN publisher configuration and WAN Replication will try to keep a stable connection to each of those.

Below is an example of declarative configuration of WAN Replication between two Hazelcast clusters. Here, we show the configuration that is needed on the source ("active") cluster. In most cases, the target ("passive") cluster does not need any kind of configuration and configuring the source cluster is enough for WAN Replication to function normally.

Here, we show the simplest working configurations to replicate to a target cluster with the clustername london. XML

```
<hazelcast>
    . . .
    <wan-replication name="london-wan-rep">
        <batch-publisher>
            <cluster-name>london</cluster-name>
            <target-endpoints>10.3.5.1:5701, 10.3.5.2:5701</target-endpoints>
        </batch-publisher>
    </wan-replication>
    <map name="replicatedMap">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </map>
    <cache name="replicatedCache">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </cache>
    . . .
</hazelcast>
```

Java

```
WanReplicationConfig wrConfig = new WanReplicationConfig()
    .setName("my-wan-cluster-batch");
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
    .setClusterName("london")
    .setQueueFullBehavior(WanQueueFullBehavior.THROW_EXCEPTION)
    .setQueueCapacity(1000)
    .setBatchSize(500)
    .setBatchMaxDelayMillis(1000)
    .setSnapshotEnabled(false)
    .setResponseTimeoutMillis(60000)
    .setAcknowledgeType(WanAcknowledgeType.ACK_ON_OPERATION_COMPLETE)
    .setTargetEndpoints("10.3.5.1:5701,10.3.5.2:5701")
    .setDiscoveryPeriodSeconds(20)
    .setEndpoint("my-wan-cluster-batch");
```

We can see that we have configured the map named replicatedMap and cache named replicatedCache to replicate to the cluster named london on two endpoints - 10.3.5.1:5701, 10.3.5.2:5701. The london cluster might have more members than these two but only these two will receive WAN events and forward them to other members in the london cluster or to other clusters if WAN event forwarding is enabled. Please notice that the WAN Replication configuration is referenced in map and cache configuration by name, here london-wan-rep.

The default settings for WAN Replication will suit most use cases. If, however, you have specific

needs or if you would like to fine-tune the behavior of WAN Replication for your application, please refer to the Fine-Tuning WAN Replication section for more information.

## 24.4.2. Using the Discovery SPI

In addition to defining target cluster endpoints with static IP addresses, you can configure WAN to work with the Discovery SPI and determine the endpoint IP addresses at runtime. It may be suitable when you don't know the list of static IP addresses of the target cluster at startup time or in cases when the list of available target endpoints is subject to change during the lifecycle of the source cluster.

In relation to the above, using the Discovery SPI allows you to use WAN with endpoints on various cloud infrastructures (such as Amazon EC2 or GCP Compute) where the IP address is not known in advance. Typically you use a readily available Discovery SPI plugin such as Hazelcast AWS EC2 discovery plugin, Hazelcast GCP discovery plugin, or similar. You can store the list of IP addresses in those infrastructures and use these plugins to read from that list.

For more advanced cases, you can provide your own Discovery SPI implementation with custom logic for determining the WAN target endpoints such as looking up the endpoints in some service registry or even reading the endpoint addresses from a file.



When using the Discovery SPI, WAN always connects to the public address of the members returned by the Discovery SPI implementation. This is opposite to the cluster membership mechanism using the Discovery SPI where a member connects to a different member in the same cluster through its private address. Should you prefer for WAN to use the private address of the discovered member as well, please use the use-endpoint-private-address publisher element, described in the following paragraphs.

The following is an example of setting up the WAN replication with the EC2 discovery plugin. You must have the Hazelcast AWS EC2 discovery plugin on the classpath.

```
XML
```

```
<hazelcast>
    . . .
    <wan-replication name="london-wan-rep">
        <batch-publisher>
             <cluster-name>london</cluster-name>
             <discovery-strategies>
                 <discovery-strategy enabled="true" class=</pre>
"com.hazelcast.aws.AwsDiscoveryStrategy">
                      <properties>
                          <property name="access-key">test-access-key</property></property>
                          <property name="secret-key">test-secret-key</property></property>
                          <property name="region">test-region</property></pro>
                          <property name="iam-role">test-iam-role</property></property>
                          <property name="host-header">ec2.test-host-header</property></pro>
                          <property name="security-group-name">test-security-group-
name</property>
                          <property name="tag-key">test-tag-key</property></property>
                          <property name="tag-value">test-tag-value</property></property>
                          <property name="connection-timeout-seconds">10</property></property>
                          <property name="hz-port">5701</property></property>
                      </properties>
                 </discovery-strategy>
             </discovery-strategies>
        </batch-publisher>
    </wan-replication>
    <map name="replicatedMap">
        <wan-replication-ref name="london-wan-rep"/>
         . . .
    </map>
    <cache name="replicatedCache">
        <wan-replication-ref name="london-wan-rep"/>
         . . .
    </cache>
    . . .
</hazelcast>
```

```
Config config = new Config();
WanReplicationConfig wrConfig = new WanReplicationConfig();
wrConfig.setName("my-wan-cluster-batch");
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
        .setClusterName("london")
        .setQueueFullBehavior(WanQueueFullBehavior.THROW_EXCEPTION)
        .setQueueCapacity(1000)
        .setBatchSize(500)
        .setBatchMaxDelayMillis(1000)
        .setSnapshotEnabled(false)
        .setResponseTimeoutMillis(60000)
        .setAcknowledgeType(WanAcknowledgeType.ACK_ON_OPERATION_COMPLETE)
        .setDiscoveryPeriodSeconds(20);
DiscoveryConfig discoveryConfig = new DiscoveryConfig();
DiscoveryStrategyConfig discoveryStrategyConfig = new DiscoveryStrategyConfig(
"com.hazelcast.aws.AwsDiscoveryStrategy");
discoveryStrategyConfig.addProperty("access-key", "test-access-key");
discoveryStrategyConfig.addProperty("secret-key", "test-secret-key");
discoveryStrategyConfig.addProperty("region","test-region");
discoveryStrategyConfig.addProperty("iam-role","test-iam-role");
discoveryStrategyConfig.addProperty("host-header", "ec2.test-host-header");
discoveryStrategyConfig.addProperty("security-group-name","test-security-group-name");
discoveryStrategyConfig.addProperty("tag-key","test-tag-key");
discoveryStrategyConfig.addProperty("tag-value","test-tag-value");
discoveryStrategyConfig.addProperty("hz-port", 5702);
discoveryConfig.addDiscoveryStrategyConfig(discoveryStrategyConfig);
publisherConfig.setDiscoveryConfig(discoveryConfig);
wrConfig.addBatchReplicationPublisherConfig(publisherConfig);
config.addWanReplicationConfig(wrConfig);
```

The hz-port property defines the port or the port range on which the target endpoint is running. The default port range 5701-5708 is used if this property is not defined. This is needed because the Amazon API which the AWS plugin uses does not provide the port on which Hazelcast is running, only the IP address. For some other Discovery SPI implementations, this might not be necessary and it might discover the port as well, e.g., by looking up in a service registry.

The other properties are the same as when using the aws element. In case of EC2 discovery you can configure the WAN replication using the aws element. You may use either the discovery-strategies or aws element, but not both at the same time.

```
<hazelcast>
    . . .
    <wan-replication name="london-wan-rep">
        <batch-publisher>
            <cluster-name>london</cluster-name>
            <use-endpoint-private-address>false</use-endpoint-private-address>
            <aws enabled="true">
                <access-key>my-access-key</access-key>
                <secret-key>my-secret-key</secret-key>
                <region>us-west-1</region>
                <security-group-name>hazelcast-sg</security-group-name>
                <tag-key>type</tag-key>
                <tag-value>hz-members</tag-value>
                <hz-port>5701</hz-port>
            </aws>
        </batch-publisher>
    </wan-replication>
    <map name="replicatedMap">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </map>
    <cache name="replicatedCache">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </cache>
    . . .
</hazelcast>
```

See the aws element and the Configuring Client for AWS sections for the descriptions of above AWS configuration elements.

Also see the following for the configurations of WAN replications in other cloud infrastructures that are supported by Discovery SPI:

- Google Cloud Platform (GCP)
- Kubernetes
- Microsoft Azure
- jclouds®
- Eureka
- OpenShift
- etcd
- Consul
- Zookeeper

• Heroku

### 24.4.3. Using the Built-In WAN Batch Publisher

Hazelcast IMDG offers the built-in WAN batch publisher implementation for WAN replication.

As you see in the above configuration examples, this implementation is specified simply by using the **batch-publisher** element (in the declarative configuration) or the **WanBatchPublisherConfig** class (in the programmatic configuration) when defining a WAN replication publisher.

The WAN batch publisher transmits WAN events (map and cache updates) between clusters in batches. It waits until:

- a pre-defined number of replication events are generated, (see the Batch Size section)
- or a pre-defined amount of time is passed (see the Batch Maximum Delay section).

Here is a declarative example on using and configuring batch-publisher:

```
<hazelcast>

<wan-replication name="london-wan-rep">

<batch-publisher>

<cluster-name>london</cluster-name>

....

</batch-publisher>

</wan-replication>

<map name="replicatedMap">

<wan-replication-ref name="london-wan-rep"/>

</map>

<cache name="replicatedCache">

<wan-replication-ref name="london-wan-rep"/>

</cache>

</hazelcast>
```

Above, you notice that we have configured the instance to replicate a map and a cache to a target cluster with the cluster name london. WAN Replication will check that this cluster name matches during connection establishment to each endpoint. This does not serve as a security measure though. This serves only to prevent misconfiguration where the source cluster would mistakenly replicate to the wrong cluster and as an attempt to detect and prevent loops where the same WAN event would be infinitely forwarded between the same clusters.

The wan-replication configuration element defines a single WAN replication scheme. Hazelcast maps and caches are configured to replicate to a single WAN replication scheme and different maps and different caches can be configured to replicate to different WAN replication schemes. Simply put, a WAN replication scheme may be viewed as several target clusters and different Hazelcast structures can replicate to different target clusters simultaneously. As such, a single WAN replication scheme can contain multiple WAN replication publishers. It has the following essential sub-elements and attributes:

- name: Name of your WAN replication scheme. This name is referenced in IMap or ICache configuration when you want to enable WAN Replication for these data structures (using the element wan-replication-ref in the configuration of IMap or ICache).
- batch-publisher: Enables use of a WAN publisher which uses the built-in WAN replication implementation. It defines how to connect to the target cluster and how WAN events are sent to a specific target endpoint. As mentioned above, just before the configuration example, the target endpoints can be a different cluster defined by static IPs or discovered using a cloud discovery mechanism.

The **batch-publisher** has the following sub-elements:

- cluster-name: Sets the cluster name used as an endpoint cluster name for authentication on the target endpoint. If there is no separate publisher ID element defined, this cluster name is also used as a WAN publisher ID. This ID is then used for identifying the publisher in a WAN replication scheme. It is mandatory to set this attribute.
- publisher-id: Sets the publisher ID used to identify the publisher in a WAN replication scheme. Setting this ID may be useful when the wan-replication element contains multiple WAN publishers and the cluster names are not unique for all of the WAN replication publishers in a single WAN replication scheme. It is optional to set this attribute. If this ID is not specified, the cluster-name is used as a publisher ID.
- target-endpoints: IP addresses and ports of the cluster members for which the WAN replication is implemented. It is enough to specify some of the member IP/ports available in the target cluster, i.e., you don't need to provide the IP/ports of all members in there. WAN does not perform the discovery of other members in the target cluster; it only expects that the IP addresses you provide are available.
- sync: Configuration for the WAN sync mechanism. See the Synchronizing WAN Clusters section.
- discovery-strategies: Set its enabled attribute to true for discovery in various cloud infrastructures. You can define multiple discovery strategies using the discovery-strategy subelement and its elements. See the Using the Discovery SPI section for this and the below elements.
- aws: Configuration for discovery strategy for Amazon EC2 discovery plugin.
- gcp: Configuration for discovery strategy for Google cloud platform discovery plugin.
- azure: Configuration for discovery strategy for Microsoft Azure discovery plugin.
- kubernetes: Configuration for discovery strategy for Kubernetes discovery plugin.
- eureka: Configuration for discovery strategy for Eureka discovery plugin.

Using this configuration, the cluster replicates to a cluster with the name london. The london cluster should have a similar configuration if you want to run in Active-Active mode.

You can achieve various WAN topologies using different configurations on different clusters. For instance, if the New York and London cluster configurations contain the wan-replication element and the Tokyo cluster does not, it might mean that the New York and London clusters are active endpoints and Tokyo is a passive endpoint.

## 24.5. Configuring for IMap and ICache

As mentioned before, for now Hazelcast's map (IMap) and cache (ICache) data structures support WAN Replication. After you define and configure the WAN Replication as explained in previous sections above, you need to bind it to your maps and/or caches.

To enable WAN replication for an IMap or ICache instance, you can use the wan-replication-ref configuration element. Each instance can have a different WAN replication configuration.

### **Enabling WAN Replication for IMap:**

Imagine you have different distributed maps, however only one of those maps should be replicated to a target cluster. To achieve this, configure the map that you want to be replicated by adding the wan-replication-ref element in the map configuration as shown below.

```
<hazelcast>
....
<wan-replication name="london-wan-rep">
....
</wan-replication>
<wan-replication>
<wan-replication-ref name="london-wan-rep">
<wan-replication-ref name="london-wan-rep">
<wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication>
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-re
```

The following is the equivalent programmatic configuration:

```
Config config = new Config();
WanReplicationConfig wrConfig = new WanReplicationConfig();
wrConfig.setName("my-wan-cluster");
config.addWanReplicationConfig(wrConfig);
WanReplicationRef wanRef = new WanReplicationRef();
wanRef.setName("my-wan-cluster");
wanRef.setMergePolicyClassName(PassThroughMergePolicy.class.getName());
wanRef.setRepublishingEnabled(false);
config.getMapConfig("my-shared-map").setWanReplicationRef(wanRef);
```

You see that we have my-shared-map configured to replicate itself to the cluster targets defined in the earlier wan-replication element.

wan-replication-ref has the following elements;

- name: Name of the wan-replication configuration. IMap or ICache instance uses this wan-replication configuration.
- merge-policy: Policy to resolve conflicts that occur when the target cluster already has the replicated entry key. This configuration element is optional. If it is not specified, com.hazelcast.spi.merge.PassThroughMergePolicy will be used as the merge policy.
- republishing-enabled: When enabled, an incoming event to a member is forwarded to target cluster of that member. Enabling the event republishing is useful in a scenario where cluster A replicates to cluster B and cluster B replicates to cluster C. You do not need to enable republishing when all your clusters replicate to each other.

When using Active-Active Replication, multiple clusters can simultaneously update the same entry in a distributed data structure. You can configure a merge policy to resolve these potential conflicts, as shown in the above example configuration (using the merge-policy sub-element under the wan-replication-ref element).

Hazelcast provides the following merge policies for IMap:

- com.hazelcast.spi.merge.PutIfAbsentMergePolicy: Incoming entry merges from the source map to the target map if it does not exist in the target map.
- com.hazelcast.spi.merge.HigherHitsMergePolicy: Incoming entry merges from the source map to the target map if the source entry has more hits than the target one.
- com.hazelcast.spi.merge.PassThroughMergePolicy: Incoming entry merges from the source map to the target map unless the incoming entry is not null.
- com.hazelcast.spi.merge.ExpirationTimeMergePolicy: Incoming entry merges from the source map to the target map if the source entry will expire later than the destination entry. Please note that this merge policy can only be used when the clusters' clocks are in sync.
- com.hazelcast.spi.merge.LatestAccessMergePolicy: Incoming entry merges from the source map to the target map if the source entry has been accessed more recently than the destination entry. Please note that this merge policy can only be used when the clusters' clocks are in sync.
- com.hazelcast.spi.merge.LatestUpdateMergePolicy: Incoming entry merges from the source map to the target map if the source entry has been updated more recently than the target entry. Please note that this merge policy can only be used when the clusters' clocks are in sync.



When using WAN replication, please note that the key based operations are replicated to the target cluster, except evict(). Also the results of entry processors are also replicated.



Note that WAN replication does not replicate configurations, but only the events, i.e., data inserts, updates and removals. When a map or cache is replicated and the target cluster does not have a configuration for that map or cache, the default configuration will apply on the target cluster.

#### **Enabling WAN Replication for ICache:**

The following is a declarative configuration example for enabling WAN Replication for ICache:

```
<hazelcast>
....
<mailer (wan-replication name="london-wan-rep">
....
<mailer (wan-replication)</mailer (wan-replication)</mailer (wan-replication-ref name="london-wan-rep">
....
</wan-replication-ref name="london-wan-rep">
....
<mailer (wan-replication-ref name="london-wan-rep">
....
</mailer (wan-replication-ref)</mailer (wan-replication-ref)</p>
```

The following is the equivalent programmatic configuration:

```
Config config = new Config();
WanReplicationConfig wrConfig = new WanReplicationConfig();
wrConfig.setName("my-wan-cluster");
config.addWanReplicationConfig(wrConfig);
WanReplicationRef cacheWanRef = new WanReplicationRef();
cacheWanRef.setName("my-wan-cluster");
cacheWanRef.setMergePolicyClassName("com.hazelcast.spi.merge.PassThroughMergePolicy");
cacheWanRef.setRepublishingEnabled(true);
config.getCacheConfig("my-shared-cache").setWanReplicationRef(cacheWanRef);
```



Caches that are created dynamically do not support WAN replication functionality. Cache configurations should be defined either declaratively (by XML) or programmatically on both source and target clusters.

Hazelcast provides the following merge policies for ICache:

- com.hazelcast.spi.merge.PutIfAbsentMergePolicy: Incoming entry merges from the source cache to the target cache if it does not exist in the target cache.
- com.hazelcast.spi.merge.HigherHitsMergePolicy: Incoming entry merges from the source cache to the target cache if the source entry has more hits than the target one.
- com.hazelcast.spi.merge.PassThroughMergePolicy: Incoming entry merges from the source cache to the target cache unless the incoming entry is not null.
- com.hazelcast.spi.merge.ExpirationTimeMergePolicy: Incoming entry merges from the source cache to the target cache if the source entry will expire later than the destination entry. Please

note that this merge policy can only be used when the clusters' clocks are in sync.

- com.hazelcast.spi.merge.LatestAccessMergePolicy: Incoming entry merges from the source cache to the target cache if the source entry has been accessed more recently than the destination entry. Please note that this merge policy can only be used when the clusters' clocks are in sync.
- com.hazelcast.spi.merge.LatestUpdateMergePolicy: Incoming entry merges from the source cache to the target cache if the source entry has been updated more recently than the target entry. Please note that this merge policy can only be used when the clusters' clocks are in sync.

## 24.6. Advanced Features

This section describes how you can synchronize your WAN replicated clusters, change their configurations dynamically and intercept WAN replication events using the event filtering API.

### 24.6.1. Synchronizing WAN Clusters

WAN Replication replicates mutation events that happen on the source cluster as they happen. The events are queued up, collected in a batch and sent to the target cluster to be applied, without any user interaction.

However, Hazelcast clusters connected over WAN may become out-of-sync because of various reasons including but not limited to the following:

- Member failures
- Concurrent updates
- Target cluster freshly starts with no data
- Target cluster experiences problems and some operations fail
- Two sides disconnect and the in-memory buffer of the source cluster gets full (the behavior in this case is configurable)
- The WAN link can't keep up with the burst that the source cluster experiences and its inmemory buffer gets full (the behavior in this case is configurable)

To overcome this out-of-sync issue, you have the following options to synchronize your WAN replicated clusters:

- Full synchronization
- Delta synchronization

The following sections describe each.

### **Full WAN Synchronization**

Full WAN synchronization sends all the data of an IMap to a target cluster to align the state of target IMap with source IMap. It is useful if two remote clusters lost their synchronizations due to overflow in the WAN queue or in restart scenarios. This is the default synchronization option. Full WAN Synchronization can be initiated through Management Center and Hazelcast's REST API.

Below is the URL for the REST call;

http://{member IP address:port}/hazelcast/rest/wan/sync/map

You need to add URL-encoded parameters to the request in the following order separated by "&";

- Cluster name
- Cluster password
- Name of the WAN replication configuration
- WAN replication publisher ID/target cluster name
- Map name to be synchronized

Assume that you have configured an IMap with a WAN replication configuration as follows:

```
<hazelcast>
...
</wan-replication name="london-wan-rep">
</batch-publisher>

<luster-name>istanbul</cluster-name>
</batch-publisher>
</batch-publisher>
</wan-replication>
</map name="my-map">
</wan-replication-ref name="london-wan-rep">
</wan-replication>
</wan-replication-ref name="london-wan-rep">
</wan-replication>
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication>
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref name="london-wan-rep">
</wan-replication>
</wan-replication-ref name="london-wan-rep">
</wan-replication-ref>
```

Then, an example curl command to initiate the synchronization for "my-map" would be as follows:

curl -X POST -d "{clusterName}&{clusterPassword}&london-wan-rep&istanbul&my-map" --URL http://127.0.0.1:5701/hazelcast/rest/wan/sync/map

You can also synchronize all maps in the source and target clusters. In that case the curl command using the above parameters becomes as follows:

curl -X POST -d "{clusterName}&{clusterPassword}&london-wan-rep&istanbul" --URL http ://127.0.0.1:5701/hazelcast/rest/wan/sync/allMaps



Synchronization for a target cluster operates only with the data residing in the memory. Therefore, evicted entries are not synchronized, not even if MapLoader is configured.

#### **Delta WAN Synchronization**

As explained in the previous section, the default Full WAN Synchronization feature synchronizes the maps in different clusters by transferring all the entries from the source to the target cluster. This may be not efficient since some of the entries have remained unchanged on both clusters and do not require to be transferred. Also, for the entries to be transferred, they need to be copied to onheap on the source cluster. This may cause spikes in the heap usage, especially if using large offheap stores.

In addition to the default Full WAN Synchronization, Hazelcast provides Delta WAN Synchronization which uses Merkle tree for the same purpose. It is a data structure used for efficient comparison of the difference in the contents of large data structures. The precision of this comparison is defined by Merkle tree's depth. Merkle tree hash exchanges can detect inconsistencies in the map data and synchronize only the different entries when using WAN synchronization, instead of sending all the map entries.



Currently, Delta WAN Synchronization is implemented only for Hazelcast IMap. It will be implemented also for ICache in the future releases.

#### Requirements

To be able to use Delta WAN synchronization, the following must be met:

- Source and target cluster versions must be at least Hazelcast 3.11.
- Both clusters must have the same number of partitions.
- Both clusters must use the same partitioning strategy.
- Both clusters must have the Merkle tree structure enabled.

#### Using Delta WAN Synchronization

To be able to use Delta WAN synchronization for a Hazelcast data structure:

 Configure the WAN synchronization mechanism for your WAN publisher so that it uses the Merkle tree: If configuring declaratively, you can use the consistency-check-strategy subelement of the sync element. If configuring programmatically, you can use the setter of the WanSyncConfig object. Here is a declarative example:

2. Bind that WAN synchronization configuration to the data structure (currently IMap): Simply set the WAN replication reference of your map to the name of the WAN replication configuration which uses the Merkle tree. Here is a declarative example:

```
<hazelcast>
...
<map name="myMap">
<map name="myMap">
<map name="myMap">
<map name="wanReplicationScheme">
</wan-replication-ref name="wanReplicationScheme"</wan-replicationScheme">
</wan-replication-ref name="wanReplicationScheme"</an>
```

3. Finally, configure the Merkle tree using the merkle-tree element which is contained in the map configuration:

```
<hazelcast>
...
<map name="myMap">
<merkle-tree enabled="true">
<depth>5</depth>
</merkle-tree>
</map>
...
</hazelcast>
```

You can programmatically configure it, too, using the MerkleTreeConfig object.

Here is the full declarative configuration example showing how to enable Delta WAN Synchronization, bind it to a Hazelcast data structure (an IMap in this case) and specify its depth:

```
<hazelcast>
    . . .
    <map name="myMap">
        <wan-replication-ref name="wanReplicationScheme">
            . . .
        </wan-replication-ref>
        <merkle-tree enabled="true">
            <depth>10</depth>
        </merkle-tree>
    </map>
    <wan-replication name="wanReplicationScheme">
        <batch-publisher>
            <cluster-name>clusterName</cluster-name>
            <sync>
                <consistency-check-strategy>MERKLE_TREES</consistency-check-strategy>
            </sync>
        </batch-publisher>
    </wan-replication>
    . . .
</hazelcast>
```

Here, the element consistency-check-strategy sets the strategy for checking the consistency of data between the source and target clusters. You must initiate the WAN synchronization (via Management Center or REST API as explained in Synchronizing WAN clusters) to let this strategy reconcile the inconsistencies. The element consistency-check-strategy has currently two values:

- NONE: Means that there are no consistency checks. This is the default value.
- MERKLE\_TREES: Means that WAN synchronization uses Merkle tree structure.

The Merkle tree structure is enabled using its enabled attribute (default is true). Its depth element specifies the depth of Merkle tree. Valid values are between 2 and 27 (exclusive). Its default value is 10.

- A larger depth means that a data synchronization mechanism is able to pinpoint a smaller subset of the data structure (e.g., IMap) contents in which a change has occurred. This causes the synchronization mechanism to be more efficient. However, keep in mind that a large depth means that the Merkle tree will consume more memory. As the comparison mechanism is iterative, a larger depth also prolongs the comparison duration. Therefore, it is recommended not to have large tree depths if the latency of the comparison operation is high.
- A smaller depth means that the Merkle tree is shallower and the data synchronization mechanism transfers larger chunks of the data structure (e.g., IMap) in which a possible change has happened. As you can imagine, a shallower Merkle tree will consume less memory.

Also see the Defining the Depth section for more insights.



If you do not specifically configure the merkle-tree in your Hazelcast configuration, Hazelcast uses the default Merkle tree structure values (i.e., it is enabled by default and its default depth is 10) when there is a WAN publisher using the Merkle tree (i.e., consistency-check-strategy for a WAN replication configuration is set as MERKLE\_TREES and there is a data structure using that WAN replication configuration).



Merkle trees are created for each partition holding IMap data. Therefore, increasing the partition count also increases the efficiency of the Delta WAN Synchronization.

#### **The Process**

Synchronizing the maps based on Merkle trees consists of two phases:

- 1. *Consistency check*: Process of exchanging and comparing the hashes stored in the Merkle tree structures in the source and target clusters. The check starts with the root node and continues recursively with the children with different hash codes. Both sides send the children of the nodes that the other side sent, hence the comparison is done by depth/2 steps. After this check, the tree leaves holding different entries are identified.
- 2. *Synchronization*: Process of transferring the entries belong to the leaves identified by the *consistency check* from the source to target cluster. On the target cluster the configured merge policy is applied for each entry that is in both the source and target clusters.



If you only need the differences between the clusters, you can trigger the consistency check without performing synchronization.

The two phases of the Merkle tree based synchronization can be triggered by the REST calls, as it can be done with the full synchronization.

The URL for the consistency check REST call:

http://{member IP address:port}/hazelcast/rest/wan/consistencyCheck/map

The URL for the synchronization REST call - the same as it is for the default synchronization:

http://{member IP address:port}/hazelcast/rest/wan/sync/map

See the REST call details here.

#### **Memory Consumption**

Since Merkle trees are built for each partition and each map, the memory overhead of the trees with high entry count and deep trees can be significant. The trees are maintained on-heap, therefore - besides the memory consumption - garbage collection could be another concern. Make sure the configuration is tested with realistic data size before deployed in production.

The table below shows a few examples for what the memory overhead could be.

Entries Stored	Partitions Owned	Entries per Leaf	Depth	Memory Overhead
1M	271	7	10	57 MB
1M	271	1	13	97 MB
10M	271	72	10	412 MB
10M	271	9	13	453 MB
10M	5009	4	10	577 MB
10M	5009	1	12	900 MB
25M	5009	10	10	1986 MB
25M	5009	1	13	2740 MB

Table 9. Merkle trees memory overhead for a member

#### Defining the Depth

The efficiency of the Delta WAN Synchronization (WAN synchronization based on Merkle trees) is determined by the average number of entries per the tree leaves that is proportionate to the number of entries in the map. The bigger this average the more entries are getting synchronized for the same difference. Raising the depth decreases this average at the cost of increasing the memory overhead.

This average can be calculated for a map as avgEntriesPerLeaf = mapEntryCount / totalLeafCount,where totalLeafCount = partitionCount \*  $2^{depth-1}$ . The ideal value is 1, however this may come at significant memory overhead as shown in the table above.

In order to specify the tree depth, a trade-off between memory consumption and effectiveness might be needed.

Even if the map is huge and the Merkle trees are configured to be relatively shallow, the Merkle tree based synchronization may be leveraged if only a small subset of the whole map is expected to be synchronized. The table below illustrates the efficiency of the Merkle tree based synchronization compared to the default synchronization mechanism.

Map entry count	Depth	Memory consumptio n	Avg entries / leaf	Difference count	Entries synced	Efficiency
10M	11	685 MB	2	5M	10M	0%
10M	12	900 MB	1	5M	5M	100%
10M	10	577 MB	4	1M	4M	150%
10M	8	497 MB	16	10K	160K	6150%
10M	12	900 MB	1	10K	10K	99900%

*Table 10. Efficiency examples* 

The Difference count column shows the number of the entries different in the source and the target

clusters. This is the minimum number of the entries that need to be synchronized to make the clusters consistent. The Entries synced column shows how many entries are synchronized in the given case, calculated as Entries synced = Difference count \* Avg entries / leaf.

As shown in the last two rows, the Merkle tree based synchronization transfers significantly less entries than what the default mechanism does even with 8 deep trees. The efficiency with depth 12 is even better but consumes much more memory.



The averages in the table are calculated with 5009 partitions.



The average entries per leaf number above assumes perfect distribution of the entries amongst the leaves. Since this is typically not true in real-life scenarios the efficiency can be slightly worse. The statistics section below describes how to get the actual average for the leaves involved in the synchronization.

#### WAN Synchronization Statistics

Both Full and Delta WAN Synchronization processes write statistics into the diagnostics subsystem and send them to Hazelcast Management Center. Using these statistics you can measure the efficiency of your configuration.

Full WAN Synchronization reports the following:

- Duration of the synchronization
- Count of the synchronized entries
- Total count of the synchronized partitions

Here is an example output:

```
Synchronization statistics:

Synchronization UUID: 8af2f9e7-3f9f-4c31-b594-47c421bfb33c

Duration: 0 secs

Total records synchronized: 448

Total partitions synchronized: 5
```

Delta WAN Synchronization reports the following:

- Duration of the synchronization
- Count of the synchronized entries
- Total count of the synchronized partitions
- Merkle tree nodes checked
- Merkle tree nodes found to be different
- Count of the entries needed to be synchronized to make the clusters consistent
- Average count of entries per tree leaves in the synchronized leaves

Here is an example output:

```
Merkle synchronization statistics:

Synchronization UUID: f49a25ba-dc57-4547-817b-bea67ff7f0fe

Duration: 0 secs

Total records synchronized: 528

Total partitions synchronized: 6

Total Merkle tree nodes synchronized: 178

Average records per Merkle tree node: 2.97

StdDev of records per Merkle tree node: 1.55

Minimum records per Merkle tree node: 1

Maximum records per Merkle tree node: 7
```

See the Diagnostics section to learn how to enable diagnostics and locate its log file to see the above statistics.

#### 24.6.2. Dynamically Adding WAN Publishers

When running clusters for an extensive period, you might need to dynamically change the configuration while the cluster is running. This includes dynamically adding new WAN replication publishers (new target clusters) and replicating the subsequent map and cache updates to the new publishers without any manual intervention.

You can add new WAN publishers to an existing WAN replication using almost all of the configuration options that are available when configuring the WAN publishers in the static configuration (including using Discovery SPI). The new configuration is not persisted but it is replicated to all existing and new members. Once the cluster is completely restarted, the dynamically added publisher configuration is lost and the updates are not replicated to the target cluster anymore until added again.

If you wish to preserve the new configuration over cluster restarts, you must add the exact same configuration to the static configuration file after dynamically adding the publisher configuration to a running cluster.

You cannot remove the existing configurations but can put the publishers into a STOPPED state which prevents the WAN events from being enqueued in the WAN queues and prevents the replication, rendering the publisher idle. The configurations also cannot be changed.

You can dynamically add a WAN publisher configuration using the following REST call URL:

```
http://{member IP address:port}/hazelcast/rest/wan/addWanConfig
```

You need to add the following URL-encoded parameters to the request in the following order separated by "&";

- Cluster name
- Cluster password

• WAN replication configuration, serialized as JSON

You can, at any point, even when maps and caches are concurrently mutated, add a new WAN publisher to an existing WAN replication configuration. The limitation is that there must be an existing WAN replication configuration but it can be empty, without any publishers (target clusters). For instance, this is an example of an XML configuration to which you can dynamically add new publishers:

```
<hazelcast>
...
<wan-replication name="wanReplication"></wan-replication>
<map name="my-map">
<wan-replication-ref name="wan-replication">
<wan-replication-ref name="wan-replication">
</wan-replication-ref name="wan-replication">
</wan-replication-ref
```

Note that the map has defined WAN replication but there is no target cluster yet. You can then add the new WAN replication publishers (target clusters) by performing an HTTP POST as shown below:

```
curl -X POST -d "clusterName&clusterPassword&{...}" --URL http
://127.0.0.1:5701/hazelcast/rest/wan/addWanConfig
```

You can provide the full configuration as JSON as a parameter. Any WAN configuration supported in the XML and programmatic configurations is also supported in this JSON format. Below are some examples of JSON configuration for a WAN publisher using the Discovery SPI and static IP configuration. Here are the integer values for initialPublisherState, queueFullBehavior and consistencyCheckStrategy:

- initialPublisherState:
  - 0: REPLICATING
  - 1: PAUSED
  - 2: STOPPED
- queueFullBehavior:
  - 0: DISCARD\_AFTER\_MUTATION
  - 1: THROW\_EXCEPTION
  - 2: THROW\_EXCEPTION\_ONLY\_IF\_REPLICATION\_ACTIVE
- consistencyCheckStrategy:
  - **0:** NONE

Below is an example using Discovery SPI (AWS configuration):

```
{
   "name": "wanReplication",
   "publishers":[
      {
         "clusterName":"tokyo",
         "queueCapacity":10000,
         "queueFullBehavior":0,
         "initialPublisherState":0,
         "discovery":{
            "nodeFilterClass":null,
            "discoveryStrategy":[
               {
                  "className":"com.hazelcast.aws.AwsDiscoveryStrategy",
                  "properties":{
                     "security-group-name":"hazelcast",
                     "tag-value":"cluster1",
                     "host-header":"ec2.amazonaws.com",
                     "tag-key": "aws-test-cluster",
                     "secret-key":"my-secret-key",
                     "iam-role":"s3access",
                     "access-key": "my-access-key",
                     "hz-port":"5701-5708",
                     "region":"us-west-1"
                  }
               }
            ]
        }
     }
  ]
}
```

Below is an example with Discovery SPI (the new AWS configuration)

```
{
   "name":"wanReplication",
   "publishers":[
      {
         "clusterName":"tokyo",
         "queueCapacity":1000,
         "queueFullBehavior":0,
         "initialPublisherState":0,
         "aws":{
            "enabled":true,
            "usePublicIp":false,
            "properties":{
               "security-group-name": "hazelcast-sg",
               "tag-value":"hz-nodes",
               "host-header":"ec2.amazonaws.com",
               "tag-key":"type",
               "secret-key":"my-secret-key",
               "iam-role":"dummy",
               "access-key": "my-access-key",
               "region":"us-west-1"
            }
         },
         "sync":{
            "consistencyCheckStrategy":0
         }
      }
  ]
}
```

Below is an example with static IP configuration (with some optional attributes):

```
{
   "name": "wanReplication",
   "publishers":[
      {
         "clusterName":"tokyo",
         "queueCapacity":1000,
         "queueFullBehavior":0,
         "initialPublisherState":0,
         "responseTimeoutMillis":5000,
         "targetEndpoints":"10.3.5.1:5701, 10.3.5.2:5701",
         "batchMaxDelayMillis":3000,
         "batchSize":50,
         "snapshotEnabled":false,
         "acknowledgeType":1,
         "sync":{
            "consistencyCheckStrategy":0
         }
      }
   ]
}
```

Below is an XML configuration with two publishers and several (disabled) discovery strategy configurations:

```
{
   "name": "wanReplication",
   "publishers":[
      {
         "clusterName":"tokyo",
         "queueCapacity":1000,
         "queueFullBehavior":0,
         "initialPublisherState":0,
         "aws":{
            "enabled":true,
            "usePublicIp":false,
            "properties":{
               "security-group-name": "hazelcast-sg",
               "tag-value": "hz-nodes",
               "host-header": "ec2.amazonaws.com",
               "tag-key":"type",
               "secret-key": "my-secret-key",
               "iam-role":"dummy",
               "access-key": "my-access-key",
               "region":"us-west-1"
            }
         },
         "gcp":{
            "enabled":false,
            "usePublicIp":true,
```

```
"properties":{
         "gcp-prop":"gcp-val"
      }
   },
   "azure":{
      "enabled":false,
      "usePublicIp":true,
      "properties":{
         "azure-prop":"azure-val"
      }
   },
   "kubernetes":{
      "enabled":false,
      "usePublicIp":true,
      "properties":{
         "k8s-prop":"k8s-val"
      }
   },
   "eureka":{
      "enabled":false,
      "usePublicIp":true,
      "properties":{
         "eureka-prop":"eureka-val"
      }
   },
   "discovery":{
      "nodeFilterClass":null,
      "discoveryStrategy":[
      ]
   },
   "sync":{
      "consistencyCheckStrategy":0
   }
},
{
   "clusterName":"london",
   "queueCapacity":1000,
   "queueFullBehavior":0,
   "initialPublisherState":0,
   "responseTimeoutMillis":5000,
   "targetEndpoints": "10.3.5.1:5701, 10.3.5.2:5701",
   "batchMaxDelayMillis":3000,
   "batchSize":50,
   "snapshotEnabled":false,
   "acknowledgeType":1,
   "aws":{
      "enabled":false,
      "usePublicIp":false
   },
   "gcp":{
```

```
"enabled":false,
            "usePublicIp":false
         },
         "azure":{
            "enabled":false,
            "usePublicIp":false
         },
         "kubernetes":{
            "enabled":false,
            "usePublicIp":false
         },
         "eureka":{
            "enabled":false,
            "usePublicIp":false
         },
         "discovery":{
            "nodeFilterClass":null,
            "discoveryStrategy":[
            ]
         },
         "sync":{
            "consistencyCheckStrategy":1
         }
      }
   ]
}
```

### 24.6.3. Event Filtering API

WAN replication allows you to intercept WAN replication events before they are placed to WAN event replication queues by providing a filtering API. Using this API, you can monitor WAN replication events of each data structure separately.

You can attach filters to your data structures using the filter element of wan-replication-ref configuration inside hazelcast.xml as shown below. You can also configure it using the programmatic configuration.

As shown in the above configuration, you can define more than one filter. Filters are called in the order that they are introduced. A WAN replication event is only eligible to publish if it passes all the filters.

Map and Cache have different filter interfaces: MapWanEventFilter and CacheWanEventFilter. Both of these interfaces have the method filter which takes the following parameters:

- mapName/cacheName: Name of the related data structure.
- entryView: EntryView or CacheEntryView depending on the data structure.
- eventType: Enum type UPDATED(1), REMOVED(2) or LOADED(3) depending on the event.



LOADED events are filtered out and not replicated to target cluster.

#### 24.6.4. Implementing a Custom WAN Publisher

In addition to using the Hazelcast's built-in WAN Replication implementation, you can implement your own replication mechanism using the WAN publisher SPI.

Following is the configuration snippet where replicatedMap and replicatedCache use the custom implementation com.my.WanPublisher to replicate map and cache updates.

```
<hazelcast>
    . . .
    <wan-replication name="london-wan-rep">
        <custom-publisher>
             <publisher-id>myCustomPublisher</publisher-id>
             <class-name>com.my.WanPublisher</class-name>
             <properties>
                 <property name="prop1">val1</property></property>
                 <property name="prop2">val2</property></property>
             </properties>
        </custom-publisher>
    </wan-replication>
    <map name="replicatedMap">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </map>
    <cache name="replicatedCache">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </cache>
    . . .
</hazelcast>
```

The custom-publisher is used to configure a custom implementation of a WAN replication implementing com.hazelcast.wan.WanPublisher. For example, you might implement replication to Kafka or some JMS queue or even write out map and cache event changes to a log on disk. It has the following sub-elements:

- class-name: Mandatory configuration value defining the fully qualified class name of the WAN publisher implementation. The class must implement com.hazelcast.wan.WanPublisher.
- publisher-id: Mandatory configuration value for the publisher ID used for identifying the publisher in a WanReplicationConfig. This ID will be used to refer to this specific WAN publisher in a certain WAN replication scheme.

In some cases, specifying the configuration on the source/active cluster is enough to fully implement your use case. This is the case when you don't have any target/passive Hazelcast cluster which consumes these events. In cases when you do have a target Hazelcast cluster and you wish to use a custom WAN Replication implementation, you will need to configure the target cluster as well. For example, you might want to implement WAN Replication by transmitting WAN events through some JMS queue like ActiveMQ. In this case, you need to implement both your custom WAN publisher and WAN consumer.

Below is a configuration example for specifying a custom WAN replication consumer on the target/passive cluster:

The consumer is used to configure the implementation of the com.hazelcast.wan.WanConsumer interface which will be used to retrieve and process WAN events. A custom WAN consumer allows you to define custom processing logic and is used in combination with a custom WAN publisher.

The consumer configuration element has the following sub-elements:

- class-name: Name of the class implementing a custom WAN consumer (com.hazelcast.wan.WanConsumer).
- properties: Properties for the custom WAN consumer. These properties are accessible when initializing the WAN consumer. You can define the host, username and password for the host, name of the queue to be polled by the consumer, etc.

#### 24.6.5. Customizing WAN Event Processing on Passive/Target Cluster

In addition to customizing behavior of the source cluster and how WAN events are sent and retained, you can also configure some aspects of how WAN events are processed on the receiving (target/passive) cluster. In addition, you can also define a custom implementation of a WAN event consumer. A custom WAN consumer allows you to define custom processing logic and is usually used in combination with a custom WAN publisher. A custom consumer is optional and you may simply omit defining it which causes the default processing logic to be used. See the Using the WAN Custom Publisher section for more information.

Below you can see an example configuration of the target/passive cluster where we configure how incoming WAN events are processed.

```
<hazelcast>
    . . .
    <wan-replication name="london-wan-rep">
        <consumer>
            <persist-wan-replicated-data>false</persist-wan-replicated-data>
        </consumer>
    </wan-replication>
    <map name="replicatedMap">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </map>
    <cache name="replicatedCache">
        <wan-replication-ref name="london-wan-rep"/>
        . . .
    </cache>
    . . .
</hazelcast>
```

In the configuration above you can see that the WAN Replication configuration is again matched by WAN replication scheme name to the exact map and cache configuration. This means that different structures can process WAN events differently.

The processing behavior is configured using the **consumer** element. It has the following subelements:

• persist-wan-replicated-data: When set to true, an incoming event over WAN replication can be persisted to a database for example, otherwise it is not persisted. Default value is true.

### 24.7. Fine-Tuning WAN Replication

WAN Replication will work fine for most use cases with the default settings. However, there are some specific use cases where you might want to change the behavior of WAN Replication to suit your needs. You might also be interested in the details how WAN Replication works. If that is the case, this section is for you.

#### 24.7.1. Batch Size

The maximum size of events that are sent in a single batch can be changed depending on your needs. The batch of events is not sent until this size is reached or enough time has elapsed. The default value for batch size is 500. The batch size can be set for each WAN publisher separately by modifying the related WanBatchPublisherConfig.

Below is the declarative configuration for changing the value of the element:

And following is the equivalent programmatic configuration:

```
WanReplicationConfig wanConfig = config.getWanReplicationConfig("london-wan-rep");
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
        .setClusterName("london")
        .setBatchSize(1000);
wanConfig.addWanPublisherConfig(publisherConfig);
```

#### 24.7.2. Batch Maximum Delay

When using the built-in WAN batch replication, if the number of WAN replication events generated does not reach Batch Size, they are sent to the target cluster after a certain amount of time is passed. You can set this duration in milliseconds using this batch maximum delay configuration. Default value of for this duration is 1 second (1000 milliseconds).

Maximum delay can be set for each target cluster by modifying related WanBatchPublisherConfig.

You can change this element using the declarative configuration as shown below.

And, the following is the equivalent programmatic configuration:

```
WanReplicationConfig wanConfig = config.getWanReplicationConfig("london-wan-rep");
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
        .setClusterName("london")
        .setBatchMaxDelayMillis(2000);
wanConfig.addWanPublisherConfig(publisherConfig);
```

#### 24.7.3. Response Timeout

After a replication event is sent to the target cluster, the source member waits for an acknowledgement of the delivery of the event to the target. If the confirmation is not received inside a timeout duration window, the event is resent to the target cluster. Default value of this duration is 60000 milliseconds.

You can change this duration depending on your network latency for each target cluster by modifying related WanBatchPublisherConfig.

Below is an example of declarative configuration:

```
<hazelcast>
....
<wan-replication name="london-wan-rep">
....
<wan-replication name="london-wan-rep">
....
<batch-publisher>
....
</wan-replication>
....
</hazelcast>
```

And, the following is the equivalent programmatic configuration:

```
WanReplicationConfig wanConfig = config.getWanReplicationConfig("london-wan-rep");
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
        .setClusterName("london")
        .setResponseTimeoutMillis(5000);
wanConfig.addWanPublisherConfig(publisherConfig);
```

### 24.7.4. Queue Capacity

For clusters with high data mutation rates or with long expected periods of disrupted connectivity between clusters, you might need to increase the replication queue size. The default queue size for replication queues is 10000. This means, if you have heavy put/update/remove rates or if the target/passive cluster is unavailable for too long, you might exceed the queue size so that the oldest, not yet replicated, updates might get lost. Note that a separate queue is used for each WAN Replication configured for IMap and ICache.

Queue capacity can be set for each target cluster by modifying the related WanBatchPublisherConfig.

You can change this element using the declarative configuration as shown below.

```
<hazelcast>
....
<wan-replication name="london-wan-rep">
<batch-publisher>
<batch-publisher>
<batch-publisher>
<queue-capacity>15000</queue-capacity>
</batch-publisher>
</wan-replication>
....
</hazelcast>
```

And, the following is the equivalent programmatic configuration:

```
WanReplicationConfig wanConfig = config.getWanReplicationConfig("london-wan-rep");
WanBatchPublisherConfig publisherConfig = new WanBatchPublisherConfig()
        .setClusterName("london")
        .setQueueCapacity(15000);
wanConfig.addWanPublisherConfig(publisherConfig);
```

Note that you can clear a member's WAN replication event queue. It can be initiated through Management Center's Clear Queues action or Hazelcast's REST API. Below is the URL for its REST call:

http://member\_ip:port/hazelcast/rest/wan/clearWanQueues

You need to add the following URL-encoded parameters to the request in the following order separated by "&";

- Cluster name
- Cluster password
- Name of the WAN replication configuration
- WAN replication publisher ID/target cluster name

This may be useful, for instance, to release the consumed heap if you know that the target cluster is being shut down, decommissioned, put out of use and it will never come back. Or, when a failure happens and queues are not replicated anymore, you could clear the queues using this clearing action.

#### 24.7.5. Queue Full Behavior

You can also configure the policy to be applied when the WAN Replication event queues are full. The following policies are supported:

- DISCARD\_AFTER\_MUTATION: If you select this option, the new WAN events generated by the member are dropped and not replicated to the target cluster when the WAN event queues are full.
- THROW\_EXCEPTION: If you select this option, the WAN queue size is checked before each supported mutating operation (like IMap.put(), ICache.put()). If one the queues of target cluster is full, WanReplicationQueueFullException is thrown and the operation is not allowed.
- THROW\_EXCEPTION\_ONLY\_IF\_REPLICATION\_ACTIVE: Its effect is similar to that of THROW\_EXCEPTION. But, it throws exception only when WAN replication is active. It discards the new events if WAN replication is stopped.

The following is an example configuration:

```
<hazelcast>
....
<wan-replication name="london-wan-rep">
<batch-publisher>
<batch-publisher>
<batch-publisher>
<batch-publisher>
<batch-publisher>
</batch-publisher>
</wan-replication>
....
</hazelcast>
```



queue-full-behavior configuration is optional. Its default value is DISCARD\_AFTER\_MUTATION.

#### 24.7.6. Acknowledgment Types

WAN replication supports different acknowledgment (ACK) types for each target cluster. You can choose from two different acknowledgement types depending on your consistency and performance requirements. The following ACK types are supported:

- ACK\_ON\_RECEIPT: A batch of replication events is considered successfully replicated as soon as it is received by the target cluster. This option does not guarantee that the received update is actually applied but it is faster.
- ACK\_ON\_OPERATION\_COMPLETE: This option guarantees that the event is received by the target cluster and it is applied. It is more time consuming but it ensures that the updates have been successfully applied by the target cluster before sending the next batch of events.

The following is an example configuration:

```
<hazelcast>
....
.wan-replication name="london-wan-rep">
.batch-publisher>
.cluster-name>london</cluster-name>
.cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</cluster-name>london</clust
```



acknowledge-type configuration is optional. Its default value is
ACK\_ON\_OPERATION\_COMPLETE.

#### 24.7.7. Key-based Coalescing

By default, WAN Replication will replicate all of the updates on map and cache entries. If you are updating a single "hot" entry multiple times, WAN Replication will send an update event for every entry update. If you don't need to have all updates replicated and would like to simply replicate the latest update for a certain entry, you can turn on key-based coalescing, thus saving on amounts of data replicated between clusters.

The following is an example configuration:



snapshot-enabled is optional. Its default value is false.

### 24.7.8. Achieving Lower Latencies and Higher Throughput

The WAN replication mechanism allows tuning for lower latencies of replication and higher throughput. In most cases, WAN replication is sufficient with out-of-the-box settings which cause WAN replication to replicate the map and cache events with little overhead. However, there might be some use cases where the latency between a map/cache mutation on one cluster and its visibility on the other cluster must be kept within some bounds. To achieve such demands, you can first try tuning the WAN replication mechanism using the following publisher elements:

• batch-size

- batch-max-delay-millis
- idle-min-park-ns
- idle-max-park-ns

To understand the implications of these elements, let's first dive into how WAN replication works.

WAN replication runs in a separate thread and tries to send map and cache mutation events in batches to the target endpoints for higher throughput. The target endpoints are usually members in a target Hazelcast cluster but different WAN implementations may have different target endpoints. The event batch is collected by iterating over the WAN queues for different partitions and, different maps and caches. WAN replication tries and collects a batch of a size which can be configured using the batch-size element.

If enough time has passed and the WAN replication thread hasn't collected enough events to fill a batch, it sends what it has collected nevertheless. This is controlled by the batch-max-delay-millis element. The "enough time" precisely means that more than the configured amount of milliseconds has passed since the time the last batch was sent to any target endpoint.

If there are no events in any of the WAN queues, the WAN replication thread goes into the idle state by parking the WAN replication thread. The minimum park time can be defined using the idle-minpark-ns element and the maximum park time can be controlled using the idle-max-park-ns element. If a WAN event is enqueued while the WAN replication thread is in the idle state, the latency for replication of that WAN event increases.

An example WAN replication configuration using the default values of the above elements is shown below.

```
<hazelcast>
....
<wan-replication name="london-wan-rep-batch">
<batch-publisher>
<batch-publisher>
<batch-size>500</batch-size>
<batch-size>500</batch-size>
<batch-max-delay-millis>1000</batch-max-delay-millis>
<batch-max-delay-millis>1000000</idle-min-park-ns> <!-- 10 ms --->
<bi><batch-publisher>
</batch-publisher>
</wan-replication>
....
</hazelcast>
```

We will now discuss tuning these elements. Unfortunately, the exact tuning parameters heavily depend on the load, mutation rate, latency between the source and target clusters and even use cases. We will thus discuss some general approaches and pointers.

When tuning for low latency, the first thing you might want to do is lower the *idle-min-park-ns* and *idle-max-park-ns* element values. This will affect the latencies that you see when having a low number of operations per second, since this is when the WAN replication thread will be mostly in

idle state. Try lowering both elements but keep in mind that the lower the element value, the more time the WAN replication thread will spend consuming CPU in a quiescent state - when there is no mutation on the maps or caches.

The next element you might lower is the batch-max-delay-millis. If you have a strict upper bound on the latency for WAN replication, this element must be below that limit. Setting this value too low might adversely affect the performance: in that case the WAN replication thread might send smaller batches than what it would if the element was higher and it had waited for some more time. You can even try setting this element to zero which instructs the WAN replication thread to send batches as soon as it is able to collect any events; but keep in mind this will result in many smaller batches instead of less bigger event batches.

When tuning for lower latencies, configuring the batch-size usually has little effect, especially at lower mutation rates. At a low number of operations per second, the event batches will usually be very small since the WAN replication thread will not be able to collect the full batch and respect the required latencies for replication. The batch-size element might have more effect at higher mutation rates. Here, you will probably want to use bigger batches to avoid paying for the latencies when sending lots of smaller batches, so try increasing the batch size and benchmarking at high load.

There are a couple of other configuration values that you might try changing but it depends on your use case. The first one is adding a separate configuration for a WAN replication executor. Collecting of WAN event batches and processing the responses from the target endpoints are done on a shared executor. This executor is shared between the other parts of the Hazelcast system and all of the WAN replication publishers will use the same executor. In some cases, you might want to create a dedicated executor for all WAN replication publishers. The name of this executor is hz:wan. Below is an example of a concrete, dedicated executor for WAN replication. See the Configuring Executor Service section for more information on the configuration options of the executor.

```
<hazelcast>
...
<executor-service name="hz:wan">
<pool-size>16</pool-size>
</executor-service>
...
</hazelcast>
```

The last two elements that you might want to change are acknowledge-type and max-concurrentinvocations. Changing these elements allow you to get a greater throughput at the expense of event ordering. This means that these elements may only be changed if your application can tolerate WAN events to be received out-of-order. For instance, if you are updating or removing the existing map or cache entries, an out-of-order WAN event delivery would mean that the event for the entry removal or update might be processed by the target cluster before the event is received to create that entry. This does not causes exceptions but it causes the clusters to fall out-of-sync. In these cases, you most probably will not be able to use these elements. On the other hand, if you are only creating new, immutable entries (which are then removed by the expiration mechanism), you can use these elements to achieve a greater throughput. The acknowledge-type element controls at which time the target cluster will send a response for the received WAN event batch. The default value is ACK\_ON\_OPERATION\_COMPLETE which will ensure that all events are processed before the response is sent to the source cluster. The value ACK\_ON\_RECEIPT instructs the target cluster to send a response as soon as it has received the WAN event batch but before it has been processed. This has two implications. One is that events can now be processed out-of-order (see the previous paragraph) and the other is that the exceptions thrown on processing the WAN event batch will not be received by the source cluster and the WAN event batch will not be retried. As such, some events might get lost in case of errors and the clusters may fall out-of-sync. WAN sync can help bring those clusters in-sync. The benefit of the ACK\_ON\_RECEIPT value is that now the source cluster can send a new batch sooner, without waiting for the previous batch to be processed fully.

# 0

WAN synchronization strategies (neither the default nor the Delta WAN Synchronization) don't synchronize the deletions since they are not yet tracked under WAN.

The max-concurrent-invocations element controls the maximum number of WAN event batches being sent to the target cluster concurrently. Setting this element to anything less than 2 will only allow a single batch of events to be sent to each target endpoint and will maintain causality of events for a single partition (events are not received out-of-order). Setting this element to 2 or higher will allow multiple batches of WAN events to be sent to each target endpoint. Since this allows reordering of batches due to the network conditions, causality and ordering of events for a single partition is lost and batches for a single partition are now sent randomly to any available target endpoint. This, however, does present a faster WAN replication for certain scenarios such as replicating immutable, independent map entries which are only added once and where ordering, when these entries are added, is not necessary. Keep in mind that if you set this element to a value which is less than the target endpoint count, you will lose performance as not all target endpoints will be used at any point in time to process the WAN event batches. So, for instance, if you have a target cluster with 3 members (target endpoints) and you want to use this element, it only makes sense to set it to a value higher than 3. Otherwise, you can simply disable it by setting it to less than 2 in which case WAN will use the default replication strategy and adapt to the target endpoint count while maintaining causality.

An example WAN replication configuration using the default values of the aforementioned elements is shown below.

Finally, as we've mentioned, the exact values which will give you the optimal performance depend on your environment and use case. Please benchmark and try out different values to find out the right values for you.

#### 24.7.9. Discovery Period

When using WAN Replication with Discovery SPI, you can set the period in seconds in which WAN tries to discover new target endpoints and reestablish connections to failed endpoints using the discovery-period-seconds property. The default value is 10 seconds.

#### 24.7.10. Maximum Number of Target Endpoints

When using WAN Replication with Discovery SPI, you can set the maximum number of endpoints that WAN connects to at any point using the max-target-endpoints property. This element has no effect when static endpoint addresses are defined using target-endpoints. Default is Integer.MAX\_VALUE.

#### 24.7.11. Use Endpoint Private Address

When using WAN Replication with Discovery SPI, you can set whether the WAN connection manager should connect to the endpoint on the private address returned by the Discovery SPI using the use-endpoint-private-address property. By default this element is false which means the WAN connection manager always uses the public address.

### 24.8. Failure Detection and Recovery

The failure detection and recovery mechanisms in WAN handle failures during WAN replication and they closely interact with the list of endpoints that WAN is replicating to. There might be some small differences when using static endpoints or the Discovery SPI but here we will outline the general mechanism of failure detection and recovery.

### 24.8.1. WAN Target Endpoint List

The WAN connection manager maintains a list of public addresses that it can replicate to at any moment. This list may change over time as failures are detected or as new addresses are discovered when using the Discovery SPI. The connection manager does not eagerly create connections to these addresses as they are added to the list to avoid overloading the endpoint with connections from all members using the same configuration. It tries to connect to the endpoint just before WAN events are about to be transmitted. This means that if there are no updates on the map or cache

using WAN replication, there are no WAN events and the connection will not be established to the endpoint.

When more than one endpoint is configured, traffic is load balanced between them using the partition, so that the same partitions are always sent to the same target member, ensuring ordering by partition.

#### 24.8.2. WAN Failure Detection

If using the Hazelcast IMDG Enterprise edition class WanBatchReplication (see the Defining WAN replication section), the WAN replication catches any exceptions when sending the WAN events to the endpoint. In the case of an exception, the endpoint is removed from the endpoint list to which WAN replicates and the WAN events are resent to a different address. The replication is retried until it is successful.

#### 24.8.3. WAN Endpoint Recovery

The WAN connection manager tries to "rediscover" new endpoints periodically. The period is 10 seconds by default but can be configured using the discovery-period-seconds element (see the Defining WAN replication section).

The discovered endpoints depend on the configuration used to define WAN replication. If using static WAN endpoints (by using the target-endpoints element), the discovered endpoints are always the same and are equal to the values defined in the configuration. If using Discovery SPI with WAN, the discovered endpoints may be different each time.

When the discovery returns a list of endpoints (addresses), the WAN target endpoint list is updated. Newly discovered endpoints are added and endpoints which are no longer in the discovered list are removed. Newly discovered endpoints may include addresses to which WAN replication has previously failed. This means that once a new WAN event is about to be sent, a connection is reestablished to the previously failed endpoint and WAN replication is retried. The endpoint can later be again removed from the target endpoint list if the replication again encounters failure.

#### 24.8.4. Backing Up Event Queues

WAN replication backs up its event queues to other members to prevent event loss in case of member failures.

WAN replication's backup mechanism depends on the related data structures' backup operations. Note that, WAN replication is supported for IMap and ICache. That means, as far as you set a backup count for your IMap or ICache instances, WAN replication events generated by these instances are also replicated.

### 24.9. REST API Wrap-Up



To be able to use the REST calls related to WAN Replication mentioned in this section, you need to enable the WAN REST endpoint group. See the Using the REST Endpoint Groups section on how to enable it.

#### 24.9.1. Parameters

Here is the list of parameters used in the WAN Replication REST calls, which are shown as placeholders in the REST calls:

- member IP address and port: IP address and port number of the member on which you run the REST calls.
- clusterOnSource: Name of your local (source) cluster.
- clusterPassword: Password, if set, of your source cluster. Note that you need to enable the security when you need a cluster password. If not set, the parameter is empty.
- wanRepName: Name of the WAN Replication configuration.
- publisherId: WAN replication publisher ID. If not set, cluster-name under the batch-publisher element is used.
- mapName: Name of the map to be synchronized.
- wanConfig: WAN publisher configuration file, as a JSON string, to be added dynamically.

The parameters in the below curl commands need to be provided in the given order, separated by 8.

Let's use the following declarative configuration as the example to be used in the curl commands described in the sections below, and let's assume that our source cluster does not have a password:

```
<hazelcast>

<cluster-name>tokyo</cluster-name>

<wan-replication name="london-wan-rep">

<batch-publisher>

<cluster-name>london</cluster-name>

<target-endpoints>10.3.5.1:5701, 10.3.5.2:5701</target-endpoints>

</batch-publisher>

</wan-replication>

<map name="myMap">

<wan-replication-ref name="london-wan-rep"/>

</map>
</hazelcast>
```

#### 24.9.2. Clearing the Queues

The URL for cleaning the WAN event queues is as follows:

http://{member IP address:port}/hazelcast/rest/wan/clearWanQueues

The following is the curl command:

curl -X POST -d "{clusteronSourceName}&{clusterPassword}&{wanRepName}&{publisherId}"
--URL http://{member IP address:port}/hazelcast/rest/wan/clearWanQueues

The command according to the above example configuration is as follows:

```
curl -X POST -d "tokyo&alondon-wan-rep&london" --URL http
://127.0.0.1:5701/hazelcast/rest/wan/clearWanQueues
```

#### 24.9.3. Pausing the Publisher

The URL for pausing the WAN publisher is as follows:

http://{member IP address:port}/hazelcast/rest/wan/pausePublisher

The following is the curl command:

curl -X POST -d "{clusterOnSource}&{clusterPassword}&{wanRepName}&{publisherId}" --URL http://{member IP address:port}/hazelcast/rest/wan/pausePublisher

The command according to the above example configuration is as follows:

```
curl -X POST -d "tokyo&&london-wan-rep&london" --URL http
://127.0.0.1:5701/hazelcast/rest/wan/pausePublisher
```

#### 24.9.4. Resuming the Publisher

The URL for resuming the WAN publisher is as follows:

http://{member IP address:port}/hazelcast/rest/wan/resumePublisher

The following is the curl command:

curl -X POST -d "{clusterOnSource}&{clusterPassword}&{wanRepName}&{publisherId}" --URL http://{member IP address:port}/hazelcast/rest/wan/resumePublisher

The command according to the above example configuration is as follows:

```
curl -X POST -d "tokyo&&london-wan-rep&london" --URL http
://127.0.0.1:5701/hazelcast/rest/wan/resumePublisher
```

#### 24.9.5. Stopping the Publisher

The URL for stopping the WAN publisher is as follows:

http://{member IP address:port}/hazelcast/rest/wan/stopPublisher

The following is the curl command:

curl -X POST -d "{clusterOnSource}&{clusterPassword}&{wanRepName}&{publisherId}" --URL http://{member IP address:port}/hazelcast/rest/wan/stopPublisher

The command according to the above example configuration is as follows:

curl -X POST -d "tokyo&&london-wan-rep&london" --URL http ://127.0.0.1:5701/hazelcast/rest/wan/stopPublisher

#### 24.9.6. Synchronizing the Clusters

For the full synchronization, the URLs for synchronizing a single map and all maps are as follows:

http://{member IP address:port}/hazelcast/rest/wan/sync/map http://{member IP address:port}/hazelcast/rest/wan/sync/allMaps

The following are the respective curl commands:

curl -X POST -d
"{clusterOnSource}&{clusterPassword}&{wanRepName}&{publisherId}&{mapName}" --URL http
://{member IP address:port}/hazelcast/rest/wan/sync/map

curl -X POST -d "{clusterOnSource}&{clusterPassword}&{wanRepName}&{publisherId}" --URL http://{member IP address:port}/hazelcast/rest/wan/sync/allMaps

The command according to the above example configuration is as follows (for that single map):

curl -X POST -d "tokyo&&london-wan-rep&london&myMap" --URL http://{member IP address:port}/hazelcast/rest/wan/sync/map

For the delta synchronization, you need to first perform a consistency check, using the the following REST call URL:

http://{member IP address:port}/hazelcast/rest/wan/consistencyCheck/map

Here is the respective curl command:

curl -X POST -d
"{clusterOnSource}&{clusterPassword}&{wanRepName}&{publisherId}&{mapName}" --URL http
://{member IP address:port}/hazelcast/rest/wan/consistencyCheck/map

After the consistency check, you can use the same REST calls used in full synchronization in the same way to synchronize a single map or all the maps.



Consistency check can be triggered only for one map.

#### 24.9.7. Dynamically Adding WAN Publishers

The URL for dynamically adding a WAN publisher configuration is as follows:

http://{member IP address:port}/hazelcast/rest/wan/addWanConfig

The following is the curl command:

```
curl -X POST -d "{clusterOnSource}&{clusterPassword}&{wanConfig}" --URL http
://127.0.0.1:5701/hazelcast/rest/wan/addWanConfig
```

The wanConfig parameter should be the full configuration as a JSON string. See here for configuration examples.

# 25. OSGI

This chapter explains how Hazelcast is supported on OSGI (Open Service Gateway Initiatives) environments.

### 25.1. OSGI Support

Hazelcast bundles provide OSGI services so that Hazelcast users can manage (create, access, shutdown) Hazelcast instances through these services on OSGI environments. When you enable the property hazelcast.osgi.start (default is disabled), when an Hazelcast OSGI service is activated, a default Hazelcast instance is created automatically.

Created Hazelcast instances can be served as an OSGI service that the other Hazelcast bundles can access. Registering created Hazelcast instances behavior is enabled by default; you can disable it using the property hazelcast.osgi.register.disabled.

Each Hazelcast bundle provides a different OSGI service. Their instances can be grouped (clustered) together to prevent possible compatibility issues between different Hazelcast versions/bundles. This grouping behavior is enabled by default and you disable it using the property hazelcast.osgi.grouping.disabled.

Hazelcast OSGI service's lifecycle (and the owned/created instances's lifecycles) is the same with the owner Hazelcast bundles. When the bundle is stopped (deactivated), the owned service and Hazelcast instances are also deactivated/shutdown and deregistered automatically. When the bundle is re-activated, its service is registered again.

The Hazelcast IMDG Enterprise JAR package is also an OSGI bundle like the Hazelcast Open Source JAR package.

# 25.2. API

HazelcastOSGiService: Contract point for Hazelcast services on top of OSGI. Registered to org.osgi.framework.BundleContext as the OSGI service so the other bundles can access and use Hazelcast on the OSGI environment through this service.

HazelcastOSGiInstance: Contract point for HazelcastInstance implementations based on OSGI service. HazelcastOSGiService provides proxy Hazelcast instances typed HazelcastOSGiInstance which is a subtype of HazelcastInstance and these instances delegate all calls to the underlying HazelcastInstance.

# 25.3. Configuring Hazelcast OSGI Support

HazelcastOSGiService uses the following configurations:

- hazelcast.osgi.start: If this property is enabled (it is disabled by default), when an HazelcastOSGiService is activated, a default Hazelcast instance is created automatically.
- hazelcast.osgi.register.disabled: If this property is disabled (it is disabled by default), when a Hazelcast instance is created by HazelcastOSGiService, the created HazelcastOSGiInstance is registered automatically as OSGI service with type of HazelcastOSGiInstance and it is deregistered automatically when the created HazelcastOSGiInstance is shutdown.
- hazelcast.osgi.grouping.disabled: If this property is disabled (it is disabled by default), every created HazelcastOSGiInstance is grouped as their owner HazelcastOSGiService and do not join each other unless no cluster name is specified in the Config.

# 25.4. Design

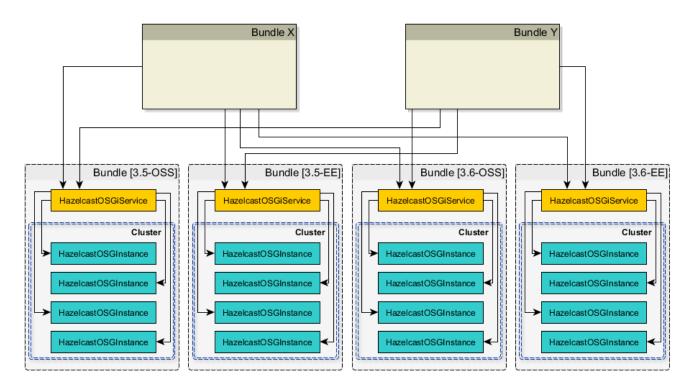
HazelcastOSGiService is specific to each Hazelcast bundle. This means that every Hazelcast bundle has its own HazelcastOSGiService instance.

Every Hazelcast bundle registers its HazelcastOSGiService instances via Hazelcast Bundle Activator (com.hazelcast.osgi.impl.Activator) while they are being started, and it deregisters its HazelcastOSGiService instances while they are being stopped.

Each HazelcastOSGiService instance has a different service ID as the combination of Hazelcast version and artifact type (OSS or EE). Examples are 3.6#OSS, 3.6#EE, 3.7#OSS, 3.7#EE, etc.

HazelcastOSGiService instance lifecycle is the same with the owner Hazelcast bundle. This means that when the owner bundle is deactivated, the owned HazelcastOSGiService instance is deactivated,

and all active Hazelcast instances that are created and served by that HazelcastOSGiService instance are also shutdown and deregistered. When the Hazelcast bundle is re-activated, its HazelcastOSGiService instance is registered again as the OSGI service.



# 25.5. Using Hazelcast OSGI Service

### 25.5.1. Getting Hazelcast OSGI Service Instances

You can access all HazelcastOSGiService instances through org.osgi.framework.BundleContext for each Hazelcast bundle as follows:

```
for (ServiceReference serviceRef : context.getServiceReferences(HazelcastOSGiService
.class.getName(), null)) {
    HazelcastOSGiService service = (HazelcastOSGiService) context.getService
(serviceRef);
    String serviceId = service.getId();
    ....
}
```

### 25.5.2. Managing and Using Hazelcast instances

You can use HazelcastOSGiService instance to create and shutdown Hazelcast instances on OSGI environments. The created Hazelcast instances are HazelcastOSGiInstance typed (which is sub-type of HazelcastInstance) and are just proxies to the underlying Hazelcast instance. There are several methods in HazelcastOSGiService to use Hazelcast instances on OSGI environments as shown below.

```
// Get the default Hazelcast instance owned by `hazelcastOsgiService`
// Returns null if `HAZELCAST_OSGI_START` is not enabled
HazelcastOSGiInstance defaultInstance = hazelcastOsgiService
.getDefaultHazelcastInstance();
// Creates a new Hazelcast instance with default configurations as owned by
`hazelcastOsgiService`
HazelcastOSGiInstance newInstance1 = hazelcastOsgiService.newHazelcastInstance();
// Creates a new Hazelcast instance with specified configuration as owned by
`hazelcastOsgiService`
Config config = new Config();
config.setInstanceName("OSGI-Instance");
HazelcastOSGiInstance newInstance2 = hazelcastOsgiService.newHazelcastInstance(config
);
// Gets the Hazelcast instance with the name `OSGI-Instance`, which is `newInstance2`
created above
HazelcastOSGiInstance instance = hazelcastOsgiService.getHazelcastInstanceByName(
"OSGI-Instance");
// Shuts down the Hazelcast instance with name 'OSGI-Instance', which is
`newInstance?`
hazelcastOsgiService.shutdownHazelcastInstance(instance);
// Print all active Hazelcast instances owned by `hazelcastOsqiService`
for (HazelcastOSGiInstance instance : hazelcastOsgiService.getAllHazelcastInstances())
{
    System.out.println(instance);
}
// Shuts down all Hazelcast instances owned by `hazelcastOsgiService`
hazelcastOsgiService.shutdownAll();
```

# 26. Extending Hazelcast

This chapter describes the different possibilities to extend Hazelcast with additional services or features.

### 26.1. OperationParker

**OperationParker** is an interface offered by SPI for the objects, such as Lock and Semaphore, to be used when a thread needs to wait for a lock to be released.

**OperationParker** keeps a list of waiters. For each notify operation:

- it looks for a waiter
- it asks the waiter whether it wants to keep waiting
- if the waiter responds **no**, the service executes its registered operation (operation itself knows where to send a response)
- it rinses and repeats until a waiter wants to keep waiting.

Each waiter can sit on a wait-notify queue for, at most, its operation's call timeout. For example, by default, each waiter can wait here for at most 1 minute. A continuous task scans expired/timed-out waiters and invalidates them with CallTimeoutException. Each waiter on the remote side should retry and keep waiting if it still wants to wait. This is a liveness check for remote waiters.

This way, it is possible to distinguish an unresponsive member and a long (~infinite) wait. On the caller side, if the waiting thread does not get a response for either a call timeout or for more than **2** times the call-timeout, it will exit with <code>OperationTimeoutException</code>.

Note that this behavior breaks the fairness. Hazelcast does not support fairness for any of the data structures with blocking operations, such as Lock and Semaphore.

# 26.2. Discovery SPI

By default, Hazelcast is bundled with multiple ways to define and find other members in the same network. Commonly used, especially with development, is the Multicast discovery. This sends out a multicast request to a network segment and awaits other members to answer with their IP addresses. In addition, Hazelcast supports fixed IP addresses: jclouds® or AWS (Amazon EC2) based discoveries.

Since there is an ever growing number of public and private cloud environments, as well as numerous Service Discovery systems in the wild, Hazelcast provides cloud or service discovery vendors with the option to implement their own discovery strategy.

Over the course of this section, we will build a simple discovery strategy based on the /etc/hosts file.

### 26.2.1. Discovery SPI Interfaces and Classes

The Hazelcast Discovery SPI (Member Discovery Extensions) consists of multiple interfaces and abstract classes. In the following subsections, we will have a quick look at all of them and shortly introduce the idea and usage behind them. The example will follow in the next section, Discovery Strategy.

#### DiscoveryStrategy: Implement

The com.hazelcast.spi.discovery.DiscoveryStrategy interface is the main entry point for vendors to implement their corresponding member discovery strategies. Its main purpose is to return discovered members on request. The com.hazelcast.spi.discovery.DiscoveryStrategy interface also offers light lifecycle capabilities for setup and teardown logic (for example, opening or closing sockets or REST API clients).

**DiscoveryStrategys** can also do automatic registration / de-registration on service discovery systems if necessary. You can use the provided **DiscoveryNode** that is passed to the factory method to retrieve local addresses and ports, as well as metadata.

#### AbstractDiscoveryStrategy: Abstract Class

The com.hazelcast.spi.discovery.AbstractDiscoveryStrategy is a convenience abstract class meant to ease the implementation of strategies. It basically provides additional support for reading / resolving configuration properties and empty implementations of lifecycle methods if unnecessary.

## DiscoveryStrategyFactory: Factory Contract

The com.hazelcast.spi.discovery.DiscoveryStrategyFactory interface describes the factory contract that creates a certain DiscoveryStrategy.DiscoveryStrategyFactory s are registered automatically at startup of a Hazelcast member or client whenever they are found in the classpath. For automatic discovery, factories need to announce themselves as SPI services using a resource file according to the Java Service Provider Interface. The service registration file must be part of the JAR file, located under META-INF/services/com.hazelcast.spi.discovery.DiscoveryStrategyFactory, and consist of a line with the full canonical class name of the DiscoveryStrategy per provided strategy implementation.

#### DiscoveryNode: Describe a Member

The com.hazelcast.spi.discovery.DiscoveryNode abstract class describes a member in the Discovery SPI. It is used for multiple purposes, since it will be returned from strategies for discovered members. It is also passed to DiscoveryStrategyFactorys factory method to define the local member itself if created on a Hazelcast member; on Hazelcast clients, null is passed.

## SimpleDiscoveryNode: Default DiscoveryNode

com.hazelcast.spi.discovery.SimpleDiscoveryNode is a default implementation of the DiscoveryNode. It is meant for convenience use of the Discovery SPI and can be returned from vendor implementations if no special needs are required.

#### **NodeFilter: Filter Members**

You can configure com.hazelcast.spi.discovery.NodeFilter before startup and you can implement logic to do additional filtering of members. This might be necessary if query languages for discovery strategies are not expressive enough to describe members or to overcome inefficiencies of strategy implementations.



The DiscoveryStrategy vendor does not need to take possibly configured filters into account as their use is transparent to the strategies.

#### **DiscoveryService: Support In Integrator Systems**

A com.hazelcast.spi.discovery.integration.DiscoveryService is part of the integration domain. DiscoveryStrategy vendors do not need to implement DiscoveryService because it is meant to support the Discovery SPI in situations where vendors integrate Hazelcast into their own systems or frameworks. Certain needs might be necessary as part of the classloading or Java Service Provider Interface lookup.

#### DiscoveryServiceProvider: Provide a DiscoveryService

Use the com.hazelcast.spi.discovery.integration.DiscoveryServiceProvider to provide a DiscoveryService to the Hazelcast discovery subsystem. Configure the provider with the Hazelcast configuration API.

#### DiscoveryServiceSettings: Configure DiscoveryService

A com.hazelcast.spi.discovery.integration.DiscoveryServiceSettings instance is passed to the DiscoveryServiceProvider at creation time to configure the DiscoveryService.

#### DiscoveryMode: Member or Client

The com.hazelcast.spi.discovery.integration.DiscoveryMode enum tells if a created DiscoveryService is running on a Hazelcast member or client to change the behavior accordingly.

## 26.2.2. Discovery Strategy

This subsection walks through the implementation of a simple DiscoveryStrategy and its necessary setup.

#### **Discovery Strategy Example**

The example strategy uses the local /etc/hosts (and on Windows it uses the equivalent to the \*nix hosts file named %SystemRoot%\system32\drivers\etc\hosts) to lookup IP addresses of different hosts. The strategy implementation expects hosts to be configured with hostname sub-groups under the same domain. So far to theory, let's get into it.

The full example's source code can be found here.

#### **Configuring Site Domain**

As a first step we do some basic configuration setup. We want the user to be able to configure the site domain for the discovery inside the hosts file, therefore we define a configuration property called site-domain. The configuration is not optional: you need to configure it before the creation of the HazelcastInstance, either via Hazelcast's declarative or programmatic configuration.

It is recommended that you keep all defined properties in a separate configuration class as public constants (public static final) with sufficient documentation. This allows users to easily look up possible configuration values.

```
public final class HostsDiscoveryConfiguration {
    public static final PropertyDefinition DOMAIN = new SimplePropertyDefinition(
    "site-domain", PropertyTypeConverter.STRING);
    private HostsDiscoveryConfiguration() {
    }
}
```

An additional ValueValidator could be passed to the definition to make sure the configured value looks like a domain or has a special format.

#### **Creating Discovery**

As the second step we create the very simple **DiscoveryStrategyFactory** implementation class. To keep things clear we are going to name the discovery strategy after its purpose: looking into the hosts file.

```
public class HostsDiscoveryStrategyFactory implements DiscoveryStrategyFactory {
    private static final Collection<PropertyDefinition> PROPERTIES = singletonList
(HostsDiscoveryConfiguration.DOMAIN);
    @Override
    public Class<? extends DiscoveryStrategy> getDiscoveryStrategyType() {
        return HostsDiscoveryStrategy.class;
    }
    @Override
    public DiscoveryStrategy newDiscoveryStrategy(DiscoveryNode discoveryNode, ILogger
logger, Map<String, Comparable> properties) {
        return new HostsDiscoveryStrategy(logger, properties);
    }
    @Override
    public Collection<PropertyDefinition> getConfigurationProperties() {
        return PROPERTIES;
    }
}
```

This factory now defines properties known to the discovery strategy implementation and provides a clean way to instantiate it. While creating the HostsDiscoveryStrategy we ignore the passed DiscoveryNode since this strategy does not support automatic registration of new members. In cases where the strategy does not support registration, the environment has to handle this in some provided way.



Remember that, when created on a Hazelcast client, the provided DiscoveryNode is null, as there is no local member in existence.

Next, we register the DiscoveryStrategyFactory to make Hazelcast pick it up automatically at startup. As described earlier, this is done according to the Java Service Provider Interface specification. The filename is the name of the interface itself. Therefore we create a new resource file called com.hazelcast.spi.discovery.DiscoveryStrategyFactory and place it under META-INF/services. The content is the full canonical class name of our factory implementation.

com.hazelcast.examples.spi.discovery.HostsDiscoveryStrategyFactory

If our JAR file contains multiple factories, each consecutive line can define another full canonical DiscoveryStrategyFactory implementation class name.

#### **Implementing Discovery Strategy**

Now comes the interesting part. We are going to implement the discovery itself. The previous parts we did are normally pretty similar for all strategies aside from the configuration properties itself. However, implementing the discovery heavily depends on the way the strategy has to come up with IP addresses of other Hazelcast members.

#### Extending The AbstractDiscoveryStrategy

For ease of implementation, we back our implementation by extending the AbstractDiscoveryStrategy and only implementing the absolute minimum ourselves.

```
public class HostsDiscoveryStrategy extends AbstractDiscoveryStrategy {
    private static final String HOSTS NIX = "/etc/hosts";
    private static final String HOSTS_WINDOWS = "%SystemRoot%\\system32\\drivers\\
etc\\hosts";
    private final String siteDomain;
    HostsDiscoveryStrategy(ILogger logger, Map<String, Comparable> properties) {
        super(logger, properties);
        this.siteDomain = getOrNull("discovery.hosts", HostsDiscoveryConfiguration
.DOMAIN);
   }
    @Override
    public Iterable<DiscoveryNode> discoverNodes() {
        List<String> assignments = filterHosts();
        return mapToDiscoveryNodes(assignments);
    }
    private List<String> filterHosts() {
```

```
String os = System.getProperty("os.name");
        String hostsPath;
        if (os.contains("Windows")) {
            hostsPath = HOSTS_WINDOWS;
        } else {
            hostsPath = HOSTS_NIX;
        }
        File hosts = new File(hostsPath);
        List<String> lines = readLines(hosts);
        List<String> assignments = new ArrayList<String>();
        for (String line : lines) {
            if (matchesDomain(line)) {
                assignments.add(line);
            }
        }
        return assignments;
    }
    private Iterable<DiscoveryNode> mapToDiscoveryNodes(List<String> assignments) {
        Collection<DiscoveryNode> discoveredNodes = new ArrayList<DiscoveryNode>();
        for (String assignment : assignments) {
            String address = sliceAddress(assignment);
            String hostname = sliceHostname(assignment);
            Map<String, String> attributes = Collections.singletonMap("hostname",
hostname);
            InetAddress inetAddress = mapToInetAddress(address);
            Address addr = new Address(inetAddress, NetworkConfig.DEFAULT_PORT);
            discoveredNodes.add(new SimpleDiscoveryNode(addr, attributes));
        }
        return discoveredNodes;
    }
    private List<String> readLines(File hosts) {
        try {
            List<String> lines = new ArrayList<String>();
            BufferedReader reader = new BufferedReader(new FileReader(hosts));
            String line;
            while ((line = reader.readLine()) != null) {
                line = line.trim();
                if (!line.startsWith("#")) {
```

```
lines.add(line.trim());
                }
            }
            return lines;
        } catch (IOException e) {
            throw new RuntimeException("Could not read hosts file", e);
        }
    }
    private boolean matchesDomain(String line) {
        if (line.isEmpty()) {
            return false;
        }
        String hostname = sliceHostname(line);
        return hostname.endsWith("." + siteDomain);
    }
    private String sliceAddress(String assignment) {
        String[] tokens = assignment.split("\\p{javaSpaceChar}+");
        if (tokens.length < 1) {</pre>
            throw new RuntimeException("Could not find ip address in " + assignment);
        }
        return tokens[0];
    }
    private static String sliceHostname(String assignment) {
        String[] tokens = assignment.split("(\\p{javaSpaceChar}+|\t+)+");
        if (tokens.length < 2) {</pre>
            throw new RuntimeException("Could not find hostname in " + assignment);
        }
        return tokens[1];
    }
    private InetAddress mapToInetAddress(String address) {
        try {
            return InetAddress.getByName(address);
        } catch (UnknownHostException e) {
            throw new RuntimeException("Could not resolve ip address", e);
        }
    }
}
```

## **Overriding Discovery Configuration**

So far our implementation retrieves the configuration property for the site-domain. Our implementation offers the option to override the value from the configuration (declarative or programmatic) right from the system environment or JVM properties. That can be useful when the hazelcast.xml defines a setup for an developer system (like cluster.local) and operations wants to override it for the real deployment. By providing a prefix (in this case discovery.hosts) we created

an external property named discovery.hosts.site-domain which can be set as an environment variable or passed as a JVM property from the startup script.

The lookup priority is explained in the following list, priority is from top to bottom:

- JVM properties (or under the properties element in hazelcast.xml)
- System environment
- Configuration properties

#### Implementing Lookup

Since we have the value for our property now, we can implement the actual lookup and mapping as already prepared in the discoverNodes method. The following part is very specific to this special discovery strategy; for completeness we are showing it anyways.

```
private static final String HOSTS_NIX = "/etc/hosts";
private static final String HOSTS_WINDOWS =
                   "%SystemRoot%\\system32\\drivers\\etc\\hosts";
private List<String> filterHosts() {
    String os = System.getProperty( "os.name" );
    String hostsPath;
    if ( os.contains( "Windows" ) ) {
       hostsPath = HOSTS_WINDOWS;
    } else {
    hostsPath = HOSTS_NIX;
    }
   File hosts = new File( hostsPath );
    // Read all lines
   List<String> lines = readLines( hosts );
   List<String> assignments = new ArrayList<String>();
    for ( String line : lines ) {
       // Example:
       // 192.168.0.1 host1.cluster.local
        if ( matchesDomain( line ) ) {
            assignments.add( line );
        }
    }
    return assignments;
}
```

## Mapping to DiscoveryNode

After we have collected the address assignments configured in the hosts file, we can go to the final step and map those to the **DiscoveryNodes** to return them from our strategy.

```
private Iterable<DiscoveryNode> mapToDiscoveryNodes( List<String> assignments ) {
   Collection<DiscoveryNode> discoveredNodes = new ArrayList<DiscoveryNode>();
   for ( String assignment : assignments ) {
      String address = sliceAddress( assignment );
      String hostname = sliceHostname( assignment );
      Map<String, Object> attributes =
        Collections.singletonMap( "hostname", hostname );
      InetAddress inetAddress = mapToInetAddress( address );
      Address addr = new Address( inetAddress, NetworkConfig.DEFAULT_PORT );
      discoveredNodes.add( new SimpleDiscoveryNode( addr, attributes ) );
   }
   return discoveredNodes;
}
```

With that mapping, we now have a full discovery, executed whenever Hazelcast asks for IPs. So why don't we read them in once and cache them? The answer is simple: it might happen that members go down or come up over time. Since we expect the hosts file to be injected into the running container, it also might change over time. We want to get the latest available members, therefore we read the file on request.

#### **Configuring DiscoveryStrategy**

To actually use the new DiscoveryStrategy implementation we need to configure it like in the following example:

```
<hazelcast>
    . . .
    <!-- activate Discovery SPI -->
    <properties>
        <property name="hazelcast.discovery.enabled">true</property></property>
    </properties>
    <network>
        <join>
            <!-- deactivating other discoveries -->
            <multicast enabled="false"/>
            <tcp-ip enabled="false" />
            <aws enabled="false"/>
            <!-- activate our discovery strategy -->
            <discovery-strategies>
                 <!-- class equals to the DiscoveryStrategy not the factory! -->
                 <discovery-strategy enabled="true"</pre>
                     class="
com.hazelcast.examples.spi.discovery.HostsDiscoveryStrategy">
                     <properties>
                         <property name="site-domain">cluster.local</property></pro>
                     </properties>
                 </discovery-strategy>
            </discovery-strategies>
        </ioin>
    </network>
    . . .
</hazelcast>
```

To find out further details, please have a look at the Discovery SPI Javadoc.

# 26.2.3. DiscoveryService (Framework integration)

Since the **DiscoveryStrategy** is meant for cloud vendors or implementors of service discovery systems, the DiscoveryService is meant for integrators. In this case, integrators mean people integrating Hazelcast into their own systems or frameworks. In those situations, there may be special requirements on how to lookup framework services like the discovery strategies or similar services. Integrators can extend or implement their own DiscoveryService and DiscoveryServiceProvider and inject them using the com.hazelcast.config.DiscoveryConfig configuration API prior to instantiating the HazelcastInstance. In any case, integrators might have to remember that a DiscoveryService might have to change behavior based on the runtime environment (Hazelcast member or client) and then the DiscoveryServiceSettings should provide information about the started HazelcastInstance.

Since the implementation heavily depends on one's needs, there is no reason to provide an example of how to implement your own DiscoveryService. However, Hazelcast provides a default implementation which can be a good example to get started. This default implementation is com.hazelcast.spi.discovery.impl.DefaultDiscoveryService.

# 26.3. Config Properties SPI

The Config Properties SPI is an easy way that you can configure SPI plugins using a prebuilt system of automatic conversion and validation.

# 26.3.1. Config Properties SPI Classes

The Config Properties SPI consists of a small set of classes and provided implementations.

## PropertyDefinition: Define a Single Property

The com.hazelcast.config.properties.PropertyDefinition interface defines a single property inside a given configuration. It consists of a key string and type (in form of a com.hazelcast.core.TypeConverter).

You can mark properties as optional and you can have an additional validation step to make sure the provided value matches certain rules (like port numbers must be between 0-65535 or similar).

## SimplePropertyDefinition: Basic PropertyDefinition

For convenience, the com.hazelcast.config.properties.SimplePropertyDefinition class is provided. This class is a basic implementation of the PropertyDefinition interface and should be enough for most situations. In case of additional needs, you are free to provide your own implementation of the PropertyDefinition interface.

## PropertyTypeConverter: Set of TypeConverters

The com.hazelcast.config.properties.PropertyTypeConverter enum provides a preset of TypeConverters as listed below:

- String
- Short
- Integer
- Long
- Float
- Double
- Boolean

## ValueValidator and ValidationException

The com.hazelcast.config.properties.ValueValidator interface implements additional value validation. The configured value will be validated before it is returned to the requester. If validation fails, a com.hazelcast.config.properties.ValidationException is thrown and the requester has to handle it or throw the exception further.

# 26.3.2. Config Properties SPI Example

This sub-section shows a quick example of how to setup, configure and use the Config Properties SPI.

## Defining a Config PropertyDefinition

Defining a property is as easy as giving it a name and a type.

```
PropertyDefinition property = new SimplePropertyDefinition(
    "my-key", PropertyTypeConverter.STRING
);
```

We defined a property named my-key with a type of a string. If none of the predefined TypeConverters matches the need, users are free to provide their own implementation.

## Providing a value in XML

The above property is now configurable in two ways:

```
<!-- option 1 -->
<my-key>value</my-key>
<!-- option 2 -->
<property name="my-key">value</property>
```



In any case, both options are useable interchangeably, however the later version is recommended by Hazelcast for schema applicability.

## **Retrieving a PropertyDefinition Value**

To eventually retrieve a value, use the PropertyDefinition to get and convert the value automatically.

# **27. Hazelcast Plugins**

This chapter describes the plugins using which you can extend Hazelcast IMDG's functionalities.

# 27.1. Cloud Discovery Plugins

Hazelcast provides the following plugins that allow Hazelcast cluster members to discover each other on the cloud platforms. Cloud discovery plugins are useful when you do not want to provide or you cannot provide the list of possible IP addresses on various cloud providers.

# 27.1.1. Hazelcast jclouds®

Apache jclouds<sup>®</sup> is an open source multi-cloud library for the Java platform which lets you create applications that are portable across clouds and gives you the full control to use cloud-specific features. Hazelcast members and native clients support Apache jclouds<sup>®</sup> for discovery.

You can configure your cluster to use jclouds<sup>®</sup> discovery by adding hazelcast-jclouds.jar dependency to your project and enabling Hazelcast's Discovery SPI. Since jclouds<sup>®</sup> depends on various libraries, you also need to configure its dependencies using build automation tools like Maven. Note that you can also define multiple regions in your jclouds<sup>®</sup> configuration; the members can find each other over a different region.

See Hazelcast jclouds® plugin's documentation for more information.

# 27.1.2. Hazelcast AWS

AWS is a comprehensive cloud computing platform provided by Amazon. Hazelcast supports discovering members within Amazon EC2 cloud using Hazelcast AWS cloud discovery plugin.

You can easily configure your cluster to use EC2 discovery by adding hazelcast-aws.jar dependency to your project and enabling Hazelcast's Discovery SPI. This plugin does not depend on any other third party modules. Note that this plugin puts the zone information into the Hazelcast's member attributes map during the discovery process; you can use its ZONE\_AWARE configuration to create backups in other Availability Zones (AZ). Each zone is accepted as one partition group. Note that, when using the ZONE\_AWARE partition grouping, a Hazelcast cluster spanning multiple AZs should have an equal number of members in each AZ. Otherwise, it results in an uneven partition distribution among the members.

See Hazelcast AWS plugin's documentation for more information.

# 27.1.3. Hazelcast GCP

Hazelcast supports discovering members in the GCP Compute Engine environment.

You can easily configure Hazelcast members discovery, WAN replication and Hazelcast clients to work seamlessly on the native GCP VM Instances. This plugin supports ZONE\_AWARE configuration to create backups in separate zones to prevent data loss in the case of a zone outage. This plugin also supports discovering a Hazelcast cluster deployed on GCP by the Hazelcast client running outside of

the GCP infrastructure.

See Hazelcast GCP plugin's documentation for more information.

# 27.1.4. Hazelcast Azure

Microsoft Azure is a cloud computing service provided by Microsoft for managing applications through a global network of Microsoft-managed data centers. Hazelcast Azure plugin provides a discovery strategy for Hazelcast enabled applications running on Microsoft Azure. It provides all Hazelcast instances by returning VMs within your Azure resource group that are tagged with a specified value.

To use this plugin in your Java project, simply add the Azure dependency to your Maven or Gradle configurations and enable Hazelcast's Discovery SPI. Then you need to configure a couple of properties at both Hazelcast and Azure sides.

See Hazelcast Azure plugin's documentation for more information.

# 27.1.5. Hazelcast Consul

Consul is a distributed service mesh to connect, secure and configure services across any public or private cloud platforms. This plugin provides a Consul based discovery strategy for Hazelcast clusters.

You can add the Consul dependency to your Maven or Gradle configurations and enable Hazelcast's Discovery SPI to use this plugin. You can then start Consul in your network and set the Consul related properties in your Hazelcast configuration.

See Hazelcast Consul plugin's documentation for more information.

# 27.1.6. Hazelcast etcd

etcd is an open-source distributed key value store that provides shared configuration and service discovery for Container Linux clusters. This plugin enables the Hazelcast members to dynamically discover each other through etcd.

Add the etcd dependency to either your Maven or Gradle configurations and enable Hazelcast's Discovery SPI. Then start etcd in your network and set the etcd related properties (such username, password and registrator) in your Hazelcast configuration.

See Hazelcast etcd plugin's documentation for more information.

# 27.1.7. Hazelcast Eureka

Eureka is a REST based service that is primarily used in the AWS cloud to for load balancing and failover of middle-tier servers, and Hazelcast supports Eureka V1 discovery.

To use this plugin, add the hazelcast-eureka-one.jar dependency to your project and enable Hazelcast's Discovery SPI. You also need to specify the Eureka properties file.

See Hazelcast Eureka plugin's documentation for more information.

# 27.1.8. Hazelcast IMDG for PCF

Pivotal Cloud Foundry(PCF) is an open source cloud platform on which you can build, deploy, run and scale applications. You can deploy your Hazelcast IMDG Enterprise clusters on PCF using clickable tiles.

After you install and configure Hazelcast IMDG Enterprise, you can create services, and configure WAN replications, user code deployments and TLS.

See Hazelcast IMDG Enterprise for PCF documentation for more information.

# 27.1.9. Hazelcast OpenShift

OpenShift is an open source container application platform by Red Hat based on top of Docker containers and the Kubernetes container cluster manager for application development and deployment. Hazelcast can run inside OpenShift.

You can use Kubernetes for discovery of Hazelcast members. By using Hazelcast Docker images, templates and default configuration files, you can deploy Hazelcast IMDG, Hazelcast IMDG Enterprise and Management Center onto OpenShift.

See Hazelcast IMDG for OpenShift documentation and Hazelcast Management Center for OpenShift documentation for more information.

# 27.1.10. Hazelcast Heroku

Heroku is a cloud platform as a service supporting several programming languages so that you can build, run and operate applications entirely in the cloud. This plugin offers a discovery strategy that looks for IP addresses of members by resolving service names against the Heroku DNS Discovery in Heroku Private Spaces.

You can use this plugin by adding the hazelcast-heroku-dependency to your Maven or Gradle configurations and enabling Hazelcast's Discovery SPI. By default there is no configuration needed, but you can configure the service names or initial run delay for the merge after a Split-Brain.

See Hazelcast Heroku plugin's documentation for more information.

# 27.1.11. Hazelcast Kubernetes

Kubernetes is an open source container orchestration system to automate deployment, scaling and management of containerized applications. This plugin looks up the IP addresses of Hazelcast members by resolving the requests against a Kubernetes Service Discovery system. It supports two different options of resolving against the discovery registry: a request to the REST API and DNS lookup against a given DNS service name.

To use this plugin, add the hazelcast-kubernetes dependency to your Maven or Gradle configurations and enable Hazelcast's Discovery SPI. You need to configure Hazelcast according to the option you want the plugin to use, i.e., REST API or DNS lookup.

See Hazelcast Kubernetes plugin's documentation for more information.

# 27.1.12. Hazelcast Zookeeper

Zookeeper by Apache is a centralized service to maintain configuration information, naming, and to provide distributed synchronization and group services. This plugin provides a service based discovery strategy for your Hazelcast applications by using Apache Curator to communicate with your Zookeeper server.

To use this plugin, add the Curator dependencies to your Maven or Gradle configurations and enable Hazelcast's Discovery SPI. Thereafter, you need to configure properties such as the URL of Zookeeper server and cluster ID.

See Hazelcast Zookeeper plugin's documentation for more information.

# **27.2. Integration Plugins**

Hazelcast provides the following integration plugins that allow Hazelcast to integrate with other frameworks and applications smoothly.

# 27.2.1. Spring Data Hazelcast

Spring Data provides a consistent, Spring-based programming model for data access while preserving the features of the underlying data store. This plugin provides Spring Data repository support for Hazelcast IMDG. This integration enables the Spring Data paradigm to gain the power of a distributed data repository.

To use this plugin, add the Spring Data dependency to your Maven or Gradle configurations and specify the base packages and repositories.

See Spring Data Hazelcast plugin's documentation for more information.

# 27.2.2. Spring Integration Extension for Hazelcast

This plugin provides Spring Integration extensions for Hazelcast. These extensions are included but limited to the following:

- Event-driven inbound channel adapter: Listens related Hazelcast data structure events and sends event messages to the defined channel.
- Continuous query inbound channel adapter: Listens the modifications performed on specific map entries.
- Cluster monitor inbound channel adapter: Listen the modifications performed on the cluster.
- Distributed SQL inbound channel adapter: Runs the defined distributed SQL and returns the results in the light of iteration type.
- Outbound channel adapter: Listens the defined channel and writes the incoming messages to the related distributed data structure.
- Leader election: Elects a cluster member, for example, for highly available message consumer

where only one member should receive messages.

See Spring Integration Extension for Hazelcast documentation for more information.

# 27.2.3. Hazelcast JCA Resource Adapter

Hazelcast JCA Resource Adapter is a system-level software driver which can be used by a Java application to connect to an Hazelcast cluster. Using this adapter, you can integrate Hazelcast into Java EE containers. After a proper configuration, Hazelcast can participate in standard Java EE transactions.

Deploying and configuring the Hazelcast JCA Resource Adapter is not different than configuring any other resource adapters since it is a standard JCA one. However, resource adapter installation and configuration is container-specific, so you need to consult with your Java EE vendor documentation for details.

See Hazelcast JCA Resource Adapter documentation for information on configuring the resource adapter, Glassfish applications and JBoss web applications.

#### Integrating with MuleSoft

Hazelcast is embedded within a MuleSoft container as an out-of-the-box offering. For a proper integration you should edit the mule-deploy.properties file to have the following entry:

loader.override=com.hazelcast

# 27.2.4. Hazelcast Grails

Grails is an open source web application framework that uses the Apache Groovy programming language. This plugin integrates Hazelcast data distribution framework into your Grails application. You can reach the distributed data structures by injecting the HazelService. Also you can cache your domain class into Hazelcast distributed cache.

See Hazelcast Grails plugin's documentation and this blogpost for more information.

# 27.2.5. Hazelcast Hibernate 2LC

Hibernate is an object-relational mapping tool for the Java programming language. It provides a framework for mapping an object-oriented domain model to a relational database and enables developers to more easily write applications whose data outlives the application process. This plugin provides Hazelcast's own distributed second level cache implementation for your Hibernate (versions 3, 4 and 5) entities, collections and queries.

To use this plugin, add the Hazelcast Hibernate dependency into your classpath depending on your Hibernate version. Then you need to specify various properties in your Hibernate configuration such as the RegionFactory and query cache properties.

See the documentation of this plugin for Hibernate 3.x, 4.x and for Hibernate 5.x.

# 27.2.6. Hazelcast DynaCache

DynaCache by IBM is used to store objects, and later, based on some data matching rules, to retrieve those objects and serve them from its cache. This plugin is for Liberty Profile which is a lightweight profile of IBM WebSphere Application Server.

In the Liberty Profile, you can use a dynamic cache engine in order to cache your data. With this plugin, you can use Hazelcast as a cache provider.

See Hazelcast DynaCache plugin's documentation for more information.

# 27.2.7. Hazelcast Connector for Kafka

This plugin allows you to write events from Kafka to HazelCast. It takes the value from the Kafka Connect SinkRecords and inserts/updates an entry in Hazelcast. It supports writing to Hazelcast distributed data structures including Reliable Topic, Ringbuffer, Queue, Set, List, Map, MultiMap and ICache (Hazelcast's JCache extension).

See the plugin's documentation for more information.

# 27.2.8. Openfire

Openfire is an open source real time collaboration server. It uses XMPP which is an open protocol for instant messaging. This plugin adds support for running multiple redundant Openfire servers together in a cluster.

By running Openfire as a cluster, you can distribute the connection load among several servers, while also providing failover in the event of failures.

See the plugin's documentation for more information.

## 27.2.9. SubZero

Kryo is a popular serialization library. It is fast, easy to use, and it does not pollute your domain model. It can even serialize classes which are not marked as Serializable.

Hazelcast has no out-of-the box support for Kryo. Although it is rather easy to integrate it, everyone has to write the same code and face the same bugs. This plugin, SubZero, simplifies the integration of Hazelcast and Kryo. Simply add SubZero dependency to your Maven or Gradle configurations, and add the SubZero plugin as a global serializer (if you want to use it for all classes in your project) or as a serializer (to have the option of selecting the classes in your project).

See the plugin's documentation for more information.

# **27.3. Web Sessions Clustering Plugins**

Hazelcast offers the following plugins to allow you cluster your web sessions using Servlet Filter, Tomcat and Jetty based solutions.

# 27.3.1. Filter Based Web Session Replication

This plugin (a.k.a. Generic Web Session Replication) provides HTTP session replication capabilities across a Hazelcast cluster in order to handle failover cases. Assuming you have multiple web servers with load balancers; if one server goes down, your users on that server are directed to one of the other live servers, but their sessions are not lost. Using this plugin backs up these HTTP sessions; it clusters them automatically. To use it, put the hazelcast-wm JAR file into your WEB-INF/lib folder and configure your web.xml file according to your needs.

See the plugin's documentation for information on configuring and using it.

See also the example application which uses filter based web session replication.

Note that filter based web session replication has the option to use a map with High-Density Memory Store, is available in **Hazelcast IMDG Enterprise HD**, to keep your session objects. See the High-Density Memory Store section for details on this feature.

# 27.3.2. Tomcat Based Web Session Replication

Tomcat based web session replication is offered through Hazelcast Tomcat Session Manager. It is a container specific module that enables session replication for JEE Web Applications without requiring changes to the application.

See the plugin's documentation for information on configuring and using it.

See also the example application which uses Tomcat based web session replication.

# 27.3.3. Jetty Based Web Session Replication

Jetty based web session replication is offered through Hazelcast Jetty Session Manager. It is a container specific module that enables session replication for JEE Web Applications without requiring changes to the application.

See the plugin's documentation for information on configuring and using it.

See also the example application which uses Jetty based web session replication.

# 27.4. Big Data Plugins

Hazelcast offers integrations with Apache Spark and Apache Mesos.

Apache Spark is an open source cluster-computing platform which has become one of the key big data distributed processing frameworks. There is a Spark connector for Hazelcast which allows your Spark applications to connect to a Hazelcast cluster with the Spark RDD API. See this integration's documentation for information on configuring and using it.

Apache Mesos is an open source cluster manager that handles workloads efficiently in a distributed environment through dynamic resource sharing and isolation; you can run any distributed application that requires clustered resources. It is widely used to manage big data infrastructures. Hazelcast Mesos integration gives you the ability to deploy Hazelcast on the Mesos cluster. See this

# 28. Consistency and Replication Model

# 28.1. A Brief Overview of Consistency and Replication in Distributed Systems

Partitioning and replication are the two common techniques used together in distributed databases to achieve scalable, available and transparent data distribution. The data space is divided into partitions, each of which contains a distinct portion of the overall data set. For these partitions, multiple copies called replicas are created. Partition replicas are distributed among the cluster members. Each member is assigned to at most a single replica for a partition. In this setting, different replication techniques can be used to access the data and keep the replicas in sync on updates. The technique being used directly affects the guarantees and properties a distributed data store provides, due to the CAP (**C**onsistency, **A**vailability and **P**artition Tolerance) principle.

One aspect of replication techniques is about where a replicated data set is accessed and updated. For instance, primary-copy systems first elect a replica, which can be called as primary, master, etc., and use that replica to access the data. Changes in the data on the primary replica are propagated to other replicas. This approach has different namings, such as *primary-copy*, *single-master*, *passive replication*. The primary-copy technique is a powerful model as it prevents conflicts, deadlocks among the replicas. However, primary replicas can become bottlenecks. On the other hand, we can have a different technique by eliminating the primary-copy and treating each replica as equal. These systems can achieve a higher level of availability as a data entry can be accessed and updated using any replica. However, it can become more difficult to keep the replicas in sync with each other.

Replication techniques also differ in how updates are propagated among replicas. One option is to update each replica as part of a single atomic transaction, called as *eager replication* or *synchronous replication*. Consensus algorithms apply this approach to achieve strong consistency on a replicated data set. The main drawback is the amount of coordination and communication required while running the replication algorithm. CP systems implement consensus algorithms under the hood. Another option is the *lazy replication* technique, which is also called as *asynchronous replication*. Lazy replication algorithms execute updates on replicas with separate transactions. They generally work with best-effort. By this way, the amount of coordination among the replicas are degraded and data can be accessed in a more performant manner. Yet, it can happen that a particular update is executed on some replicas but not on others, which causes replicas to diverge. Such problems can be resolved with different approaches, such as *read-repair*, *write-repair*, *anti-entropy*. Lazy replication techniques are popular among AP systems.

# 28.2. Hazelcast's Replication Algorithm

The discussion here generally applies to any system that maintains multiple copies of a data set. It applies to Hazelcast as well. In the context of CAP principle, **Hazelcast offers AP and CP functionality with different data structure implementations**. Data structures exposed under HazelcastInstance API are all **AP** data structures. Hazelcast also contains a **CP** subsystem, built on

the Raft consensus algorithm and accessed via HazelcastInstance.getCPSubsytem() which provides CP data structures and APIs.



The replication algorithm and consistency model explained below apply to AP data structures only. For CP subsystem and CP data structures, see the CP Subsystem section.

For AP data structures, Hazelcast employs the combination of primary-copy and configurable lazy replication techniques. As briefly described in the Data Partitioning section, each data entry is mapped to a single Hazelcast partition and put into replicas of that partition. One of the replicas is elected as the primary replica, which is responsible for performing operations on that partition. When you read or write a map entry, you transparently talk to the Hazelcast member to which primary replica of the corresponding partition is assigned. By this way, each request hits the most up-to-date version of a particular data entry in a stable cluster. Backup replicas stay in standby mode until the primary replica fails. Upon failure of the primary replica, one of the backup replicas is promoted to the primary role.

With *lazy replication*, when the primary replica receives an update operation for a key, it executes the update locally and propagates it to backup replicas. It marks each update with a logical timestamp so that backups apply them in the correct order and converge to the same state with the primary. Backup replicas can be used to scale reads (see the Enabling Backup Reads section) with no strong consistency but monotonic reads guarantee.

Hazelcast offers features such as *SplitBrainProtection, ILock* and *AtomicLong.* In the journey of being a highly elastic, dynamic and easy to use product, Hazelcast tries to provide best-effort consistency guarantees without being a complete CP solution. Therefore, we recommend these features to be used for efficiency purposes in general, instead of correctness. For instance, they can be used to prevent to run a resource-extensive computation multiple times, which would not create any correctness problem if runs more than once. See the Best-Effort Consistency and Network Partitioning sections for more information.

# 28.2.1. Best-Effort Consistency

Hazelcast's replication technique enables Hazelcast clusters to offer high throughput. However, due to temporary situations in the system, such as network interruption, backup replicas can miss some updates and diverge from the primary. Backup replicas can also hit VM or long GC pauses, and fall behind the primary, which is a situation called as *replication lag*. If a Hazelcast partition primary replica member crashes while there is a replication lag between itself and the backups, strong consistency of the data can be lost.

Please note that CP systems can have similar problems as well. However, in a CP system, once a replica performs an update locally (i.e., *commits* the update), the underlying consensus algorithm guarantees durability of the update for the rest of the execution.

On the other hand, in AP systems like Hazelcast, a replica can perform an update locally, even if the update is not to be performed on other replicas. This is a fair trade-off to reduce amount of coordination among replicas and maintain high throughput & high availability of the system. These systems employ additional measurements to maintain consistency in a best-effort manner. In this regard, Hazelcast tries to minimize the effect of such scenarios using an active anti-entropy solution

as follows:

- Each Hazelcast member runs a periodic task in the background.
- For each primary replica it is assigned, it creates a summary information and sends it to the backups.
- Then, each backup member compares the summary information with its own data to see if it is up-to-date with the primary.
- If a backup member detects a missing update, it triggers the synchronization process with the primary.

# 28.3. Invocation Lifecycle

When a write is requested with the methods, such as map.put() or queue.offer(), a write operation is submitted to the Hazelcast member that owns the primary replica of the specific partition. Partition of an operation is determined based on a parameter (key of an entry or name of the data structure, etc.) related to that operation depending on the data structure. Target Hazelcast member is figured out by looking up a local partition assignment/ownership table, which is updated on each partition migration and broadcasted to all cluster eventually.

When a Hazelcast member receives a partition specific operation, it executes the operation and propagates it to backup replica(s) with a logical timestamp. Number of backups for each operation depends on the data structure and its configuration. See <u>Threading Model - Operation Threading</u> for threading details.

Two types of backup replication are available: *sync* and *async*. Despite what their names imply, both types are still implementations of the lazy (async) replication model. The only difference between *sync* and *async* is that, the former makes the caller block until backup updates are applied by backup replicas and acknowledgments are sent back to the caller, but the latter is just fire & forget. Number of sync and async backups are defined in the data structure configurations, and you can use a combination of sync and async backups.

When backup updates are propagated, response of the execution including number of sync backup updates is sent to the caller and after receiving the response, caller waits to receive the specified number of sync backup acknowledgements for a predefined timeout. This timeout is 5 seconds by default and defined by the system property hazelcast.operation.backup.timeout.millis (see System Properties appendix).

A backup update can be missed because of a few reasons, such as a stale partition table information on a backup replica member, network interruption, or a member crash. That's why sync backup acks require a timeout to give up. Regardless of being a sync or async backup, if a backup update is missed, the periodically running anti-entropy mechanism detects the inconsistency and synchronizes backup replicas with the primary. Also the graceful shutdown procedure ensures that all backup replicas for partitions whose primary replicas are assigned to the shutting down member will be consistent.

In some cases, although the target member of an invocation is assumed to be alive by the failure detector, the target may not execute the operation or send the response back in time. Network splits, long pauses caused by high load, GC or I/O (disk, network) can be listed as a few possible

reasons. When an invocation doesn't receive any response from the member that owns primary replica, then invocation fails with an OperationTimeoutException. This timeout is 2 minutes by default and defined by the system property hazelcast.operation.call.timeout.millis (see System Properties appendix). When timeout is passed, result of the invocation will be indeterminate.

# 28.4. Exactly-once, At-least-once or At-most-once Execution

Hazelcast, as an AP product, does not provide the exactly-once guarantee. In general, Hazelcast tends to be an at-least-once solution.

In the following failure case, exactly-once guarantee can be broken: When the target member of a pending invocation leaves the cluster while the invocation is waiting for a response, that invocation is re-submitted to its new target due to the new partition table. It can be that, it has already been executed on the leaving member and backup updates are propagated to the backup replicas, but the response is not received by the caller. If that happens, the operation will be executed twice.

In the following failure case, invocation state becomes indeterminate: As explained above, when an invocation does not receive a response in time, invocation fails with an OperationTimeoutException. This exception does not say anything about outcome of the operation, that means operation may not be executed at all, it may be executed once or twice (due to member left case explained above).

# 28.5. IndeterminateOperationStateException

As described in Invocation Lifecycle section, for partition-based **mutating** invocations, such as map.put(), a caller waits with a timeout for the operation that is executed on corresponding partition's primary replica and backup replicas, based on the sync backup configuration of the distributed data structure. Hazelcast 3.9 introduces a new mechanism to detect indeterminate situations while making such invocations. If hazelcast.operation.fail.on.indeterminate.state system property is enabled, a **mutating** invocation throws IndeterminateOperationStateException when it encounters the following cases:

- The operation fails on partition primary replica member with MemberLeftException. In this case, the caller may not determine the status of the operation. It could happen that the primary replica executes the operation, but fails before replicating it to all the required backup replicas. Even if the caller receives backup acks from some backup replicas, it cannot decide if it has received all required ack responses, since it does not know how many acks it should wait for.
- There is at least one missing ack from the backup replicas for the given timeout duration. In this case, the caller knows that the operation is executed on the primary replica, but some backup may have missed it. It could be also a false-positive, if the backup timeout duration is configured with a very small value. However, Hazelcast's active anti-entropy mechanism eventually kicks in and resolves durability of the write on all available backup replicas as long as the primary replica member is alive.

When an invocation fails with IndeterminateOperationStateException, the system does not try to rollback the changes which are executed on healthy replicas. Effect of a failed invocation may be even observed by another caller, if the invocation has succeeded on the primary replica. Hence, this

new behavior does not guarantee linearizability. However, if an invocation completes without IndeterminateOperationStateException when the configuration is enabled, it is guaranteed that the operation has been executed exactly-once on the primary replica and specified number of backup replicas of the partition.

Please note that IndeterminateOperationStateException does not apply to read-only operations, such as map.get(). If a partition primary replica member crashes before replying to a read-only operation, the operation is retried on the new owner of the primary replica.

# 29. Network Partitioning

# 29.1. Split-Brain Syndrome

In general, network partitioning is a network failure that causes the members to split into multiple groups such that a member in a group cannot communicate with members in other groups. In a partition scenario, all sides of the original cluster operate independently assuming members in other sides are failed. Network partitioning is also called as *Split-Brain Syndrome*.

Even though this communication failure is called as *network partitioning*, in practice a process or an entire OS that's suspending/pausing very long can cause communication interruptions. If these interruptions take long enough time to assume that the other side is crashed, the cluster splits into multiple partitions and they start operating independently. That's why any communication failure/interruption long enough can be classified as network partitioning.

Moreover, communication failures don't have to be symmetrical. A network failure can interrupt only one side of the channel or a suspended process/member may not even observe the rest as crashed. That kind of network partitioning can be called as *partial network partitioning*.

# 29.2. Dealing with Network Partitions

Hazelcast handles network partitions using the following solutions:

- Split-brain protection (quorums): Split-brain protection could be used when consistency is the major concern on a network partitioning. It requires a minimum cluster size to keep a particular data structure available. When cluster size is below the defined split-brain protection size, then subsequent operations are rejected with a SplitBrainProtectionException. See the Split-Brain Protection section.
- Split-brain recovery (merge policies): Split-brain recovery is to make data structures available and operational on both sides of a network partition, and merge their data once the network partitioning problem is resolved. See the Split-Brain Recovery section.



Split-brain recovery is also supported for the data structures whose in-memory format is NATIVE.

# 29.3. Split-Brain Protection

Split-brain protection mechanism provided in Hazelcast protects your cluster in case the number of cluster members drops below the specified one. How to respond to a split-brain scenario depends on whether consistency of data or availability of your application is of primary concern. In either case, because a split-brain scenario is caused by a network failure, you must initiate an effort to identify and correct the network failure. Your cluster cannot be brought back to steady state operation until the underlying network failure is fixed. If consistency is your primary concern, you can use Hazelcast's split-brain protection feature.

This feature enables you to specify the minimum cluster size required for operations to occur. This is achieved by defining and configuring a minimum-cluster-size for the cluster. If the cluster size is below this minimum value, the operations are rejected and the rejected operations return a SplitBrainProtectionException to their callers. Additionally, it is possible to configure this size with a user-defined SplitBrainProtectionFunction which is consulted to determine there is no split-brain on each cluster membership change.

Your application continues its operations on the remaining operating cluster. Any application instances connected to the cluster with sizes below the minimum threshold defined by the splitbrain protection configuration receive exceptions which, depending on the programming and monitoring setup, should generate alerts. The key point is that rather than applications continuing in error with stale data, they are prevented from doing so.

Split-brain protection is supported for the following Hazelcast data structures:

- IMap (for Hazelcast 3.5 and higher versions)
- Transactional Map (for Hazelcast 3.5 and higher versions)
- ICache (for Hazelcast 3.5 and higher versions)
- ILock (for Hazelcast 3.8 and higher versions)
- IQueue (for Hazelcast 3.8 and higher versions)
- IExecutorService, DurableExecutorService, IScheduledExecutorService, MultiMap, ISet, IList, Ringbuffer, Replicated Map, Cardinality Estimator, IAtomicLong, IAtomicReference, ISemaphore, ICountdownLatch (for Hazelcast 3.10 and higher versions)

Each data structure to be protected should have the configuration added to it as explained in the Configuring Split-Brain Protection section.

# 29.3.1. Time Window for Split-Brain Protection

Cluster Membership is established and maintained by heartbeats. A network partitioning presents some members as being unreachable. While configurable, it is normally seconds or tens of seconds before the cluster is adjusted to exclude unreachable members. The cluster size is based on the currently understood number of members.

For this reason, there will be a time window between the network partitioning and the application of split-brain protection. Length of this window depends on the failure detector. Given guarantee is, every member eventually detects the failed members and rejects the operation on the data

structure which requires the split-brain protection.

Split-brain protection can be configured with out-of-the-box SplitBrainProtectionFunctions which determine there is no split-brain independently of the cluster membership manager, taking advantage of heartbeat and other failure-detector information configured on Hazelcast members.

For more information, see the Consistency and Replication Model chapter.

# 29.3.2. Configuring Split-Brain Protection

You can set up the split-brain protection configuration using either declarative or programmatic mechanism.

Assume that you have a 7-member Hazelcast Cluster and you want to set the minimum number of four members for the cluster to continue operating. In this case, if a split-brain happens, the subclusters of sizes 1, 2 and 3 are prevented from being used. Only the sub-cluster of four members is allowed to be used.



It is preferable to have an odd-sized initial cluster size to prevent a single network partitioning (split-brain) from creating two equal sized clusters.

## Member Count Split-Brain Protection

This type of split-brain protection function determines the presence of split-brain protection based on the count of members in the cluster, as observed by the local member's cluster membership manager and is available since Hazelcast 3.5. The following are map configurations for the example 7-member cluster scenario described above:

## **Declarative Configuration:**

## **Programmatic Configuration:**

```
SplitBrainProtectionConfig splitBrainProtectionConfig = new
SplitBrainProtectionConfig();
splitBrainProtectionConfig.setName("splitBrainProtectionRuleWithFourMembers")
.setEnabled(true)
.setMinimumClusterSize(4);
MapConfig mapConfig = new MapConfig();
mapConfig.setSplitBrainProtectionName("splitBrainProtectionRuleWithFourMembers");
Config config = new Config();
config.addSplitBrainProtectionConfig(splitBrainProtectionConfig);
config.addMapConfig(mapConfig);
```

#### **Probabilistic Split-Brain Protection Function**

The probabilistic split-brain protection function uses a private instance of Phi Accrual Cluster Failure Detector which is updated with member heartbeats and its parameters can be fine-tuned to determine the count of live members in the cluster, independently of the cluster's membership manager.

This function has the following configuration elements:

- acceptable-heartbeat-pause-millis: Duration in milliseconds corresponding to the number of potentially lost/delayed heartbeats that are accepted before considering it to be an anomaly. This margin is important to be able to survive sudden, occasional, pauses in heartbeat arrivals, due to for example garbage collection or network drops. The value must be in the [heartbeat interval, maximum no heartbeat interval] range, otherwise Hazelcast does not start. Its default value is 60000 milliseconds.
- suspicion-threshold: Threshold for suspicion (φ) level. A low threshold is prone to generate many wrong suspicions but ensures a quick detection in the event of a real crash. Conversely, a high threshold generates fewer mistakes but needs more time to detect actual crashes. Its default value is 10.
- max-sample-size: Number of samples to use for calculation of mean and standard deviation of inter-arrival times. Its default value is 200.
- heartbeat-interval-millis: Bootstrap the stats with heartbeats that corresponds to this duration in milliseconds, with a rather high standard deviation (since environment is unknown in the beginning). Its default value is 5000 milliseconds.
- min-std-deviation-millis: Minimum standard deviation (in milliseconds) to use for the normal distribution used when calculating phi. Too low standard deviation might result in too much sensitivity for sudden, but normal, deviations in heartbeat inter arrival times. Its default value is 100 milliseconds.

#### **Declarative Configuration:**

```
<hazelcast>
....

<
```

## **Programmatic Configuration:**

```
SplitBrainProtectionConfig splitBrainProtectionConfig =
    SplitBrainProtectionConfig.newProbabilisticSplitBrainProtectionConfigBuilder(
"probabilist-splitBrainProtection", 3)
    .withAcceptableHeartbeatPauseMillis(5000)
    .withMaxSampleSize(500)
    .withSuspicionThreshold(10)
    .build();
splitBrainProtectionConfig.setProtectOn(SplitBrainProtectionOn.READ_WRITE);
SetConfig setConfig = new SetConfig("split-brain-protected-set");
setConfig.setSplitBrainProtectionName("probabilist-splitBrainProtection");
Config config = new Config();
config.addSplitBrainProtectionConfig(splitBrainProtectionConfig);
config.addSetConfig(setConfig);
```

## **Recently-Active Split-Brain Protection Function**

This function can be used to implement a more conservative split-brain protection by requiring that a heartbeat has been received from each member within a configurable time window since now.

#### **Declarative Configuration:**

```
<hazelcast>
....

</hazelcast>
....
```

#### **Programmatic Configuration:**

## Split-Brain Protection Configuration Reference

The split-brain protection configuration has the following elements:

- minimum-cluster-size: Minimum number of members required in a cluster for the cluster to remain in an operational state. If the number of members is below the defined minimum at any time, the operations are rejected and the rejected operations return a SplitBrainProtectionException to their callers.
- protect-on: Type of the cluster split-brain protection. Available values are READ, WRITE and READ\_WRITE.
- split-brain-protection-function-class-name: Class name of a SplitBrainProtectionFunction implementation, allows to configure split-brain protection with a custom split-brain protection function. It cannot be used in conjunction with probabilistic-split-brain-protection or recently-active-split-brain-protection.
- **split-brain-protection-listeners**: Declaration of split-brain protection listeners which are notified on split-brain protection status changes.
- probabilistic-split-brain-protection: Configures the split-brain protection with a probabilistic protection function. It cannot be used in conjunction with split-brain-protection-function-

class-name or recently-active-split-brain-protection.

• recently-active-split-brain-protection: Configures the split-brain protection with a recentlyactive protection function. It cannot be used in conjunction with split-brain-protectionfunction-class-name or probabilistic-split-brain-protection.

## Example configuration with custom SplitBrainProtectionFunction implementation

```
package my.domain;
public class CustomSplitBrainProtectionFunction implements
SplitBrainProtectionFunction {
    @Override
    public boolean apply(Collection<Member> members) {
        // implement split-brain detection logic here
        }
    }
```

# 29.3.3. Configuring Split-Brain Protection Listeners

You can register listeners to be notified about the split-brain protection results. Split-brain protection listeners are local to the member where they are registered, so they receive only events that occurred on that local member.

These listeners can be configured via declarative or programmatic configuration. The following examples are such configurations.

## **Declarative Configuration:**

```
<hazelcast>
    . . .
    <split-brain-protection name="splitBrainProtectionRuleWithFourMembers" enabled=</pre>
"true">
        <minimum-cluster-size>4</minimum-cluster-size>
        <split-brain-protection-listeners>
            <split-brain-protection-listener>
               com.company.splitbrainprotection.FourMemberSplitBrainProtectionListener
            </split-brain-protection-listener>
        </split-brain-protection-listeners>
    </split-brain-protection>
    <map name="default">
        <split-brain-protection-ref>splitBrainProtectionRuleWithFourMembers</split-</pre>
brain-protection-ref>
    </map>
    . . .
</hazelcast>
```

**Programmatic Configuration:** 

```
SplitBrainProtectionListenerConfig listenerConfig = new
SplitBrainProtectionListenerConfig();
// You can either directly set SplitBrainProtection listener implementation of your
OWN
listenerConfig.setImplementation(new SplitBrainProtectionListener() {
    @Override
    public void onChange(SplitBrainProtectionEvent splitBrainProtectionEvent) {
        if (splitBrainProtectionEvent.isPresent()) {
            // handle SplitBrainProtection presence
        } else {
            // handle SplitBrainProtection absence
        }
    }
});
// Or you can give the name of the class that implements SplitBrainProtectionListener
interface.
listenerConfig.setClassName("com.company.splitBrainProtection.ThreeMemberSplitBrainPro
tectionListener");
SplitBrainProtectionConfig splitBrainProtectionConfig = new
SplitBrainProtectionConfig();
splitBrainProtectionConfig.setName("splitBrainProtectionRuleWithFourMembers")
                        .setEnabled(true)
                        .setMinimumClusterSize(4)
                        .addListenerConfig(listenerConfig);
MapConfig mapConfig = new MapConfig();
mapConfig.setSplitBrainProtectionName("splitBrainProtectionRuleWithFourMembers");
Config config = new Config();
config.addSplitBrainProtectionConfig(splitBrainProtectionConfig);
config.addMapConfig(mapConfig);
```

# 29.3.4. Querying Split-Brain Protection Results

Split-brain protection service gives you the ability to query split-brain protection results over the SplitBrainProtection instances. These instances let you query the result of a particular split-brain protection.

The following is a SplitBrainProtection interface that you can interact with.

```
/**
 * {@link SplitBrainProtection} provides access to the current status of a split-brain
protection.
 */
public interface SplitBrainProtection {
    /**
    * Returns true if the minimum cluster size is satisfied, otherwise false.
    *
    * @return boolean whether the minimum cluster size property is satisfied
    */
    boolean hasMinimumSize();
}
```

You can retrieve the SplitBrainProtection instance as in the following example.

```
String splitBrainProtectionName = "at-least-one-storage-member";
SplitBrainProtectionConfig splitBrainProtectionConfig = new
SplitBrainProtectionConfig();
splitBrainProtectionConfig.setName(splitBrainProtectionName);
splitBrainProtectionConfig.setEnabled(true);
MapConfig mapConfig = new MapConfig();
mapConfig.setSplitBrainProtectionName(splitBrainProtectionName);
Config config = new Config();
config.addSplitBrainProtectionConfig(splitBrainProtectionConfig);
config.addMapConfig(mapConfig);
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(config);
SplitBrainProtectionService splitBrainProtectionService = hazelcastInstance
.getSplitBrainProtectionService();
SplitBrainProtection splitBrainProtection = splitBrainProtectionService
.getSplitBrainProtection(splitBrainProtectionName);
boolean splitBrainProtectionPresence = splitBrainProtection.hasMinimumSize();
```

# 29.4. Split-Brain Recovery

Hazelcast deploys a background task that periodically searches for split clusters. When a split is detected, the side that will initiate the merge process is decided. This decision is based on the cluster size; the smaller cluster, by member count, merges into the bigger one. If they have an equal number of members, then a hashing algorithm determines the merging cluster. When deciding the merging side, both sides ensure that there's no intersection in their member lists.

After the merging side is decided, the oldest cluster member of the merging side initiates the cluster merge process by sending merge instructions to the members in its cluster.

While recovering from partitioning, Hazelcast uses merge policies for supported data structures to

resolve data conflicts between split clusters. A merge policy is a callback function to resolve conflicts between the existing and merging data. Hazelcast provides an interface to be implemented and also a selection of out-of-the-box policies. Data structures without split-brain recovery support discarding the data from merging side.

Each member of the merging cluster:

- closes all of its network connections (detach from its cluster)
- takes a snapshot of local data structures which support split-brain recovery
- discards all data structure data
- joins to the new cluster as lite member
- sends merge operations to the new cluster from local snapshots.

For more information, see the Consistency and Replication Model chapter.

# 29.4.1. Merge Policies

Since Hazelcast 3.10 all merge policies implement the unified interface com.hazelcast.spi.SplitBrainMergePolicy. provide following out-of-the-box We the implementations:

- DiscardMergePolicy: The entry from the smaller cluster is discarded.
- ExpirationTimeMergePolicy: The entry with the higher expiration time wins.
- HigherHitsMergePolicy: The entry with the higher number of hits wins.
- HyperLogLogMergePolicy: Specialized merge policy for the CardinalityEstimator, which uses the default merge algorithm from HyperLogLog research, keeping the maximum register value of the two given instances.
- LatestAccessMergePolicy: The entry with the latest access wins.
- LatestUpdateMergePolicy: The entry with the latest update wins.
- PassThroughMergePolicy: the entry from the smaller cluster wins.
- PutIfAbsentMergePolicy: The entry from the smaller cluster wins if it doesn't exist in the cluster.

Additionally you can develop a custom merge policy by implementing the SplitBrainMergePolicy interface, as explained in the Custom Merge Policies section

# 29.4.2. Supported Data Structures

The following data structures support split-brain recovery:

- IMap (including High-Density Memory Store backed IMap)
- ICache (including High-Density Memory Store backed IMap)
- ReplicatedMap
- MultiMap
- IAtomicLong

- IAtomicReference
- IQueue
- IList
- ISet
- RingBuffer
- CardinalityEstimator
- ScheduledExecutorService

The statistic based out-of-the-box merge policies are only supported by IMap, ICache, ReplicatedMap and MultiMap. The HyperLogLogMergePolicy is supported by the CardinalityEstimator.



Except the CardinalityEstimator data structure, the default merge policy for all the Hazelcast data structures that support split-brain recovery (listed above) is PutIfAbsentMergePolicy. For the CardinalityEstimator data structure, the default merge policy is HyperLogLogMergePolicy.

See also the Merge Types section for a complete overview of supported merge types of each data structure. There is a config validation which checks these constraints to provide fail-fast behavior for invalid configurations.



For the other data structures, e.g., ISemaphore, ICountdownLatch and ILock, the instance from the smaller cluster is discarded during the split-brain recovery.

# 29.4.3. Configuring Merge Policies

The merge policies are configured via a MergePolicyConfig, which can be set for all supported data structures. The only exception is ICache, which just accepts the merge policy classname (due to compatibility reasons with older Hazelcast clients). For ICache, all other configurable merge parameters are the default values from MergePolicyConfig.

For custom merge policies you should set the full class name of your implementation as the mergepolicy configuration. For the out-of-the-box merge policies the simple classname is enough.

## **Declarative Configuration**

Here are examples how merge policies can be specified for various data structures:

```
<hazelcast>
    . . .
    <map name="default">
        <merge-policy batch-size="100">LatestUpdateMergePolicy</merge-policy>
    </map>
    <replicatedmap name="default">
        <merge-policy batch-size="100">org.example.merge.MyMergePolicy</merge-policy>
    </replicatedmap>
    <multimap name="default">
        <merge-policy batch-size="50">HigherHitsMergePolicy</merge-policy>
    </multimap>
    <list name="default">
        <merge-policy batch-size="500">org.example.merge.MyMergePolicy</merge-policy>
    </list>
    <atomic-long name="default">
        <merge-policy>PutIfAbsentMergePolicy</merge-policy>
    </atomic-long>
    . . .
</hazelcast>
```

Here is how merge policies are specified for ICache (it is the same configuration tag, but lacks the support for additional attributes like batch-size):

```
<hazelcast>
...
<cache name="default">
...
<merge-policy>org.example.merge.MyMergePolicy</merge-policy>
</cache>
...
</hazelcast>
```

## **Programmatic Configuration**

Here are examples how merge policies can be specified for various data structures:

```
MergePolicyConfig mergePolicyConfig = new MergePolicyConfig()
    .setPolicy("org.example.merge.MyMergePolicy")
    .setBatchSize(100);

MapConfig mapConfig = new MapConfig("default")
    .setMergePolicyConfig(mergePolicyConfig);

ListConfig listConfig = new ListConfig("default")
    .setMergePolicyConfig(mergePolicyConfig);

Config config = new Config()
    .addMapConfig(mapConfig)
    .addListConfig(listConfig);
```

Here is how merge policies are specified for ICache (you can only set the merge policy classname):

```
CacheConfig mapConfig = new CacheConfig()
.setName("default")
.setMergePolicy("org.example.merge.MyMergePolicy");
Config config = new Config()
.addMapConfig(mapConfig);
```

## 29.4.4. Custom Merge Policies

To implement a custom merge policy you have to implement com.hazelcast.spi.SplitBrainMergePolicy:

```
public interface SplitBrainMergePolicy<V, T extends MergingValue<V>, R>
    extends DataSerializable {
    R merge(T mergingValue, T existingValue);
}
```

MergingValue is an interface which describes a merge type.



Please have in mind that existingValue can be null. This happens when a data structure or key-based entry was just created in the smaller cluster.

#### **Merge Types**

A merge type defines an attribute which is required by a merge policy and provided by a data structure.

MergingValue is the main merge type, which is required by all merge policies and provided by all data structures. It contains the value of the merged data in raw (in-memory storage) and

```
public interface MergingValue<V> extends MergingView {
    V getValue();
    Object getRawValue();
}
```

MergingValue extends MergingView, which is a marker interface extended by all provided merge types.

The most common extension of MergingValue is MergingEntry, which additionally provides the key in raw (in-memory storage) and deserialized format (used by all key-based data structures like IMap or ICache):

```
public interface MergingEntry<K, V> extends MergingValue<V> {
    K getKey();
    Object getRawKey();
}
```

In addition we have a bunch of specialized merge types, e.g., for provided statistics. An example is MergingHits, which provides the hit counter of the merge data:

```
public interface MergingHits extends MergingView {
    long getHits();
}
```

The class com.hazelcast.spi.merge.SplitBrainMergeTypes contains composed interfaces, which show the provided merge types and required merge policy return type for each data structure:

```
public interface ReplicatedMapMergeTypes<K, V> extends MergingEntry<K, V>,
    MergingCreationTime, MergingHits, MergingLastAccessTime, MergingLastUpdateTime,
    MergingTTL {
  }
  public interface QueueMergeTypes<V> extends MergingValue<Collection<V>> {
  }
}
```

The ReplicatedMap provides key/value merge data, with the creation time, access hits, last access time, last update time and TTL. The return type of the merge policy is Object.

The IQueue just provides a collection of values. The return type is also a Collection<Object>.

The following is the full list of merge types:

- MergingValue: Represents the value of the merged data.
- MergingEntry: Represents the key and value of the merged data.
- MergingCreationTime: Represents the creation time of the merging process.
- MergingHits: Represents the access hits of the merged data.
- MergingLastAccessTime: Represents the last time when the merged data is accessed.
- MergingLastUpdateTime: Represents the last time when the merged data is updated.
- MergingTTL: Represents the time-to-live value of the merged data.
- MergingMaxIdle: Represents the maximum idle timeout value of the merged data.
- MergingCost: Represents the memory costs for the merging process after a split-brain.
- MergingVersion: Represents the version of the merged data.
- MergingExpirationTime: Represents the expiration time of the merged data.
- MergingLastStoredTime: Represents the last stored time of the merged data.

And the following table shows the merge types provided by each data structure:

#### Table 11. Merge Types

Data Structure	Merge Type
ІМар	• MergingEntry
	<ul> <li>MergingCreationTime</li> </ul>
	• MergingHits
	• MergingLastAccessTime
	• MergingLastUpdateTime
	• MergingTTL
	• MergingMaxIdle
	• MergingCosts
	• MergingVersion
	• MergingExpirationTime
	<ul> <li>MergingLastStoredTime</li> </ul>
ICache	• MergingEntry
	<ul> <li>MergingCreationTime</li> </ul>
	• MergingHits
	• MergingLastAccessTime
	• MergingLastUpdateTime
	• MergingTTL

Data Structure	Merge Type
ReplicatedMap	<ul> <li>MergingEntry</li> <li>MergingCreationTime</li> <li>MergingHits</li> <li>MergingLastAccessTime</li> <li>MergingLastUpdateTime</li> <li>MergingTTL</li> </ul>
MultiMap	<ul> <li>MergingEntry</li> <li>MergingCreationTime</li> <li>MergingHits</li> <li>MergingLastAccessTime</li> <li>MergingLastUpdateTime</li> </ul>
IQueue, ISet, IList, Ringbuffer	• MergingValue
IAtomicLong, IAtomicReference	• MergingValue
CardinalityEstimator	• MergingEntry
ScheduledExecutorServi ce	• MergingEntry

The following sections show various examples on how to implement merge type interfaces for all data structures, specific merge types or a specific data structure.

#### **Accessing Deserialized Values**

MergingValue.getRawValue() and MergingEntry.getRawKey() always return the data in the in-memory format of the data structure. For some data structure like IMap this depends on your configuration. Other data structure like ISet or IList always use the BINARY in-memory format.

If you need the deserialized key or value, you have to call MergingValue.getValue() or MergingEntry.getKey(). The deserialization is done lazily on that method call, since it's quite expensive and should be avoided if the result is not needed. This also requires the deserialized classes to be on the classpath of the server. Otherwise a ClassNotFoundException is thrown.

This is an example which checks if the (deserialized) value of the mergingValue or existingValue is an Integer. If so it is merged, otherwise null is returned (which removes the entry):

```
public class MergeIntegerValuesMergePolicy<V> implements SplitBrainMergePolicy<V,</pre>
MergingValue<V>, Object> {
   @Override
   public Object merge(MergingValue<V> mergingValue, MergingValue<V> existingValue) {
       Object mergingUserValue = mergingValue.getValue();
       Object existingUserValue = existingValue == null ? null : existingValue
.getValue();
       + "\n mergingValue: " + mergingUserValue
               + "\n existingValue: " + existingUserValue
               + "\n mergingValue class: " + mergingUserValue.getClass().getName()
                       existingValue class: " + (existingUserValue == null ? "null"
               + "\n
: existingUserValue.getClass().getName())
       );
       if (mergingUserValue instanceof Integer) {
           return mergingValue.getRawValue();
       }
       return null;
   }
   @Override
   public void writeData(ObjectDataOutput out) {
   }
   @Override
   public void readData(ObjectDataInput in) {
   }
}
```

For data structures like ISet or ICollection you need a merge policy, which supports collections:

```
public class MergeCollectionOfIntegerValuesMergePolicy
        implements SplitBrainMergePolicy<Collection<Object>, MergingValue<Collection</pre>
<Object>>, Collection<Object>> {
    @Override
    public Collection<Object> merge(MergingValue<Collection<Object>> mergingValue,
                                    MergingValue<Collection<Object>> existingValue) {
        Collection<Object> result = new ArrayList<>();
        for (Object value : mergingValue.getValue()) {
            if (value instanceof Integer) {
                result.add(value);
            }
        }
        if (existingValue != null) {
            for (Object value : existingValue.getValue()) {
                if (value instance Integer) {
                    result.add(value);
                }
            }
        }
        return result;
    }
    @Override
    public void writeData(ObjectDataOutput out) {
    }
    @Override
    public void readData(ObjectDataInput in) {
    }
}
```

You can also combine both merge policies to support single values and collections. This merge policy is a bit more complex and less type safe, but can be configured on all data structures:

```
public class MergeIntegerValuesMergePolicy2<V, T extends MergingValue<V>> implements
SplitBrainMergePolicy<V, T, Object> {
    @Override
    public Object merge(T mergingValue, T existingValue) {
        if (mergingValue.getValue() instanceof Integer) {
            return mergingValue.getRawValue();
        }
        if (existingValue != null && existingValue.getValue() instanceof Integer) {
            return existingValue.getRawValue();
        }
        if (mergingValue.getRawValue() instanceof Collection) {
            Collection<Object> result = new ArrayList<>();
            addIntegersToCollection(mergingValue, result);
            if (result.isEmpty() && existingValue != null) {
                addIntegersToCollection(existingValue, result);
            }
            return result;
        }
        return null;
    }
    private void addIntegersToCollection(T mergingValue, Collection<Object> result) {
        for (Object value : (Collection<Object>) mergingValue.getValue()) {
            if (value instanceof Integer) {
                result.add(value);
            }
        }
    }
    @Override
    public void writeData(ObjectDataOutput out) {
    }
    @Override
   public void readData(ObjectDataInput in) {
    }
}
```



Please have in mind that existingValue can be null, so a null check is mandatory before calling existingValue.getValue() or existingValue.getRawValue().



If you return null on a collection based data structure, the whole data structure will be removed. An empty collection works in the same way, so you don't have to check Collection.isEmpty() in your merge policy.

#### Accessing Hazelcast UserContext

If you need access to external references in your merge policy, you can use the Hazelcast UserContext to get them injected. An example would be a database connection to check which value is stored in your database. To achieve this your merge policy needs to implement HazelcastInstanceAware and call HazelcastInstance.getUserContext():

```
public class UserContextMergePolicy<V> implements SplitBrainMergePolicy<V,</pre>
MergingValue<V>, Object>, HazelcastInstanceAware {
    public static final String TRUTH_PROVIDER_ID = "truthProvider";
    private transient TruthProvider truthProvider;
   @Override
    public Object merge(MergingValue<V> mergingValue, MergingValue<V> existingValue) {
       Object mergingUserValue = mergingValue.getValue();
       Object existingUserValue = existingValue == null ? null : existingValue
.getValue();
       boolean isMergeable = truthProvider.isMergeable(mergingUserValue,
existingUserValue);
       + "\n mergingValue: " + mergingUserValue
                       + "\n existingValue: " + existingUserValue
                       + "\n isMergeable(): " + isMergeable
       );
       if (isMergeable) {
           return mergingValue.getRawValue();
       }
       return null;
   }
    @Override
    public void writeData(ObjectDataOutput out) {
    }
    @Override
    public void readData(ObjectDataInput in) {
    }
    @Override
    public void setHazelcastInstance(HazelcastInstance hazelcastInstance) {
       ConcurrentMap<String, Object> userContext = hazelcastInstance.getUserContext(
);
       truthProvider = (TruthProvider) userContext.get(TRUTH_PROVIDER_ID);
   }
    public interface TruthProvider {
       boolean isMergeable(Object mergingValue, Object existingValue);
   }
}
```

The UserContext can be setup like this:

```
MergePolicyConfig mergePolicyConfig = new MergePolicyConfig()
.setPolicy(UserContextMergePolicy.class.getName());
MapConfig mapConfig = new MapConfig("default")
.setMergePolicyConfig(mergePolicyConfig);
ConcurrentMap<String, Object> userContext = new ConcurrentHashMap<String, Object>();
userContext.put(TruthProvider.TRUTH_PROVIDER_ID, new ExampleTruthProvider());
Config config = new Config()
.addMapConfig(mapConfig)
.setUserContext(userContext);
Hazelcast.newHazelcastInstance(config);
```

The merge operations are executed on the partition threads. Database accesses are slow compared to in-memory operations. The SplitBrainMergePolicy.merge() method is called for every key-value pair or every collection from your smaller cluster, which has a merge policy defined. So there can be millions of database accesses due to a merge policy, which implements this. Be aware that this can block your cluster for a long time or overload your database due to the high amount of queries.



Also the com.hazelcast.core.LifeCycleEvent.MERGED is thrown after a timeout (we don't wait forever for merge operations to continue). At the moment this timeout is 500 milliseconds per merged item or entry, but at least 5 seconds. If your database is slow, you might get the LifeCycleEvent while there are still merge operations in progress.

#### Merge Policies With Multiple Merge Types

You can also write a merge policy, which requires multiple merge types. This merge policy is supported by all data structures, which provide MergingHits and MergingCreationTime:

```
public class ComposedHitsAndCreationTimeMergePolicy<V, T extends MergingValue<V> &
MergingHits & MergingCreationTime>
       implements SplitBrainMergePolicy<V, T, Object> {
   @Override
   public Object merge(T mergingValue, T existingValue) {
       if (existingValue == null) {
           return mergingValue.getValue();
       }
       .getValue() + "..."
              + "\n
                       mergingValue creation time: " + mergingValue.getCreationTime
()
               + "\n
                       existingValue creation time: " + existingValue
.getCreationTime()
               + "\n mergingValue hits: " + mergingValue.getHits()
              + "\n
                       existingValue hits: " + existingValue.getHits()
       );
       if (mergingValue.getCreationTime() < existingValue.getCreationTime()</pre>
               && mergingValue.getHits() > existingValue.getHits()) {
           return mergingValue.getRawValue();
       }
       return existingValue.getRawValue();
   }
   @Override
   public void writeData(ObjectDataOutput out) {
   }
   @Override
   public void readData(ObjectDataInput in) {
   }
}
```

If you configure this merge policy on a data structures, which does not provide these merge types, you get an InvalidConfigurationException with a message like:

The merge policy org.example.merge.ComposedHitsAndCreationTimeMergePolicy can just be configured on data structures which provide the merging type com.hazelcast.spi.merge.MergingHits. See SplitBrainMergingTypes for supported merging types.

#### **Merge Policies For Specific Data Structures**

It's also possible to restrict a merge policy to a specific data structure. This merge policy, for example, only works on IMap:

```
public class MapEntryCostsMergePolicy implements SplitBrainMergePolicy<Object,</pre>
MapMergeTypes<Object, Object>, Object> {
   @Override
   public Object merge(MapMergeTypes mergingValue, MapMergeTypes existingValue) {
       if (existingValue == null) {
           return mergingValue.getValue();
       }
       .getKey() + "..."
              + "\n
                      mergingValue costs: " + mergingValue.getCost()
              + "\n existingValue costs: " + existingValue.getCost()
       );
       if (mergingValue.getCost() > existingValue.getCost()) {
           return mergingValue.getRawValue();
       }
       return existingValue.getRawValue();
   }
   @Override
   public void writeData(ObjectDataOutput out) {
   }
   @Override
   public void readData(ObjectDataInput in) {
   }
}
```

If you configure it on other data structures, you get an InvalidConfigurationException with a message like:

The merge policy org.example.merge.MapEntryCostsMergePolicy can just be configured on data structures which provide the merging type com.hazelcast.spi.merge.SplitBrainMergeTypes\$MapMergeTypes. See SplitBrainMergingTypes for supported merging types.

This is another example for a merge policy, which only works on the IAtomicReference:

```
public class AtomicReferenceMergeIntegerValuesMergePolicy
       implements SplitBrainMergePolicy<Object, AtomicReferenceMergeTypes, Object> {
   @Override
   public Object merge(AtomicReferenceMergeTypes mergingValue,
AtomicReferenceMergeTypes existingValue) {
       Object mergingUserValue = mergingValue.getValue();
       Object existingUserValue = existingValue == null ? null : existingValue
.getValue();
       + "\n mergingValue: " + mergingUserValue
               + "\n existingValue: " + existingUserValue
               + "\n mergingValue class: " + mergingUserValue.getClass().getName()
                       existingValue class: " + (existingUserValue == null ? "null"
               + "\n
: existingUserValue.getClass().getName())
       );
       if (mergingUserValue instanceof Integer) {
           return mergingValue.getRawValue();
       }
       return null;
   }
   @Override
   public void writeData(ObjectDataOutput out) {
   }
   @Override
   public void readData(ObjectDataInput in) {
   }
}
```

Although every data structure supports MergingValue, which is the only merge type of AtomicReferenceMergeTypes, this merge policy is restricted to IAtomicReference data structures:

The merge policy org.example.merge.AtomicReferenceMergeIntegerValuesMergePolicy can just be configured on data structures which provide the merging type com.hazelcast.spi.merge.SplitBrainMergeTypes\$AtomicReferenceMergeTypes. See SplitBrainMergingTypes for supported merging types.

#### **Best Practices**

Here are some best practices when implementing your own merge policy

- Only call MergingValue.getValue() and MergingEntry.getKey() when you really need the deserialized value to save costs (CPU and memory) and avoid ClassNotFoundException.
- If you want to return one of the given values (merging or existing), it's best to return mergingValue.getRawValue() or existingValue.getRawValue(), since they are already in the correct in-memory format of the data structure. If you return a deserialized value, it might need to be

serialized again, which are avoidable costs.

• Be careful with slow operations in the merge policy (like database accesses), since they block your partition threads. Also the LifeCycleEvent.MERGED or LifeCycleEvent.MERGE\_FAILED may be thrown too early, if the merge operations take too long to finish.

# **Appendix A: System Properties**

The table below lists the system properties with their descriptions in alphabetical order.



When you want to reconfigure a system property, you need to restart the members for which the property is modified.

Table 12. System Properties

Property Name	Default Value	Туре	Description
hazelcast.aggregat ion.accumulation.p arallel.evaluation	true	bool	Specifies whether to run the aggregation accumulation for multiple entries in parallel. Each Hazelcast IMDG member executes the accumulation stage of an aggregation using a single thread by default. In most cases it is useful to do it in parallel.
hazelcast.backpres sure.backoff.timeo ut.millis	60000	int	Controls the maximum timeout in milliseconds to wait for an invocation space to be available. The value needs to be equal to or larger than 0.
hazelcast.backpres sure.enabled	false	bool	Enable back pressure.
hazelcast.backpres sure.max.concurren t.invocations.per. partition	100	int	The maximum number of concurrent invocations per partition.
hazelcast.backpres sure.syncwindow	1000	string	Used when back pressure is enabled. The larger the sync window value, the less frequent an asynchronous backup is converted to a sync backup.
hazelcast.cache.in validation.batch.e nabled	true	bool	Specifies whether the cache invalidation event batch sending is enabled or not.
hazelcast.cache.in validation.batch.s ize	100	int	Defines the maximum number of cache invalidation events to be drained and sent to the event listeners in a batch.
hazelcast.cache.in validation.batchfr equency.seconds	5	int	Defines cache invalidation event batch sending frequency in seconds.

<pre>hazelcast.client.c leanup.period.mill is</pre>	10000	int	Period, in milliseconds, to check if a client is still part of the cluster.
hazelcast.client.c leanup.timeout.mil lis	120000	int	Timeout duration to decide if a client is still part of the cluster. If a member cannot find any connection to a client in the cluster, it cleans up the local resources that are owned by that client.
hazelcast.client.m ax.no.heartbeat.se conds	300	int	Time after which the member assumes the client is dead and closes its connections to the client.
hazelcast.client.p rotocol.max.messag e.bytes	1024	int	Client protocol message size limit (in bytes) for unverified connections. I.e. maximal length of the client authentication message.
hazelcast.clienten gine.blocking.thre ad.count	-1	int	Number of threads that the client engine has available for processing requests that are blocking, e.g., transactions. When not set, it is set as the value of core size * 20.
hazelcast.clienten gine.query.thread. count		int	Number of threads to process query requests coming from the clients. Default count is the number of cores multiplied by 1.
hazelcast.clienten gine.thread.count		int	Maximum number of threads to process non-partition- aware client requests, like map.size(), executor tasks, etc. Default count is the number of cores multiplied by 20.
hazelcast.connect. all.wait.seconds	120	int	Timeout to connect all other cluster members when a member is joining to a cluster.
hazelcast.connecti on.monitor.interva l	100	int	Minimum interval in milliseconds to consider a connection error as critical.
hazelcast.connecti on.monitor.max.fau lts	3	int	Maximum I/O error count before disconnecting from a member.
hazelcast.cluster. version.auto.upgra de.enabled	false	bool	Specifies whether the automatic cluster version upgrading is enabled.
hazelcast.cluster. version.auto.upgra de.min.cluster.siz e	1	int	When set to a value greater than 1, automatic upgrading waits to reach that cluster size to proceed.

hazelcast.diagnost ics.directory	user.dir	string	Output directory of the diagnostic log files.
			<b>f</b> or detailed information on the diagnostic tool, along with this and the following diagnostic related system properties, see the Diagnostics section.
hazelcast.concurre nt.window.ms	100	int	Property needed for concurrency detection so that write through can be done correctly. This property sets the time window, in milliseconds, between the concurrency detection and its notification. Normally in a concurrent system, the window keeps sliding forward so it always remains concurrent. Setting it too high effectively disables the optimization because once a concurrency is detected it will keep that way. Setting it too low could lead to suboptimal performance because the system will try write through and other optimizations even though the system is concurrent.
hazelcast.diagnost ics.enabled	false	bool	Specifies whether diagnostics tool is enabled or not for the cluster.
hazelcast.diagnost ics.filename.prefi x		string	Optional prefix for the diagnostics log file.
hazelcast.diagnost ics.invocation.sam ple.period.seconds	0	long	Frequency of scanning all the pending invocations in seconds. 0 means the Invocations plugin for diagnostics tool is disabled.
hazelcast.diagnost ics.invocation.slo w.threshold.second s	5	long	Threshold period, in seconds, that makes an invocation to be considered as slow.
<pre>hazelcast.diagnost ics.max.rolled.fil e.count</pre>	10	int	Allowed count of diagnostic files within each roll.
<pre>hazelcast.diagnost ics.max.rolled.fil e.size.mb</pre>	50	int	Size of each diagnostic file to be rolled.
hazelcast.diagnost ics.member- heartbeat.max- deviation- percentage	100	int	Maximum allowed deviation for a member-to-member heartbeats.
hazelcast.diagnost ics.member- heartbeat.seconds	10	long	Period for which the MemberHeartbeats plugin of the diagnostics tool runs. 0 means this plugin is disabled.

hazelcast.diagnost ics.memberinfo.per iod.second	60	long	Frequency, in seconds, at which the cluster information is dumped to the diagnostics log file.
hazelcast.diagnost ics.metrics.period .seconds	60	long	Frequency, in seconds, at which the Metrics plugin dumps information to the diagnostics log file.
hazelcast.diagnost ics.operation- heartbeat.max- deviation- percentage	33	int	Maximum allowed deviation for a member-to-member operation heartbeats.
hazelcast.diagnost ics.operation- heartbeat.seconds	10	long	Period, in seconds, for which the OperationHeartbeats plugin of the diagnostics tool runs. 0 means this plugin is disabled.
hazelcast.diagnost ics.pending.invoca tions.period.secon ds	0	long	Period, in seconds, for which the PendingInvocations plugin of the diagnostics tool runs. 0 means this plugin is disabled.
hazelcast.diagnost ics.slowoperations .period.seconds	60	long	Period, in seconds, for which the SlowOperations plugin of the diagnostics tool runs. 0 means this plugin is disabled.
<pre>hazelcast.diagnost ics.storeLatency.p eriod.seconds</pre>	0	long	Period, in seconds, for which the StoreLatency plugin of the diagnostics tool runs. 0 means this plugin is disabled.
<pre>hazelcast.diagnost ics.storeLatency.r eset.period.second s</pre>	0	long	Period, in seconds, for resetting the statistics for the StoreLatency plugin of the diagnostics tool.
hazelcast.diagnost ics.systemlog.enab led	true	bool	Specifies whether the SystemLog plugin of the diagnostics tool is enabled or not.
<pre>hazelcast.diagnost ics.systemlog.part itions</pre>	false	bool	Specifies whether the SystemLog plugin collects information about partition migrations.
hazelcast.discover y.enabled	false	bool	Enables/disables the Discovery SPI lookup over the old native implementations. See Discovery SPI for more information.
hazelcast.discover y.public.ip.enable d	false	bool	Enable use of public IP address in member discovery with Discovery SPI. If you set this property to true in your source cluster, please make sure you have set the public addresses for your target members since they will be discovered using their public addresses. Otherwise, they cannot be discovered. See the Public Address section.

hazelcast.dynamicc onfig.ignore.confl icts		bool	Specifies whether you want IMDG to ignore the configuration conflicts while registering a new dynamic configuration. Set to true and restart your cluster with this property to ignore these conflicts.
hazelcast.enterpri se.license.key	null	string	Hazelcast IMDG Enterprise license key.
hazelcast.event.qu eue.capacity	1000000	int	Capacity of internal event queue.
<pre>hazelcast.event.qu eue.timeout.millis</pre>	250	int	Timeout to enqueue events to event queue.
hazelcast.event.sy nc.timeout.millis	5000	int	To prevent overloading of the outbound connections, once in a while an event is made synchronous by wrapping it in a dummy operation and waiting for a dummy response. This causes the outbound write queue of the connection to get drained. This timeout configures the maximum amount of waiting time for this response. Setting it to a too low value can lead to an uncontrolled growth of the outbound write queue of the connection.
hazelcast.event.th read.count	5	int	Number of event handler threads.
hazelcast.graceful .shutdown.max.wait	600	int	Maximum wait in seconds during graceful shutdown.
hazelcast.health.m onitoring.delay.se conds	30	int	Health monitoring logging interval in seconds. NOTE: For detailed information on the health monitoring tool, along with this and the following health monitoring related system properties, see the Health Check and Monitoring section.
hazelcast.health.m onitoring.level	SILENT	string	Health monitoring log level. When <b>SILENT</b> , logs are printed only when values exceed some predefined threshold. When <b>NOISY</b> , logs are always printed periodically. Set <b>OFF</b> to turn off completely.
hazelcast.health.m onitoring.threshol d.cpu.percentage	70	int	When the health monitoring level is <b>SILENT</b> , logs are printed only when the CPU usage exceeds this threshold.
hazelcast.health.m onitoring.threshol d.memory.percentag e	70	int	When the health monitoring level is <b>SILENT</b> , logs are printed only when the memory usage exceeds this threshold.
hazelcast.heartbea t.failuredetector. type	deadline	string	Type of the heartbeat failure detector. See the Failure Detector Configuration section.

hazelcast.heartbea t.interval.seconds	5	int	Heartbeat send interval in seconds.
hazelcast.hidensit y.check.freememory	true	bool	If enabled and is able to fetch memory statistics via Java's OperatingSystemMXBean, it checks whether there is enough free physical memory for the requested number of bytes. If the free memory checker is disabled (false), acts as if the check is succeeded.
hazelcast.hotresta rt.free.native.mem ory.percentage	15	long	Percentage of the free memory space that is required by a hot restart.
hazelcast.index.co py.behavior	COPY_O N_READ	string	Defines the behavior for index copying on index read/write. See the Copying Indexes section.
hazelcast.init.clu ster.version		long	Used to override the cluster version to use while an IMDG instance is not member of a cluster yet. The cluster version assumed before joining a cluster may affect the serialization format of the cluster discovery. The default is to use the member's codebase version. You may need to override it for your member to join a cluster running on a previous cluster version.
hazelcast.initial. min.cluster.size	0	int	Initial expected cluster size to wait before member to start completely.
hazelcast.initial. wait.seconds	0	int	Initial time in seconds to wait before member to start completely.
hazelcast.internal .map.expiration.cl eanup.operation.co unt	N/A	int	Count of scannable partitions in each run of the background expiration task. No default value exists. It is dynamically calculated against the partition count or partition thread count.
hazelcast.internal .map.expiration.cl eanup.percentage	10	int	Scannable percentage of the entries in the maps' partitions in each run of the background expiration task.
hazelcast.internal .map.expiration.ta sk.period.seconds	5	int	Interval, in seconds, at which the background expiration task is going to run.
hazelcast.invalida tion.max.tolerated .miss.count	10	int	If missed invalidation count is bigger than this value, relevant cached data is made unreachable.
hazelcast.invalida tion.reconciliatio n.interval.seconds	60	int	Period for which the cluster members are scanned to compare generated invalidation events with the received ones from Near Cache.

hazelcast.invocati on.max.retry.count		int	Maximum number of retries for an invocation. After threshold is reached, the invocation is assumed as failed.
hazelcast.invocati on.retry.pause.mil lis		int	Pause time between each retry cycle of an invocation in milliseconds.
hazelcast.io.balan cer.interval.secon ds	20	int	Interval in seconds between IOBalancer executions.
hazelcast.io.input .thread.count	3	int	Number of socket input threads.
hazelcast.io.outpu t.thread.count	3	int	Number of socket output threads.
hazelcast.io.threa d.count	3	int	Number of threads performing socket input and socket output. If, for example, the default value (3) is used, it means there are 3 threads performing input and 3 threads performing output (6 threads in total).
hazelcast.io.write .through	true	bool	Optimization that allows sending of packets over the network to be done on the calling thread if the conditions are right. This can reduce the latency and increase the performance for low threaded environments.
hazelcast.jcache.p rovider.type		string	Type of the JCache provider. Values can be client or server.
hazelcast.jmx	false	bool	Enable JMX agent.
hazelcast.local.lo calAddress		string	It is an overrider property for the default server socket listener's IP address. If this property is set, then this is the address where the server socket is bound to.
hazelcast.local.pu blicAddress		string	It is an overrider property for the default public address to be advertised to other cluster members and clients.
hazelcast.lock.max .lease.time.second s	Long.M AX_VAL UE	long	All locks which are acquired without an explicit lease time use this value (in seconds) as the lease time. When you want to set an explicit lease time for your locks, you cannot set it to a longer time than this value.
hazelcast.logging. details.enabled	true	bool	Specifies whether the cluster name, IP and version should be included in all log messages.
hazelcast.logging. type	jdk	enum	Name of logging framework type to send logging events.

<pre>hazelcast.map.entr y.filtering.natura l.event.types</pre>	false	bool	Notify entry listeners with predicates on map entry updates with events that match entry, update or exit from predicate value space.
hazelcast.map.expi ry.delay.seconds	10	int	Delays expiration of backup map entries by the defined amount. This may be useful to prevent some cases where an entry might be observed on the primary replica (partition owner) but not on the backup replica. For instance, when running an entry processor on both primary and backup replicas.
hazelcast.map.evic tion.batch.size	1	int	Maximum number of IMap entries Hazelcast will evict during a single eviction cycle. Eviction cycle is triggered by a map mutation. Typically it is fine to evict at most a single entry. However, when you insert values in a loop, each iteration doubles the entry size. In this situation more than just a single entry should be evicted.
hazelcast.map.inva lidation.batchfreq uency.seconds	10	int	If the collected invalidations do not reach the configured batch size, a background process sends them at this interval.
hazelcast.map.inva lidation.batch.ena bled	true	bool	Enable or disable batching. When it is set to false, all invalidations are sent immediately.
hazelcast.map.inva lidation.batch.siz e	100	int	Maximum number of invalidations in a batch.
hazelcast.map.load .chunk.size	1000	int	Maximum size of the key batch sent to the partition owners for value loading and the maximum size of a key batch for which values are loaded in a single partition.
hazelcast.map.repl ica.scheduled.task .delay.seconds	10	int	Scheduler delay for map tasks those are executed on backup members.
hazelcast.map.writ e.behind.queue.cap acity	50000	string	Maximum write-behind queue capacity per member. It is the total of all write-behind queue sizes in a member including backups. Its maximum value is Integer.MAX_VALUE. The value of this property is taken into account only if the write-coalescing element of the Map Store configuration is false. See here for the description of the write-coalescing element.
hazelcast.max.join .merge.target.seco nds	20	int	Split-brain merge timeout for a specific target.

hazelcast.max.join .seconds	300	int	Join timeout, maximum time to try to join before giving.
hazelcast.max.no.h eartbeat.seconds	60	int	Maximum timeout of heartbeat in seconds for a member to assume it is dead.Image: Image: Setting this value too low may cause members to be evicted from the cluster when they are under heavy load: they will be unable to send heartbeat operations in time, so other members will assume that it is dead.
hazelcast.max.wait .seconds.before.jo in	20	int	Maximum wait time before join operation. This is an upper limit on the cluster's pre-join phase duration. The pre-join phase starts when the master receives the first join request, and ends after no new members have tried to join for hazelcast.wait.seconds.before.join seconds, or after this upper limit elapsed (whichever comes first). Once the pre-join phase ends, the master moves into the join phase, during which it will only admit members that have already tried joining during the pre-join phase and are still trying to. Once the join phase is complete, the master will again start admitting new members.
hazelcast.mc.execu tor.thread.count	int	2	Number of threads that the Management Center service has available for processing the operations sent from the connected Management Center instance.
hazelcast.mc.max.v isible.slow.operat ions.count	10	int	Management Center maximum visible slow operations count.
hazelcast.member.l ist.publish.interv al.seconds	60	int	Interval at which master member publishes a member list.
hazelcast.member.n aming.moby.enabled	true	bool	Defines whether the Moby naming should be used for generating instance names when they are not provided by user. Moby name is a short human-readable name consisting of a randomly chosen adjective and the surname of a famous person. If set to true, a Moby name is generated. Otherwise, a name that is concatenation of a static prefix, number and cluster name is provided.
hazelcast.merge.fi rst.run.delay.seco nds	300	int	Initial run delay of split-brain/merge process in seconds.

hazelcast.merge.ne xt.run.delay.secon ds	120	int	Run interval of split-brain/merge process in seconds.
hazelcast.metrics. collection.frequen cy	5	int	Frequency, in seconds, of the metrics collection cycle. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.metrics. datastructures.ena bled	true	bool	Specifies whether collecting metrics from the distributed data structures is enabled.
hazelcast.metrics. debug.enabled	false	bool	Enables collecting debug metrics if set to true, disables it otherwise. Note that this is meant to be enabled only if diagnostics feature is enabled, since currently only this feature consumes the debug metrics.
hazelcast.metrics. enabled	true	bool	Enables the metrics collection if set to true, disables it otherwise. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.metrics. mc.enabled	true	bool	Enables buffering the collected metrics for Management Center if set to true, disables it otherwise. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.metrics. mc.retention	5	int	Duration, in seconds, that the metrics are retained for Management Center. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.metrics. jmx.enabled	true	bool	Enables exposing the collected metrics over JMX if set to true, disables it otherwise. Note that the preferred way for controlling this setting is Metrics Configuration.
hazelcast.network. stats.refresh.inte rval.seconds	3	int	Interval, in seconds, at which the network statistics (bytes sent and received) are re-calculated and published. It is valid only when advanced networking is used.
hazelcast.nio.tcp. spoofing.checks	false	bool	Controls whether more strict checks upon BIND requests towards a cluster member are applied. The checks mainly validate the remote BIND request against the remote address as found in the socket. By default they are disabled, to avoid connectivity issues when deployed under NAT'ed infrastructure.

hazelcast.operatio n.backup.timeout.m illis	5000	int	Maximum time a caller to wait for backup responses of an operation. After this timeout, operation response is returned to the caller even no backup response is received.
hazelcast.operatio n.call.timeout.mil lis	60000	int	Timeout to wait for a response when a remote call is sent, in milliseconds.
hazelcast.operatio n.fail.on.indeterm inate.state	false	bool	When enabled, an operation fails with IndeterminateOperationStateException, if it does not receive backup acks in time with respect to backup configuration of its data structure, or the member which owns primary replica of the target partition leaves the cluster.
hazelcast.operatio n.generic.thread.c ount	-1	int	Number of generic operation handler threads1 means CPU core count / 2.
hazelcast.operatio n.priority.generic .thread.count	1	int	Number of priority generic operation handler threads per member. Having at least 1 priority generic operation thread helps to improve cluster stability since a lot of cluster operations are generic priority operations and they should get executed as soon as possible. If there is a dedicated generic operation thread then these operations don't get delayed because the generic threads are busy executing regular user operations. So unless memory consumption is an issue, make sure there is at least 1 thread.
hazelcast.operatio n.response.thread. count	2	int	Number of threads the process responses. The default value gives stable and good performance. If set to 0, the response threads are bypassed and the response handling is done on the IO threads. Under certain conditions this can give a higher throughput.
hazelcast.operatio n.responsequeue.id lestrategy	block	string	Specifies whether the response thread for internal operations on the member side are blocked or not. If you use <b>block</b> (the default value) the thread is blocked and need to be notified which can cause a reduction in the performance. If you use <b>backoff</b> there is no blocking. By enabling the backoff mode and depending on your use case, you can get a 5-10% performance improvement. However, keep in mind that this increases the CPU utilization. We recommend you to use backoff with care and if you have a tool for measuring your cluster's performance.

hazelcast.operatio n.thread.count	-1	int	Number of partition based operation handler threads1 means CPU core count.
hazelcast.partial. member.disconnecti on.resolution.algo rithm.timeout.seco nds	5	int	Timeout, in seconds, to stop the execution of resolution algorithm when needed, in the case of lots of possible random network disconnections especially in the large clusters.
hazelcast.partial. member.disconnecti on.resolution.hear tbeat.count	0	int	When the master (oldest member in the cluster) receives a heartbeat problem report from another member, it first waits for a number of heartbeat rounds to allow other members to report their problems, if there is any. This property sets the number of these rounds.
hazelcast.partitio n.backup.sync.inte rval	30	int	Interval for syncing backup replicas in seconds.
hazelcast.partitio n.count	271	int	Total partition count.
hazelcast.partitio n.max.parallel.rep lications	5	int	Maximum number of parallel partition backup replication operations per member. When a partition backup ownership changes or a backup inconsistency is detected, the members start to sync their backup partitions. This parameter limits the maximum running replication operations in parallel.
hazelcast.partitio n.migration.fragme nts.enabled	true	bool	When enabled, which is the default behavior, partitions are migrated/replicated in small fragments instead of one big chunk. Migrating partitions in fragments reduces pressure on the memory and network since smaller packets are created in the memory and sent through the network. Note that it can increase the migration time to complete.
hazelcast.partitio n.migration.interv al	0	int	Interval to run partition migration tasks in seconds.
hazelcast.partitio n.migration.stale. read.disabled	false	bool	Hazelcast allows read operations to be performed while a partition is being migrated. This can lead to stale reads for some scenarios. You can disable stale read operations by setting this system property's value to "true". Its default value is "false", meaning that stale reads are allowed.
hazelcast.partitio n.migration.timeou t	300	int	Timeout for partition migration tasks in seconds.

hazelcast.partitio n.table.send.inter val	15	int	Interval for publishing partition table periodically to all cluster members in seconds.
hazelcast.partitio ning.strategy.clas s	null	string	Class name implementing com.hazelcast.core.PartitioningStrategy, which defines key to partition mapping.
hazelcast.phone.ho me.enabled	true	bool	Enable or disable the sending of phone home data to Hazelcast's phone home server.
hazelcast.prefer.i pv4.stack	true	bool	Prefer IPv4 network interface when picking a local address.
hazelcast.query.ma x.local.partition. limit.for.precheck	3	int	Maximum value of local partitions to trigger local pre- check for Predicates#alwaysTrue() query operations on maps.
hazelcast.query.op timizer.type	RULES	String	Type of the query optimizer. For optimizations based on static rules, set the value to RULES. To disable the optimization, set the value to NONE.
hazelcast.query.pr edicate.parallel.e valuation	false	bool	Each Hazelcast member evaluates query predicates using a single thread by default. In most cases, the overhead of inter-thread communications overweight can benefit from parallel execution. When you have a large dataset and/or slow predicate, you may benefit from parallel predicate evaluations. Set to true if you are using slow predicates or have > 100,000s entries per member.
hazelcast.query.re sult.size.limit	-1	int	Result size limit for query operations on maps. This value defines the maximum number of returned elements for a single query result. If a query exceeds this number of elements, a QueryResultSizeExceededException is thrown. Its default value is -1, meaning it is disabled.
hazelcast.serializ ation.version		long	Version of the Hazelcast serialization. Accepted values are between 1 and the highest supported serialization version.
hazelcast.shutdown hook.enabled	true	bool	Enable Hazelcast shutdownhook thread. When this is enabled, this thread terminates the Hazelcast instance without waiting to shutdown gracefully.

hazelcast.shutdown hook.policy	TERMIN ATE	string	Specifies the behavior when JVM is exiting while the Hazelcast instance is still running. It has two values: TERMINATE and GRACEFUL. The former one terminates the Hazelcast instance immediately. The latter, GRACEFUL, initiates the graceful shutdown which can significantly slow down the JVM exit process, but it tries to retain data safety. Note that you should always shutdown Hazelcast explicitly via using the method HazelcastInstance.shutdown(). It's not recommended to rely on the shutdown hook, this is a last-effort measure.
hazelcast.slow.ope ration.detector.en abled	true	bool	Enables/disables the SlowOperationDetector.
<pre>hazelcast.slow.ope ration.detector.lo g.purge.interval.s econds</pre>	300	int	Purge interval for slow operation logs.
hazelcast.slow.ope ration.detector.lo g.retention.second s	3600	int	Defines the retention time of invocations in slow operation logs. If an invocation is older than this value, it is purged from the log to prevent unlimited memory usage. When all invocations are purged from a log, the log itself is deleted.
hazelcast.slow.ope ration.detector.st acktrace.logging.e nabled	false	bool	Defines if the stacktraces of slow operations are logged in the log file. Stack traces are always reported to the Management Center, but by default, they are not printed to keep the log size small.
hazelcast.slow.ope ration.detector.th reshold.millis	10000	int	Defines a threshold above which a running operation in OperationService is considered to be slow. These operations log a warning and are shown in the Management Center with detailed information, e.g., stacktrace.
hazelcast.socket.b ind.any	true	bool	Bind both server-socket and client-sockets to any local interface.
hazelcast.socket.b uffer.direct	false	bool	Specifies whether the byte buffers used in the socket should be a direct byte buffer (true) or a regular one (false). When it is set to true, Hazelcast internally uses the method ByteBuffer.allocateDirect (instead of ByteBuffer.allocate) which makes use of the off-heap and may skip the memory copying when performing socket I/O operations. See here for more information.

hazelcast.socket.c lient.bind	true	bool	Bind client socket to an interface when connecting to a remote server socket. When set to false, client socket is not bound to any interface.
hazelcast.socket.c lient.bind.any	true	bool	Bind client-sockets to any local interface. If not set, hazelcast.socket.bind.any is used as the default.
hazelcast.socket.c lient.receive.buff er.size	-1	int	Hazelcast creates all connections with receive buffer size set according to the hazelcast.socket.receive.buffer.size. When it detects a connection opened by a client, then it adjusts the receive buffer size according to this property. It is in kilobytes and its default value is -1.
hazelcast.socket.c lient.send.buffer. size	-1	int	Hazelcast creates all connections with send buffer size set according to the hazelcast.socket.send.buffer.size. When it detects a connection opened by a client, then it adjusts the send buffer size according to this property. It is in kilobytes and its default value is -1.
hazelcast.socket.c onnect.timeout.sec onds	0	int	Socket connection timeout in seconds. Socket.connect() is blocked until either connection is established or connection is refused or this timeout passes. Default is 0, means infinite.
hazelcast.socket.k eep.alive	true	bool	Socket set keep alive (SO_KEEPALIVE).
hazelcast.socket.l inger.seconds	0	int	Set socket SO_LINGER option.
hazelcast.socket.n o.delay	true	bool	Socket set TCP no delay.
hazelcast.socket.r eceive.buffer.size	128	int	Socket receive buffer (SO_RCVBUF) size in KB. If you have a very fast network, e.g., 10gbit) and/or you have large entries, then you may benefit from increasing sender/receiver buffer sizes. Use this property and the next one below tune the size.
hazelcast.socket.s end.buffer.size	128	int	Socket send buffer (SO_SNDBUF) size in KB.
hazelcast.socket.s erver.bind.any	true	bool	Bind server-socket to any local interface. If not set, hazelcast.socket.bind.any is used as the default.

hazelcast.tcp.join .port.try.count	3	int	The number of incremental ports, starting with the port number defined in the network configuration, that is used to connect to a host (which is defined without a port in TCP/IP member list while a member is searching for a cluster).
hazelcast.wait.sec onds.before.join	5	int	Wait time before join operation. This time establishes a pre-join phase time window for newcomer members to make their first join requests. Once hazelcast.wait.seconds.before.join elapses since the last first-timer join request (i.e., where the member hasn't made any previous join request), or the pre-join phase has lasted for hazelcast.max.wait.seconds.before.join seconds, the phase ends and the master starts forming the cluster.

## **Appendix B: Migration Guides**

This appendix provides guidelines when upgrading to a new Hazelcast IMDG version. See also the release notes document for the changes for each Hazelcast IMDG release.

## **B.1. Upgrading to Hazelcast IMDG 4.0**

This section provides the guidelines for you when migrating to Hazelcast IMDG 4.0

## B.1.1. Upgrading to 4.0 from Prior Versions (3.x)

IMDG 4.0 is a major version release. The last major version release was over five years ago. Major releases allow us to break compatibility in the wire protocols and API, as well as removing the previously deprecated API.

As breaking changes have been made to the client and cluster member protocols, it is not possible to perform any in-place or rolling upgrade from a running IMDG 3.x cluster to IMDG 4.x. The only way to upgrade to IMDG 4.x is to completely shutdown the cluster.

## **B.1.2. Removal of Hazelcast Client Module**

- The hazelcast-client module has been merged into the core module: All the classes in the hazelcast-client module have been moved to hazelcast. hazelcast-client.jar will not be created anymore.
- Also the com.hazelcast.client Java module is not used anymore. All classes are now available within the com.hazelcast.core module.

## **B.1.3. Removal of User Defined Services**

Hazelcast IMDG's public SPI (Service Provider Interface) which was known as User Defined Service has been removed. It was not simple enough and backwards compatibility was broken. A new and clearly defined SPI may be developed in the future if there is enough interest. The removed SPI's classes will be kept to be used internally.

#### **B.1.4. Changes in Client Connection Retry Mechanism**

• The connection-attempt-period and connection-attempt-limit configuration have been removed. Instead, the elements of connection-retry are now used. See the Configuring Client Connection Retry for the usage of those new elements.

### **B.1.5. Increasing the Member/Client Thread Counts**

If there are 20 or more processors detected, the Hazelcast member by default starts 4+4 (4 input and 4 output) I/O threads. This is to increase out of the box performance on faster machines because often (especially the cache with caching situations) the performance is I/O bound and having some extra cores available for I/O can make a significant difference. If less than 20 cores are detected, 3+3 IO threads are used and the behavior remains the same as Hazelcast IMDG 3.x series.

A smart client, by default, gets 3+3 (3 input and 3 output) I/O threads to speed up the performance. Before Hazelcast IMDG 4.0, this was 1+1. However, the client I/O can become a bottleneck with too few threads. If TLS/SSL is enabled, then by default a smart client makes use of 3+3 I/O threads which was already the case with previous versions.

There is a new performance feature in Hazelcast IMDG 4.0 called thread overcommit. By default, Hazelcast creates more threads than it has cores, e.g., on a 20 cores machine it creates 28 threads; 20 threads for the partition operations and 4+4 threads for I/O. In case of a typical caching usage (get/put/set, etc.) having too many threads can cause a performance degradation due to increased context switching. So there is a new option called hazelcast.operation.thread.overcommit. If this property is set to true, i.e., -Dhazelcast.operation.thread.overcommit=true, which is the default, Hazelcast uses the old style thread configuration where there are more threads than cores. If set to false, the number of partition threads plus the I/O threads will be equal to the core count. It depends on the environment if this gives a performance boost or not. In some environments it can give a significant boost and in some it will give a significant loss; it is best to benchmark for your specific situation. If you are doing lots of queries or other tasks which are CPU-bound, e.g aggregations, you probably want to have as many cores available to partition operations as possible.

See the Threading Model section for more information on Hazelcast IMDG's threading model.

## **B.1.6. Optimizing for Single Threaded Usages**

A write-through optimization has been performed. This helps to reduce the latency in case of single threaded usages.

Normally, when a request is made, the request is handed over the I/O system where an I/O thread takes care of sending it over the wire. This is great for throughput, but in case of single threaded

setups, it adds to the latency and therefore it reduces the throughput because threads need to be notified.

With this release, Hazelcast IMDG detects the single threaded usage and tries to write through to the socket directly instead of handing it over to the I/O thread; this optimization is called "write-through".

This technique is being applied on the client, but also on the member. We have something similar when responses are received: normally a response is processed by the response thread, but in case of a single threaded usage, the response is processed on the I/O thread so we can remove a thread notification and therefore get higher throughput.

Both the write-through and response-through are enabled by default. If Hazelcast IMDG detects that there are many active threads, response- and write-through are disabled so it won't cause a performance degradation.

## **B.1.7. Removing Deprecated Client Configurations**

The following methods of ClientConfig have been refactored:

- addNearCacheConfig(String, NearCacheConfig) → addNearCacheConfig(NearCacheConfig)
- setSmartRouting(boolean) → getNetworkConfig().setSmartRouting(boolean);
- getSocketInterceptorConfig() → getNetworkConfig().getSocketInterceptorConfig();
- setSocketInterceptorConfig(SocketInterceptorConfig) getNetworkConfig().setSocketInterceptorConfig(SocketInterceptorConfig);
- getConnectionTimeout() → getNetworkConfig().getConnectionTimeout();
- setConnectionTimeout(int) → getNetworkConfig().setConnectionTimeout(int);
- addAddress(String) → getNetworkConfig().addAddress(String);
- getAddresses() → getNetworkConfig().getAddresses();
- setAddresses(List) → getNetworkConfig().setAddresses(List);
- isRedoOperation() → getNetworkConfig().isRedoOperation();
- setRedoOperation(boolean) → getNetworkConfig().setRedoOperation(boolean);
- getSocketOptions() → getNetworkConfig().getSocketOptions();
- setSocketOptions() → getNetworkConfig().setSocketOptions(SocketOptions);
- setSocketOptions() → getNetworkConfig().setSocketOptions(SocketOptions);
- getNetworkConfig().setAwsConfig(new ClientAwsConfig());
  getNetworkConfig().setAwsConfig(new AwsConfig());

Also the ClientAwsConfig class has been renamed as AwsConfig.

The naming for the declarative configuration elements have not been changed. See the Release Notes for new/removed configuration features.

See the following table for the before/after configuration samples.

Before IMDG 4.0	After IMDG 4.0
Adding Near Cache	
ClientConfig clientConfig = new ClientConfig(); clientConfig.addNearCacheConfig("myCache ", new NearCacheConfig());	ClientConfig clientConfig = new ClientConfig(); NearCacheConfig nearCacheConfig = new NearCacheConfig("myCache"); clientConfig.addNearCacheConfig(nearCach eConfig);
Programmatic Configuration	

```
ClientConfig clientConfig = new
ClientConfig();
            clientConfig.
setSmartRouting(true);
            clientConfig.isSmartRouting
();
            clientConfig
.getSocketInterceptorConfig();
            clientConfig
.setSocketInterceptorConfig(new
SocketInterceptorConfig());
            clientConfig
.getConnectionTimeout();
            clientConfig
.setConnectionTimeout(1000);
            clientConfig.addAddress(
"127.0.0.1:5701");
            clientConfig.getAddresses();
            clientConfig.setAddresses
(Collections.singletonList("127.0.0.1:57
01"));
            clientConfig.
isRedoOperation();
            clientConfig
.setRedoOperation(true);
            clientConfig
.getSocketOptions();
            clientConfig
.setSocketOptions(new SocketOptions());
            clientConfig
.getNetworkConfig().setAwsConfig(new
ClientAwsConfig());
            ClientAwsConfig awsConfig =
clientConfig.getNetworkConfig().getAwsCo
nfig();
        }
```

```
ClientConfig clientConfig = new
ClientConfig();
            clientConfig
.getNetworkConfig().setSmartRouting(true
);
            clientConfig
.getNetworkConfig().isSmartRouting();
            clientConfig
.getNetworkConfig().getSocketInterceptor
Config();
            clientConfig
.getNetworkConfig().setSocketInterceptor
Config(new SocketInterceptorConfig());
            clientConfig
.getNetworkConfig().getConnectionTimeout
();
            clientConfig
.getNetworkConfig().setConnectionTimeout
(1000);
            clientConfig
.getNetworkConfig().addAddress("127.0.0.
1:5701");
            clientConfig
.getNetworkConfig().getAddresses();
            clientConfig
.getNetworkConfig().setAddresses(Collect
ions.singletonList("127.0.0.1:5701"));
            clientConfig
.getNetworkConfig().isRedoOperation();
            clientConfig
.getNetworkConfig().setRedoOperation(tru
e);
            clientConfig
.getNetworkConfig().getSocketOptions();
            clientConfig
.getNetworkConfig().setSocketOptions(new
SocketOptions());
            clientConfig
.getNetworkConfig().setAwsConfig(new
AwsConfig());
            AwsConfig awsConfig =
clientConfig.getNetworkConfig().getAwsCo
nfig();
        }
```

## **B.1.8. Changes in Index Configuration**

In order to support further extensibility of Hazelcast, index configuration has been refactored.

Index type is now defined through the IndexType enumeration instead of the boolean flag: ordered index is now referred to as IndexType.SORTED, unordered as IndexType.HASH.

In composite indexes, index parts are now defined as a list of strings instead of a single string with comma-separated values.

With these changes, the following configuration parameters have been renamed:

#### Programmatic configuration objects and methods:

- MapIndexConfig → IndexConfig
- MapConfig.getMapIndexConfig → MapConfig.getIndexConfig
- MapConfig.setMapIndexConfig → MapConfig.setIndexConfig
- MapConfig.addMapIndexConfig → MapConfig.addIndexConfig
- IMap.addIndex(String, boolean) → IMap.addIndex(IndexConfig)

See the following table for the before/after samples.

After IMDG 4.0
<pre>IndexConfig indexConfig = new IndexConfig(); indexConfig.setType(IndexType.HASH); indexConfig.addAttribute("name"); indexConfig.addAttribute("age"); MapConfig mapConfig = new MapConfig(); mapConfig.addIndexConfig(indexConfig);</pre>

```
<hazelcast>
                                                 <hazelcast>
      . . .
                                                     . . .
      <map name="person">
                                                     <map name="person">
          <indexes>
                                                         <indexes>
              <index ordered="false">name,
                                                             <index type="HASH">
 age</index>
                                                                  <attributes>
          </indexes>
                                                                      <attribute>
      </map>
                                                 name</attribute>
                                                                      <attribute>
      . . .
  </hazelcast>
                                                 age</attribute>
                                                                 </attributes>
                                                             </index>
                                                         </indexes>
                                                     </map>
                                                 </hazelcast>
Dynamic Index Create
 IMap map;
                                                 IMap map;
 map.addIndex("name, age", false);
                                                 map.addIndex(new IndexConfig(IndexType
                                                 .HASH, "name", "age"));
```

## **B.1.9. Changes in Custom Attributes**

Custom attributes are referenced in predicates, queries and indexes. Some improvements have been performed in Hazelcast's query engine and one of the results is the change in custom attribute configurations.

With this change, the following configuration parameters have been renamed:

#### **Declarative configuration elements:**

• extractor  $\rightarrow$  extractor-class-name

#### Programmatic configuration objects and methods:

- MapAttributeConfig → AttributeConfig
- setExtractor() → setExtractorClassName()
- addMapAttributeConfig() → addAttributeConfig()

See the following table for the before/after samples.

Before IMDG 4.0	After IMDG 4.0
Programmatic Configuration	
<pre>MapAttributeConfig attributeConfig = new MapAttributeConfig(); attributeConfig.setName("currency"); attributeConfig.setExtractor("com.bank.C urrencyExtractor"); MapConfig mapConfig = new MapConfig(); mapConfig.addMapAttributeConfig(attribut eConfig);</pre>	<pre>AttributeConfig attributeConfig = new AttributeConfig(); attributeConfig.setName("currency"); attributeConfig.setExtractorClassName("c om.bank.CurrencyExtractor"); MapConfig mapConfig = new MapConfig(); mapConfig.addAttributeConfig(attributeCo nfig);</pre>
Declarative Configuration	
<hazelcast> <map name="trades"> <map name="trades"> <attributes> <attribute extractor="com.bank.CurrencyExtractor">currency </attribute> </attributes></map></map></hazelcast>	<hazelcast> <map name="trades"> <map name="trades"> <attributes> <attributes> attribute extractor-class- name="com.bank.CurrencyExtractor"&gt;curren cy  </attributes></attributes></map></map></hazelcast>

Also, some custom query attribute classes were previously abstract classes with one abstract method. They have been converted into functional interfaces:

- ValueCallback
- ValueExtractor

Before IMDG 4.0	After IMDG 4.0
Implementing ValueExtractor	

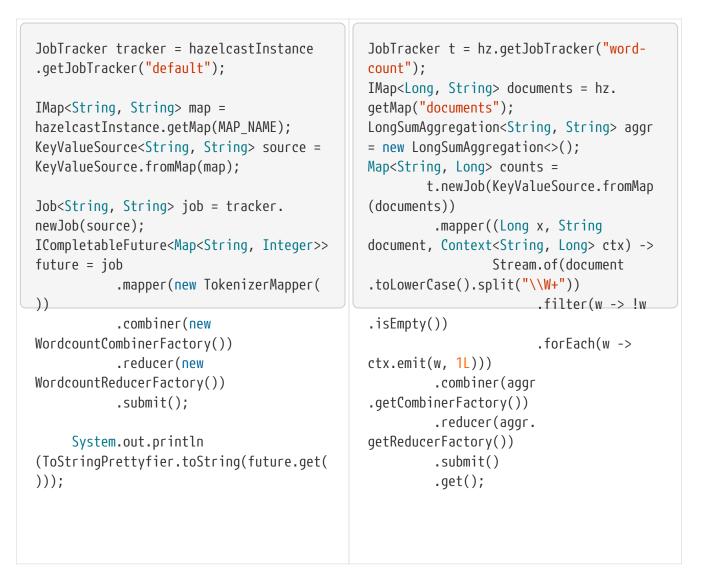


#### **B.1.10. Removal of MapReduce**

MapReduce API has been removed, which was deprecated since Hazelcast IMDG 3.8. Instead, you can use the Aggregations on top of Query infrastructure and the Hazelcast Jet distributed computing platform as its successors and replacements.

See the following table for the before(MapReduce)/after(Hazelcast Jet) word count sample.

Before IMDG 4.0 (MapReduce)	After IMDG 4.0 (Hazelcast Jet)
Word Count Sample	



See the Jet Code Samples for a full insight.

## **B.1.11. Refactoring of Migration Listener**

The MigrationListener API has been refactored. With this change, an event is published when a new migration process starts and another event when migration is completed. These events include statistics about the migration process including the start time, planned migration count, completed migration count, etc.

Additionally, a migration event is published on each replica migration, both for primary and backup replica migrations. This event includes the partition ID, replica index and migration progress statistics.

Before IMDG 4.0, the following were the events listened by MigrationListener:

- migrationStarted
- migrationCompleted
- migrationFailed

After IMDG 4.0, we have the following events instead:

migrationStarted

- migrationFinished
- replicaMigrationCompleted
- replicaMigrationFailed

See the following table for the before/after samples.

Before IMDG 4.0	After IMDG 4.0
Implementing a Migration Listener	

```
import
com.hazelcast.core.MigrationEvent;
import
com.hazelcast.core.MigrationListener;
public class ClusterMigrationListener
implements MigrationListener {
    @Override
    public void migrationStarted
(MigrationEvent migrationEvent) {
        System.err.println("Started: " +
migrationEvent);
    }
    @Override
    public void migrationCompleted
(MigrationEvent migrationEvent) {
        System.err.println("Completed: "
+ migrationEvent);
    }
    @Override
    public void migrationFailed
(MigrationEvent migrationEvent) {
        System.err.println("Failed: " +
migrationEvent);
    }
}
```

import
com.hazelcast.partition.MigrationListene
r;
import
com.hazelcast.partition.MigrationState;
import
com.hazelcast.partition.ReplicaMigration
Event;

```
public class ClusterMigrationListener
implements MigrationListener {
```

#### @Override

```
public void migrationStarted
(MigrationState state) {
    System.out.println("Migration
Started: " + state);
    }
```

#### @Override

```
public void migrationFinished
(MigrationState state) {
    System.out.println("Migration
Finished: " + state);
    }
```

#### @Override

```
public void
replicaMigrationCompleted(ReplicaMigrati
onEvent event) {
    System.out.println("Replica
Migration Completed: " + event);
  }
```

#### @Override

```
public void replicaMigrationFailed
(ReplicaMigrationEvent event) {
    System.out.println("Replica
Migration Failed: " + event);
    }
}
```

See the MigrationListener Javadoc for a full insight.

## **B.1.12. Defaulting to OpenSSL**

Hazelcast IMDG defaults to use OpenSSL when:

- when you use TLS/SSL and Hazelcast IMDG detects some OpenSSL capabilities
- the Java version is less than 11
- no explicit SSLEngineFactory is configured.

## **B.1.13. Changes in Security Configurations**

#### Replacing group by Simple Cluster Name Configuration

The GroupConfig class has been removed. Both the client and member configurations have the GroupConfig (or <group> in XML) replaced by a simple cluster name configuration. The password part from the GroupConfig which was already deprecated is removed now.

See the following table for the before/after sample configurations.

Before IMDG 4.0	After IMDG 4.0
Declarative Configuration	
<hazelcast> <group> <name>dev</name> <password>dev-pass</password> </group> </hazelcast>	<hazelcast> <cluster-name>dev</cluster-name> </hazelcast>
Programmatic Configuration	
<pre>Config configProd = new Config(); configProd.getGroupConfig().setName( "production" );</pre>	<pre>Config configProd = new Config(); configProd.setClusterName( "production" );</pre>
<pre>Config configDev = new Config(); configDev.getGroupConfig().setName( "development" );</pre>	<pre>Config configDev = new Config(); configDev.setClusterName( "development" );</pre>

#### Member Authentication and Identity Configuration

Hazelcast IMDG 4.0 replaces the <member-credentials-factory>, <member-login-modules> and <client-login-modules> configuration by references to security realms. The security realms is a new abstraction in the security configuration of Hazelcast members. It defines the security configuration independently on the configuration part where the security is used. The component

requesting security just references the security realm name.

See the following table for the before/after sample configurations.

efore IMDG 4.0	After IMDG 4.0
<pre>efore IMDG 4.0 &lt;<security enabled="true"></security></pre>	After IMDG 4.0 <pre> <pre> <p< th=""></p<></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre></pre>

#### **Client Identity Configuration**

The <credentials> configuration is not supported anymore in the client security configuration. Existing <credentials-factory> configuration allows to fully replace the credentials as it is more flexible. There are also new <username-password> and <token> configuration elements which simplify the migration.

See the following table for the before/after sample configurations.

Before IMDG 4.0	After IMDG 4.0
<pre><security> <credentials>com.acme.security.JohnDoeCr edentials</credentials> </security></pre>	<pre><security>     <username-password password="s3crEt" username="     johndoe"></username-password>     </security></pre>

## **B.1.14. JAAS Authentication Cleanups**

#### **Introducing New Principal Types**

The **ClusterPrincipal** class representing an authenticated user within the JAAS Subject has been replaced by three different principal types:

- ClusterIdentityPrincipal
- ClusterRolePrincipal
- ClusterEndpointPrincipal

All these new principal types share the HazelcastPrincipal interface so it is simple to get or remove them all from the subject.

With this change, the Credentials object is not referenced from the principals anymore.

Also, DefaultPermissionPolicy which was consuming ClusterPrincipal and also reading the endpoint address from it works with the new ClusterRolePrincipals and ClusterEndpointPrincipals principal types.

See the following table for the before/after sample IPermissionPolicy implementations.

Before IMDG 4.0	After IMDG 4.0

```
public PermissionCollection
                                               public PermissionCollection
getPermissions(Subject subject, Class<?</pre>
                                               getPermissions(Subject subject, Class<?</pre>
extends Permission> type) {
                                               extends Permission> type) {
    PermissionCollection collection = .
                                                   PermissionCollection collection = .
..;
                                               ..;
    for (ClusterPrincipal principal :
                                                   Set<ClusterEndpointPrincipal>
subject.getPrincipals(ClusterPrincipal.c
                                               endpointPrincipals = subject
lass)) {
                                               .getPrincipals(ClusterEndpointPrincipal.
      String endpoint = principal
                                               class):
.getEndpoint();
                                                   String endpoint = endpointIterator
      String principalName = principal
                                               .hasNext() ? endpointIterator.next()
.getPrincipal();
                                               .getName() : null;
      addPermissionsToPrincipal
                                                   for (ClusterRolePrincipal
(collection, principalName, endpoint);
                                               rolePrincipal : subject.getPrincipals
                                               (ClusterRolePrincipal.class)) {
    ł
    return collection;
                                                       String role = rolePrincipal
}
                                               .getName();
                                                       addPermissionsToPrincipal
                                               (collection, role, endpoint);
                                                   }
                                                   return collection;
                                               }
```

#### Changes in ClusterLoginModule

ClusterLoginModule in Hazelcast IMDG 3.x contained four abstract methods to alter the behavior of LoginModule:

- onLogin
- onCommit
- onAbort
- onLogout

The login module was retrieving Credentials and using it to create the ClusterPrincipal back then.

In Hazelcast IMDG 4.0, only onLogin is abstract. Others now have empty implementations. The login module creates ClusterEndpointPrincipal automatically and adds it to the Subject.

The getName() abstract method has been added. It is used for constructing ClusterIdentityPrincipal. The addRole(String) method can be called by the child implementations to add ClusterRolePrincipals with the given name.

Also, ClusterLoginModule introduces three login module options (boolean), which allows skipping principals of a given type to the JAAS Subject. It allows, for instance, to have just one ClusterIdentityPrincipal in the Subject even if there are more login modules in the chain. These

options are:

- skipIdentity
- skipRole
- skipEndpoint.

See the following table for the before/after sample implementations.

Before IMDG 4.0	After IMDG 4.0

public class TestLoginModule extends ClusterLoginModule { @Override public boolean onLogin() throws LoginException { **UsernamePasswordCredentials** usernamePasswordCredentials = (UsernamePasswordCredentials) credentials; if ("foo".equals (usernamePasswordCredentials.getUsername ()) && "bar".equals (usernamePasswordCredentials.getPassword ())) { // the "foo" principal is added return true; } throw new FailedLoginException( "Username or password doesn't match expected value."); } @Override public boolean onCommit() { return loginSucceeded; } @Override protected boolean onAbort() { return true; } @Override protected boolean onLogout() { return true; } }

public class TestLoginModule extends
ClusterLoginModule {

private String name;

```
@Override
    public boolean onLogin() throws
LoginException {
        NameCallback ncb = new
NameCallback("");
        PasswordCallback pcb = new
PasswordCallback("", false);
        try {
            callbackHandler.handle(new
Callback[] { ncb, pcb });
        } catch (IOException |
UnsupportedCallbackException e) {
            throw new LoginException(
"Unable to handle credentials");
        }
        name = credentials.getName();
        if ("foo".equals(name)
                && Arrays.equals("bar"
.toCharArray(), pcb.getPassword())) {
            addRole("admin");
            return true;
        }
        throw new FailedLoginException(
"Username or password doesn't match
expected value.");
    }
    @Override
    protected String getName() {
        return name;
    }
}
```

#### **Changes in Credentials for Client Protocol**

In Hazelcast IMDG 3.x, the custom credentials coming through the client protocol was always automatically deserialized. To avoid this, the Credentials interface has been redesigned in Hazelcast IMDG 4.0 to contain only the getName() (renamed from getPrincipal()) method. The endpoint handling has been moved out of the interface.

Now, Credentials has two new subinterfaces:

- PasswordCredentials: The existing UsernamePasswordCredentials class is the default implementation.
- TokenCredentials: The new SimpleTokenCredentials class has been introduced to implement it.

TokenCredentials is just a holder for byte array, and the authentication implementations themselves, i.e., custom LoginModules, are responsible for the data deserialization when needed.

The data from client authentication message is not deserialized by Hazelcast members anymore. For standard authentication, UsernamePasswordCredentials is constructed. For custom authentication, SimpleTokenCredentials is constructed. If the original Credentials object is not a PasswordCredentials or TokenCredentials instance, then it can be deserialized manually. However, the deserialization during authentication remains a dangerous operation and should be avoided.

See the following table for the before/after sample implementations.

Before IMDG 4.0	After IMDG 4.0
<pre>public boolean onLogin() throws LoginException { if (credentials == null    ! (credentials instanceof CustomCredentials)) { throw new FailedLoginException( "No valid CustomCredentials found"); } CustomCredentials custom = (CustomCredentials) credentials; if (!verify(custom.getJsonToken())) { throw new FailedLoginException( "JSON token is not valid."); } return true; } </pre>	<pre>public boolean onLogin() throws LoginException { CredentialsCallback cc = new CredentialsCallback(); try { callbackHandler.handle(new Callback[] { cc }); } catch (IOException</pre>



**Credentials** serialization and deserialization in the member protocol has not been changed.

#### **Changes in JAAS Callbacks**

In Hazelcast IMDG 3.x, the CallbackHandler implementation ClusterCallbackHandler was only able to work with Hazelcast's CredentialsCallback. In Hazelcast IMDG 4.0, it also works with the standard Java Callback implementations NameCallback and PasswordCallback.

DefaultLoginModule was using the login module options to retrieve the member's Config object. Now, custom Callback types have been implemented which can be used to retrieve additional data required for the authentication.

List of the supported Callbacks in Hazelcast IMDG 4.0:

- javax.security.auth.callback.NameCallback
- javax.security.auth.callback.PasswordCallback
- com.hazelcast.security.CredentialsCallback (provides access to the incoming Credentials instance)
- com.hazelcast.security.EndpointCallback (allows retrieving the remote host address, it's a replacement for Credentials.getEndpoint() in Hazelcast IMDG 3.x)
- com.hazelcast.security.ConfigCallback (allows retrieving member's Config object)
- com.hazelcast.security.SerializationServiceCallback (provides access to Hazelcast SerializationService)
- com.hazelcast.security.ClusterNameCallback (provides access to Hazelcast cluster name sent by the connecting party)

## **B.1.15. Renaming Quorum as Split Brain Protection**

Both in the API/code samples and documentation, the term "quorum" has been replaced by "splitbrain protection".

With this change, the following configuration parameters have been renamed:

#### Declarative configuration elements:

- quorum  $\rightarrow$  split-brain-protection
- quorum-size → minimum-cluster-size
- quorum-ref → split-brain-protection-ref
- quorum-type  $\rightarrow$  protect-on
- probabilistic-quorum → probabilistic-split-brain-protection
- recently-active-quorum → recently-active-split-brain-protection
- quorum-function-class-name → split-brain-protection-function-class-name
- quorum-listeners → split-brain-protection-listeners

#### Programmatic configuration objects and methods:

- QuorumConfig → SplitBrainProtectionConfig
- QuorumConfig.setSize() → SplitBrainProtectionConfig.setMinimumClusterSize()
- QuorumConfig.setType() → SplitBrainProtectionConfig.setProtectOn()
- QuorumListenerConfig → SplitBrainProtectionListenerConfig
- QuorumEvent → SplitBrainProtectionEvent

- QuorumService → SplitBrainProtectionService
- QuorumService.getQuorum() → SplitBrainProtectionService.getSplitBrainProtection()
- isPresent() → hasMinimumSize()
- setQuorumName() → setSplitBrainProtectionName()
- addQuorumConfig() → addSplitBrainProtectionConfig()
- newProbabilisticQuorumConfigBuilder() → newProbabilisticSplitBrainProtectionConfigBuilder()
- newRecentlyActiveQuorumConfigBuilder() newRecentlyActiveSplitBrainProtectionConfigBuilder()

See the following table for a before/after sample.

Before IMDG 4.0	After IMDG 4.0
<hazelcast>  <quorum name="&lt;br">"quorumRuleWithFourMembers" enabled= "true"&gt; <quorum-size>4</quorum-size> </quorum> <map name="default"> <quorum- ref&gt;quorumRuleWithFourMembers</quorum- ref&gt;  </map></hazelcast>	<pre><hazelcast>      <split-brain-protection enabled="true" name="splitBrainProtectionRuleWithFourMembers "></split-brain-protection></hazelcast></pre>

See the Split-Brain Protection section for more information on network partitioning.

## B.1.16. Renaming getID to getClassId in IdentifiedDataSerializable

The getId() method of the IdentifiedDataSerializable interface is a method with a common name, meaning a naming conflict would happen frequently. For example, database entities also have a getId() method. Therefore, it has been renamed as getClassId().

See the following table showing the interface code before and after IMDG 4.0.

Before IMDG 4.0	After IMDG 4.0



See here for more information on IdentifiedDataSerializable.

## **B.1.17. Introducing Lambda Friendly Interfaces**

#### **Entry Processor**

The EntryBackupProcessor interface has been removed in favor of EntryProcessor which now defines how the entries will be processed both on the primary and the backup replicas.

Because of this, the AbstractEntryProcessor interface has been removed. This should make writing entry processors more lambda friendly.



This should cover most cases. If you need to define a custom backup entry processor, you can

override the EntryProcessor#getBackupProcessor method.

```
map.executeOnKey(key, new EntryProcessor<Object, Object, Object>() {
    @Override
    public Object process(Entry<Object, Object> entry) {
        // process primary entry
    }
    private Object processBackupEntry(Entry<Object, Object> backupEntry) {
        // process backup entry
    }
    @Nullable
    @Override
    public EntryProcessor<Object, Object, Object> getBackupProcessor() {
        return this::processBackupEntry;
    }
});
```

#### Functional and Serializable Interfaces

Introduces interfaces with single abstract method which declares a checked exception. The interfaces are also Serializable and can be readily used when providing a lambda which is then serialized.

The Projection class was an abstract interface for historical reasons. It has been turned into a functional interface so it's more lambda-friendly.

See the following table for the before/after sample implementations.

Before IMDG 4.0	After IMDG 4.0
<pre>Collection<string> keys = map.project (new Projection<entry<string, double="">, String&gt;() { @Override public String transform(Entry&lt; String, Double&gt; input) { return input.getKey(); } });</entry<string,></string></pre>	<pre>Collection<string> keys = map.project (Entry::getKey);</string></pre>

## **B.1.18. Expanding Nullable/Nonnull Annotations**

The APIs of the distributed data structures have been made cleaner by adding Nullable and Nonnull annotations, and their API documentation have been improved:

- Now, it is obvious when looking at the API where **null** is allowed and where it is not.
- Some methods were throwing NullPointerException while others were throwing IllegalArgumentException. Now the behavior is aligned and an unexpected null argument results in a NullPointerException being thrown.
- Some methods actually allowed null but there was no indication that they did.
- A method when used on the member would accept null and have some behavior accordingly while, on the client, the method would throw a NullPointerException. Now, the behavior of the member and client have been aligned.

The data structures and interfaces enhanced in this sense are listed below:

- IQueue, ISet, IList
- IMap, MultiMap, ReplicatedMap
- Cluster
- ITopic
- Ringbuffer
- ScheduledExecutor

## **B.1.19. Removal of ICompletableFuture**

In Hazelcast IMDG 3.x series, com.hazelcast.core.ICompletableFuture was introduced to enable reactive programming style. ICompletableFuture was intended as a temporary, JDK 6 compatible replacement for java.util.concurrent.CompletableFuture that was introduced in Java 8. Since Hazelcast 4.0 requires Java 8, the user-facing asynchronous Hazelcast API methods now have their return type changed from ICompletableFuture to Java 8's java.util.concurrent.CompletionStage.

Dependent computation stages registered using default async methods which do not accept an explicit Executor argument (such as thenAcceptAsync, whenCompleteAsync etc) are executed by the java.util.concurrent.ForkJoinPool#commonPool() (unless it does not support a parallelism level of at least two, in which case, a new Thread is created to run each task).

See the following table for the before/after samples.

Before IMDG 4.0	After IMDG 4.0

```
import
                                              import com.hazelcast.core.Hazelcast;
com.hazelcast.core.ExecutionCallback;
                                              import
import com.hazelcast.core.Hazelcast;
                                              com.hazelcast.core.HazelcastInstance;
import
                                              import com.hazelcast.map.IMap;
com.hazelcast.core.HazelcastInstance;
import com.hazelcast.core.IMap;
                                              public class Main {
public class Main {
                                                  public static void main(String[]
                                              args) {
    public static void main(String[]
                                                      HazelcastInstance
                                              hazelcastInstance = Hazelcast
args) {
        HazelcastInstance
                                              .newHazelcastInstance();
hazelcastInstance = Hazelcast
                                                      IMap<Integer, String> map =
                                              hazelcastInstance.getMap("map");
.newHazelcastInstance();
        IMap<Integer, String> map =
hazelcastInstance.getMap("map");
                                                      map.putAsync(1, "one")
                                              .whenCompleteAsync((response, throwable)
        map.putAsync(1, "one").andThen
                                              -> {
(new ExecutionCallback<String>() {
                                                          if (throwable == null) {
            @Override
                                                              map.getAsync(1)
            public void onResponse
                                              .thenAcceptAsync(v -> {
(String response) {
                                                                  System.out.println(
                                              "Value of 1 is " + v);
                map.getAsync(1).andThen
(new ExecutionCallback<String>() {
                                                               });
                    @Override
                                                          } else {
                    public void
                                                               throwable
onResponse(String response) {
                                              .printStackTrace();
                        System.out
                                                          }
.println("Value of 1 is " + response);
                                                      });
                    }
                                                  }
                                              }
                    @Override
                    public void
onFailure(Throwable t) {
.printStackTrace();
                    }
                });
            }
            @Override
            public void onFailure
(Throwable t) {
                t.printStackTrace();
            }
        });
   }
}
```

## **B.1.20. WAN Replication Configuration Changes**

Previously, Configuring WAN replication was problematic:

- You needed to specify the fully qualified class name of the WAN implementation that should be used. In most cases, this was the built-in Hazelcast IMDG Enterprise Edition (EE) implementation.
- There were various configuration options, some of which were present as Java class instance fields or XML child nodes and attributes while others were present in a properties list. The issue with the property list is that there was no checking for typos, no documentation and no IDE help.
- If you wanted to use a custom WAN publisher SPI implementation, some configuration options did not make sense as they were tied to our implementation, e.g., WAN queue size.
- It was verbose.

The tag which was supposed to cover both cases, using the built-in Hazelcast EE implementation and a custom WAN replication implementation (wan-publisher or WanPublisherConfig), has been separated into two configuration elements/classes to be used for built-in and custom WAN publishers:

- batch-publisher (declarative configuration) or WanBatchPublisherConfig (programmatic configuration)
- custom-publisher (declarative configuration) or WanCustomPublisherConfig (programmatic configuration)

This means, if you're using the Hazelcast built-in WAN replication, the new configuration element is batch-publisher or WanBatchPublisherConfig. If you're using a custom WAN replication implementation, the new configuration element is custom-publisher or WanCustomPublisherConfig.

Additionally, the group password has been removed from the configuration and now only the cluster name is checked when connecting to the target cluster. This has been done to align the behavior with members forming a single cluster, where members with different passwords but with the same cluster name (previously group name) could form a cluster.

See the following table for the before/after built-in WAN publisher examples:

Before IMDG 4.0	After IMDG 4.0
Declarative Configuration	

```
<wan-publisher group-name=
"builtInPublisher" publisher-id=
"builtInPublisherId">
    <class-
name>com.hazelcast.enterprise.wan.impl.r
eplication.WanBatchReplication</class-
name>
    <queue-capacity>15000</queue-
capacity>
    <queue-full-
behavior>DISCARD AFTER MUTATION</gueue-
full-behavior>
    <initial-publisher-state>
REPLICATING</initial-publisher-state>
    <wan-sync>
        <consistency-check-strategy>
NONE</consistency-check-strategy>
    </wan-sync>
    <properties></properties>
        <property name="endpoints"</pre>
>10.3.5.1:5701,10.3.5.2:5701</property>
        <property name="batch.size"></property name="batch.size">
1000</property>
        <property name=
"batch.max.delay.millis">2000</property>
        <property name=
"response.timeout.millis">60000</propert</pre>
y>
        <property name="ack.type"</pre>
>ACK_ON_OPERATION_COMPLETE</property>
        <property name=""
snapshot.enabled">false</property>
        <property name="group.password"</pre>
>nyc-pass</property>
    </properties>
</wan-publisher>
```

```
<batch-publisher>
    <cluster-name>
builtInPublisher</cluster-name>
    <publisher-id>
builtInPublisherId</publisher-id>
    <batch-size>1000</batch-size>
    <batch-max-delay-millis>2000</batch-
max-delay-millis>
    <response-timeout-millis>
60000</response-timeout-millis>
    <acknowledge-
type>ACK_ON_OPERATION_COMPLETE</acknowle</pre>
dge-type>
    <initial-publisher-state>
REPLICATING</initial-publisher-state>
    <snapshot-enabled>false</snapshot-</pre>
enabled>
    <queue-full-
behavior>DISCARD_AFTER_MUTATION</queue-
full-behavior>
    <queue-capacity>10000</queue-
capacity>
    <target-
endpoints>10.3.5.1:5701,10.3.5.2:5701</t
arget-endpoints>
    <sync>
        <consistency-check-strategy>
NONE</consistency-check-strategy>
    </sync>
</batch-publisher>
```

Programmatic Configuration

```
WanPublisherConfig publisherConfig = new
                                              WanBatchPublisherConfig publisherConfig
WanPublisherConfig()
                                              = new WanBatchPublisherConfig()
        .setGroupName("builtInPublisher
                                                       .setClusterName(
")
                                              "builtInPublisher")
        .setPublisherId(
                                                       .setPublisherId(
"builtInPublisherId")
                                              "builtInPublisherId")
        .setClassName(
                                                       .setClassName(
"com.hazelcast.enterprise.wan.impl.repli
                                              "com.hazelcast.enterprise.wan.impl.repli
cation.WanBatchReplication")
                                              cation.WanBatchReplication")
        .setQueueCapacity(15000)
                                                       .setQueueCapacity(15000)
        .setQueueFullBehavior
                                                       .setQueueFullBehavior
(WANQueueFullBehavior.DISCARD_AFTER_MUTA
                                              (WanQueueFullBehavior.DISCARD_AFTER_MUTA
TION)
                                              TION)
        .setInitialPublisherState
                                                       .setInitialPublisherState
(WanPublisherState.REPLICATING);
                                              (WanPublisherState.REPLICATING)
publisherConfig.getWanSyncConfig().setCo
                                                       .setTargetEndpoints(
nsistencyCheckStrategy(ConsistencyCheckS
                                              "10.3.5.1:5701,10.3.5.2:5701")
trategy.NONE);
                                                       .setBatchSize(1000)
Map<String, Comparable> properties =
                                                       .setBatchMaxDelayMillis(2000)
publisherConfig.getProperties();
                                                       .setResponseTimeoutMillis(60000)
properties.put("endpoints",
                                                       .setAcknowledgeType
"10.3.5.1:5701,10.3.5.2:5701");
                                              (WanAcknowledgeType.ACK_ON_OPERATION_COM
properties.put("batch.size", 1000);
                                              PLETE)
properties.put("batch.max.delay.millis",
                                                       .setSnapshotEnabled(false);
                                              publisherConfig.getWanSyncConfig().setCo
2000);
properties.put("response.timeout.millis"
                                              nsistencyCheckStrategy(ConsistencyCheckS
, 60000);
                                              trategy.NONE);
properties.put("ack.type",
WanAcknowledgeType.ACK_ON_OPERATION_COMP
LETE.toString());
properties.put("snapshot.enabled",
false);
properties.put("group.password", "nyc-
pass");
```

See the following table for the before/after custom WAN publisher examples:

Before IMDG 4.0	After IMDG 4.0
Declarative Configuration	

```
<wan-publisher group-name=
                                                 <custom-publisher>
 "customWanPublisherId">
                                                     <publisher-id>
                                                 customPublisherId</publisher-id>
     <class-
 name>com.myCompany.MyImplementation</cla</pre>
                                                     <class-
                                                 name>com.myCompany.MyImplementation</cla</pre>
 ss-name>
      <properties>
                                                 ss-name>
          <property name="some.property"</pre>
                                                     <properties>
 >some-value</property>
                                                         <property name="some.property"</pre>
                                                 >some-value</property>
          <property name=
 "some.other.property">some-other-
                                                         <property name=
 value</property>
                                                 "some.other.property">some-other-
     </properties>
                                                 value</property>
 </wan-publisher>
                                                     </properties>
                                                 </custom-publisher>
Programmatic Configuration
 WanPublisherConfig publisherConfig = new
                                                 WanCustomPublisherConfig publisherConfig
 WanPublisherConfig()
                                                 = new WanCustomPublisherConfig()
          .setGroupName(
                                                         .setPublisherId(
  "customWanPublisherId")
                                                 "customWanPublisherId")
          .setClassName(
                                                         .setClassName(
  "com.myCompany.MyImplementation");
                                                 "com.myCompany.MyImplementation");
 Map<String, Comparable> properties =
                                                 Map<String, Comparable> properties =
 publisherConfig.getProperties();
                                                 publisherConfig.getProperties();
 properties.put("some.property", "some-
                                                 properties.put("some.property", "some-
 value");
                                                 value");
 properties.put("some.other.property",
                                                 properties.put("some.other.property",
 "some-other-value");
                                                 "some-other-value");
```

See the here for more information on WAN Replication.

## **B.1.21. WAN Replication SPI Changes**

In IMDG 3.x series, the WAN publisher SPI allowed you to plug into the lifecycle of a map/cache entry and replicate the updates to another system. For example, you might implement replication to Kafka or some JMS queue or even write out map and cache event changes to a log on disk. The SPI was not very intuitive though:

- It was not clear which interface needed to be implemented (WanPublisher vs. WanReplicationEndpoint).
- You had to implement different interfaces, depending on whether you were using Hazelcast IMDG Open Source or Enterprise edition.

- There were cases of leaking internals which don't make sense for some custom implementations.
- There were unused methods in the public SPI.

In Hazelcast IMDG 4.0, we have provided a new and cleaner WAN publisher SPI. You only need to implement a single interface: com.hazelcast.wan.WanPublisher. This implementation can then be set in the WAN replication configuration and be used with both Hazelcast Open Source and Enterprise editions.

### **B.1.22. Predicate API Cleanups**

The following refactors and cleanups have been performed on the public Predicate related API:

- Moved the following classes from the com.hazelcast.query package to com.hazelcast.query.impl.predicates:
  - o IndexAwarePredicate
  - o VisitablePredicate
  - 。 SqlPredicate/Parser
  - or TruePredicate
- Moved the FalsePredicate and SkipIndexPredicate classes to the com.hazelcast.query.impl.predicates package.
- Converted PagingPredicate and PartitionPredicate to interfaces and added PagingPredicateImpl and PartitionPredicateImpl to the com.hazelcast.query.impl.predicate package.
- Converted PredicateBuilder and EntryObject to interfaces (and made EntryObject a nested interface in PredicateBuilder) and added PredicateBuilderImpl to the com.hazelcast.query.impl.predicates package.
- The public API classes/interfaces no longer extend IndexAwarePredicate/ VisitablePredicate; this dependency has been moved to the impl classes.
- Introduced the new factory methods in Predicates:
  - . newPredicateBuilder()
  - 。 sql()

```
。 pagingPredicate()
```

。 partitionPredicate()

Consequently, the public Predicate API now provides only interfaces (Predicate, PagingPredicate and PartitionPredicate) with no dependencies on any internal APIs.

See the Distributed Query chapter for more information on predicates.

#### **B.1.23. Changing the UUID String Type to UUID**

Some public APIs that return UUID strings have been changed to return UUID. These changes include getUuid() method of the Endpoint interface, getTxnId() method of the TransactionContext interface, return values of the listener registrations and registrationId parameters for the methods that de-register the listeners.

See the following table for the before/after sample implementations.

Before IMDG 4.0	After IMDG 4.0
<pre>HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(); String registrationId = hazelcastInstance.getClientService().add ClientListener(new ClientListener() {     @Override     public void clientConnected(Client client) {         String clientUuid = client .getUuid();         System.out.println("Client connected &gt;&gt;&gt; " + clientUuid);     } </pre>	<pre>HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance(); UUID registrationId = hazelcastInstance .getClientService().addClientListener(ne w ClientListener() {     @Override     public void clientConnected(Client     client) {         UUID clientUuid = client.     getUuid();         System.out.println("Client     connected &gt;&gt;&gt; " + clientUuid);     } </pre>
<pre>@Override public void clientDisconnected (Client client) { String clientUuid = client .getUuid(); System.out.println("Client disconnected &gt;&gt;&gt; " + clientUuid); } }); hazelcastInstance.getClientService().rem oveClientListener(registrationId);</pre>	<pre>@Override public void clientDisconnected (Client client) { UUID clientUuid = client. getUuid(); System.out.println("Client disconnected &gt;&gt;&gt; " + clientUuid); } }); hazelcastInstance.getClientService().rem oveClientListener(registrationId);</pre>

## **B.1.24. Removal of Deprecated Concurrency API Implementations**

After introduction of *CP Subsystem* in Hazelcast IMDG 3.12, legacy implementations of the distributed concurrency APIs, e.g., ILock and IAtomicLong, had been deprecated. In IMDG 4.0, these deprecated implementations and additionally ILock and ICondition interfaces are completely removed.

Differently from Hazelcast IMDG 3.12, *CP Subsystem* received an *unsafe* operation mode in IMDG 4.0 which provides weaker consistency guarantees similar to former implementations in Hazelcast IMDG 3.x series.

For more information, see the CP Subsystem section.

See the following table for the before/after samples.

Before IMDG 4.0	After IMDG 4.0

```
import com.hazelcast.core.Hazelcast;
import
com.hazelcast.core.HazelcastInstance;
import com.hazelcast.core.IAtomicLong;
import
com.hazelcast.core.IAtomicReference;
import
com.hazelcast.core.ICountDownLatch;
import com.hazelcast.core.ILock;
import com.hazelcast.core.ISemaphore;
```

```
public class Main {
```

```
public static void main(String[]
args) {
        HazelcastInstance
hazelcastInstance = Hazelcast
```

```
.newHazelcastInstance();
```

```
IAtomicLong atomiclong =
hazelcastInstance.getAtomicLong("atomicl
ong");
```

atomiclong.incrementAndGet();

```
ILock lock = hazelcastInstance
.getLock("lock");
    lock.tryLock();
```

```
ISemaphore semaphore =
hazelcastInstance.getSemaphore("semaphor
e");
    semaphore.tryAcquire();
```

```
}
```

}

```
import com.hazelcast.core.Hazelcast;
import
```

com.hazelcast.core.HazelcastInstance; import com.hazelcast.cp.CPSubsystem; import com.hazelcast.cp.IAtomicLong; import

com.hazelcast.cp.IAtomicReference; import com.hazelcast.cp.ICountDownLatch; import com.hazelcast.cp.ISemaphore; import com.hazelcast.cp.lock.FencedLock;

```
public class Main {
```

```
public static void main(String[]
args) {
          HazelcastInstance
hazelcastInstance = Hazelcast
.newHazelcastInstance();
          CPSubsystem cpSubsystem =
hazelcastInstance.getCPSubsystem();
```

IAtomicLong atomiclong =
cpSubsystem.getAtomicLong("atomiclong");
 atomiclong.incrementAndGet();

```
FencedLock lock = cpSubsystem
.getLock("lock");
    lock.tryLock();
```

```
ISemaphore semaphore =
cpSubsystem.getSemaphore("semaphore");
    semaphore.tryAcquire();
```

ICountDownLatch latch =
cpSubsystem.getCountDownLatch("latch");
 latch.countDown();
}

}

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## **B.1.25. Removal of Legacy Merge Policies**

All legacy merge policies have been removed. Replacements of legacies are under the com.hazelcast.spi.merge package.

These are the replacements for IMap and ICache:

Removed IMap Merge Policies and Their Replacements

<ul> <li>com.hazelcast.map.merge.HigherHitsMapMergePolicy com.hazelcast.spi.merge.HigherHitsMergePolicy</li> </ul>	$\rightarrow$
<ul> <li>com.hazelcast.map.merge.LatestUpdateMapMergePolicy com.hazelcast.spi.merge.LatestUpdateMergePolicy</li> </ul>	$\rightarrow$
<ul> <li>com.hazelcast.map.merge.PassThroughMergePolicy com.hazelcast.spi.merge.PassThroughMergePolicy</li> </ul>	$\rightarrow$
<ul> <li>com.hazelcast.map.merge.PutIfAbsentMapMergePolicy com.hazelcast.spi.merge.PutIfAbsentMergePolicy</li> </ul>	$\rightarrow$
Removed ICache Merge Policies and Their Replacements	
<ul> <li>com.hazelcast.cache.merge.HigherHitsCacheMergePolicy com.hazelcast.spi.merge.HigherHitsMergePolicy</li> </ul>	$\rightarrow$
<ul> <li>com.hazelcast.cache.merge.LatestAccessCacheMergePolicy com.hazelcast.spi.merge.LatestAccessMergePolicy</li> </ul>	$\rightarrow$
<ul> <li>com.hazelcast.cache.merge.PassThroughCacheMergePolicy com.hazelcast.spi.merge.PassThroughMergePolicy</li> </ul>	$\rightarrow$
<ul> <li>com.hazelcast.cache.merge.PutIfAbsentCacheMergePolicy com.hazelcast.spi.merge.PutIfAbsentMergePolicy</li> </ul>	$\rightarrow$

Moreover, the setMergePolicy/getMergePolicy methods have been removed from MapConfig, ReplicatedMapConfig and CacheConfig. They have been replaced by the setMergePolicyConfig/getMergePolicyConfig methods.

The merge-policy declarative configuration element that has been used in the older IMDG versions still can be used:

<merge-policy batch-size="100">LatestAccessMergePolicy</merge-policy>

See here for more information on configuring merge policies.

#### **B.1.26. Changes in AWS Configuration**

AWS programmatic configuration has been merged with a more universal configuration infrastructure common to all cloud providers. The declarative configuration remains unchanged. See here for more information on configuring Hazelcast IMDG on AWS.

See the following table for the before/after samples.

Before IMDG 4.0	After IMDG 4.0
<pre>AwsConfig config = new AwsConfig(); config.setSecretKey("my-secret-key") ; config.setRegion("my-region"); config.setSecurityGroupName("my- security-group"); config.setTagKey("my-tag-key"); config.setTagValue("my-tag-value");  config.setEnabled(true);</pre>	<pre>AwsConfig config = new AwsConfig(); config.setProperty("secret-key", "my- secret-key") ; config.setProperty("region", "my-region "); config.setProperty("security-group-name ", "my-security-group-name"); config.setProperty("tag-key", "my-tag- key"); config.setProperty("tag-value", "my-tag- value");  config.setEnabled(true);</pre>

## **B.1.27. Removal of Deprecated System Properties**

The following deprecated cluster properties were removed:

- hazelcast.rest.enabled
- hazelcast.memcache.enabled
- hazelcast.http.healthcheck.enabled

Please see the Using the REST Endpoint Groups section on how to configure Hazelcast instance to expose REST endpoints. Please see the Health Check and Monitoring section on how to enable the health check. Please see the Memcache Client section on how to enable memcache client request listener service.

## B.1.28. Removal of Deprecations in LoginModuleConfig

The following deprecated methods have been removed:

- getImplementation(), replaced by getClassName().
- setImplementation(Object), replaced by setClassName(String).

In declarative configuration class-name property should be used instead.

#### B.1.29. Removal of Deprecations in MultiMapConfig

The following deprecated methods have been removed:

- getSyncBackupCount(), replaced by getBackupCount().
- setSyncBackupCount(int), replaced by setBackupCount(int).

In declarative configuration backup-count property should be used instead.

See here for more information on configuring MultiMap.

## B.1.30. Removal of Deprecations in PartitioningStrategyConfig

Misspelled setPartitionStrategy(PartitioningStrategy) has been removed, setPartitioningStrategy(PartitioningStrategy) should be used instead.

See here for more information on configuring MultiMap.

## B.1.31. Removal of Deprecations in ServiceConfig

The following deprecated methods have been removed:

- getServiceImpl(), replaced by getImplementation().
- setServiceImpl(Object), replaced by setImplementation(Object).

See the here for ServiceConfigs Javadoc.

## B.1.32. Removal of Deprecations in TransactionContext

Deprecated getXaResource() method has been removed. HazelcastInstance.getXAResource() should be used instead.

See the here for HazelcastInstances Javadoc.

## B.1.33. Removal of Deprecations in DistributedObjectEvent

Deprecated getObjectId() method has been removed, getObjectName() should be used instead.

See the here for DistributedObjectEventss Javadoc.

## B.1.34. Removal of Deprecated EntryListener-based Listener API in IMap

The following set of deprecated EntryListener-based listener API methods has been removed:

- addLocalEntryListener(EntryListener<K, V>)
- addLocalEntryListener(EntryListener<K, V>, Predicate<K, V>, boolean)
- addLocalEntryListener(EntryListener<K, V>, Predicate<K, V>, K, boolean)
- addEntryListener(EntryListener<K, V>, boolean)
- addEntryListener(EntryListener<K, V>, K, boolean)

The following MapListener-based methods should be used as replacements:

- addLocalEntryListener(MapListener)
- addLocalEntryListener(MapListener, Predicate<K,V>, boolean)
- addLocalEntryListener(MapListener, Predicate<K,V>, K, boolean)
- addEntryListener(MapListener, boolean)
- addEntryListener(MapListener, K, boolean)

EntryListener-based listeners are still supported by the newer MapListener-based API and declarative configuration.

## **B.1.35. Changes in IMap Eviction Configuration**

There has been a simplification and improvement in the way of configuring the eviction for a map.

See the following table for the before/after samples.



## **B.1.36. Changes in IMap Custom Eviction Policy Configuration**

There has been a simplification and improvement in the way of configuring the custom eviction policy for a map.

See the following table for the before/after samples.

```
Before IMDG 4.0
                                               After IMDG 4.0
  <hazelcast>
                                                 <hazelcast>
      . . .
                                                      . . .
      <map name="default">
                                                      <map name="default">
          <map-eviction-policy-class-name>
                                                          <eviction comparator-class-name
                                                 ="com.mycompany.MyMapEvictionPolicyCompa
                                                 rator"/>
  com.mycompany.MyMapEvictionPolicyCompara
                                                      </map>
  tor
          </map-eviction-policy-class-
                                                      . . .
                                                 </hazelcast>
  name>
      </map>
      . . .
  </hazelcast>
```

## B.1.37. Changes in EntryListenerConfig

Return type of the EntryListenerConfig.getImplementation() method has been changed from EntryListener to MapListener.

See the following table for the before/after snippets.

Before IMDG 4.0	After IMDG 4.0
<pre>EntryListenerConfig config = new EntryListenerConfig(); EntryListener listenerImpl = config .getImplementation();</pre>	<pre>EntryListenerConfig config = new EntryListenerConfig(); MapListener listenerImpl = config .getImplementation();</pre>

## **B.1.38. Changes in REST Endpoints**

The following REST endpoints have been changed:

- /hazelcast/rest/mancenter/changeurl is removed
- All /hazelcast/rest/mancenter/wan/\* endpoints are renamed to /hazelcast/rest/wan/

The following REST endpoints now require cluster name and password as the first two URLencoded parameters:

- ./hazelcast/rest/wan/sync/map
- . /hazelcast/rest/wan/sync/allmaps
- . /hazelcast/rest/wan/clearWanQueues
- . /hazelcast/rest/wan/addWanConfig
- ./hazelcast/rest/wan/pausePublisher
- . /hazelcast/rest/wan/stopPublisher
- . /hazelcast/rest/wan/resumePublisher
- . /hazelcast/rest/wan/consistencyCheck/map

The output of the following endpoints has been changed to JSON:

- . /hazelcast/health/node-state
- . /hazelcast/health/cluster-state
- ./hazelcast/health/cluster-safe
- /hazelcast/health/migration-queue-size
- ./hazelcast/health/cluster-size
- /hazelcast/health/ready
- /hazelcast/rest/cluster

## **B.1.39. Changes in the Diagnostics Configuration**

By introducing the metrics system in Hazelcast IMDG 4.0, the metrics collected by Diagnostics and the metrics system is shared. This has come with the following changes of the system properties that configure diagnostics:

- hazelcast.diagnostics.metric.level is not available anymore. Collecting debug metrics can be enabled by setting the hazelcast.metrics.debug.enabled or hazelcast.client.metrics.debug.enabled system properties to true for the members and clients respectively.
- hazelcast.diagnostics.metric.distributed.datastructures is not anymore available since the data structure metrics are required for the other Metric Consumers. Therefore, they are collected by default and no need for enabling it for the diagnostics.

## **B.1.40. Changes in the Management Center Configuration**

As Management Center now uses Hazelcast Java client for communication with the cluster, all attributes and nested elements have been removed from programmatic, XML and YAML configurations for Management Center, i.e., from ManagementCenterConfig class and management-center configuration element, except for the scripting-enabled attribute.

The default value of scripting-enabled attribute is false, whereas in Hazelcast 3.x it was enabled by default for Hazelcast Open Source.

A full example of settings available in the Management Center configuration now looks like the following:

```
<management-center scripting-enabled="true" />
```

This has come with the following changes of the system properties that configure Management Center:

• hazelcast.mc.url.change.enabled is not available anymore.

## **B.1.41. Changes in the Event Journal Configuration**

Event journal configuration had been put as a top-level configuration element. With IMDG 4.0, this restriction has been removed; this means event journal configuration now can be part of both map and cache configurations. This eliminates additionally specifying the map /cache names on the event journal configuration to connect it to the map/cache configurations.

See the following table for the before/after snippets.

Before IMDG 4.0	After IMDG 4.0	



## **B.2. Upgrading to Hazelcast IMDG 3.12.x**

- **REST endpoint authentication**: The authentication to REST endpoints has been changed in Hazelcast IMDG 3.12. Hazelcast IMDG 3.11.x checks group name and password, while 3.12 checks just the group name when security is disabled, and it uses the client login modules when the security is enabled.
- **Upgrading Cluster Version From IMDG 3.11 to 3.12**: For the IMDG versions before 3.12, REST API could be enabled by using the hazelcast.rest.enabled system property, which is deprecated now. IMDG 3.12 and newer versions introduce the rest-api configuration element along with REST endpoint groups. Therefore, a configuration change is needed specifically when performing a rolling member upgrade from IMDG 3.11 to 3.12.

So, the steps listed in the above Rolling Upgrade Procedure section should be as follows:

- 1. Shutdown the 3.11 member
- 2. Wait until all partition migrations are completed
- 3. Update the member with 3.12 binaries
- 4. Update the configuration (see below)
- 5. Start the member

For the 4th step ("Update the configuration"), the configuration should be updated as follows:

```
<hazelcast>
...
<rest-api enabled="true">
<endpoint-group name="CLUSTER_WRITE" enabled="true"/>
</rest-api>
...
</hazelcast>
```

See the Using the REST Endpoint Groups section for more information.

# **B.3. Upgrading from Hazelcast IMDG 3.10.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 3.9.x to 3.10.x and newer.

• Starting with Hazelcast 3.10, split-brain recovery is supported for the data structures whose inmemory format is NATIVE.

# **B.4. Upgrading from Hazelcast IMDG 3.9.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 3.9.x to 3.10.x and newer.

• The system property based configuration for Ping Failure Detector is deprecated. Instead, use the elements to configure it, an example of which is shown below:

```
<hazelcast>
    <network>
    . . .
        <failure-detector>
            <icmp enabled="true">
                <timeout-milliseconds>1000</timeout-milliseconds>
                <fail-fast-on-startup>true</fail-fast-on-startup>
                <interval-milliseconds>1000</interval-milliseconds>
                <max-attempts>2</max-attempts>
                <parallel-mode>true</parallel-mode>
                <ttl>255</ttl>
            </icmp>
        </failure-detector>
    </network>
    . . .
</hazelcast>
```

Until Hazelcast IMDG 3.10, the configuration has been like the following:

```
<hazelcast>
...
<properties>
<property name="hazelcast.icmp.enabled">true</property>
<property name="hazelcast.icmp.parallel.mode">true</property>
<property name="hazelcast.icmp.timeout">1000</property>
<property name="hazelcast.icmp.timeout">1000</property>
<property name="hazelcast.icmp.interval">1000</property>
<property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></property></propert
```

# **B.5. Upgrading to Hazelcast IMDG 3.8.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 3.7.x to 3.8.x and newer.

- **Introducing** <**wan-publisher**> **element**: The configuration element <**target-cluster**> has been replaced with the element <**wan-publisher**> in WAN replication configuration.
- WaitNotifyService interface has been renamed as OperationParker.
- Synchronizing WAN Target Cluster: The URL for the related REST call has been changed from http://member\_ip:port/hazelcast/rest/wan/sync/map to http://member\_ip:port/hazelcast/rest/mancenter/wan/sync/map.
- JCache usage: Due to a compatibility problem, CacheConfig serialization may not work if your member is 3.8.x where x < 5. You need to use the 3.8.5 or higher versions where the problem is fixed.

# **B.6. Upgrading to Hazelcast IMDG 3.7.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 3.6.x to 3.7.x and newer.

- Important note about Hazelcast System Properties: Even Hazelcast has not been recommending the usage of GroupProperties.java class while benefiting from system properties, there has been a change to inform to the users who have been using this class: the class GroupProperties.java has been replaced by GroupProperty.java. In this new class, system properties are instances of the newly introduced HazelcastProperty object. You can access the names of these properties by calling the getName() method of HazelcastProperty.
- **Removal of WanNoDelayReplication**: WanNoDelayReplication implementation of Hazelcast's WAN Replication has been removed. You can still achieve this behavior by setting the batch size to 1 while configuring the WanBatchReplication. See the Defining WAN Replication section for more information.
- JCache usage: Changes in JCache implementation which broke compatibility of 3.6.x clients to 3.7, 3.7.1, 3.7.2 cluster members and vice versa. 3.7, 3.7.1, 3.7.2 clients are also incompatible with

3.6.x cluster members. This issue only affects Java clients which use JCache functionality.

You can use a compatibility option which can be used to ensure backwards compatibility with 3.6.x clients.

In order to upgrade a 3.6.x cluster and clients to 3.7.3 (or later), you need to use this compatibility option on either the member or the client side, depending on which one is upgraded first:

- first upgrade your cluster members to 3.7.3, adding property hazelcast.compatibility.3.6.client=true to your configuration; when started with this property, cluster members are compatible with 3.6.x and 3.7.3+ clients but not with 3.7, 3.7.1, 3.7.2 clients. Once your cluster is upgraded, you may upgrade your applications to use client version 3.7.3+.
- upgrade your clients from 3.6.x to 3.7.3, adding property hazelcast.compatibility.3.6.server=true to your Hazelcast client configuration. A 3.7.3 client started with this compatibility option is compatible with 3.6.x and 3.7.3+ cluster members but incompatible with 3.7, 3.7.1, 3.7.2 cluster members. Once your clients are upgraded, you may then proceed to upgrade your cluster members to version 3.7.3 or later.

You may use any of the supported ways as described in the System Properties section to configure the compatibility option. When done upgrading your cluster and clients, you may remove the compatibility property from your Hazelcast member configuration.

• The eviction-percentage and min-eviction-check-millis elements are deprecated. They are ignored if configured, since the map eviction is based on the sampling of entries. See the Eviction Algorithm section for details.

# **B.7. Upgrading to Hazelcast IMDG 3.6.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 3.5.x to 3.6.x and newer.

- **Introducing new configuration options for WAN replication:** WAN replication related system properties, which are configured on a per member basis, can now be configured per target cluster. The following system properties are no longer valid.
  - hazelcast.enterprise.wanrep.batch.size, see the Batch Size section.
  - hazelcast.enterprise.wanrep.batchfrequency.seconds, see the Batch Maximum Delay section.
  - hazelcast.enterprise.wanrep.optimeout.millis, see the Response Timeout section.
  - hazelcast.enterprise.wanrep.queue.capacity, see the Queue Capacity section.
- **Removal of deprecated getId() method**: The method getId() in the interface DistributedObject has been removed. Please use the getName() method instead.
- Change in the Custom Serialization in the C++ Client Distribution: Before, the method getTypeId() was used to retrieve the ID of the object to be serialized. With this release, the method getHazelcastTypeId() is used and you give your object as a parameter to this new method. Also, getTypeId() was used in your custom serializer class; it has been renamed to

getHazelcastTypeId(), too.

• The LOCAL transaction type has been deprecated. Use ONE\_PHASE for the Hazelcast IMDG releases 3.6 and higher.

## **B.8. Upgrading to Hazelcast IMDG 3.5.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 3.4.x to 3.5.x and newer.

• Introducing the spring-aware element: Hazelcast used SpringManagedContext to scan SpringAware annotations by default. This was causing some performance overhead for the users who do not use SpringAware. With this release, SpringAware annotations are disabled by default. By introducing the spring-aware element, it is possible to enable it by adding the <hz:springaware /> tag to the configuration. See the Spring Integration section.

## **B.9. Upgrading to Hazelcast IMDG 3.x**

This section provides information to be considered when upgrading from Hazelcast IMDG 2.x to 3.x.

• **Removal of deprecated static methods:** The static methods of Hazelcast class reaching Hazelcast data components have been removed. The functionality of these methods can be reached from the HazelcastInstance interface. You should replace the following:

Map<Integer, String> customers = Hazelcast.getMap( "customers" );

with

```
HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
// or if you already started an instance named "instance1"
// HazelcastInstance hazelcastInstance = Hazelcast.getHazelcastInstanceByName(
"instance1" );
Map<Integer, String> customers = hazelcastInstance.getMap( "customers" );
```

• **Renaming "instance" to "distributed object":** There were confusions about the term "instance"; it was used for both the cluster members and distributed objects (map, queue, topic, etc. instances). Starting with this release, the term "instance" is used for Hazelcast instances. The term "distributed object" is used for map, queue, etc. instances. You should replace the related methods with the new renamed ones. 3.0.x clients are smart clients in that they know in which cluster member the data is located, so you can replace your lite members with native clients.

```
public static void main( String[] args ) throws InterruptedException {
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    IMap map = hazelcastInstance.getMap( "test" );
    Collection<Instance> instances = hazelcastInstance.getInstances();
    for ( Instance instance : instances ) {
        if ( instance.getInstanceType() == Instance.InstanceType.MAP ) {
            System.out.println( "There is a map with name: " + instance.getId() );
        }
    }
}
```

with

```
public static void main( String[] args ) throws InterruptedException {
    HazelcastInstance hazelcastInstance = Hazelcast.newHazelcastInstance();
    IMap map = hz.getMap( "test" );
    Collection<DistributedObject> objects = hazelcastInstance.getDistributedObjects(
);
    for ( DistributedObject distributedObject : objects ) {
        if ( distributedObject instanceof IMap ) {
            System.out.println( "There is a map with name: " + distributedObject.getName
() );
    }
}
```

- **Package structure change:** PartitionService has been moved to the com.hazelcast.core package from com.hazelcast.partition.
- Listener API change: The removeListener methods were taking the listener object as a parameter. But this caused confusion since the same listener object may be used as a parameter for different listener registrations. So we have changed the listener API. The addListener methods returns a unique ID and you can remove a listener by using this ID. So you should do the following replacement if needed:

```
IMap map = hazelcastInstance.getMap( "map" );
map.addEntryListener( listener, true );
map.removeEntryListener( listener );
```

with

```
IMap map = hazelcastInstance.getMap( "map" );
String listenerId = map.addEntryListener( listener, true );
map.removeEntryListener( listenerId );
```

• IMap changes:

- tryRemove(K key, long timeout, TimeUnit timeunit) returns boolean indicating whether operation is successful.
- tryLockAndGet(K key, long time, TimeUnit timeunit) is removed.
- putAndUnlock(K key, V value) is removed.
- lockMap(long time, TimeUnit timeunit) and unlockMap() are removed.
- getMapEntry(K key) is renamed as getEntryView(K key). The returned object's type (MapEntry class) is renamed as EntryView.
- There is no predefined names for merge policies. You just give the full class name of the merge policy implementation:

<merge-policy>com.hazelcast.map.merge.PassThroughMergePolicy</merge-policy>

Also the MergePolicy interface has been renamed as MapMergePolicy and returning null from the implemented merge() method causes the existing entry to be removed.

- **IQueue changes:** There is no change on IQueue API but there are changes on how **IQueue** is configured: there is no backing map configuration for queue. Settings like backup count are directly configured on the queue configuration. See the Queue section.
- Transaction API change: Transaction API has been changed. See the Transactions chapter.
- ExecutorService API change: The MultiTask and DistributedTask classes have been removed. All the functionality is supported by the newly presented interface IExecutorService. See the Executor Service section.
- LifeCycleService API: The lifecycle has been simplified. The pause(), resume(), restart() methods have been removed.
- AtomicNumber: AtomicNumber class has been renamed as IAtomicLong.
- **ICountDownLatch:** The await() operation has been removed. We expect users to use await() method with timeout parameters.
- **ISemaphore API:** The **ISemaphore** has been substantially changed. The attach(), detach() methods have been removed.
- Before, the default value for max-size eviction policy was cluster\_wide\_map\_size. Starting with this release, the default is **PER\_NODE**. After upgrading, the max-size should be set according to this new default, if it is not changed. Otherwise, it is likely that OutOfMemoryException may be thrown.

# **Appendix C: Common Exception Types**

You may see the following exceptions in any Hazelcast operation when the described situations occur:

- HazelcastInstanceNotActiveException: Thrown when HazelcastInstance is not active (already shutdown or being shutdown) during an invocation.
- HazelcastOverloadException: Thrown when the system cannot handle any more load due to an

overload. This exception is thrown when back pressure is enabled.

- DistributedObjectDestroyedException: Thrown when a distributed data structure is destroyed using the destroy() method while there is a blocking operation on it, e.g., waiting a response for the Lock.lock() method.
- MemberLeftException: Thrown when a member leaves during an invocation or execution.

Hazelcast also throws the following exceptions in the cases of overall system problems such as networking issues and long pauses:

- PartitionMigratingException: Thrown when an operation is executed on a partition, but that partition is currently being moved.
- TargetNotMemberException: Thrown when an operation is sent to a machine that is not a member of the cluster.
- CallerNotMemberException: Thrown when an operation was sent by a machine which is not a member in the cluster when the operation is executed.
- WrongTargetException: Thrown when an operation is executed on the wrong machine, usually because the partition that operation belongs to has been moved to some other member.

# **Appendix D: License Questions**

Hazelcast is distributed using the Apache License 2, therefore permissions are granted to use, reproduce and distribute it along with any kind of open source and closed source applications.

Hazelcast IMDG Enterprise is a commercial product of Hazelcast, Inc. and is distributed under a commercial license that must be acquired before using it in any type of released software. Feel free to contact Hazelcast sales department for more information on commercial offers.

Depending on the used feature-set, Hazelcast has certain runtime dependencies which might have different licenses. Following are dependencies and their respective licenses.

## **D.1. Embedded Dependencies**

Embedded dependencies are merged (shaded) with the Hazelcast codebase at compile-time. These dependencies become an integral part of the Hazelcast distribution.

For license files of embedded dependencies, see the license directory of the Hazelcast distribution, available at our download page.

## minimal-json:

minimal-json is a JSON parsing and generation library which is a part of the Hazelcast distribution. It is used for communication between the Hazelcast cluster and the Management Center.

minimal-json is distributed under the MIT license and offers the same rights to add, use, modify and distribute the source code as the Apache License 2.0 that Hazelcast uses. However, some other restrictions might apply.

## **D.2. Runtime Dependencies**

Depending on the used features, additional dependencies might be added to the dependency set. Those runtime dependencies might have other licenses. See the following list of additional runtime dependencies.

## Spring Framework:

Hazelcast offers a tight integration into the Spring Framework. Hazelcast can be configured and controlled using Spring.

The Spring Framework is distributed under the terms of the Apache License 2 and therefore it is fully compatible with Hazelcast.

## Hibernate:

Hazelcast integrates itself into Hibernate as a second-level cache provider.

Hibernate is distributed under the terms of the Lesser General Public License 2.1, also known as LGPL. Please read carefully the terms of the LGPL since restrictions might apply.

## Apache Tomcat:

Hazelcast IMDG Enterprise offers native integration into Apache Tomcat for web session clustering.

Apache Tomcat is distributed under the terms of the Apache License 2 and therefore fully compatible with Hazelcast.

## Eclipse Jetty:

Hazelcast IMDG Enterprise offers native integration into Jetty for web session clustering.

Jetty is distributed with a dual licensing strategy. It is licensed under the terms of the Apache License 2 and under the Eclipse Public License v1.0, also known as EPL. Due to the Apache License, it is fully compatible with Hazelcast.

## JCache API (JSR 107):

Hazelcast offers a native implementation for JCache (JSR 107), which has a runtime dependency to the JCache API.

The JCache API is distributed under the terms of the so called Specification License. Please read carefully the terms of this license since restrictions might apply.

## **Boost C++ Libraries**:

Hazelcast IMDG Enterprise offers a native C++ client, which has a link-time dependency to the Boost C++ Libraries.

The Boost Libraries are distributed under the terms of the Boost Software License), which is very similar to the MIT or BSD license. Please read carefully the terms of this license since restrictions might apply.

# **Appendix E: Phone Homes**

Hazelcast uses phone home data to learn about the usage of Hazelcast IMDG.

Hazelcast IMDG member instances call our phone home server initially when they are started and then every 24 hours. This applies to all the instances joined to the cluster.

### What is sent in?

The following information is sent in a phone home:

- Hazelcast IMDG version
- Local Hazelcast IMDG member UUID
- Download ID
- A hash value of the cluster ID
- Cluster size bands for 5, 10, 20, 40, 60, 100, 150, 300, 600 and > 600
- Number of connected clients bands of 5, 10, 20, 40, 60, 100, 150, 300, 600 and > 600
- Number of clients by language (Java, C++, C#)
- Cluster uptime
- Member uptime
- Environment Information:
  - Name of operating system
  - Kernel architecture (32-bit or 64-bit)
  - Version of operating system
  - Version of installed Java
  - Name of Java Virtual Machine
- Hazelcast IMDG Enterprise specific:
  - Flag for Hazelcast Enterprise
  - Hash value of license key
  - Native memory usage

#### **Disabling Phone Homes**

Set the hazelcast.phone.home.enabled system property to false either in the config or on the Java command line. See the System Properties appendix for information on how to set a property.

You can also disable the phone home using the environment variable HZ\_PHONE\_HOME\_ENABLED.

Simply add the following line to your .bash\_profile:

export HZ\_PHONE\_HOME\_ENABLED=false

#### **Phone Home URLs**

For versions 1.x and 2.x: http://www.hazelcast.com/version.jsp.

For versions 3.x up to 3.6: http://versioncheck.hazelcast.com/version.jsp.

For versions after 3.6: http://phonehome.hazelcast.com/ping.

## **Appendix F: Frequently Asked Questions**

#### What Guarantees does Hazelcast IMDG offer?

Hazelcast IMDG is distributed and highly available by nature. It is achieved by keeping the data partition backup always on another Hazelcast member.

Hazelcast IMDG offers AP and CP functionality with different data structure implementations (see CAP theorem). Data structures exposed under HazelcastInstance API are all AP data structures. Hazelcast IMDG also contains a CP subsystem, built on the Raft consensus algorithm and accessed via HazelcastInstance.getCPSubsytem() which provides CP data structures and APIs.

- AP Hazelcast IMDG guarantees:
  - With lazy replication, when the primary replica receives an update operation for a key, it executes the update locally and propagates it to backup replicas. It marks each update with a logical timestamp so that backups apply them in the correct order and converge to the same state with the primary. Backup replicas can be used to scale reads (see the Enabling Backup Reads section) with no strong consistency but monotonic reads guarantee.
  - It employs additional measurements to maintain consistency in a best-effort manner.
  - Hazelcast, as an AP product, does not provide the exactly-once guarantee. In general, Hazelcast tends to be an at-least-once solution.
  - See the Consistency and Replication Model chapter for more information.
- CP Hazelcast IMDG Guarantees:
  - It builds a strongly consistent layer for a set of distributed data structures. You can enable CP Subsystem and use it with the strong consistency guarantee.
  - Its data structures are CP with respect to the CAP principle, i.e., they always maintain linearizability and prefer consistency over availability during network partitions.
  - Besides network partitions, CP Subsystem withstands server and client failures.
  - It provides a good degree of fault tolerance at run-time, and CP Subsystem Persistence enables more robustness.
  - See the CP Subsystem chapter for more information.

#### Why 271 as the default partition count?

The partition count of 271, being a prime number, is a good choice because it is distributed to the members almost evenly. For a small to medium sized cluster, the count of 271 gives an almost even partition distribution and optimal-sized partitions. As your cluster becomes bigger, you should make this count bigger to have evenly distributed partitions.

### Is Hazelcast thread-safe?

Yes. All Hazelcast data structures are thread-safe.

### How do members discover each other?

When a member is started in a cluster, it is dynamically and automatically discovered. The following are the types of discovery:

- Discovery by TCP/IP: The first member created in the cluster (leader) forms a list of IP addresses of other joining members and sends this list to these members so the members will know each other.
- Discovery on clouds: Hazelcast supports discovery on cloud platforms such as jclouds based environments, Azure, Consul and PCF.
- Multicast discovery: The members in a cluster discover each other by multicast, by default. It is not recommended for production since UDP is often blocked in production environments and other discovery mechanisms are more definite.

Once members are discovered, all the communication between them is via TCP/IP.



See the Discovery Mechanisms section for detailed information.

#### What happens when a member goes down?

Once a member is gone (crashes), the following happens:

- First, the backups in other members are restored.
- Then, data from these restored backups are recovered.
- And finally, new backups for these recovered data are formed.

So eventually, availability of the data is maintained.

#### How do I test the connectivity?

If you notice that there is a problem with a member joining a cluster, you may want to perform a connectivity test between the member to be joined and a member from the cluster. You can use the **iperf** tool for this purpose. For example, you can execute the below command on one member (i.e. listening on port 5701).

iperf -s -p 5701

And you can execute the below command on the other member.

iperf -c <IP address> -d -p 5701

The output should include connection information, such as the IP addresses, transfer speed and bandwidth. Otherwise, if the output says No route to host, it means a network connection problem exists.

#### How do I choose keys properly?

When you store a key and value in a distributed Map, Hazelcast serializes the key and value and stores the byte array version of them in local ConcurrentHashMaps. These ConcurrentHashMaps use equals and hashCode methods of byte array version of your key. It does not take into account the actual equals and hashCode implementations of your objects. So it is important that you choose your keys in a proper way.

Implementing equals and hashCode is not enough, it is also important that the object is always serialized into the same byte array. All primitive types like String, Long, Integer, etc. are good candidates for keys to be used in Hazelcast. An unsorted Set is an example of a very bad candidate because Java Serialization may serialize the same unsorted set in two different byte arrays.

#### How do I reflect value modifications?

Hazelcast always return a clone copy of a value. Modifying the returned value does not change the actual value in the map (or multimap, list, set). You should put the modified value back to make changes visible to all members.

```
V value = map.get( key );
value.updateSomeProperty();
map.put( key, value );
```

Collections which return values of methods (such as IMap.keySet, IMap.values, IMap.entrySet, MultiMap.get, MultiMap.remove, IMap.keySet, IMap.values) contain cloned values. These collections are NOT backed up by related Hazelcast objects. Therefore, changes to them are **NOT** reflected in the originals and vice-versa.

#### How do I test my Hazelcast cluster?

Hazelcast allows you to create more than one instance on the same JVM. Each member is called HazelcastInstance and each has its own configuration, socket and threads, so you can treat them as totally separate instances.

This enables you to write and to run cluster unit tests on a single JVM. Because you can use this

feature for creating separate members different applications running on the same JVM (imagine running multiple web applications on the same JVM), you can also use this feature for testing your Hazelcast cluster.

Let's say you want to test if two members have the same size of a map.

```
@Test
public void testTwoMemberMapSizes() {
 // start the first member
 HazelcastInstance h1 = Hazelcast.newHazelcastInstance();
 // get the map and put 1000 entries
 Map map1 = h1.getMap( "testmap" );
 for ( int i = 0; i < 1000; i++ ) {</pre>
   map1.put( i, "value" + i );
 }
 // check the map size
 assertEquals( 1000, map1.size() );
 // start the second member
 HazelcastInstance h2 = Hazelcast.newHazelcastInstance();
 // get the same map from the second member
 Map map2 = h2.getMap( "testmap" );
 // check the size of map2
 assertEquals( 1000, map2.size() );
 // check the size of map1 again
 assertEquals( 1000, map1.size() );
}
```

In the test above, everything happens in the same thread. When developing a multi-threaded test, you need to carefully handle coordination of the thread executions. It is highly recommended that you use CountDownLatch for thread coordination (you can certainly use other ways). Here is an example where we need to listen for messages and make sure that we got these messages.

```
@Test
public void testTopic() {
 // start two member cluster
 HazelcastInstance h1 = Hazelcast.newHazelcastInstance();
 HazelcastInstance h2 = Hazelcast.newHazelcastInstance();
 String topicName = "TestMessages";
 // get a topic from the first member and add a messageListener
 ITopic<String> topic1 = h1.getTopic( topicName );
 final CountDownLatch latch1 = new CountDownLatch( 1 );
 topic1.addMessageListener( new MessageListener() {
   public void onMessage( Object msg ) {
     assertEquals( "Test1", msg );
     latch1.countDown();
   }
 });
 // get a topic from the second member and add a messageListener
 ITopic<String> topic2 = h2.getTopic(topicName);
 final CountDownLatch latch2 = new CountDownLatch( 2 );
 topic2.addMessageListener( new MessageListener() {
   public void onMessage( Object msg ) {
     assertEquals( "Test1", msg );
     latch2.countDown();
   }
 });
 // publish the first message, both should receive this
 topic1.publish( "Test1" );
 // shutdown the first member
 h1.shutdown();
 // publish the second message, second member's topic should receive this
 topic2.publish( "Test1" );
 try {
   // assert that the first member's topic got the message
   assertTrue( latch1.await( 5, TimeUnit.SECONDS ) );
   // assert that the second members' topic got two messages
   assertTrue( latch2.await( 5, TimeUnit.SECONDS ) );
 } catch ( InterruptedException ignored ) {
 }
}
```

You can start Hazelcast members with different configurations. Remember to call Hazelcast.shutdownAll() after each test case to make sure that there is no other running member left from the previous tests.

```
@After
public void cleanup() throws Exception {
   Hazelcast.shutdownAll();
}
```

#### Does Hazelcast support hundreds of members?

Yes. Hazelcast performed a successful test on Amazon EC2 with 200 members.

#### Does Hazelcast support thousands of clients?

Yes. However, there are some points you should consider. The environment should be LAN with a high stability and the network speed should be 10 Gbps or higher. If the number of members is high, the client type should be selected as Unisocket, not Smart Client. In the case of Smart Clients, since each client opens a connection to the members, these members should be powerful enough (for example, more cores) to handle hundreds or thousands of connections and client requests. Also, you should consider using Near Caches in clients to lower the network traffic. And you should use the Hazelcast releases with the NIO implementation (which starts with Hazelcast 3.2).

Also, you should configure the clients attentively. See the Clients section for configuration notes.

#### Difference between Lite Member and Smart Client?

Lite member supports task execution (distributed executor service), smart client does not. Also, Lite Member is highly coupled with cluster, smart client is not. Starting with Hazelcast 3.9, you can also promote lite members to data members. See the Lite Members section for more information.

#### How do you give support?

We have two support services: community and commercial support. Community support is provided through our Mail Group and StackOverflow web site. For information on support subscriptions, link:see Hazelcast.com.

#### **Does Hazelcast persist?**

No. However, Hazelcast provides MapStore and MapLoader interfaces. For example, when you implement the MapStore interface, Hazelcast calls your store and load methods whenever needed.

#### Can I use Hazelcast in a single server?

Yes. But please note that Hazelcast's main design focus is multi-member clusters to be used as a distribution platform.

#### How can I monitor Hazelcast?

Hazelcast Management Center is what you use to monitor and manage the members running Hazelcast. In addition to monitoring the overall state of a cluster, you can analyze and browse data structures in detail, you can update map configurations and you can take thread dumps from members.

You can also use Hazelcast's HTTP based health check implementation and health monitoring utility. See the Health Check and Monitoring section. There is also a diagnostocs tool where you can see detailed logs enhanced with diagnostic plugins.

Moreover, JMX monitoring is also provided. See the Monitoring with JMX section for details.

#### How can I see debug level logs?

By changing the log level to "Debug". Below are example lines for **log4j** logging framework. See the Logging Configuration section to learn how to set logging types.

First, set the logging type as follows.

```
String location = "log4j.configuration";
String logging = "hazelcast.logging.type";
System.setProperty( logging, "log4j" );
/**if you want to give a new location. **/
System.setProperty( location, "file:/path/mylog4j.properties" );
```

Then set the log level to "Debug" in the properties file. Below is example content.

# direct log messages to stdout #

log4j.appender.stdout=org.apache.log4j.ConsoleAppender

log4j.appender.stdout.Target=System.out

log4j.appender.stdout.layout=org.apache.log4j.PatternLayout

log4j.appender.stdout.layout.ConversionPattern=%d{ABSOLUTE} %5p [%c{1}] - %m%n

log4j.logger.com.hazelcast=debug

#log4j.logger.com.hazelcast.cluster=debug

#log4j.logger.com.hazelcast.partition=debug

#log4j.logger.com.hazelcast.partition.InternalPartitionService=debug

#log4j.logger.com.hazelcast.nio=debug

#log4j.logger.com.hazelcast.hibernate=debug

The line log4j.logger.com.hazelcast=debug is used to see debug logs for all Hazelcast operations. Below this line, you can select to see specific logs (cluster, partition, hibernate, etc.).

#### Client-server vs. embedded topologies?

In the embedded topology, members include both the data and application. This type of topology is the most useful if your application focuses on high performance computing and many task executions. Since application is close to data, this topology supports data locality.

In the client-server topology, you create a cluster of members and scale the cluster independently. Your applications are hosted on the clients and the clients communicate with the members in the cluster to reach data.

Client-server topology fits better if there are multiple applications sharing the same data or if application deployment is significantly greater than the cluster size (for example, 500 application servers vs. 10 member cluster).

#### How can I shutdown a Hazelcast member?

The following are the ways of shutting down a Hazelcast member:

- You can call kill -9 <PID> in the terminal (which sends a SIGKILL signal). This results in the immediate shutdown which is not recommended for production systems. If you set the property hazelcast.shutdownhook.enabled to false and then kill the process using kill -15 <PID>, its result is the same (immediate shutdown).
- You can call kill -15 <PID> in the terminal (which sends a SIGTERM signal), or you can call the method HazelcastInstance.getLifecycleService().terminate() programmatically, or you can use the script stop.sh located in your Hazelcast's /bin directory. All three of them terminate your member ungracefully. They do not wait for migration operations, they force the shutdown. But this is much better than kill -9 <PID> since it releases most of the used resources.
- In order to gracefully shutdown a Hazelcast member (so that it waits the migration operations to be completed), you have four options:
  - You can call the method HazelcastInstance.shutdown() programatically.
  - You can use JMX API's shutdown method. You can do this by implementing a JMX client application or using a JMX monitoring tool (like JConsole).
  - You can set the property hazelcast.shutdownhook.policy to GRACEFUL and then shutdown by using kill -15 <PID>. Your member will be gracefully shutdown.
  - You can use the "Shutdown Member" button in the member view of Hazelcast Management Center.

If you use systemd's systemctl utility, i.e., systemctl stop service\_name, a SIGTERM signal is sent. After 90 seconds of waiting it is followed by a SIGKILL signal by default. Thus, it calls terminate at first and kill the member directly after 90 seconds. We do not recommend to use it with its defaults. But systemd is very customizable and well-documented, you can see its details using the command man systemd.kill. If you can customize it to shutdown your Hazelcast member gracefully (by using the methods above), then you can use it.

#### How do I know it is safe to kill the second member?

Starting with Hazelcast 3.7, graceful shutdown of a Hazelcast member can be initiated any time as follows:

hazelcastInstance.shutdown();

Once a Hazelcast member initiates a graceful shutdown, data of the shutting down member is migrated to the other members automatically.

However, there is no such guarantee for termination.

Below code snippet terminates a member if the cluster is safe, which means that there are no partitions being migrated and all backups are in sync when this method is called.

```
PartitionService partitionService = hazelcastInstance.getPartitionService();
if (partitionService.isClusterSafe()) {
    hazelcastInstance.getLifecycleService().terminate();
}
```

Below code snippet terminates the local member if the member is safe to terminate, which means that all backups of partitions currently owned by local member are in sync when this method is called.

```
PartitionService partitionService = hazelcastInstance.getPartitionService();
if (partitionService.isLocalMemberSafe()) {
    hazelcastInstance.getLifecycleService().terminate();
}
```

Please keep in mind that two code snippets shown above are inherently racy. If member failures occur in the cluster after the safety condition check passes, termination of the local member can lead to data loss. For safety of the data, graceful shutdown API is highly recommended.



See the Safety Checking Cluster Members section for more information.

#### When do I need Native Memory solutions?

Native Memory solutions can be preferred when:

- the amount of data per member is large enough to create significant garbage collection pauses
- your application requires predictable latency.

## Is there any disadvantage of using near-cache?

The only disadvantage when using Near Cache is that it may cause stale reads.

#### Is Hazelcast secure?

Hazelcast supports symmetric encryption, transport layer security/secure sockets layer (TLS/SSL) and Java Authentication and Authorization Service (JAAS). See the Security chapter for more information.

#### How can I set socket options?

Hazelcast allows you to set some socket options such as SO\_KEEPALIVE, SO\_SNDBUF and SO\_RCVBUF using Hazelcast configuration properties. See the hazelcast.socket.\* properties explained in the System Properties appendix.

#### Client disconnections during idle time?

In Hazelcast, socket connections are created with the SO\_KEEPALIVE option enabled by default. In most operating systems, default keep-alive time is 2 hours. If you have a firewall between clients and servers which is configured to reset idle connections/sessions, make sure that the firewall's idle timeout is greater than the TCP keep-alive defined in the OS.

See Using TCP keepalive under Linux and Microsoft TechNet for additional information.

#### **OOME: Unable to create new native thread?**

If you encounter an error of java.lang.OutOfMemoryError: unable to create new native thread, it may be caused by exceeding the available file descriptors on your operating system, especially if it is Linux. This exception is usually thrown on a running member, after a period of time when the thread count exhausts the file descriptor availability.

The JVM on Linux consumes a file descriptor for each thread created. The default number of file descriptors available in Linux is usually 1024. If you have many JVMs running on a single machine, it is possible to exceed this default number.

You can view the limit using the following command.

#### # ulimit -a

At the operating system level, Linux users can control the amount of resources (and in particular, file descriptors) used via one of the following options.

- 1 Editing the limits.conf file:
- # vi /etc/security/limits.conf

testuser soft nofile 4096<br>
testuser hard nofile 10240<br>

#### 2 - Or using the **ulimit** command:

#### # ulimit -Hn

10240

The default number of process per users is 1024. Adding the following to your \$HOME/.profile could solve the issue:

# ulimit -u 4096

#### Does repartitioning wait for Entry Processor?

Repartitioning is the process of redistributing the partition ownerships. Hazelcast performs the repartitioning in the cases where a member leaves the cluster or joins the cluster. If a repartitioning happens while an entry processor is active in a member processing on an entry object, the repartitioning waits for the entry processor to complete its job.

#### Instances on different machines cannot see each other?

Assume you have two instances on two different machines and you develop a configuration as shown below.

```
Config config = new Config();
NetworkConfig network = config.getNetworkConfig();
JoinConfig join = network.getJoin();
join.getMulticastConfig().setEnabled(false);
join.getTcpIpConfig().addMember("IP1")
    .addMember("IP2").setEnabled(true);
network.getInterfaces().setEnabled(true)
    .addInterface("IP1").addInterface("IP2");
```

When you create the Hazelcast instance, you have to pass the configuration to the instance. If you create the instances without passing the configuration, each instance starts but cannot see each other. Therefore, a correct way to create the instance is the following:

HazelcastInstance instance = Hazelcast.newHazelcastInstance(config);

The following is an incorrect way:

HazelcastInstance instance = Hazelcast.newHazelcastInstance();

#### What Does "Replica: 1 has no owner" Mean?

When you start more members after the first one is started, you will see replica: 1 has no owner entry in the newly started member's log. There is no need to worry about it since it refers to a transitory state. It only means the replica partition is not ready/assigned yet and eventually it will be.

## Glossary

#### 2-phase Commit

2-phase commit protocol is an atomic commitment protocol for distributed systems. It consists of two phases: commit-request and commit. In commit-request phase, transaction manager coordinates all of the transaction resources to commit or abort. In commit-phase, transaction manager decides to finalize operation by committing or aborting according to the votes of the each transaction resource.

#### ACID

A set of properties (Atomicity, Consistency, Isolation, Durability) guaranteeing that transactions are processed reliably. Atomicity requires that each transaction be all or nothing, i.e., if one part of the transaction fails, the entire transaction fails). Consistency ensures that only valid data following all rules and constraints is written. Isolation ensures that transactions are securely and independently processed at the same time without interference (and without transaction ordering). Durability means that once a transaction has been committed, it will remain so, no matter if there is a power loss, crash, or error.

#### Cache

A high-speed access area that can be either a reserved section of main memory or storage device.

#### **Client Server Topology**

Hazelcast topology where members run outside the user application and are connected to clients using client libraries. The client library is installed in the user application.

#### **Embedded Topology**

|Hazelcast topology where the members are in-process with the user application and act as both client and server.

#### **Garbage Collection**

Garbage collection is the recovery of storage that is being used by an application when that application no longer needs the storage. This frees the storage for use by other applications (or processes within an application). It also ensures that an application using increasing amounts of storage does not reach its quota. Programming languages that use garbage collection are often interpreted within virtual machines like the JVM. The environment that runs the code is also

responsible for garbage collection.

#### Hazelcast Cluster

A virtual environment formed by Hazelcast members communicating with each other in the cluster.

#### **Hazelcast Partitions**

Memory segments containing the data. Hazelcast is built-on the partition concept, it uses partitions to store and process data. Each partition can have hundreds or thousands of data entries depending on your memory capacity. You can think of a partition as a block of data. In general and optimally, a partition should have a maximum size of 50-100 Megabytes.

#### IMDG

An in-memory data grid (IMDG) is a data structure that resides entirely in memory and is distributed among many members in a single location or across multiple locations. IMDGs can support thousands of in-memory data updates per second and they can be clustered and scaled in ways that support large quantities of data.

#### Invalidation

The process of marking an object as being invalid across the distributed cache.

#### Java heap

Java heap is the space that Java can reserve and use in memory for dynamic memory allocation. All runtime objects created by a Java application are stored in heap. By default, the heap size is 128 MB, but this limit is reached easily for business applications. Once the heap is full, new objects cannot be created and the Java application shows errors.

#### LRU, LFU

LRU and LFU are two of eviction algorithms. LRU is the abbreviation for Least Recently Used. It refers to entries eligible for eviction due to lack of interest by applications. LFU is the abbreviation for Least Frequently Used. It refers to the entries eligible for eviction due to having the lowest usage frequency.

#### Member

A Hazelcast instance. Depending on your Hazelcast usage, it can refer to a server or a Java virtual machine (JVM). Members belong to a Hazelcast cluster. Members are also referred as member nodes, cluster members, or Hazelcast members.

#### Multicast

A type of communication where data is addressed to a group of destination members simultaneously.

#### Near Cache

A caching model. When Near Cache is enabled, an object retrieved from a remote member is put into the local cache and the future requests made to this object will be handled by this local member. For example, if you have a map with data that is mostly read, then using Near Cache is a good idea.

## NoSQL

"Not Only SQL". A database model that provides a mechanism for storage and retrieval of data that is tailored in means other than the tabular relations used in relational databases. It is a type of database which does not adhering to the traditional relational database management system (RDMS) structure. It is not built on tables and does not employ SQL to manipulate data. It also may not provide full ACID guarantees, but still has a distributed and fault tolerant architecture.

## OSGI

Formerly known as the Open Services Gateway initiative, it describes a modular system and a service platform for the Java programming language that implements a complete and dynamic component model.

## **Partition Table**

Table containing all members in the cluster, mappings of partitions to members and further metadata.

## **Race Condition**

This condition occurs when two or more threads can access shared data and they try to change it at the same time.

## RSA

An algorithm developed by Rivest, Shamir and Adleman to generate, encrypt and decrypt keys for secure data transmissions.

## Serialization

Process of converting an object into a stream of bytes in order to store the object or transmit it to memory, a database, or a file. Its main purpose is to save the state of an object in order to be able to recreate it when needed. The reverse process is called deserialization.

## Split-brain

Split-brain syndrome, in a clustering context, is a state in which a cluster of members gets divided (or partitioned) into smaller clusters of members, each of which believes it is the only active cluster.

## Transaction

Means a sequence of information exchange and related work (such as data store updating) that is treated as a unit for the purposes of satisfying a request and for ensuring data store integrity.